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# Synthesis and evaluation of boronated chitosan as a mucoadhesive polymer for intravesical drug delivery

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This work reports the synthesis of boronated chitosan by reacting it with 4-carboxyphenylboronic acid to improve its mucoadhesive properties. Three products with differing extent of boronate conjugation were synthesised and characterised using <sup>1</sup>H NMR, FT-IR and UV-Vis spectroscopy and the potential of these polymers to extend the residence time of loaded model drug in the bladder was investigated. <sup>1</sup>H NMR and ninhydrin test were used to evaluate the extent of chitosan modification. Mucoadhesive properties were evaluated using *ex vivo* flow-through technique on porcine bladder mucosal tissue combined with fluorescent microscopy, where fluorescein sodium was used as a model drug. The mucoadhesive properties of these polymers on porcine bladder mucosa were also studied using tensile test. There was good correlation in the mucoadhesive profiles of the polymers using the flow through and tensile techniques. The degree of chitosan modification had a remarkable influence on their mucoadhesive behaviour and greater mucoadhesion was observed with increased degree of boronation. These chitosan derivatives have the potential as intravesical drug delivery systems to improve bladder therapy.

Keywords: Chitosan, Mucoadhesive, boronation, Intravesical drug delivery, bladder.

## 1. Introduction

Bladder cancer is one of the frequent causes of tumour-associated mortality worldwide and the overall survival tendency for the advanced stage of the disease is only about a year despite the fact that urothelial cancerous tissues respond well to conventional chemotherapeutic agents.<sup>1–</sup>

<sup>3</sup>The local bioavailability and residence time of formulations delivered to the bladder is often

reduced as drugs are diluted or washed out of the bladder due to urine filling and excretion. Thus there is a need to develop better mucoadhesive delivery systems that are resistant to urine wash out, thereby prolonging duration of drug action and preventing disease progression.

Chitosan is a biopolymer with well-established biodegradable, biocompatible and mucoadhesive properties.<sup>4-6</sup> It is a polysaccharide consisting of acetylated and deacetylated glucosamine units, with the deacetylated segment that can be modified to prepare mucoadhesive derivatives such as chitosan-cysteine,<sup>7</sup> chitosan-thioglycolic acid,<sup>8</sup> chitosan-4-thio-butyl-amidine,<sup>9</sup> chitosan-glutathione,<sup>10</sup> chitosan-N-acetylcysteine conjugates,<sup>11</sup> chitosan-graft-6-mercaptopnicotinic acid<sup>12</sup> and methacrylated chitosan.<sup>13</sup>

One of the constituents of mucosal membranes are mucin oligosaccharides, which have sialic acid groups that are overexpressed in malignant tissues and organs such as the bladder.<sup>14</sup> So, sialic acid moieties have been explored as therapeutic targets by conjugating polymeric drug carriers with phenylboronic acid groups which bind favourably with sialic acid groups to form reversible covalent complexes,<sup>15</sup> thereby facilitating enhanced mucoadhesion and cellular uptake of their therapeutic payload.

Phenylboronic acid decorated polymers have been explored for the delivery of drugs and biotherapeutics because they are biocompatible, mucoadhesive, can form stable colloidal systems and have tumour-targeting abilities.<sup>16-18</sup> Transmucosal routes that have been explored include ocular,<sup>19-21</sup> nasal<sup>22</sup> and vaginal.<sup>23</sup> Due to their responsiveness to glucose level, it makes them valuable for glucose detection<sup>18,24,25</sup> and as glucose sensitive sustained insulin release system.<sup>26</sup> They have also been explored for cancer targeting<sup>27-30</sup> and gene delivery<sup>31,32</sup> due to their favourable interaction with sialic acid moieties.

Liu et al demonstrated that cyclosporine loaded phenylboronic acid conjugated polymeric nanoparticles reduced ocular drug clearance. The boronated nanoparticles displayed good drug encapsulation efficiency (13.7 % w/w), reduced inflammation after topical application to dry-eye induced mice, and sustained drug release of up to 5 days showing their potential in reducing dosing interval and improving ocular drug bioavailability.<sup>20</sup>

Recently, *in vivo* studies using H22 lung metastasis tumour-bearing mice showed that doxorubicin loaded boronate modified chitosan nanoparticles exhibit greater antitumour activity than carboxymethyl chitosan nanoparticles.<sup>33</sup> 3- and 4-carboxyphenylboronic acid modified chitosan nanoparticles were shown to exhibit superior doxorubicin loading, active

tumor targeting, cellular internalisation and target site retention, relative to unmodified nanoparticles.<sup>33,34</sup>

Asantewaa et al studied the correlation between the physicochemical features of various boronic-acid-chitosan conjugates to their glucose adsorption properties.<sup>35</sup> However, to our knowledge, there are no studies investigating how the physicochemical properties of different boronated chitosan affect urothelial mucoadhesiveness as a potential intravesical dosage form for bladder cancer treatment. Thus there is a critical need to establish whether boronated chitosan has sufficient interaction with the urothelial mucosa that is constantly in contact with urine, to prolong drug residence time in the bladder.

In this work, we synthesised boronate-conjugated chitosan derivatives by reaction of chitosan with 4-carboxyphenylboronic acid using EDC and NHS as coupling agents, characterised the resultant products in terms of their physicochemical properties and evaluated *in vitro* adhesion to porcine urinary bladder mucosa to establish their intravesical drug delivery potential.

## 2. Materials and Methods

### 2.1. Materials

Chitosan (high molecular weight grade, 370 kDa; degree of acetylation extent  $29.3 \pm 2.5$  %), ninhydrin, trifluoroacetic acid, FITC-dextran (3-5 kDa), dextran 5 kDa, deuterium oxide, urea, uric acid, magnesium sulphate heptahydrate, sodium hydrogen phosphate, creatinine, sodium bicarbonate, sodium sulphate, disodium oxalate and trisodium citrate were all purchased from Sigma-Aldrich, UK. 4-carboxyphenylboronic acid (4-CPBA), N-3(dimethylaminopropyl)-N-ethylcarbodiimide hydrochloride (EDC), N-hydroxysuccinimide (NHS), dimethyl sulfoxide (DMSO), disodium hydrogen phosphate, sodium chloride, potassium chloride, ammonium chloride and calcium chloride dihydrate were all purchased from Fisher Scientific, UK. Dialysis membrane with molecular weight cut off 12-14 kDa was obtained from Medicell International, UK. All chemical reagents were used as received without further purification. Freshly excised porcine urinary bladders were procured from PC Turner Abattoir (Farnborough, Hampshire, UK).

### 2.2. Synthesis of boronated chitosan

Three types of boronated chitosan were synthesised by varying the molar amount of 4-CPBA (Table 1) using a published method with modification.<sup>34</sup>

Briefly, 1.5% w/v chitosan solution (100 mL) was prepared in 4% v/v acetic acid for 12 h at room temperature for complete polymer dissolution. According to Table 1, the required amounts of 4-CPBA, EDC and NHS were dissolved in predetermined amounts of DMSO, stirred under dark conditions at room temperature for 30 min. The 4-CPBA/NHS/EDC mixtures were then added slowly to chitosan solution and stirred for another 24 h at room temperature, in the dark. The products were redispersed in deionised water, purified by dialysis in the dark (MWCO 12-14 kDa membrane) against 4.5 L of 7 mM HCl for 24 h (three changes) followed by dialysis against 4.5 L deionised water for 2 days (6 changes) to remove unreacted 4-CPBA. The products were freeze-dried using Heto PowerDry LL3000 Freeze Dryer (Thermo Scientific, UK).

**Table 1**

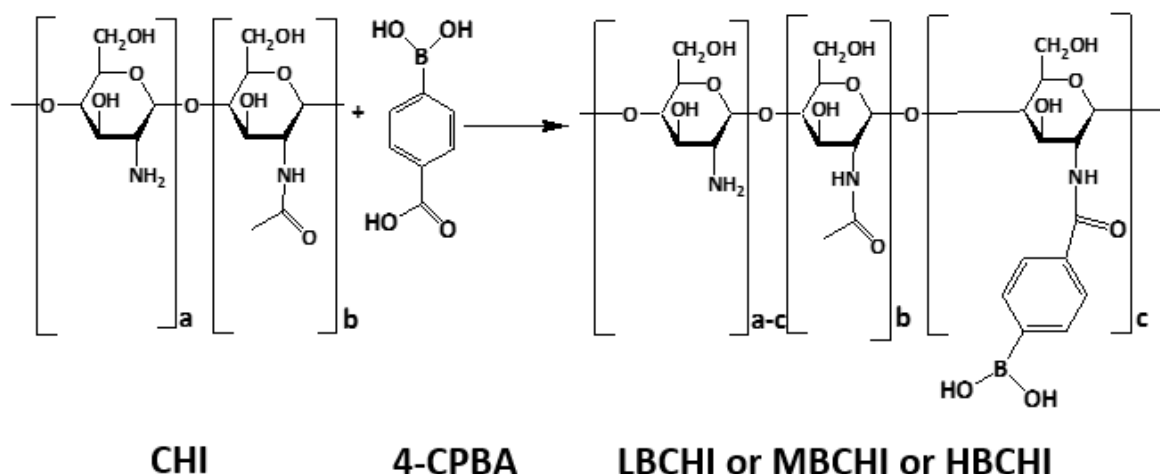
Materials used for the synthesis of boronated chitosan, with low (LBCHI), medium (MBCHI) and high (HBCHI) degrees of modification.

Parameters	LBCHI	MBCHI	HBCHI
Chitosan (CHI) concentration (% w/v)	1.5	1.5	1.5
4-carboxyphenyl boronic acid (4-CPBA, g)	0.28	0.56	1.11
N-3(dimethylaminopropyl)-N-ethylcarbodiimide hydrochloride (EDC, g)	0.39	0.77	1.54
N-hydroxysuccinimide (NHS, g)	0.23	0.47	0.93
DMSO for 4-CPBA, EDC & NHS dissolution (mL)	5	10	20
Moles of 4-CPBA per unit mole CHI	0.20	0.39	0.79

## 2.3. Characterisation of boronated chitosan

### 2.3.1. <sup>1</sup>H NMR spectroscopy

Solutions of CHI, LBCHI MBCHI, and HBCHI (0.6 %w/v) were prepared in D<sub>2</sub>O acidified with 30 µL trifluoroacetic acid and allowed to be dissolved overnight at room temperature. The <sup>1</sup>H NMR spectra were recorded using 400 MHz Ultrashield Plus™ B-ACS 60 spectrometer (Bruker, UK).



**Fig. 1.** Reaction scheme for the synthesis of boronated chitosan: CHI is the parent chitosan and LBCHI, MBCHI, and HBCHI are chitosans with low, medium, and high degrees of boronation, respectively; a (deacetylated), b (acetylated), and c (boronated) segments of chitosan repeating units.

### 2.3.2. Quantification of the extent of chemical modification

The quantity of boronate groups conjugated to chitosan was calculated using previously published method with slight modification.<sup>36</sup> Briefly, 2 %w/v solution of ninhydrin in DMSO was prepared by stirring for 12 h, protected from light at room temperature. Unmodified and modified chitosan solutions (0.05 – 0.5 %w/v) were prepared by dissolving in 0.1 M acetic acid, stirred for 12 h under dark conditions at room temperature. 5mL of ninhydrin solution and 1.25 mL of 4M phosphate buffer (pH 5.4±0.2) were mixed with 0.5 mL polymer solution. The resultant mixtures were incubated in a water bath at 85°C shaken at 60 rpm for 30 min. The degree of chitosan amine substitution was determined using microplate spectrophotometer at 500 nm (Epoch, BioTek Instruments Inc., UK). Mixture of ninhydrin solution and phosphate buffer solution (4M, pH 5.4) (4:1) served as the blank control.

### 2.3.3. Fourier Transform-Infrared spectroscopy (FT-IR)

Solid samples of modified and unmodified chitosan were scanned from 4,000 to 600  $\text{cm}^{-1}$ , resolution of 4  $\text{cm}^{-1}$  to identify characteristic functional groups in both chitosan and the boronate moieties that suggested that boronation was successful. Data was processed based on the average of sixteen scans per spectrum generated by FT-IR spectrometer (PerkinElmer Spectrum 100, Thermo Scientific, UK).

#### 2.3.4. Turbidimetric analysis

The influence of pH on the turbidity of polymer samples was evaluated based on a method reported by Sogias et al. (2010) with slight modification.<sup>37</sup> Briefly, polymer solutions (0.1 %w/v, pH 3) were prepared in 0.1M acetic acid at room temperature. NaOH solution (0.1 mol·L<sup>-1</sup>) was added to increase the pH stepwise from 3 to 9 and 0.1 mol·L<sup>-1</sup> HCl was used to adjust the pH of the samples if necessary. The turbidity values of polymer dispersions were measured at 400 nm using UV-Vis spectrophotometer (Jenway 7315, Bibby Scientific, UK).

#### 2.3.5. X-ray diffraction analysis

In order to investigate the influence of boronation on the crystallinity of chitosan, solid forms of the polymers were studied using an earlier reported method.<sup>13</sup> Briefly, solid samples of CHI, LBCHI, MBCHI, and HBCHI were loaded into a capillary tube sealed with wax to avoid loss of sample and placed onto the goniometer under a microscope to be analysed with a wide-angle powder D8 Advance diffractometer/LYNXEYE XE detector (Bruker, UK). Samples were scanned at diffraction ranges from 5 to 65° 2θ with a scan step of 0.02°, producing distinctive diffractograms at the rate of 2.5 scans min<sup>-1</sup>.

### 2.4. *Ex vivo* porcine mucoadhesion studies

#### 2.4.1. Preparation of polymer / fluorescein sodium mixtures and artificial urine solutions

The solutions/dispersions of CHI, LBCHI, MBCHI and HBCHI were prepared by dissolving the polymers in 0.1 M acetic acid and stirred overnight in dark conditions at room temperature. Resultant polymer solutions/dispersions were mixed with 0.1 %w/v fluorescein sodium to yield final polymer concentration of 0.4 %w/v (FS/CHI, FS/LBCHI, FS/MBCHI and FS/HBCHI, respectively). FITC-dextran 0.4 %w/v in deionised water served as negative control.

Chutipongtanate and Thongboonkerd (2010) method<sup>38</sup> was used to prepare artificial urine. Briefly, urea (24.27 g), uric acid (0.34 g), magnesium sulphate heptahydrate (1.00 g), sodium hydrogen phosphate (1.00 g), disodium hydrogen phosphate (0.11 g), creatinine (0.90 g), sodium bicarbonate (0.34 g), sodium sulphate (2.58 g), disodium oxalate (0.03 g), trisodium citrate (2.97 g), sodium chloride (6.34 g), potassium chloride (4.50 g), ammonium chloride (1.61 g), and calcium chloride dihydrate (0.89 g) were dissolved in 2 L ultrapure water (18.2 MΩ) for 3 h at room temperature. The resultant artificial urine had a final pH of 6.2±0.2.



#### 2.4.2. Retention on porcine urinary bladder mucosa

Fluorescence microscopy (MZ10F microscope, Leica Microsystems, UK), coupled to an “ET GFP” filter camera (Zeiss Imager A1/AxioCam MRm camera, 1296 x 966 pixels, 0.8 x magnification) was used to investigate the mucosal retention of model drug fluorescein sodium in the presence of the polymeric carriers based on a slightly modified protocol developed in-house.<sup>39</sup> Freshly excised porcine urinary bladders were stored on ice until use and used within 24 h of procurement. The mucosal side of the bladder tissue was prevented from any possible damage during excision of the studied mucosal section about 1.5 x 2.5 cm and rinsed with artificial urine solution (~ 3 mL) prior to blank tissue imaging. The bladder tissue was placed on a glass slide and maintained in an incubator at 37°C during urine wash-out. The following exposure times were used: FITC-dextran (80 ms), FS/CHI (211 ms), FS/LBCHI, FS/MBCHI and FS/HBCHI (86 ms). Microscopic images of the tissues were taken before and after sample application (50 µL) as well as after each of the five washing cycles with 10 mL artificial urine/cycle at 2 mL/min. The studies were carried out in triplicates. Image J software (National Institute of Health, USA) was used to analyse the microscopic images, generating average fluorescence values as a function of urine volume used for the wash-out. Fluorescence intensity values were normalised against the blank tissue control. The WO<sub>50</sub> values (volume of artificial urine required to wash-out 50 % of the applied fluorescence sodium/polymer mixture) were determined based on the polynomial fit of the percent mucosal fluorescence retention versus artificial urine volume graphs (Fig. S1, Supplementary information).

#### 2.5. Tensile method

The TA-XT Plus Texture Analyser (Stable Micro Systems Ltd, UK) coupled to a 5 kg load cell was used as an additional technique to study the mucoadhesive properties of the polymer samples. Blank chitosan solutions (0.4 %w/v in 0.1 M acetic acid solution) served as the positive control, while the negative control was dextran solution (0.4 %w/v in water). Porcine bladder tissues were secured at the base of a cylindrical container. The vessel bottom had a circular cut-out region (20 mm diameter) exposing the mucosal surface of the bladder tissue. This container was screwed onto the probe of the texture analyser through a hole drilled on the lid of the container. Another bladder tissue was placed on a petridish and coupled onto the lower platform of the texture analyser, exposing the mucosal surface (20 mm diameter) of another bladder tissue. The tests were performed using an earlier reported equipment settings<sup>40</sup> with slight modification: pre-speed test 1.0 mm/s; test speed 0.1 mm/s; post-test speed 0.1 mm/s; applied force 0.05 N; contact time 120.0 s; trigger type auto; trigger force 0.1 N; and return distance of 10.0 mm.

Bladder tissues were maintained in an incubator at 37°C for 5 min prior to the study. CHI, dextran, LBCHI, MBCHI and HBCHI samples (0.4 mL) were applied onto the exposed area of the bladder tissue secured onto the lower platform of the texture analyser. The probe was then lowered such that the upper blank bladder tissue comes in contact with the formulation applied onto the bladder tissue secured on the lower platform for 2 min. The Texture Analyser software (T.A. Exponent) was used to record the area under the force versus distance curves (work of adhesion) as well as the force of adhesion/adhesive strength which is the maximum force needed to detach tissue from the polymer solutions/dispersions.<sup>40,41</sup>

## 2.6. Statistical analysis

All experimental data were collected in triplicates and data expressed as mean  $\pm$  standard deviation. Data were compared using t-test and one-way ANOVA/post-hoc Bonferroni test with GraphPad Prism 5.04 (GraphPad Software Inc., San Diego, California), with  $p < 0.05$  depicting significant statistical difference between data sets.

## 3. Results and discussion

The potential for chitosan as material for drug delivery and tissue engineering cannot be overemphasised due to its physical and biological properties such as biocompatibility, mucoadhesiveness and permeation enhancing properties.<sup>5,42–44</sup> Chemical modification of chitosan with boronate groups may impact on its urothelial mucoadhesiveness. The biocompatible nature of boronate modified chitosan nanoparticles has been previously established in mouse and mammalian cells.<sup>33,34</sup> Over 90% of human bone marrow neuroblastoma SH-SY5Y cells, human liver cancer HepG2 cells and mouse liver cancer H22 cells remained viable after incubation with chitosan and boronated chitosan based nanoparticles for 48h.<sup>34</sup> Also, boronated dextran based formulations were tested on healthy rabbit eyes and did not trigger any inflammatory response acutely (1 week) and chronically (12 weeks).<sup>20</sup> These studies suggested that boronated drug carriers are safe. Consequently, cytotoxicity testing was not carried out for the studied boronated chitosan. Moreover, several *in vitro* and *in vivo* studies have already established the safety of phenylboronate molecules.<sup>17,20,45</sup>

### 3.1. Synthesis of boronated chitosan derivatives and physical properties

Three types of boronated chitosan were synthesised (Table 1) using EDC/NHS chemistry which is an efficient synthetic method for covalent amide bond formation.<sup>46</sup> The yields of LBCHI, MBCHI, and HBCHI were 61%, 43%, and 33%, respectively and all materials were off white colour

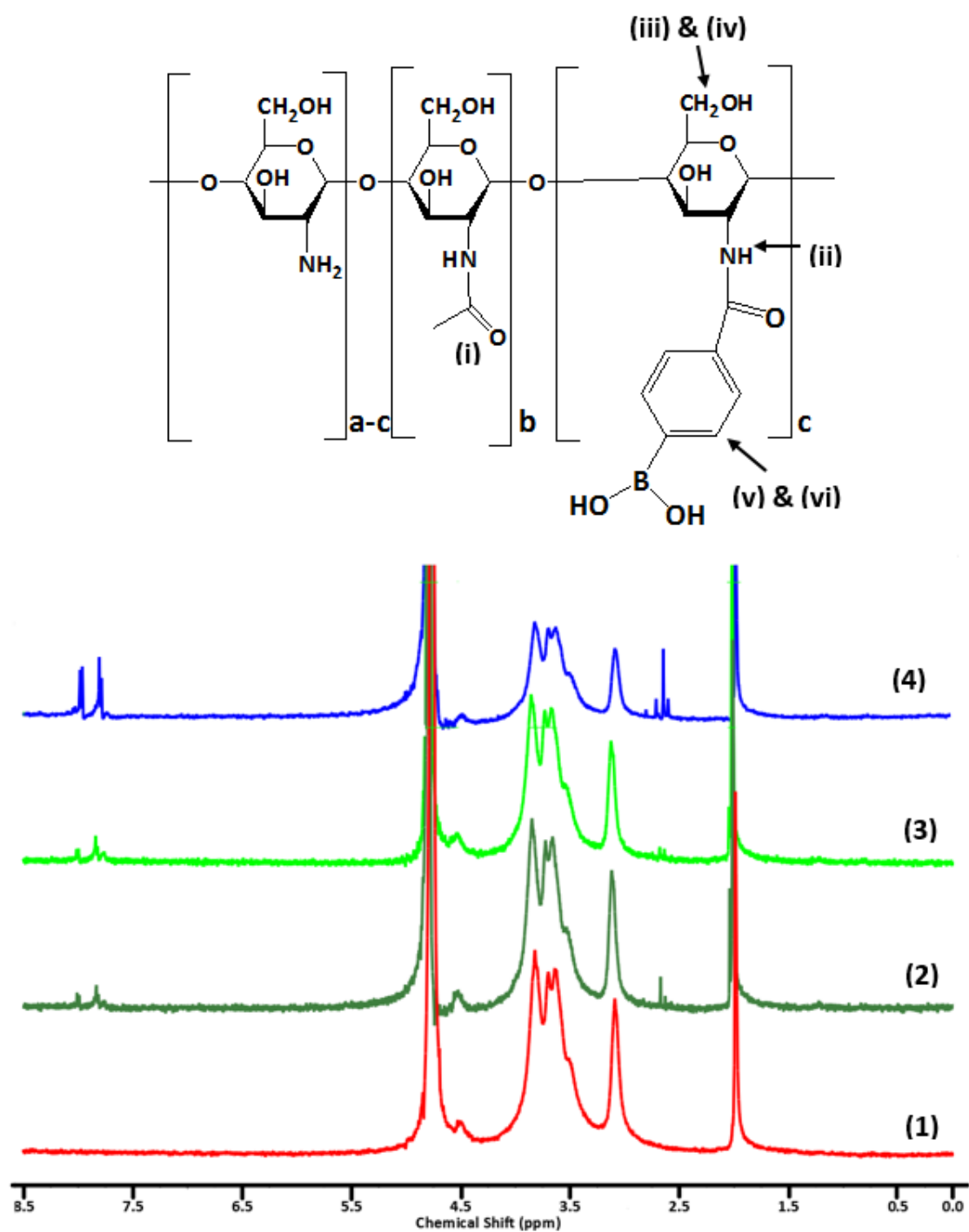
(Table 2). The product yield decreased as the extent of chitosan modification increased. This finding correlates well with our previous studies where chitosan with low extent of methacrylation produced a greater yield (62%) than sample with a high extent of methacrylation (24%).<sup>13</sup> The potential reacylation of chitosan with acetic acid under the conditions of the reaction does not happen as it is seen from the analysis of acetylation degrees of all products, which do not change significantly.

**Table 2**

Yield and degrees of boronation (using <sup>1</sup>H NMR spectroscopy and ninhydrin test) and acetylation (using <sup>1</sup>H NMR spectroscopy) of LBCHI, MBCHI, and HBCHI

Parameter	Chitosan	LBCHI	MBCHI	HBCHI
Yield (%)	n/a	61	43	33
Boronation extent (%)	n/a	3.9 ± 0.3	5.5 ± 0.1	16.5 ± 0.2
<sup>1</sup> H NMR				
Ninhydrin test	n/a	4.4 ± 1.8	7.4 ± 1.2	10.7 ± 2.2
Acetylation (%)	29.3±2.5	26.6±1.0	29.4±0.9	31.8±1.5

According to the <sup>1</sup>H NMR spectra (Fig. 2), the characteristic peaks of chitosan were evident at 2.0 ppm (-CH<sub>3</sub> from the acetylated segment of chitosan) as well as 3.1-3.8 ppm (protons from the glucosamine ring). With the boronated chitosan, additional peaks were evident at 7.8-8 ppm representative of the phenyl ring protons from the boronate moiety, confirming the successful conjugation of phenylboronate groups to chitosan. Also, the peaks at 2.7-2.8 ppm for the boronated chitosan are the result of the quartet methyne protons of the boronic acid. These spectral data are in good agreement with that reported by Zhang et al.<sup>14</sup>



**Fig. 2.**  $^1\text{H}$  NMR spectra of CHI (1), LBCHI (2), MBCHI (3), and HBCHI (4), recorded in  $\text{D}_2\text{O}$  acidified with 1% trifluoroacetic acid. Methyl protons from the acetylated part of chitosan observed at 2.0 ppm (i), methyne protons from the boronate moiety were evident at 2.7 - 2.8 ppm; (ii) H2-H6 protons of CHI were detected at 3.0-4.0 ppm (iii & iv) and benzene ring of the boronate groups detected around 7.8 and 8.0 ppm (v & vi).

Their degree of boronation was calculated from the ratio of mean intensity of the proton peaks of the boronate moieties ( $\delta = 7.8\text{-}8.0$  ppm) relative to that of the chitosan glucosamine protons ( $\delta = 3.0\text{-}4.0$  ppm).

$$\text{Boronation (\%)} = \frac{\text{Integral of boronate protons at 7.8 \& 8.0 ppm} / 2}{\text{Integral of chitosan H2-H6 protons} / 6} \cdot 100\% \quad (1)$$

Based on  $^1\text{H}$  NMR data analysis (Fig. S1), a two-fold increase in the ratio of 4-CPBA per unit mole of chitosan used for LBCHI to generate MBCHI (Table 1) did not show a doubling of boronate conjugation (3.9% vs 5.5%, respectively) despite doubling the amount of boronate groups available to conjugate with the chitosan primary amino group. However, a 3-fold increase in the degree of boronation occurred when doubling the quantity of 4-CPBA in HBCHI against MBCHI with boronation of 5.5% and 16.5%, respectively. This finding may be due to a critical amount of 4-CPBA required to conjugate boronate groups to chitosan amine groups significantly.

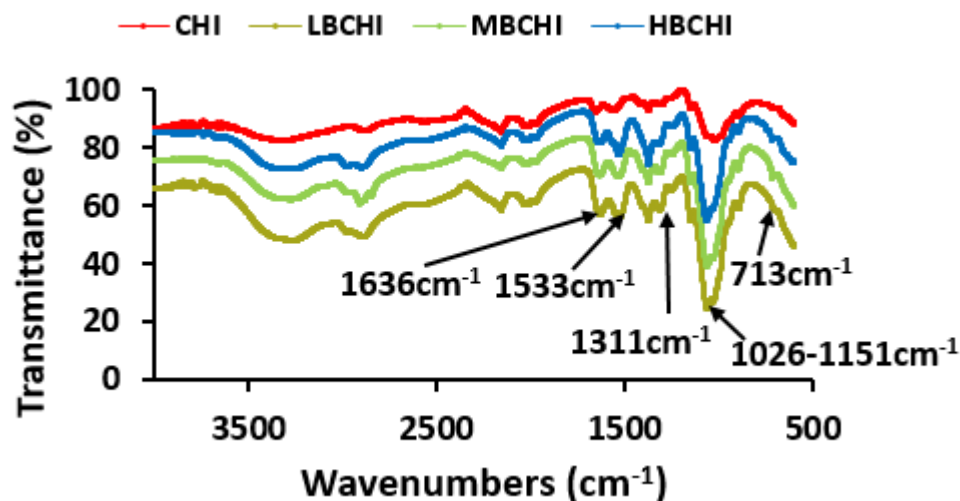
### 3.2. Calculation of boronation extent using ninhydrin test

The ninhydrin test was used as an additional means of quantifying the degree of substitution of chitosan amine groups with boronate moieties. The principle of detection is based on the fact that ninhydrin reacts with the unmodified amine groups of chitosan to form a coloured product measurable by UV spectroscopy.<sup>47</sup> The slope of the adsorption versus concentration curve of unconjugated chitosan is represented as  $\delta_{\text{CHI}}$ , while that of LBCHI, MBCHI, and HBCHI are denoted as  $\delta_{\text{BCHI}}$ . Boronation percentage can be defined as  $(1 - \delta_{\text{BCHI}} / \delta_{\text{CHI}}) \cdot 100\%$ .<sup>13,36</sup> The respective boronation extent for LBCHI, MBCHI, and HBCHI were 4.4%, 7.4%, and 10.7% (Table 2). These values were comparable with that calculated using  $^1\text{H}$  NMR spectroscopy (3.9%, 5.5%, and 16.5%, respectively) and showing the same trend in the degree of boronation with an increase in the molar ratio of 4-CPBA used.

### 3.3. FT-IR analysis

FT-IR spectra (Fig. 3) showed pronounced absorption band at  $1026\text{-}1151\text{ cm}^{-1}$  indicating the amine C-N stretch from chitosan. Since both chitosan and boronate groups exhibit alkyl C-H stretch at  $2850$  and  $2930\text{ cm}^{-1}$ , the increase in the intensity of the absorption bands depicts the formation of the boronated chitosan. The appearance of the new signal at  $1311\text{ cm}^{-1}$  indicated  $\text{-B(OH)}_2$  groups of the boronic acid segment and peaks evident at  $713$  and  $1533\text{ cm}^{-1}$  represented para-substituted benzene ring. The prominent absorption peak at  $1636\text{ cm}^{-1}$  in LBCHI, MBCHI and HBCHI confirmed the successful grafting of phenylboronate groups onto chitosan. This finding is in good agreement with the FT-IR spectra chitosan-boronate conjugate

reported in earlier studies<sup>14</sup> where  $\text{-B(OH)}_2$  groups were evident at  $1333\text{ cm}^{-1}$ ; aromatic C-H bending bands were observed at  $713\text{ cm}^{-1}$  while that of the benzene ring appeared at  $1546\text{ cm}^{-1}$ . Also, the absorption peak confirming chitosan boronation ( $1636\text{ cm}^{-1}$ ) is comparable to that of the chitosan-boronate conjugate earlier reported ( $1643\text{ cm}^{-1}$ ). The FT-IR spectra of LBCHI, MBCHI and HBCHI are comparable but vary in terms of the spectral intensity, which is dictated by their degree of boronation.



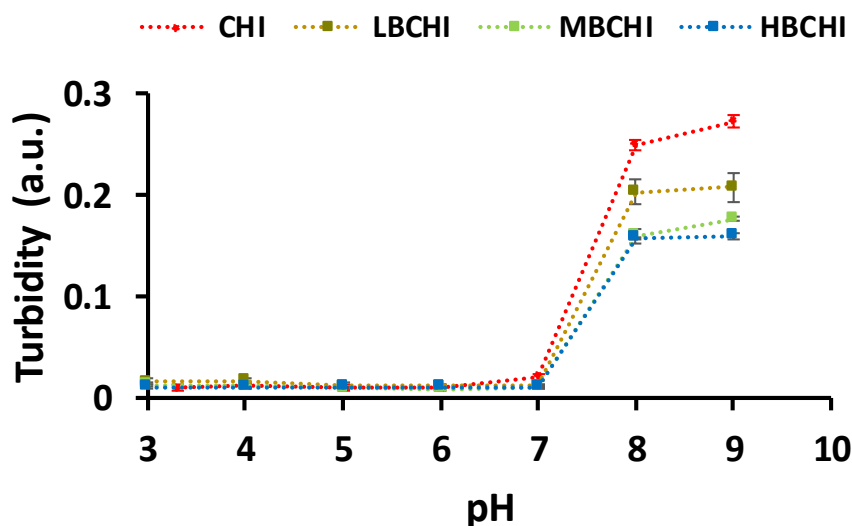
**Fig. 3.** FT-IR spectra of chitosan and boronated chitosan with distinct peak at  $1311\text{ cm}^{-1}$  indicative of  $\text{-B(OH)}_2$  groups; absorption bands at  $713$  and  $1533\text{ cm}^{-1}$  depicted p-substituted benzene and absorption band confirming  $\text{-NH-CO-}$  linkage between chitosan and boronate groups evident at  $1636\text{ cm}^{-1}$ .

### 3.4. Turbidimetric analysis

The typical pH of the bladder environment is between 6 and 7. However, various factors such as diet and disease states such as bladder cancer can impact urine pH resulting in pH ranges from 4.6 to 8.<sup>48-50</sup> Moreover, changes in solution turbidity may impact product stability and performance. Thus there is a need to develop drug carriers that will withstand possible pH changes in the bladder.

The modified and unmodified chitosan solutions maintained transparency until pH 6.5, where further increase in pH resulted in a drastic increase in solution turbidity (Fig. 4). This turbidity-pH pattern is in good agreement with our earlier reports,<sup>13,37</sup> where the unmodified chitosan and the chitosan with low extent of methacrylation displayed steep increase in degree of turbidity at  $\geq \text{pH } 6.5$  comparable to our boronated chitosans. The influence of boronate conjugation on the turbidity of chitosan solution was pronounced at  $\text{pH} \geq 7$ , where the boronated chitosans displayed a lower turbidity than that of the unmodified chitosan ( $p < 0.05$ ).

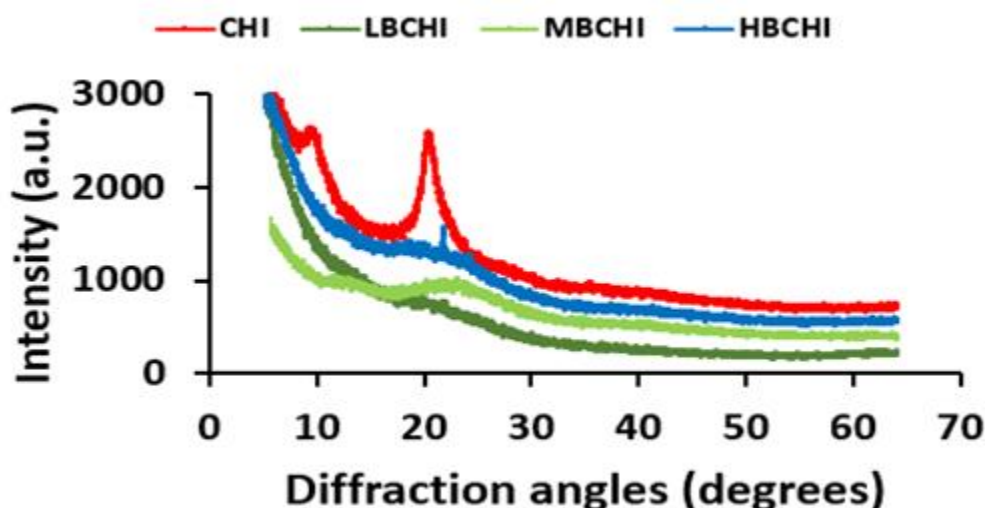
This is because at  $\text{pH} \geq 7$  and higher degree of boronate conjugation, the bulky boronate groups would disrupt the semi-crystalline nature of chitosan, thereby improving its solubility and decreasing the solution turbidity.<sup>13,37</sup> There was significant difference in the turbidity values of the boronated chitosan at pH 9 (Fig. 4).



**Fig. 4.** Effect of pH on solution turbidity of unmodified and boronated chitosan ( $n=3$ , mean  $\pm$  standard deviation)

### 3.5. X-ray diffraction analysis

Chitosan is a semi-crystalline polymer that displayed two main peaks at diffraction angles of  $9.8^\circ$  and  $20.5^\circ$  (Fig. 5). This finding is in good agreement with that of the chitosan peaks we previously reported.<sup>13,37,51,52</sup> There was reduction in the crystallinity of chitosan after boronation with the disappearance and broadening of peaks as well as peaks appearing at a diffraction angle different from that of chitosan. The boronated chitosan did not exhibit any peak at a diffraction angle of  $9.8^\circ$ . The distinctive broad peaks for LBCHI, MBCHI and HBCHI were evident at  $20.5^\circ$ ,  $21.6^\circ$  and  $21.9^\circ$ , respectively (Fig. 5). This finding is in good agreement with that observed with the methacrylated chitosans with loss and broadening of peaks evident at diffraction angles of  $8.3^\circ$  and  $22.4^\circ$ , respectively.<sup>13</sup>



**Fig. 5.** X-ray diffractograms of CHI, LBCHI, MBCHI and HBCHI generated at scan angle 5-65°, 2.5 scans·min<sup>-1</sup>, scan step of 0.02°, spectra offset for improved clarity.

### 3.6. Urine wash-out studies

Fluorescein sodium (FS), which served as the model drug, was mixed with the unmodified and boronated chitosan prior to the mucoadhesion studies. The unmodified chitosan served as the mucoadhesive positive control,<sup>53</sup> while the negative control was FITC-dextran, with limited mucoadhesive property.<sup>54</sup> The *ex vivo* porcine bladder was used to measure the wash-out<sub>50</sub> values of fluorescein sodium in the presence of the unmodified and boronated chitosan. WO<sub>50</sub> is the volume of artificial urine needed to remove 50% of fluorescein from the bladder mucosal surface.<sup>39</sup>

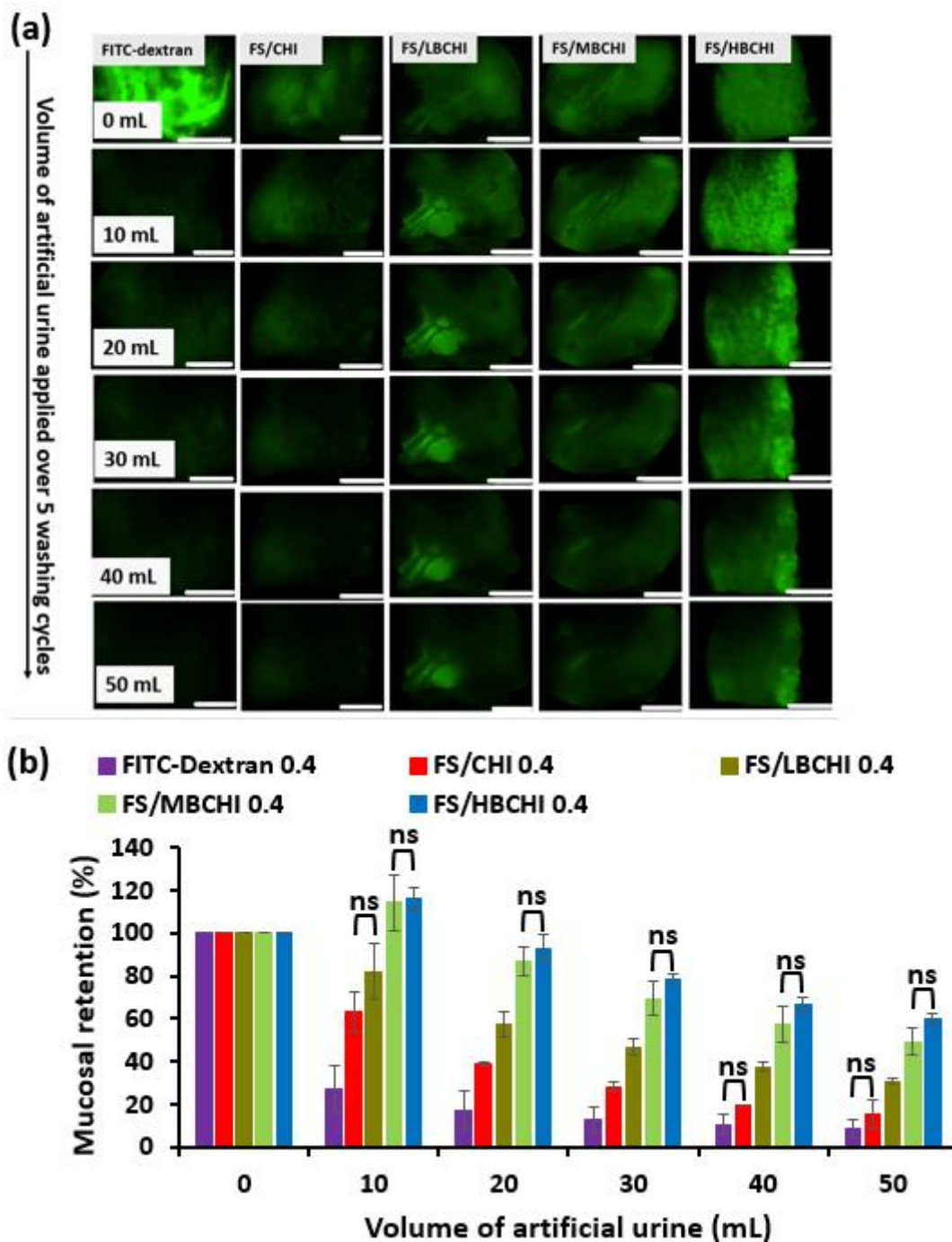
FITC-dextran displayed the least mucosal retention on the porcine bladder mucosa (WO<sub>50</sub> of 7±2 mL, Fig. 6) from extrapolation as 10 mL of artificial urine was used for each wash-out cycle similar to that reported previously.<sup>13,39</sup> FITC-dextran was significantly less mucoadhesive than the boronated chitosan over the five washing cycles (with 50 mL artificial urine) ( $p < 0.05$ ). Also, unmodified chitosan was significantly more mucoadhesive than FITC-dextran ( $p < 0.05$ ).

Typically, cationic polymers like chitosan interact with negatively charged sialic acid groups present on urothelial mucosal surfaces via electrostatic interaction. Phenylboronic acid is composed of phenyl substituent and two hydroxyl groups attached to boron, which enables it to form a complex with the diol groups of sialic acid at physiological pH.<sup>15</sup> The presence of counter ions in the artificial urine used for the wash-out studies inhibits the favourable interaction of chitosan with sialic acid-rich mucosal surfaces. Chitosan conjugation with boronate groups (HBCHI) resulted in 3.1-fold increase in their WO<sub>50</sub> values. The WO<sub>50</sub> values of



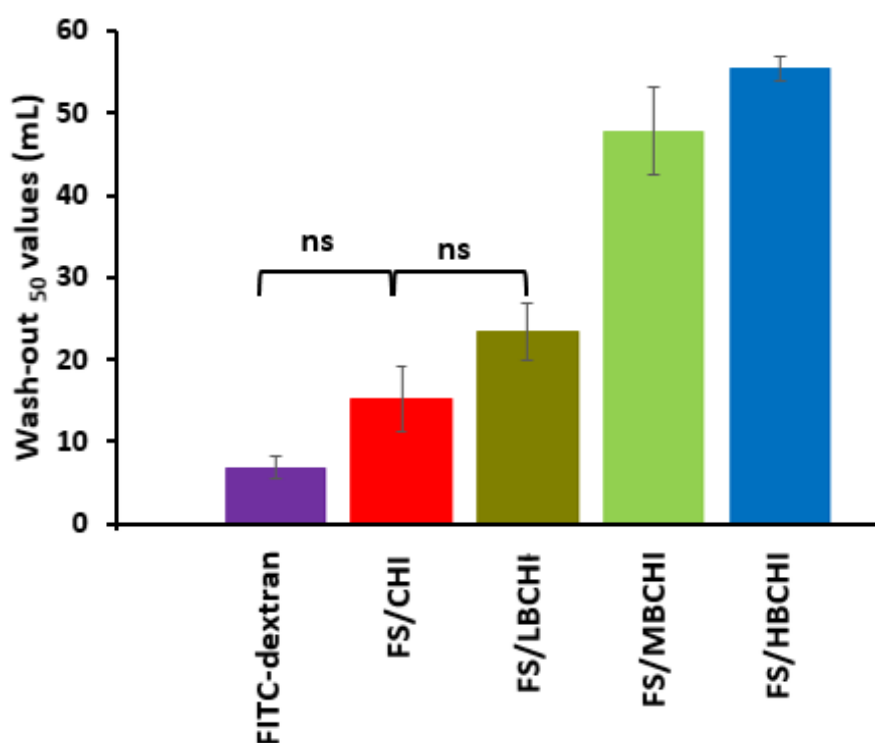
FS/CHI, FS/LBCHI, FS/MBCHI and FS/HBCHI were  $15\pm4$  mL,  $23\pm3$  mL,  $48\pm5$  mL and  $55\pm2$  mL, respectively, calculated based on the polynomial fit of the mucosal fluorescence retention versus urine volume graph (Fig. S2). Boronated chitosan may interact with mucosal surfaces through various mechanisms:<sup>22</sup> (i) the phenylboronic acid groups could potentially form covalent linkage with sialic acid expressed on cell membranes to form reversible covalent complexes<sup>55,56</sup>, (ii) hydrogen bond formation with mucin glycoproteins possible due to its constituent hydroxyl groups<sup>43</sup> and (iii) electrostatic interaction between cationic polymer and negatively charged sialic acid residues.<sup>42,53</sup> Therefore, the greater degree of mucoadhesion as seen in HBCHI could be due to the more boronate groups being available to interact with the mucosal surface (Fig. 6 and Fig. 7).

Significant difference in the mucoadhesive behaviour of the parent chitosan and the boronated derivatives (LBCHI, MBCHI and HBCHI) can be seen after two urine washing cycles and differences remained significant after five washing cycles ( $p < 0.05$ ). MBCHI and HBCHI were significantly more mucoadhesive than LBCHI after the first washing cycle with 10 mL artificial urine. On the other hand, the mucoadhesive behaviour of MBCHI and HBCHI was not significantly different after 5 washing cycles with 50 mL artificial urine. This finding indicated that the urine wash-out resistance of boronated chitosan may become unchanged after a certain degree of boronation. Fig. 7 confirmed that FS/CHI and FS/LBCHI displayed comparable mucoadhesiveness in terms of their  $WO_{50}$  values ( $15\pm4$  mL vs  $23\pm3$  mL) but that of HBCHI was significantly more mucoadhesive than MBCHI, with  $WO_{50}$  values of  $48\pm5$  mL and  $56\pm2$  mL, respectively. Thus, boronation still had a somewhat influence on the mucoadhesiveness of the boronated chitosans, which was most prominent after washing out the bladder mucosa with 30 mL artificial urine.



**Fig. 6.** *Ex vivo* urine wash-out studies using porcine urinary bladder with fluorescently labelled dextran, FS/CHI, FS/LBCHI, FS/MBCHI and FS/HBCHI. (a) Exemplary fluorescent microscopic photos of the urinary bladder over 5 washing cycles, scale bar represents 2 mm; (b) Mucosal retention of the model drug fluorescein sodium mixed with CHI, LBCHI, MBCHI and HBCHI at different washing cycles; FITC-dextran served as negative control and FS/CHI (unmodified chitosan) as positive control. Results presented as average  $\pm$  standard deviation,  $n = 3$ , all the studied groups of samples displayed statistically significant differences between them ( $p < 0.05$ ) except those depicted by “ns” implying no significant differences between particular groups of samples.

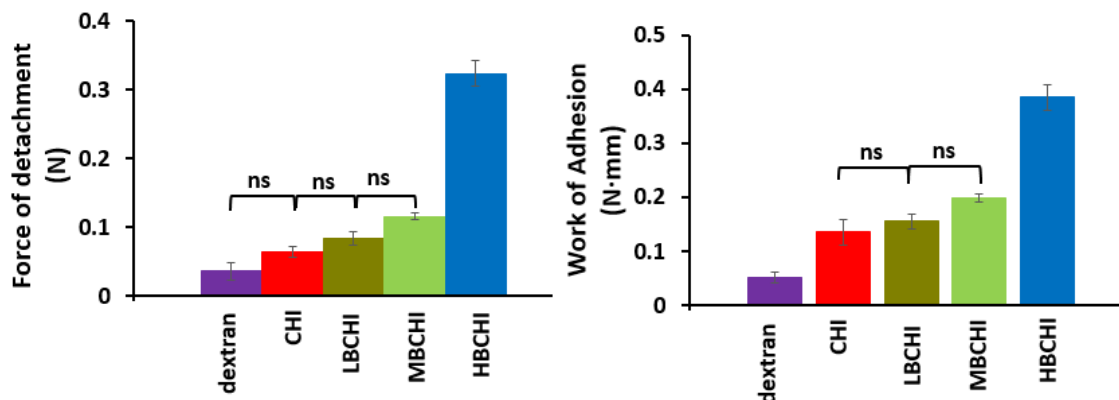
It is important to note that the two formulations with better retention (FS/MBCHI and FS/HBCHI) show anomalously brighter images and over 100 % mucosal retention values in the initial wash with 10 mL. This could be related to positive deviations in the fluorescence intensity in this concentration range of sodium fluorescein as reported in Ref.<sup>57</sup> However, these deviations do not affect the overall result showing greater retention of sodium fluorescein with MBCHI and HBCHI formulations.



**Fig. 7.** Urine wash-out<sub>50</sub> values of FITC-dextran, CHI, LBCHI, MBCHI and HBCHI. Results presented as average  $\pm$  standard deviation,  $n = 3$ ; all the studied groups of samples displayed statistically significant differences between them ( $p < 0.05$ ) except those depicted by “ns” implying no significant differences between particular groups of samples.

### 3.7. Mucoadhesive properties studied using tensile test

The force of detachment or adhesive strength indicates the force required to overcome the adhesive bonds between the drug carrier and bladder mucosa, while the work of adhesion is the area under the force-distance curves.



**Fig. 8.** (a) Force of detachment and (b) work of adhesion of dextran, CHI, LBCHI, MBCHI and HBCHI to porcine bladder mucosa measured using tensile test. Results presented as mean  $\pm$  standard deviation,  $n = 3$ ; all the studied groups of samples displayed statistically significant differences between them ( $p < 0.05$ ) except those depicted by “ns” implying no significant differences between particular groups of samples.

Though, dextran and CHI displayed similar force of detachment (Fig. 8), the work of adhesion values showed that CHI was statistically more mucoadhesive than dextran. MBCHI and HBCHI were significantly more mucoadhesive compared to the unmodified chitosan with the force of detachment in increasing order from dextran ( $0.04 \pm 0.01$  N) < CHI ( $0.06 \pm 0.01$  N) < LBCHI ( $0.08 \pm 0.01$  N) < MBCHI ( $0.12 \pm 0.01$  N) < HBCHI ( $0.32 \pm 0.02$  N). CHI vs LBCHI; LBCHI vs MBCHI and MBCHI vs HBCHI displayed comparable forces of detachment and work of adhesion values. The work of adhesion presented in increasing order: CHI ( $0.14 \pm 0.02$  N·mm) < LBCHI ( $0.16 \pm 0.02$  N·mm) < MBCHI ( $0.20 \pm 0.01$  N·mm) < HBCHI ( $0.40 \pm 0.02$  N·mm). Overall, the adhesive strength of the polymers correlated well with their work of adhesion as MBCHI and HBCHI exhibited greater force of detachment and work of adhesion relative to the parent chitosan. Though dextran and CHI displayed similar force of detachment, the work of adhesion values showed that CHI was statistically more mucoadhesive than dextran. These findings inferred that the mucoadhesiveness of the polymers was improved with increased extent of boronation. This is in good agreement with the urine-wash-out test data.

#### 4. Conclusions

Chitosan boronation had a profound influence on the mucoadhesiveness of the new polymers as their mucoadhesive properties (in terms of wash-out<sub>50</sub> profile, force of adhesion/detachment and work of adhesion) were greatest for the highly boronated chitosan. This makes boronated derivatives of chitosan promising as mucoadhesive excipients for formulating dosage forms not only for intravesical drug delivery but also for applications in other mucosal routes of drug administration.

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**Data availability**

The research data used in preparation of the manuscript is available upon request from the authors