

# Acute study of dose-dependent effects of (-)-epicatechin on vascular function in healthy male volunteers: a randomized controlled trial

Article

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1	ACUTE STUDY OF DOSE-DEPENDENT EFFECTS OF PURE (-)-EPICATECHIN
2	ON VASCULAR FUNCTION IN HEALTHY VOLUNTEERS: A
3	RANDOMISED CONTROLLED TRIAL
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#### 26 ABSTRACT

*Background & aims:* There is convincing clinical evidence to suggest that flavanol-containing foods/beverages are capable of inducing improvements in human vascular function. However, whilst pure (-)-epicatechin has been tested for efficacy, a full dose-dependency has yet to be established, particularly at doses below 1 mg kg<sup>-1</sup> BW. The current study examined the dose-dependent effects of pure (-)-epicatechin on human vascular function with concurrent measurement of plasma (-)-epicatechin metabolites and levels of circulating nitrite and nitrate species, NO<sub>x</sub>.

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Methods: An acute, double-blind, placebo-controlled, crossover intervention trial was 35 conducted in 20 healthy males with 4 treatment arms: water-based (-)-epicatechin (0.1, 0.5 36 and 1.0 mg kg<sup>-1</sup> BW) and a water only as control. Vascular function was assessed by flow-37 38 mediated dilatation (FMD), laser Doppler imaging with iontophoresis (LDI) and peripheral 39 blood pressure (BP) at baseline, 1, 2, 4 and 6 hours post-intervention. Plasma analysis of 40 epicatechin metabolites was conducted by LC-MS and circulating plasma of nitrite and nitrate 41 species were performed using an HPLC-based system (ENO-30). The study was registered 42 with the National Institutes of Health (NIH)-randomized trial records (NCT02292342).

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*Results:* Significant increases in % FMD were found to occur at 1 and 2 h following intake of 1 mg kg<sup>-1</sup> BW , and at 2 h for the 0.5 mg kg<sup>-1</sup> BW intake. There were no significant changes in LDI or BP at any time-points or intake levels. Increases in FMD over the 6 h timeframe were closely paralleled by the appearance of total plasma (-)-epicatechin metabolites. Doserelated but non-significant changes in circulating NOx were also observed.

50	Conclusions: Our data add further evidence that (-)-epicatechin is a causal vasoactive
51	molecule within flavanol-containing foods/beverages. In addition, we show for the first time
52	that intake levels as low as 0.5 mg kg <sup>-1</sup> BW are capable of inducing acute improvements in
53	vascular function (FMD) in healthy volunteers.
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56	Keywords: (-)-Epicatechin, acute study, vascular function, dose effect, FMD
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#### 72 **1. Introduction**

Cocoa is a rich source of flavanols which has been extensively investigated for its impact on 73 74 vascular health at a number of levels, including at the population level, in a growing number 75 of human intervention trials [1-6]. Recent dietary interventions in humans have substantiated 76 epidemiological data on an inverse relationship between flavanol cocoa intake and the risk of 77 cardiovascular diseases. Various flavanol-mediated bioactivities after cocoa consumption 78 have enhance the endothelial function and vascular tone of "at risk" and "healthy" individuals 79 by means of increasing flow-mediated dilation (FMD) of the brachial artery, lowering arterial 80 blood pressure or increasing the circulating pool of nitric oxide [7-16].

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Despite these datasets, a full understanding of the causality between components of cocoa and human vasoactivity remains to be established. The reasons for these shortcomings are, at least in part, based on the fact that food matrices contain a multitude of phytochemical constituents of which an unknown number may exert physiological effects.

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87 The main flavanols of cocoa are specially epicatechin, catechin and their oligomeric 88 derivatives known as procyanidins. However, the chiral nature of monomeric flavanols should 89 be noted since the bioactivity of flavanols is significantly influenced by the (+)/(-)90 stereochemical configuration. Among them, (-)-epicatechin is thought to be the most likely 91 physiologically active compound responsible for the vascular health benefits associated with 92 cocoa since the oral administration of (-)-epicatechin produced a vascular response nearly six 93 times higher than that of (-)-catechin [17]. Therefore, subsequent human intervention trials 94 were focused on the elucidation of the casual vascular effects after human ingestion of pure (-95 )-epicatechin.

96 From an acute study conducted with healthy male adults emanated that the oral 97 administration of chemically pure (-)-epicatechin closely emulated acute vascular effects of 98 flavonol rich cocoa [18]. All individuals had significantly increased the peripheral arterial 99 tonometry index and the FMD at two hours after (-)-epicatechin ingestion at doses of 1 or 2 mg kg<sup>-1</sup> of body weight, potentially though induction of an NO-mediated pathway [18]. 100 101 Controversially, other controlled, double-masked, crossover study in humans concluded that 102 although (-)-epicatechin intake increased low-mediated arterial dilation, this outcome did not 103 reach statistical significance disproving the health benefits of (-)-epicatechin [19]. Some 104 authors disproved this statement due to the heterogeneity in vascular status of the study 105 population, especially considering the small total number of participants of whom 22 % would 106 be considered as hypertensive [20]. This fact should have not overlooked and unappreciated 107 due to its impact on outcomes and final interpretations which could mask meaningful 108 conclusions. Therefore, due to the controversy regarding to the physiological effect of (-)-109 epicatechin, further research including reliable clinical trials with appropriate population 110 should be conducted to address its potential health benefits. On the other hand, the minimum 111 effective dose of (-)-epicatechin to induce significant physiological effects is another 112 important remaining challenge which should be addressed.

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For that reasons, the current trial investigates the impact of 3 intake levels of pure (-)epicatechin, 0.1, 0.5 and 1.0 mg kg<sup>-1</sup> on human vascular function over a 6 h period, primarily by assessment of flow-mediated dilatation (FMD). The study was designed to objectively elucidate the minimum effective dose and timeframe at which improvements in FMD are observed in response to pure (-)-epicatechin intake.

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#### 2. VOLUNTEERS AND METHODS

#### 122 2.1.Clinical trial ethics

The clinical study was conducted in line with the guidelines in the Declaration of Helsinki and study protocols were approved by the University of Reading Research Ethics Committee, UK (reference: 11/31) and Kantonale Ethikkommission Bern, Switzerland (reference 039/12). The trial was registered with the National Institute of Health (NIH) records on ClinicalTrials.gov website (NCT02292342).

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#### 129 2.2. Volunteers and Intervention

130 A total of 20 individuals were recruited (Figure 1A) to voluntarily participate in the trial via 131 poster advertisement at the University of Reading and surrounding area. Volunteers were 132 assessed for health status using a standard health & lifestyle questionnaire and recruited on the 133 basis of their compliance to the inclusion and exclusion criteria for the trial. Inclusion criteria: 134 signed consent form, male aged 18-40 years, non-smoker, absence of metabolic (e.g. diabetes) 135 and cardiovascular-related disorders (no pre-existing CVD and/or previous incidents), normal 136 blood pressure (>150/90 mm Hg) and haematological parameters (liver enzymes, 137 heamoglobin, hematocrit and leukocyte counts). Exclusion criteria: individuals who were or 138 had administered medication (including anti-inflammatory, antibiotics or blood pressure 139 lowering medication) or nutritional supplements (including vitamin, mineral and fish oil 140 supplements) with 2 months prior to the trial start date. Vegetarians/vegans and individuals 141 with an extreme exercise routine were also excluded on the basis of their regular diet and 142 activity. Throughout the trial period (including during washout), volunteers were asked to 143 maintain their normal diet, activity and fluid intake except for 24 h before and during the 144 study day, where volunteers were asked to restrict their diet to low polyphenol-containing 145 foods, including fruit, vegetables, cocoa, chocolate, tea and wine; intake of nitrate-rich 146 foods/beverages such as leafy green vegetables, beetroot, processed meat and tap water (rich 147 source of nitrate in UK). They were also asked to restrict vigorous exercise to > 20 min per 148 day and the consumption of alcohol to > 168 g alcohol per week (14 arbitrary units in UK).

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#### 150 2.3. Interventions: Pure (-)-epicatechin test drinks

151 To investigate the dose-dependent activity of pure (-)-epicatechin relative to vascular function in healthy males at and below 1 mg kg<sup>-1</sup> BW, pure, food-grade (-)-epicatechin (EC) 152 153 (Yancui Import & Export Corporation Limited, Shanghai, China). Analysis of EC was 154 performed at NRC (Lausanne, Switzerland) to ascertain its purity and safety for use in clinical human intervention trials. (-)-Epicatechin was stored at -80 ° till use and test interventions 155 were prepared at 3 doses of pure EC (1.0, 0.5, and 0.1 mg kg<sup>-1</sup> EC) by dissolution in low-156 nitrate drinking water at room temperature (3 mL kg<sup>-1</sup> of BW) [18]. Volunteers were 157 158 randomly allocated to each intake level of pure EC or the control treatment (water only) via a 159 block randomisation method (A-D).

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161 2.4. Study design

162 The trial was a randomised, double-blind, placebo-controlled, crossover intervention 163 with 4 treatment arms in which volunteers consumed a water-based test drink containing 0.1, 164 0.5 and 1.0 mg/kg BW of (-)-epicatechin or a control drink containing water only. All 165 volunteers on the study were recruited under the supervision of a qualified research nurse, 166 alongside trained researchers. All responsible parties involved in conduction of the trial and 167 assessment of the study outcomes were blinded to treatment allocation, as well as the 168 participating volunteers. In compliance with the study protocol, volunteers were required to 169 attend the Hugh Sinclair Unit of Human Nutrition on 4 separate occasions to assess each 170 treatment during a 6 h time course where they were randomised to a treatment schedule via a block randomisation system (Figure 1B). 24 h prior to a study day visit, volunteers were asked to follow a low-polyphenol/nitrate diet in which consumption of specific foods and beverages were restricted and were instructed not to consume alcohol or partake in strenuous physical activity during this restrictive period. A low-fat, low-polyphenol meal was also provided to all volunteers the evening prior to each visit and were asked to consume this before 20:00 in order to allow for a 12 h overnight fast.

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178 On arrival to the Nutrition Unit the weight of each volunteer was recorded to 179 determine the precise amount (mg) of (-)-epicatechin they will receive relative to the arm of 180 the trial they were currently assigned to. Volunteers were cannulated (left arm) by a qualified 181 research nurse and blood and urine samples were collected in the fasted state. Following a 30 182 min period of inactivity under temperature-controlled conditions 21°C, baseline FMD 183 measurements of the brachial artery (primary outcome), peripheral blood pressure (systolic 184 and diastolic BP), laser Doppler imaging with iontophoresis to measure cutaneous perfusion 185 of acetylcholine and sodium nitroprusside (LDI) and plasma nitrite/nitrate and epicatechin 186 metabolites analyses were carried out. Following baseline measurements, volunteers were 187 orally administered test or control interventions (30 sec max). Additional vascular measures 188 including FMD and BP were conducted at 1, 2, 4 and 6 hours post intake and LDI at 2, 4 and 189 6 hours after (-)-epicatechin ingestion. Blood samples were collected at 1, 2, 4 and 6 hours 190 after consumption and urine at 2 and 6 h during the visit and for a total of 24 h. Volunteers 191 received a small low-fat, low-flavonoid containing lunch 4.5 h post intervention. All 192 volunteers followed a 14-day washout period between study days, where they were asked to 193 adhere to their normal diet and exercise regime.

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#### 195 2.5. Vascular measurements

#### 196 2.5.1. Flow-mediated dilatation

197 FMD of the brachial artery was the primary end point measure of the study measured 198 following standard guidelines [21] using an ALT Ultrasound HDI5000 system (ATL 199 Ultrasound, UK), with a semi-automated computerized analysis system (Brachial Analyzer, 200 Medical Imaging Applications-Ilc, IL, US). After 30 min supine rest in a quiet, air-201 conditioned room, the brachial artery was imaged longitudinally at 2-10 cm proximal to the 202 antecubital fossa. Baseline images recorded for 60 s, after which a blood pressure cuff placed 203 around the forearm was inflated to 220 mm Hg. After 2 min of occlusion, the pressure was 204 rapidly released to allow reactive hyperemia, with image collection continuing for 5 min post 205 release. A single, fully trained researcher, who was blinded to the intervention details, 206 analyzed all image files and peak diameter was defined as the maximum diameter obtained 207 after the occlusion was released. FMD response was calculated as relative diameter change 208 from baseline as compared to peak diameter during hyperemia and presented as percentage 209 change.

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#### 211 2.5.2. Laser Doppler Imaging

Laser Doppler flowmetry/imaging to measure cutaneous perfusion accompanied by iontophoresis of acetylcholine and sodium nitroprusside was carried out as previously described [22] as secondary outcome. Measurements were taken after 30 min of acclimatization in a supine position in a quiet, temperature controlled room (22 - 24 °C). The incremental area under the flux versus time curve for 20 scans was used as a measure of micro vascular response to acetylcholine (Ach; endothelium dependent vasodilation) and sodium nitroprusside (SNP; endothelium independent vasodilation).

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220 2.5.3. Systolic and diastolic blood pressure

221 Systolic and diastolic blood pressure measures were performed automatically using an 222 Omron, MX2 digital upper-arm blood pressure monitor (Omron Electronics Ltd, Milton 223 Keynes, UK). Volunteers were previously rested in the supine position for approximately 30 224 min, during FMD measurement, and required to remain in this position or become semi-225 recumbent during repeated measures. Blood pressure readings were taken every 2 min until 3 226 successful readings were obtained, according to consistency. The average of these readings 227 were calculated in excel and reported as mean and SEM.

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#### 2.6. Biochemical analyses

Blood samples were drawn from volunteers (Figure 1) via an *in situ* cannula and blood vacutainer system into EDTA (flavanol metabolite analysis) and sodium heparin (nitrite/nitrate analysis). Samples were collected on ice and immediately centrifuged (1700 x g; 15 min at 4°) and plasma aliquots of 1 ml were frozen at -80° until analysis. Plasma stored for metabolite analysis and nitrate/nitrite analysis were treated with ascorbic acid (200 mg/ml; 5 % v/v) and nitrite preservation solution (100  $\mu$ M N-ethylmaleimide, NEM), respectively.

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#### 2.6.1. Plasma metabolite analysis

238 Analysis of total plasma (-)-epicatechin metabolites TPEM was as previously 239 described [23]. Plasma samples (200 µl) were thawed on ice and spiked with internal 240 standards. After protein precipitation, samples were filtered, washed with 200 µl methanol and 241 dried at room temperature under a flow of nitrogen. Finally, the residue was dissolved in 100 242 µl of 8 % acetonitrile in acidified water. Half of the volume was directly injected into the 243 ultraperformance liquid chromatography UPLC-ESI-MS/MS system for quantification of total 244 (-)-epicatechin metabolites which were separated by reversed-phase UPLC using a C18-245 column Acquity UPLC HSS (2.1 mm x 100 mm, 1.8 µm), (Waters AG, Baden-Dättwil,

Switzerland) following the chromatographic method described by Actis-Goretta et al. [23].
Data were collected and processed using Analyst software (AB Sciex Switzerland GmbH, C/o
Applied Biosystems Europe BV, Zug, Switzerland). Each participants' samples were analyzed
within a single assay batch in random sequence. The samples were analyzed blind.

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#### 2.6.2. Plasma nitrite and nitrate analysis:

Plasma levels of circulating nitrite and nitrate were individually assessed using an Eicom NOx Analyzer ENO-30 (San Diego, USA), a dedicated high-performance liquid chromatography (HPLC) system developed by Eicom Corporation (Japan). This system uses a post-column diazo coupling reaction (Greiss reaction) combined with HPLC using a NO-PAK separation column. The followed method was that proposed by Ishibashi et al. [24].

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257 Nitrite and nitrate standard stock solutions were prepared in PBS buffer using sodium 258 nitrite and sodium nitrate salts, respectively. On each day of analysis, a nitrite/nitrate working 259 standard solution was prepared using the premade stock solutions and methanol. Eight 260 calibration standards were prepared by series dilution containing nitrite and nitrate in the 261 following concentrations: 1.6, 0.8, 0.4, 0.2, 0.1, 0.075, 0.05 and 0.025 µM and 100, 50, 25, 262 12.5, 6.25, 3.125 and 1.5625 µM, respectively. After deproteinisation of plasma samples with 263 methanol, 10 µl was injected into the HPLC-system via a programmed autosampler (Waters, 264 UK). The binary system phases were solvent A (carrier) and solvent B (reactor) with a flow rate of 330 µl min<sup>-1</sup> and 100 µl min<sup>-1</sup>, respectively. The nitrite present is able to react with the 265 266 Greiss reagent generating a red diazo compound, and absorbance is quantitatively measured by spectrophotometric detection at 540 nm. Furthermore, nitrate passing through the 267 268 reduction column was reduced to nitrite prior to undergoing the same diazo coupling reaction. 269 Calibration standards previously prepared were used for comparison with the peak areas of absorption produced by the test plasma samples in order to quantify the nitrite and nitratepresent.

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#### 2.7. Power calculation and Statistical analysis

274 A power calculation was performed for the primary endpoint measure of change in 275 FMD response based on statistical limitations associated with this measure in order to 276 determine an accurate minimum number of volunteers required to power the trial. The 277 minimal statistically significant measurable improvement in FMD was set at an absolute 278 change of 1.5% whilst considering a baseline vasodilation (FMD response) of 6.0%, based on 279 previous clinical assumptions that the minimal statistically significant improvement 280 detectably following drug/nutrient interventions is an absolute change in FMD of 1.5-2% [21] 281 The sample size was calculated based on a variance of repeated measures of 1.8%, deduced 282 from the inter-individual variability analysis of data collected in a FMD pilot reproducibility 283 study. This is consistent with previous, similar clinical trials that have used a standard 284 deviation of 2.3% [9, 18]. Using a standard deviation of 1.8%, a significance level of 0.05 285 and a power of 80 % a total of 18 volunteers were required to observe significant within-286 subject differences between treatments of at least 1.5%. Therefore, assuming a dropout rate of 287 10% we aimed to recruit 20 volunteers in total.

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All results were expressed as means  $\pm$  standard error of the mean (SEM), and further statistically analysed using GraphPad Prism version 5 software (Graphpad Software Inc. San Diego, CA, USA). Two-factor repeated measures ANOVA was utilized to assess time course data for study endpoint outcomes in order to estimate intra-individual treatment effects, with pairwise comparisons corrected using the Bonferroni test during post-hoc analysis. Statistical significance was assumed if a null hypothesis could be rejected at p = 0.05 values represented in figures are as follows: \*p = 0.01-0.05, \*\*p = 0.001-0.01, \*\*\*p < 0.0001. LDI results were expressed as area under the cure (AUC) and incremental AUC (iAUC) calculated using the trapezoidal method. A correlation analysis was performed using Pearson's correlation coefficient to assess relationship between FMD and total plasma (-)-epicatechin metabolites (TPEM) during the timecourse.

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301 **3. Results** 

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#### 3.1. Baseline characteristics of sample population

The characteristics of the study population are detailed in Table 1. Baseline characteristics were calculated and expressed as means  $\pm$  SEMs and mean values for parameters were all within the normal range for healthy individuals. The pure (-)-epicatechin test drinks were well tolerated and no adverse advents were reported in the context of the study.

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#### 309 *3.2.* (-)-Epicatechin induced dose-dependent increases in vascular function

Significant increases in % FMD were observed after ingestion of 0.5 mg kg<sup>-1</sup> BW at 2 310 h (p < 0.01) and similarly at 1 h (p < 0.01) and 2 h (p < 0.001) following ingestion of the 311 highest dose, 1.0 mg kg<sup>-1</sup> BW. Significant differences in FMD were also identified between 312 the highest (-)-epicatechin dose (1.0 mg kg<sup>-1</sup> BW) and the lowest (-)-epicatechin dose (0.1 mg 313 314 kg<sup>-1</sup> BW) at 1 h (p < 0.05) and 2 h (p < 0.01) after consumption of the test drinks (Figure 2A). Peak vasodilation occurred at 2 h after treatments (0.5 and 1.0 mg kg<sup>-1</sup> BW), with subsequent 315 316 declines in FMD response towards baseline at 4 and 6 h. FMD response (endotheliumdependent brachial artery vasodilation) increased after ingestion of all 3 pure (-)-epicatechin 317 318 test drinks administered to volunteers in a dose-dependent manner between 1 and 2 h (Figure 319 The magnitude of increase from baseline (0 h) to 1 h was 1.6  $\pm$  0.3 % following (-)-2B).

epicatechin (1.0 mg kg<sup>-1</sup> BW), and at 2 h FMD increased by  $1.2 \pm 0.3$  % and  $2.9 \pm 0.3$  % after ingestion of 0.5 mg kg<sup>-1</sup> and 1.0 mg kg<sup>-1</sup> BW (-)-epicatechin, respectively. No significant changes in FMD were observed at baseline (0 h), 4 h and 6 h and no significant changes in FMD were observed at any time-point following intake of 0.1 mg kg<sup>-1</sup> BW (-)-epicatechin and the control. Both LDI (Figure 3) and BP (Figure 4) measures were not significantly altered after consumption of any of the (-)-epicatechin dose intakes compared with baseline or the control drink.

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#### 328 3.3. Relationship of FMD to plasma (-)-epicatechin metabolites and NO<sub>x</sub>

Mean plasma concentrations of epicatechin metabolites ranged from 0.19 to 9.93 µmol L<sup>-1</sup> 329 330 following consumption of pure (-)-epicatechin treatments (excluding control) up to 6 h. The 331 time course for the appearance of flavanol metabolites in the circulation paralleled FMD data, although in all cases peak metabolite concentrations preceded peak FMD (Fig 2A) at 1 h. 332 333 Analysis using a 2-factor repeated measures ANOVA indicated significant increases in plasma flavanol metabolites following ingestion of 0.5 and 1.0 mg kg<sup>-1</sup> BW pure (-)-334 epicatechin at 1 h (p < 0.001), 2 h (p < 0.001) and 4 h (p < 0.01 and p < 0.001, respectively) 335 and additionally at 6 h (p < 0.01) for 1.0 mg kg<sup>-1</sup> BW (-)-epicatechin (Figure 5). Total 336 337 plasma concentrations of (-)-epicatechin metabolites were at or below the limit of detection 338  $(0.01 \text{ }\mu\text{mol }L^{-1})$  for the control at all time points and for the lowest intake at 4 and 6 h. 339 Analysis using Pearson's correlation coefficient found positive associations with intake 340 amount between % FMD response and TPEM at 1 h and 2 h. At 1 h, coefficients between % FMD and TPEM were  $R^2 = 0.010$ ,  $R^2 = 0.013$  and  $R^2 = 0.174$ , for 0.1, 0.5 and 1.0 mg kg<sup>-1</sup> BW 341 (-)-epicatechin, respectively. At 2 h correlation coefficients with TPEM were  $R^2 = 0.07$  and 342  $R^2 = 0.206$  for the 0.5 and 1.0 mg kg<sup>-1</sup> BW (-)-epicatechin, respectively (Figure 5). A 2-factor 343 344 repeated measures ANOVA found no significant increases in plasma nitrite, nitrate or NOx at any timepoint during the crossover intervention comparative to baseline and control measures (p > 0.05) (Figure 6).

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#### 348 **4. Discussion**

349 Previous works focused on the beneficial vascular effects of cocoa has predominantly 350 centered on the physiological actions of the total flavanol content [3-10, 19]. However, 351 although (-)-epicatechin seems to be the main responsible compound for vascular effect, a 352 direct cause and effect relationships between intake and efficacy are difficult to establish 353 following the consumption of complex food matrices such as cocoa. Therefore, a proof of 354 concept study with pure (-)-epicatechin was performed, providing insight, for the first time, 355 into the dose-dependent effect of its vasoactive benefits post intake and insights into the 356 circulating metabolites and nitric oxide pool that might mediate such effects.

357 In response, this acute crossover intervention trial investigating the vascular effects of 358 pure (-)-epicatechin provided further evidence for the increase vascular function of this 359 compound, and in particular the impact of FMD % response of the brachial artery. In 360 agreement with our data, the oral administration of pure (-)-epicatechin in a human 361 intervention causally linked to the increase of FMD % [18], contrary to other studies which 362 put to rest the idea that (-)-epicatechin represents a compound with cardiovascular health 363 benefits [19]. Data shows clear dose-dependent increases in FMD following pure (-)-364 epicatechin interventions, and specifically at 1 h and 2 h after ingestion of the middle dose (0.5 mg kg<sup>-1</sup> BW) and highest dose (1.0 mg kg<sup>-1</sup> BW), where increasing magnitude and 365 statistical significance was observed, respectively. However, after conducting post-hoc power 366 calculations for the lower dose treatment, 0.1 mg kg<sup>-1</sup> BW, no significant variations were 367 observed in comparison with those FMD % values for control treatment. Until now, the 368 369 minimum dose of (-)-epicatechin proven to be vascular active was 1 mg kg<sup>-1</sup> BW [18]. Our results evidence that the ingestion of lower doses, 0.5 mg kg<sup>-1</sup> BW, is enough to induce a physiologically increase in FMD %. Currently, evidence of a significant increase in FMD with an intervention dose as low as 0.5 mg kg<sup>-1</sup> pure (-)-epicatechin BW has not been directly reported, and therefore provides much needed dose-related insight regarding active and minimum-active doses capable of inducing acute improvements in FMD, within a healthy population.

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377 From baseline mean FMD % reach the maximal change two hours after treatment ingestion at 0.5 and 1.0 mg kg<sup>-1</sup> BW doses which is consistent with the timecourse of total 378 379 plasma (-)-epicatechin metabolites (TPEM). This fact suggests that there is an optimal level of 380 circulating (-)-epicatechin metabolites for driving physiological effect on the endothelium 381 after two hours of treatment ingestion. Other studies also reported intake-dependent biphasic 382 improvements in FMD paralleled with appearance of individual phenolic plasma metabolites 383 following an intervention of flavanol-rich food such as blueberry, cocoa or coffee, showing 384 the higest level after 2 hours ingestion [18, 25, 26]. (-)-Epicatechin-3'-β-D-glucuronide, (-)-385 epicatechin-3'-sulfate, and 3'-O-methyl-(-)-epicatechin-5/7-sulfate have been reported as the 386 major in vivo metabolites present in the bloodstream at 1-3 h after ingestion of cocoa, 387 chocolate products, or pure compounds [23, 27, 28].

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Potential mechanisms by which such circulating metabolites mediate their vascular effects have been postulated, and include their potential to inhibit NADPH oxidase, thus affecting superoxide production and subsequent NO bioavailability at the vascular epithelium [25, 29, 30]. Because of the fact that FMD is mediated by NO, increases in NOS activity are paralleled by increases in plasma nitroso species. Particularly, our data do not reveal significant enhancements in plasma nitrite, nitrate or NOx at any timepoint during the crossover intervention. However, results emanated from other studies establish a causal link among
parallel measurements of FMD and circulating NO species in conjunction with NOS
inhibition studies after oral ingestión of flavanol which represents a mechanistically strong
experiment framework [9, 18, 31, 32].

399

400 In conclusion our findings support the notion that (-)-epicatechin is an important mediator 401 of the cardiovascular effects of flavanols rich food. A clearer picture of dose-dependency of (-402 )-epicatechin is drawn since our data adds new evidence demonstrating significant activity 403 from as low as 0.5 mg kg-1 BW (-)-epicatechin, with increasing magnitude and significance 404 to the highest dose intervened in the trial. Although, data from this acute study cannot be used 405 to determine the long term effects of repeated consumption of (-)-epicatechin on vascular 406 function and the study population is limited to healthy young males, findings in the current 407 paper may provide new avenues to dietary or therapeutic interventions aimed at improving 408 and maintaining cardiovascular health

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#### 410 State of authorship

JPES was the PI on the study, MEA was a postdoctoral researcher, MSC was pre-doctoral student assigned to the project and GS was a PhD student collaborator. JPES, MEA and MSC designed the study. MEA, MSC and GS conducted the epicatechin study. JPES, MEA and MSC collaborated on the manuscript preparation. All authors read and approved the final manuscript.

416

#### 417 **Conflict of interest**

418 No conflicts of interest are stated by the authors.

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