Triggering global climate transitions through volcanic eruptions


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Triggering global climate transitions through volcanic eruptions

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ABSTRACT

A coupled climate model with idealized representations of atmosphere, ocean, sea ice and land is used to investigate transitions between global climate equilibria. The model supports the presence of climates with limited ice cover (Warm), a continuum of climates in which sea ice extends down into the mid-latitudes and the tropics (Cold), together with a completely ice-covered earth (Snowball). Transitions between these states are triggered through volcanic eruptions, where the radiative effect of stratospheric sulfur emissions is idealized as a 1-year impulse reduction in incoming solar radiation. Snowball transitions starting from the Cold state are more favorable than from the Warm state, because less energy must be extracted from the system. However, even when starting from a Cold climate, Toba-like volcanic events (cooling of order $-100 \, Wm^{-2}$) must be sustained continuously for several decades to glaciate the entire planet. When the deep ocean is involved, the volcanic response is characterized by relaxation timescales spanning hundreds to thousands of years. If the interval between successive eruptions is significantly shorter (years to decades) than the ocean’s characteristic timescales, the cumulative cooling can build over time and initiate a state transition. The model exhibits a hysteresis loop in which the Cold equilibrium branch is not directly accessible from the Snowball state, but when starting from a Cold climate, the system can transition back to an ice-free state without hysteresis.
1. Introduction

Paleoclimate reconstructions have shown that Earth has experienced a wide array of climates over the last billion years. At its most extreme, the climate has ranged between hothouse worlds with little or no ice at the poles and deep ocean temperatures upwards of 20°C (e.g. Tripati and Elderfield (2005)), to Snowball periods in which ice covered most of the Earth’s surface (e.g. Hoffman et al. (1998)). The ability of the climate to exist in a number of dynamical states was discussed by Stommel (1961) with regards to the bi-stability of the oceanic meridional overturning circulation (MOC). This work suggested that the MOC could exhibit two stable states, namely a vigorous circulation mode driven by temperature and a weak mode controlled by salinity. Another source of instability in the climate is the ice-albedo feedback, which was first investigated by Budyko (1969) and Sellers (1969) in simple energy balance models (EBMs). These studies found that the Earth could exist in a stable state with either a small amount of ice at the poles or with complete ice cover. Rose and Marshall (2009) modified the EBM to demonstrate that an additional state with a large ice cap can be stabilized by the meridional convergence of heat transported by the ocean subtropical cells. Indeed several General Circulation Models (GCMs) have shown that climates with ice extending down to the mid-latitudes or the tropics are realizable when ocean heat transport (OHT) can arrest the advance of sea ice at those latitudes (e.g. Poulsen and Jacob (2004), Langen (2004), Ferreira et al. (2011) and Rose et al. (2013)) or when the meridional gradient in ice albedo can limit the strength of the positive ice-albedo feedback (Abbot et al. (2011)).

Transitions between these multiple equilibria require global-scale forcings that push the climate away from its original equilibrium to the next stable state. Many of the long-lasting climatic shifts that occurred in the last billion years were governed by the balance between weathering of silicate rocks and the input of carbon dioxide by volcanic eruptions in the atmosphere, which
typically spans $10^5 - 10^6$ year timescales (Walker et al. (1981)). However, large perturbations in
the atmosphere’s radiation budget acting over much shorter (∼ decadal) timescales could have also
caused severe episodes of climate change. Perhaps one of the largest forcings ever experienced
by the Earth’s climate was the Chicxulub asteroid impact 66 millions years ago, during which
the amount of dust and sulfate aerosols in the atmosphere could have blocked more than half of
the solar radiation reaching the Earth’s surface for several years, subsequently leading to extreme
cooling and the beginning of a mass extinction (Brugger et al. (2017), Kaiho and Oshima (2017)).

The volcanic emission of sulfur particles into the stratosphere is also known to cool the sur-
face of the Earth for several years after the eruption (Robock (2000)). Over the last few thousand
years, these volcanic forcings were too weak and short-lived to have caused a drastic shift in cli-
matic state. However, there have been recorded instances of volcanic activity much larger than
that of the Holocene, which may have triggered a significant glaciation (e.g. Rampino and Self
(1992), Prueher and Rea (2001)). The Toba super-eruption around 73.5 ka before present had a
sulfur loading that was two orders of magnitude larger than the 1991 Mt Pinatubo eruption, and
could have reduced incoming solar radiation by a third for several years after the event (Timm-
reck et al. (2010)). While GCM simulations with these types of forcings did not yield widespread
glaciation (Jones et al. (2005)), some authors have argued that a sequence of super-eruptions sep-
arated by a short time interval could eject enough sulfur particles into the stratosphere to trigger
a significant shift in Earth’s history, such as a mass extinction (Baresel et al. (2017)) or a Snow-
ball Earth (Stern et al. (2008), Macdonald and Wordsworth (2017)). In particular, Macdonald and
Wordsworth (2017) argue that starting from the cool Neoproterozoic background climate, a suc-
cession of super-eruptions occurring over several decades could have initiated the Snowball event
of the Sturtian (717 - 616 Ma). While this estimate was obtained using a single-column climate
model with a heat capacity corresponding to the mixed layer depth of the ocean (∼50 m), Voigt and Marotzke (2010) and Voigt et al. (2011) argue that the entire ocean must cool to the freezing point to initiate a Snowball climate. In the present study, we explore the extent to which the ocean constrains the forcing magnitude and timescale required for global climate transitions using a coupled Atmosphere-Ocean-Ice GCM (which we refer to here as the ‘climate model’), and explore whether volcanic eruptions could successfully initiate a drastic climatic state change, such as a Snowball transition.

The presence of multiple equilibria in the global climate can also affect the transition behavior of the system. While Voigt and Marotzke (2010) did not find intermediate stable states between their reference ‘warm’ climate and the Snowball, the following work of Voigt et al. (2011), Voigt and Abbot (2012), Yang et al. (2012), and others did find such states. The GCM used in this study allows the existence of a continuum of climates with sea ice extending from the mid-latitudes to the tropics (45° to 25° latitude), owing to the stabilizing nature of meridional heat transport by subtropical oceanic cells (e.g. Rose and Marshall (2009), Ferreira et al. (2011)). We initiate transitions starting from these different states and evaluate how the proximity to a transition threshold affects the timescale required to tip the climate to a new state. Moreover, a number of studies have suggested that a Waterbelt (or Slushball) climate with ice extending down to the tropics could better explain the survival of oceanic life during the Sturtian (717 - 616 Ma) and Marinoan (650 - 635 Ma) glaciations events, as opposed to a complete Snowball (e.g. Hoffman et al. (2017)). The climate model used in this study shows that a Waterbelt-like climate may occur for a significantly smaller threshold forcing than that required for complete glaciation, but unlike the Snowball, this state exhibits no hysteresis when the forcing is removed.
Our paper is set out as follows: Section 2 introduces the coupled climate model; Section 3 presents its equilibrium states. Section 4 focuses on the transition behavior, first from step and then from impulse volcanic forcings. Section 5 concludes.

2. The coupled climate model and modeling framework

Numerical experiments are carried out with the coupled atmosphere, ocean, sea ice and land model based on the MITgcm (Marshall et al. (1997a), Marshall et al. (1997b)). The particular setup of the model used here comprises a 'boomerang' configuration, which consists of two 45° wide flat continents separated by a 90° angle such that the ocean is split into a narrow Atlantic-like basin and a wide Pacific-like basin connected to an unblocked ‘Southern Ocean’ in the South (see Ferreira et al. (2018)). The ocean is flat-bottomed with a uniform depth of 3000 m. The atmospheric scheme is based on the simplified parameterizations of primitive-equation dynamics (SPEEDY) from Molteni (2003) at five level vertical resolution. It includes a four-band radiation scheme, a parameterization of moist convection, diagnostic clouds and a boundary layer scheme.

In the reference states, the solar constant (divided by 4) is 341.5 W m$^{-2}$. Despite the idealized nature of the model, it captures many of the essential large-scale features of the climate such as an overturning circulation dominated by the small basin, atmospheric storm tracks and hydrological cycle, gyres and a circumpolar current in the oceans, and a seasonal sea ice cycle. Additional modelling details are provided in the Supplementary Information (SI).

Perturbation experiments are conducted by reducing the TOA insolation. The forcing F is diagnosed as the net radiative imbalance at the TOA, averaged over the first year of the simulation. For step forcing experiments, the insolation is changed abruptly at $t = 0$ and subsequently held constant in time. For volcanic forcing experiments, we crudely emulate the effects of stratospheric
aerosol injection by uniformly reducing the insolation for one year and subsequently turning the forcing off (as in Gupta and Marshall (2018)). We also conduct simulations comprising successive eruptions separated by a specified time interval.

3. Stable climate states of a coupled climate model

a. Equilibrium solutions

Figure 1 shows the three equilibrium branches found in our model: Warm, Cold and Snowball. Note that we reserve the term 'state' for individual points on a given equilibrium branch. The Warm reference state has some sea ice in the Southern hemisphere (SH) but none in the Northern hemisphere (NH). It has a globally-averaged ocean potential temperature $\theta = 8.3$ °C and a global mean sea surface temperature (SST) of 19.6 °C. The Cold equilibrium consists of a continuum of states with sea ice latitude ranging from 45° to 25° and $\theta$ between 0.5 and -1.7 °C. The mildest states on this Cold branch have an ice cap extending down to the mid-latitudes, which is reminiscent of the glacial climate of the Pleistocene (Ferreira et al. (2018)). The most extreme states on the Cold branch have sea ice reaching down to the tropics, which is analogous to Waterbelt or Slushball states found by other studies (e.g. Rose (2015) and Abbot et al. (2011)). Since these Waterbelt-like climates are plausible analogs for the state of the Earth during the Neoproterozoic glaciations, it is instructive to treat them somewhat separately than the rest of the Cold branch, particularly in the context of state transitions. In what follows, we thus choose to refer to Cold states with $\theta < -1.5$ °C as Waterbelts, and others simply as Cold. Our discussion does not depend strongly on this threshold, as long as it is chosen close enough to the freezing point. Finally, the Snowball is fully ice covered with $\theta = -1.8$ °C (the mean freezing point of the ocean). Simulations
that reach this state are stopped when the whole ocean reaches the freezing temperature, to avoid runaway ice growth in the absence of geothermal heat flux.

Figure 1 also presents the various equilibrium states in terms of their energy levels $E$, approximated by the sum of ocean heat content and latent energy stored in the sea ice, all measured relative to the Warm state.

$$E = \rho c H_{tot} (\theta - \theta_w) + A^{-1} L_f (M - M_w) \approx \rho c H_{tot} (\theta - \theta_w), \quad (1)$$

where $\rho$ is the sea water density, $c$ is the thermal heat capacity of water, $H_{tot}$ is the globally area-averaged ocean depth, $\theta$ is the ocean mean potential temperature, $A$ is the Earth’s surface area, $L_f$ is the latent heat capacity of ice, $M$ is the total mass of ice and the subscript ‘w’ indicates the Warm reference state. The energy stored in the ice only represents at most 10% of $E$. Therefore, $\theta$ and $E$ can be used almost equivalently to describe the energy content of the system. $E$ is an energy per unit area, which we express in $[W m^{-2} yr]$ ($= 3.1 \times 10^7 J m^{-2}$) to aid the comparison with the energy extracted by volcanic eruptions. For reference, the 1991 Mount Pinatubo eruption imposed a globally-averaged forcing of approximately $-4 W m^{-2}$ at the TOA for approximately one year, thus equivalent to an energy extraction of $-4 W m^{-2} yr$.

Figure 1 shows that the Warm and Cold reference states have a large energetic gap ($\sim 2500 W m^{-2} yr$), which is a significant barrier to overcome for any transition between them. The energy gap between the Cold reference state and Waterbelt climates is smaller ($\sim 700 W m^{-2} yr$) but significant enough to distinguish them energetically, owing to the existence of a tropical thermocline in the former, but not in the latter. On the other hand, the Waterbelt and Snowball states are
not energetically distinguishable, because most of the ocean is at freezing temperature in both the states.

Figure 2 shows cross-sections of ocean potential temperature and overturning streamfunction, along with the sea ice edge latitude and ocean heat transport (OHT) for various stable states of our model. The shallow subtropical cells (STCs) are driven by the trade winds, which act upon the temperature gradient of the thermocline to transport heat to the mid-latitudes. This heat flux allows the stabilization of the sea ice edge and facilitates the realization of multiple equilibria in the model (see Rose and Marshall (2009), Ferreira et al. (2011) and Rose (2015)). In the Warm state, the meridional heat transport by the oceans reaches high latitudes in the NH, but only mid-latitudes in the SH. The temperature of the deep ocean (below 1000 m) is everywhere above 6 °C, including at the poles where fresh surface waters allow a temperature inversion with a surface colder than the abyss. In the Cold state, all the waters below 1200 m and polewards of 50° latitude in both hemispheres (∼ 50% of the total ocean volume) are at the freezing point. This results from temperature-induced convection having to bring the entire water column to the freezing point before ice can grow at the surface (see Rose et al. (2013)). The thermocline, however, remains well stratified, supporting poleward heat transport by the subtropical cells, which arrests the sea ice in the mid-latitudes. The maximum strength of poleward heat transport in both the Warm and Cold states is order 2 PW, peaking around 20° latitude. In the Waterbelt state, the thermocline stratification is eroded and the sea ice extends further equatorward, until only a shallow (∼ 300 m) surface layer of the tropical ocean remains above freezing and the ice edge reaches around 25° latitude in both hemispheres. The strength of poleward heat transport falls to 1.2 PW and the peak moves equatorward to 10° latitude. Finally, in the Snowball, OHT is essentially reduced to zero.
and the entire ocean is at the freezing point. In the following section, we explore the timescales associated with changes between these states.

\textit{b. Triggering transitions between equilibrium states}

In this section, we conduct step (constant) forcing simulations in our climate model with the aim of initiating transitions between the various climate states presented in Section 3(a). Figure 3 shows these results on a bifurcation diagram, where each scatter represents the NH sea ice latitude in the equilibrated state of a given simulation with forcing $F$. This forcing magnitude is expressed with respect to the reference solar constant $S_0 = 341.5 \ W m^{-2}$. Simulations start from either the Warm (red circles), Cold (blue squares), Waterbelt (green triangle) or Snowball (black diamond) states. The solid lines describe the range over which these states exist, with the same color convention. The individual states labelled ‘W’ (Warm), ‘C’ (Cold) and ‘S’ (Snowball) are chosen as reference climates because they exist for $F = 0$.

(i) Warm start

Starting from ‘W’, a small step forcing of $-1.5 \ W m^{-2}$ induces a slow transition to the Cold equilibrium over several thousand years. This implies that the Warm reference state is at the edge of a critical threshold and is thus particularly sensitive to changes in its radiative budget. Larger forcings of $-7 \ W m^{-2}$ and $-11 \ W m^{-2}$ both lead to a Cold equilibrium and a forcing of $-36 \ W m^{-2}$ produces a Snowball. We also force the Warm reference state with a positive forcing of $+3 \ W m^{-2}$, which leads to a Very Warm (‘VW’) climate that has no ice anywhere on the globe, a global mean ocean potential temperature of $14 ^\circ C$ and a global mean SST of $24 ^\circ C$. We find no stable states between $90 ^\circ$ and $45 ^\circ$ NH sea ice extent. The absence of a stable climate with a small ice cap, known as the small ice instability (SICI), has been discussed in the context of simple EBMs
(e.g. Held and Suarez (1975) and North (1985)) and more sophisticated models (e.g. Huang and Bowman (1992), Matteucci (1993), Lee and North (1995), Morales Maqueda et al. (1998) and Langen (2004)). While these studies concluded that the SICI tends to disappear with better representation of continental geometry, unforced variability, ice sheets and ice dynamics, further work would be required to investigate the lack of a small ice cap climate in the model used here.

(ii) Cold start

Starting from ‘C’, we find that step forcings of -2, -3, -5 and -9 Wm$^{-2}$ lead to progressively colder climates without abrupt state transitions. For $F_{c/s} = -20$ Wm$^{-2}$, the climate initially transitions to a Cold climate that remains stable for about 150 years, but then collapses into a Snowball. Between $F_{wb} = -5$ Wm$^{-2}$ and $F_{c/s}$, we find Cold climates with $\theta < -1.5 \, ^\circ C$ and tropical sea ice, which we refer to as Waterbelts. Starting from such a Waterbelt climate (‘WB’) and relaxing the forcing to 0, we find that the system returns to ‘C’. Finally, we conduct warming experiments starting from ‘C’ with $F = +1.5$ and $+8$ Wm$^{-2}$, which lead back to a Warm climate. We conclude that there is no identifiable hysteresis loop between the Cold and Warm branches.

(iii) Snowball start

Starting from a Snowball, we relax F to 0 and find that the climate remains in a Snowball state (‘S’). Thus, the Cold branch is not directly accessible from a Snowball state. Starting from ‘S’, we attempt to exit the Snowball state by imposing a forcing of $+7$ Wm$^{-2}$, but find that the surface remains frozen everywhere. We expect that a larger positive forcing could overcome the strong ice-albedo feedback and bring the system back to the Warm branch, but the magnitude of this forcing is not quantified in this study. Note that if this threshold large enough however, the climate may not stabilize into a Warm state, and instead transition directly to a moist or runaway greenhouse (Yang et al. (2017)).
The plot on the right of Figure 3 summarizes these results in a simplified schematic (not to scale). The arrows show two hypothetical paths along the bifurcation diagram. Starting from Warm (red arrows) and slowly reducing the solar constant, the system would reach the Warm/Cold transition threshold \( F_{w/c} \sim -1.5 \text{ W m}^{-2} \) and move down to the Cold branch. The system would then smoothly transition to a Waterbelt-like climate around \( F_{wb} \sim -5 \text{ W m}^{-2} \), before reaching the Cold/Snowball threshold \( F_{c/s} \sim -20 \text{ W m}^{-2} \). From a Snowball state, a large increase in the solar constant would be required to switch back to the Warm state and complete the loop. When starting from the Cold branch (blue arrows) and increasing the solar constant, the system can move to the Warm branch without any hysteresis. In the Appendix, we further interpret this bifurcation diagram in terms of a 1D EBM developed by Rose (2015), which shows characteristics that are reminiscent of our climate model.

4. Transition timescales between equilibrium states

a. Transitions in response to step forcings

Figure 4 shows state transition times in the climate model for the step simulations starting from the Warm (red) and the Cold (blue) reference states as a function of the forcing magnitude. These are the same experiments as used in Figure 3. State transitions to both a Waterbelt climate and a Snowball are considered. As expected, the transition time shortens as the forcing magnitude increases, and there is a minimum threshold forcing below which no transition occurs. Transitions from the Warm state take longer than from Cold (for the same forcing) because more energy must be extracted in the process. Voigt and Marotzke (2010) (subsequently VM2010) argued that as the planet approaches a Snowball, deep convection and the transport of cold waters into the abyss
eventually lead to a well-mixed ocean. This prompted them to adopt the following 1-box model (here expressed in terms of anomalies) to estimate state transition times:

$$\rho cH \frac{dT(t)}{dt} = F(t) - \lambda T(t), \quad (2)$$

where $F$ is the TOA forcing, $T$ is the temperature anomaly relative to the starting state, $\lambda$ is the climate feedback parameter and $H$ is the ocean depth relevant to the transition. The model does not take account of the change in albedo as the transition occurs since all parameters are constant in time. The solution for the step response (constant $F$) of Eq. (2) is:

$$T(t) = T_{eq}(1 - e^{-t/\tau}) \quad (3)$$

where the system timescale $\tau$ is given by:

$$\tau = \frac{\rho cH}{\lambda} \quad (4)$$

and the equilibrium climate sensitivity is

$$T_{eq} = \frac{F}{\lambda}, \quad (5)$$

where here $F$ is negative, corresponding to extraction of energy.

As we now explore, state transition times in our climate model are well captured by the 1-box model, when considering the difference in potential temperature between the initial and final states $\Delta \theta$. The time taken by the 1-box model to achieve a temperature difference $\Delta \theta$ through a step forcing $F$ is obtained from Eq. (3) as follows:
\( t_f = \tau \times \ln \left( \frac{T_{eq}}{T_{eq} - \Delta \theta} \right) \), \quad (6) 

From Eq. (5), the forcing required to obtain a temperature response \( \Delta \theta \) at equilibrium is:

\[ F_{\text{min}} = \lambda \Delta \theta, \quad (7) \]

It follows that forcings weaker than \( F_{\text{min}} \) cannot achieve this temperature change, even when sustained indefinitely. Therefore, \( F \) must be larger than or equal to \( F_{\text{min}} \) to achieve a temperature change \( \Delta \theta \).

One can also estimate the efficiency of energy transfer required to achieve \( \Delta \theta \), as a function of forcing magnitude. The total energy \( E_{\text{tot}} \) supplied by the forcing during the transition is:

\[ \Delta E_{\text{tot}} = F \times t_f, \]

where we ignore the energy stored in the atmosphere, land and sea ice. From Eq. (1), the net energy \( \Delta E_{\text{net}} \) extracted from the system for a temperature change \( \Delta \theta \) is:

\[ \Delta E_{\text{net}} = \rho c H \Delta \theta. \]

The efficiency of energy extraction \( \varepsilon \) is then:

\[ \varepsilon = \frac{\Delta E_{\text{net}}}{\Delta E_{\text{tot}}} = \frac{\Delta \theta}{T_{eq} \ln \left( \frac{T_{eq}}{T_{eq} - \Delta \theta} \right)^{-1}}. \]

As the forcing amplitude increases (\( F \to -\infty \)), the efficiency \( \varepsilon \) tends to 1, but drops to 0 as \( F \) tends toward \( F_{\text{min}} \). For large forcings, climatic feedbacks have limited time to act, and hence
the energy extraction occurs efficiently. Conversely, as the forcing magnitude decreases, the cli-
matic feedbacks have enough time to counter the effect of the forcing, and as $F$ drops below $F_{\text{min}}$, the feedbacks become too strong to allow a temperature change $\Delta \theta$ altogether. In the following paragraphs, we investigate to what extent these simple ideas apply to the climate model transitions.

For simulations starting from Warm, the temperature anomalies spread into every part of the ocean, including the abyss. Thus, the relevant depth $H$ of the 1-box model is $H_{\text{tot}} = 2416$ m (the total area-averaged depth of the ocean). The climate sensitivity parameter in the Warm reference state is found using the method outlined in Gregory et al. (2004) (details in SI), which gives $\lambda_w = 0.72 \text{ Wm}^{-2} \text{K}^{-1}$. This value is associated with the SST response of the model, but the assumption of a well-mixed ocean allows us to extend it to the ocean-mean temperature. For a transition to a Snowball, the initial temperature is $\theta_i = 8.3^\circ \text{C}$ and the final temperature is $\theta_f = -1.8^\circ \text{C}$, the freezing point of water. Inserting these values into Eq. (6) gives the red curve in Figure 4, which is a reasonable approximation to the coupled model results.

Figure 4 also shows (in orange squares) the Snowball transition times obtained by VM2010, when starting from a modern-day climate in a comprehensive GCM. To facilitate comparison with our simulations starting from Warm, we scale the VM2010 timescales by a factor $f_{\text{vm}}$, to account for the different heat capacities of the two models:

$$f_{\text{vm}} = \frac{H_{\text{tot}}(\theta_w - \theta_f)}{H_{\text{vm}}(\theta_{\text{vm}} - \theta_f)},$$

where $H_{\text{vm}} = 2603$ m is a realistic globally-averaged depth for the modern ocean (including land surfaces) and $\theta_{\text{vm}} = 4.4^\circ \text{C}$, as reported in VM2010. This gives a scaling parameter $f_{\text{vm}} = 1.5$. Figure 4 shows that the transition timescales obtained by VM2010 are larger than the ones
corresponding to the Warm start simulations and this difference is accentuated by the factor $f_{vm}$.

Using the 1-box model with the parameters provided by VM2010 (orange line), one finds the effective climate feedback parameter in their model to be $\lambda_{vm} = 3.3 \, W \, m^{-2} K^{-1}$ (see SI). This is significantly larger than $\lambda_w$ and results in longer transition times. Note that $\lambda_{vm}$ is larger than the climate feedback parameters typically reported by state-of-the-art GCMs ($\sim 1 \, W \, m^{-2} K^{-1}$) such as the one used in VM2010. However, a direct comparison may not be possible because $\lambda_{vm}$ is obtained from a 1-box model fit to the Snowball transition times associated with $\theta$, rather than from the more conventional ‘Gregory’ method associated with surface temperatures. Therefore, $\lambda_{vm}$ may encapsulate different climate processes than typical feedback parameters. In particular, the representation of non-linear atmospheric feedbacks (e.g. clouds, water vapor, etc) and the restructuring of the ocean may differ.

In the simulations starting from Cold, the temperature anomaly spreads into the part of the ocean that is not already at the freezing temperature, namely the tropical thermocline. The relevant ocean depth is the top 1000 m (approximately), which has an average potential temperature of 3.1 °C. The climate feedback parameter corresponding to the Cold reference state is again obtained by the ‘Gregory’ method and found to be somewhat higher than for Warm: $\lambda_c = 0.95 \, W \, m^{-2} K^{-1}$ (see details in SI). This corresponds to a timescale of 159 years (see Section 1). Using these values along with $\theta_i = 3.1 \, ^\circ C$ and $\theta_f = -1.8 \, ^\circ C$ in Eq. (6) gives the solid green line in Figure 4. Overall, the box model can capture the climate model’s transition times starting from Cold, but tends to underestimate them as $F$ approaches the threshold forcing.
b. Transitions in response to volcanic impulse forcings

1) SINGLE ERUPTIONS

We now investigate the behavior of the climate model following large idealized volcanic eruptions. The largest eruption simulated from the Warm state has a magnitude of $F = -105 \, Wm^{-2}$, which is on the same order as estimates of the Toba mega-eruption (e.g. Jones et al. (2005)). In the year following the simulated eruption, the global-mean SST drops by 5.4 °C, the global sea ice fraction increases by 2% and both quantities recover their original values within 75 years. We also conduct a simulation of comparable magnitude ($F = -89 \, Wm^{-2}$) starting from Cold, which leads to a global-mean SST drop of 3.1 °C, a shift in the ice edge latitude from 44.4° to 42.2° (a 4% increase in sea ice fraction) and a recovery within 400 years. Despite the large magnitude of the forcings considered here, the net energy extracted from the system ($\sim 100 \, Wm^{-2}yr$) is not large enough to cause a shift in climate equilibrium (see Figure 1). The longer recovery time for SST and sea ice fraction in the Cold start eruption is likely due to a stronger ice albedo feedback, since the Cold state has a larger sea ice fraction (33%) than the Warm state (11%). However, we now show that unlike the recovery times of surface quantities, the timescales associated with the global mean ocean potential temperature $\theta$ are larger in the Warm state than the Cold one.

Figure 5 shows timeseries of $\theta$ for all the single eruption simulations conducted in this study. The experiments are run until $\theta$ relaxes back to within the $2\sigma$ levels of unforced variability. One can estimate the peak cooling $\theta_{peak}$ at the end of the first year by equating the energy extracted by the forcing to the change in heat content of the ocean, and neglecting the effects of the feedbacks as follows:
\[ \theta_{\text{peak}} \approx \frac{F \Delta t}{\rho c H_{\text{tot}}}, \]  
(8)

where \( \Delta t \) is the forcing duration, which is assumed small compared to the timescale associated with climatic feedbacks. Eq. (8) is a good approximation for all the simulations shown in Figure 5, irrespective of how deep the anomaly penetrates into the ocean.

For Cold starts, we consider two eruptions with \( F = -89 \) and \(-29 \ Wm^{-2} \) (blue and purple curves respectively). Because the deep ocean is already at the freezing temperature in the starting state, the temperature anomaly remains within the tropical thermocline. When normalized with respect to \( \theta_{\text{peak}} \) (Figure 5b), both responses follow the same decay, which can be fit by a decaying exponential with an e-folding time of \( \tau_c = 159 \) years (blue dotted line). According to the 1-box model, the ocean depth associated with this timescale is (see Eq. (4)):

\[ H_c = \frac{\lambda_c \tau_c}{\rho c}, \]  
(9)

with \( \lambda_c = 0.95 \ Wm^{-2}K^{-1} \) corresponding to the climate feedback for the Cold state. This gives \( H_c = 1149m \) (roughly 47% of the total ocean depth).

For Warm start simulations, Figure 5(b) shows that forcing pulses of magnitude \( F = -35 \) and \(-105 \ Wm^{-2} \) lead to a relaxation back to equilibrium over several thousand years (orange and red curve, respectively). The normalized plot shows that the two curves follow a similar path to recovery, but the one corresponding to \( F = -35 \ Wm^{-2} \) reaches background noise levels within 2000 years, versus at least 5000 years for \( F = -105 \ Wm^{-2} \). We therefore choose to fit to the \( F = -105 \ Wm^{-2} \) experiment and find that the following two-exponential model gives a good approximation of the impulse response (red dotted line):
\[ T_i^{(w)}(t) = T_1 e^{-t/\tau_{w1}} + T_2 e^{-t/\tau_{w2}}, \]  

with \( T_1 = -0.10^\circ \text{C}, \tau_{w1} = 500 \text{ years}, \) \( T_2 = -0.13^\circ \text{C}, \) and \( \tau_{w2} = 5911 \text{ years}. \)

The millennial-scale decay \( \tau_{w2} \) cannot be captured by the 1-box model, since the longest timescale that can arise from Eq. (4) is 443 years, when using the full ocean depth \( H = 2416 \text{ m} \) and the inferred climate sensitivity parameter \( \lambda_{w} = 0.72 \text{ W m}^{-2} K^{-1}. \) To better understand the origin of \( \tau_{w2}, \) we conduct an additional three numerical experiments with: (i) a smaller magnitude \( F, \) (ii) a positive \( F, \) and (iii) a large negative \( F \) starting from the Very Warm state (see Figure 3):

(i) When \( F \) is reduced to -11 \text{ W m}^{-2} with a Warm start (yellow curve), the magnitude of the perturbation is not large enough for the \( \theta \) anomaly to remain outside the range of natural variability for more than a couple of decades. The ocean temperature anomalies remain in the top 1000 m and are rapidly dissipated by climatic processes near the surface. This suggests that there is a minimum amount of forcing required (somewhere between -11 and -35 \text{ W m}^{-2}) to induce the millennial timescale of decay in \( \theta. \)

(ii) A positive forcing experiment was conducted with \( F = +31 \text{ W m}^{-2} \) (black curve), which likely does not correspond to a particular geophysical mechanism, but is useful for assessing the asymmetry in our model’s response between warming and cooling. Unlike in the corresponding negative forcing case (-35 \text{ W m}^{-2}), the decay of \( \theta \) does not have a millennial timescale as it reaches background noise levels within less than 1000 years.

(iii) To assess the sensitivity to the starting state, a mega-eruption simulation with \( F = -108 \text{ W m}^{-2} \) was conducted from the Very Warm state (pink curve). In this experiment, \( \theta \) responded
with an e-folding time of 437 years, which corresponds to the decay timescale of the 1-box model when considering the full depth of the ocean (pink dotted line).

In the following paragraph, we briefly explore aspects of ocean dynamics that can lead to the millennial decay timescale $\tau_{w2}$. Figure 6 displays vertical profiles of ocean potential temperature for a subset of the single eruptions simulations conducted for this study. Panel (a) shows the $F = -105 \, W \, m^{-2}$ simulation starting from Warm. After 100 years, the temperature profile exhibits two peaks in cooling; the first around 500 m depth and the second at the bottom of the ocean. The shallower peak is likely a result of anomalously cold water parcels being driven down into the thermocline by Ekman pumping in the mid-latitudes. This mechanism can shield the cold temperature anomaly away from atmospheric damping processes acting at the surface for decades to centuries (e.g. Stouffer (2004), Stenchikov et al. (2009), Gupta and Marshall (2018)). The peak cooling in the abyss is set by enhanced deep convection around the poles, particularly at the sea ice margin in the SH (see Figure S2). After 500 years, the peak cooling in the thermocline is damped away by a combination of atmospheric feedbacks and mixing into the deeper ocean. At that time, the temperature anomaly profile is almost linear with depth and the mixed layer temperature anomaly reaches unforced variability levels (-0.1 $^\circ$C). The subsequent evolution of the temperature profile occurs over 1000-year timescales, as the deep ocean temperature anomaly slowly diffuses back up toward the surface. This upward diffusion process is illustrated using a simple 1D ocean diffusive model with constant diffusivity $\kappa = 3 \times 10^{-5} \, m^2 \, s^{-1}$ (the uniform background value used in our coupled model) and boundary conditions ensuring no flux at the bottom of the ocean and a temperature anomaly fixed to 0 at the top. The latter implicitly assumes that climatic feedbacks at the surface act on much shorter timescales than the various ocean processes bringing the temperature anomaly back up. We initialize the 1D model with a linear temperature profile, roughly corre-
sponding to the temperature anomaly profile found in our climate model at \( t = 500 \) years. The 1D model temperature evolution (in the dotted lines) shows a roughly similar relaxation behavior to the coupled model and has a timescale that scales with \( H^2/\kappa \), which is \( \mathcal{O}(1000 \) years).

Panel (b) shows θ anomaly profiles for the \( F = -35 \) \( W m^{-2} \) impulse simulation starting from Warm. At year 100, there is again a peak cooling around 500 m depth and a small peak in the abyss. Figure S2 shows signatures of Ekman pumping transporting cold waters into the thermocline and enhanced deep convection in the SH high latitudes, although not as large as in (a). Subsequently, diffusive processes flatten the temperature profile and the deep temperature anomalies reach back up to the surface over several thousands of years.

Panel (c) shows temperature anomaly profiles for \( F = +31 \) \( W m^{-2} \) starting from Warm. After 100 years, mid-latitude Ekman pumping has driven warm temperature anomalies down into the thermocline. However, a smaller fraction of the anomaly than in (b) penetrates into the deep ocean, due to the higher buoyancy of these anomalously warm waters. This results in an overall ocean relaxation timescale of several hundred years only.

Panel (d) shows temperature anomaly profiles for \( F = -108 \) \( W m^{-2} \) starting from Very Warm. After 100 years, there is a large peak cooling at the surface and a small one in the abyss. Some of the temperature anomaly has penetrated into the thermocline, but the surface cooling has not been damped as significantly as in (a). To explain this behavior, one should note that the Very Warm state does not contain ice anywhere on the globe. The impulse cooling promotes sea ice formation in the SH, but the resulting deep convection at the ice margin is not strong enough to carry a large volume of cold waters into the abyss (see Figure S2). In the mid-latitudes and the
tropics, a fraction of the temperature anomaly does penetrate into the deeper ocean, but it is a smaller share of the total cooling than in (a) and (b).

In summary, the millennial decay timescale $\tau_{w2}$ is a result of cold temperature anomalies reaching the deepest layers of the ocean and slowly diffusing upward over several thousand years. These anomalies can reach the seafloor by enhanced deep convection in the SH high latitudes over the first few decades after the eruption. Notably, the millennial timescale does not arise for a positive impulse or when starting from the Very Warm state.

2) Succession of Eruptions

We now investigate how the long oceanic timescales can favor a large build up of the cooling response and potentially initiate state transitions when forced by repeated volcanic eruptions. We first establish whether the transition behavior for volcanic eruptions of magnitude $F$ and duration $\Delta t$ (assumed 1 year) repeated every $\tau_i$ years is equivalent to that of a step simulation with average forcing $F_{av}$:

$$F_{av} = \frac{F \Delta t}{\tau_i}. \quad (11)$$

Figure 7 shows the globally-averaged $\theta$ and sea ice fraction evolution for two simulations in which the model is forced by an idealized eruption every $\tau_i = 10$ years, with $F = -105 \ W m^{-2}$ starting from Warm and $F = -89 \ W m^{-2}$ starting from Cold. For comparison, we also consider step simulations with $F_{av} = -11 \ W m^{-2}$ starting from Warm and $F_{av} = -9 \ W m^{-2}$ starting from Cold.

The results show that the $\theta$ and sea ice fraction timeseries obtained from the repeated eruption simulations closely follow the corresponding step simulations, for both Warm and Cold starts. All simulations eventually transition to a Waterbelt state with approximately 60% ice fraction and
the sea ice edge stabilizes around 27° latitude in both hemispheres. The step response is a good approximation for repeated eruptions because the interval between them is much smaller than the ocean relaxation timescales, and because each incremental cooling from a given eruption is much smaller than the overall $\Delta \theta$.

Figure 7 also shows that the 1-box model of Eq. (2) faithfully represents the evolution of $\theta$ in all the cases considered. There are non-linearities associated with the transition, but since those only significantly affect $\theta$ near the freezing point ($\theta_f = -1.8$ °C), the 1-box model provides a good estimate of the state transition times. For the Cold start simulations, both single eruption and step simulations were well approximated by the 1-box model with timescale $\tau_c = 159$ years and a climate feedback parameter $\lambda_c = 0.95 \ Wm^{-2}K^{-1}$ (see Sections and a and 1). For Warm start simulations, however, there is an apparent discrepancy between the two-timescale model used in Figure 5 and the single timescale model used in Figure 7. This is resolved by noting that here, a state transition occurs after 550 years, which is too short to activate the millennial timescale. Therefore, the 1-box model is a good approximation for transitions occurring within several centuries, but otherwise the 2-timescale model is more appropriate.

In Figure 8, we explore the state transition times for repeated uniform eruptions over a range of impulse forcings $F$ (lasting 1 year) and eruption intervals $\tau_i$. One can estimate the transition threshold by assuming a step response with constant forcing $F_{av}$ because the system timescales are much longer than the interval between eruptions. This implies that scenarios with the same $F_{av}$ have the same transition time, which results in the straight contours in Figure 8. The dotted lines show the following transition threshold boundaries: Warm/Cold in black ($F_{w/c} = -1.5 \ Wm^{-2}$), Cold/Waterbelt in red ($F_{wb} = -5 \ Wm^{-2}$) and Cold/Snowball in white ($F_{c/s} = -20 \ Wm^{-2}$).
For the Cold start case, we assume a 1-box model with timescale $\tau_c = 160$ years and can thus estimate transition times to either a Waterbelt or Snowball climate using Eq. (6) and the parameters calculated in Section 4(a), with $F = F_{av}$. As noted in that Section, the box model tends to underestimate the GCM transition timescales for Cold start simulations when $F$ is of low magnitude, so the results of Figure 8(a) should be regarded as a lower bound. When the repeated eruptions start from Warm, the transition times can be estimated similarly, but in this case there are two timescales ($\tau_w1$ and $\tau_w2$) involved in the response. When the forcing is large enough for a transition to occur within several hundred years, Figure 7 showed that the 1-box model was a suitable approximation. However, a more general estimate of the step response can be obtained by integrating Eq. (10) and scaling it appropriately to obtain the two-timescale step response from Warm:

$$T_s^{(w)}(t) = \frac{F}{F_{ref}} \left[ T_1 \tau_{w1}(1 - e^{-t/\tau_{w1}}) + T_2 \tau_{w2}(1 - e^{-t/\tau_{w2}}) \right],$$

(12)

where $F_{ref} = -105 \text{ Wm}^{-2}$. The time taken to transition from an initial temperature $\theta_i = 8.3^\circ \text{C}$ to the final temperature $\theta_f$ is calculated numerically using Eq. (12) and shown in Figure 8(b). For transitions to either a Waterbelt or Snowball climate, the final temperature is $\theta_f = -1.8^\circ \text{C}$ (the freezing point), whereas for transitions to the Cold state it is $\theta_f = 0.5^\circ \text{C}$. Note that we only consider transition times smaller than $10^4$ years, because over longer timescales, greenhouse gas emissions from volcanic eruptions may start to overcome the cooling (e.g. Walker et al. (1981)).

Figure 8 shows that transition to a Snowball requires a sequence of almost continuous Toba-like events ($\sim -100 \text{ Wm}^{-2}$) for at least several decades, even when starting from a Cold climate. This differs from the results of Macdonald and Wordsworth (2017), who suggested that such a transition could occur within 3 years for volcanic eruptions with a peak forcing as weak as $-10 \text{ Wm}^{-2}$. This difference arises because the heat capacity of their model consists of the mixed layer depth of the
ocean (50 m), whereas in our climate model, the entire tropical thermocline (∼ 1000 m) plays a role when starting from Cold. Transitions to a Waterbelt climate may occur for a weaker $F_{av}$, but over several hundred years for a Cold start and around one or two thousand years for a Warm start.

In this climate model, such Waterbelt-like states do not exist for $F = 0$ (see Figure 3), so the system would relax back to Cold when the eruptions stopped. Nevertheless, the hysteresis associated with Waterbelt states may differ between models (e.g. Rose (2015)), and therefore the possibility of a long-term transition to such a state is still plausible. Finally, volcano-induced transitions from Warm to Cold may occur for small magnitude forcings (∼ -1.5 $W m^{-2}$), due to the proximity of the Warm reference state to a transition threshold. However, such transitions take several thousands of years, during which the build-up of greenhouse gases from intense volcanic activity could start mitigating the cooling effect.

5. Conclusions

Our study has explored transitions between the global equilibria of a complex coupled climate model with representations of atmosphere, ocean, sea ice and land. We study whether cooling associated with volcanic eruptions - acting alone (single pulse) or in concert (repeating pulse or step) - could trigger transitions between equilibrium states. Although the models employed are rather idealized, we offer the following general conclusions that hopefully do not depend on those idealizations:

1. A series of Toba-like events ($F ∼ -100 W m^{-2}$) must occur almost continuously for a minimum of several decades to initiate a transition to a Snowball climate by volcanic cooling acting alone. This is a significantly longer forcing period than reported by Macdonald and Wordsworth (2017), because over the large part of the global ocean, the entire water column (rather than just the mixed layer) must reach the freezing temperature of sea water before
ice can form at the surface. This result is consistent with the work of Voigt and Marotzke (2010) and Voigt et al. (2011). The relevant system timescales are associated with the full ocean depth when starting from a Warm climate and the tropical thermocline when starting from a Cold one. Assuming that volcanic forcings are no greater in magnitude than Toba-like events, the interval between eruptions must be on the order of years to decades (much smaller than the oceanic timescales) to successfully initiate any state transition between them. Consequently, the transition behavior can be predicted from the time-average forcing $F_{av}$ without knowledge of the detailed history of volcanic forcing.

2. The presence of multiple equilibria in the climate system could in theory enable a multistage transition to a Snowball. Indeed, if the climate were already in a stable Cold state with sea ice extending down into the mid-latitudes, then volcanic cooling could more readily initiate a Snowball transition, since only the tropical thermocline would remain to be brought to the freezing temperature. Nevertheless, decades of intense volcanic cooling would still be required, even in this most favorable case.

3. The proximity of the equilibrium state to a transition threshold controls the amount of forcing required to initiate a transition. In our model, the Snowball transition threshold is $F_{c/s} = -20$ $W m^{-2}$ (a 5.8% reduction in incoming solar radiation with respect to the modern constant). This is comparable to the values found in other studies (e.g. Voigt and Marotzke (2010), Voigt et al. (2011), Yang et al. (2012)). The Warm reference state, which resides close to a critical threshold, may evolve into a Cold state for a forcing as small as -1 $W m^{-2}$, although this forcing must be sustained for several thousands of years.

4. The most extreme climates on the Cold branch of our bifurcation diagram are analogous to Waterbelt (or Slushball) climates, in which sea ice extends down to the tropics. The energy
required to access such states is similar to that of a Snowball, since both have a mean ocean temperature close to freezing. The threshold forcing for the Waterbelt state ($F_{wb} = -5 \text{ Wm}^{-2}$) is significantly lower than for a Snowball ($F_{c/s} = -20 \text{ Wm}^{-2}$), but if the forcing is relaxed after a Waterbelt transition, the system smoothly returns to a climate with no tropical sea ice. This differs from the results of Rose (2015), who find two distinct branches for the Cold and Waterbelt states, and a significant amount of hysteresis associated with the latter.

5. A simple 1-box model can capture transition times for both Warm and Cold start simulations relatively well. However, close to the Warm/Cold transition threshold, the climate model exhibits a millennial decay timescale that cannot be explained by the assumption of a well-mixed ocean. This is caused by enhanced deep convection in the SH, which produces cold temperature anomalies in the abyssal ocean that diffuse back up to the surface over thousands of years. In this case, a 2-box model that includes this millennial decay timescale provides better estimates of transition times.

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APPENDIX

Interpretation in terms of a Budyko-Sellers energy balance model

A simple Budyko-Sellers energy balance model (EBM) modified to include the effect of OHT (as in Rose and Marshall (2009)) can be used to illuminate the multiple equilibria found in the climate model. We employ the following model, developed by Rose (2015), which describes the zonal-mean energy balance of the planet in terms of a characteristic surface temperature $T$: 
\[ Q_0(1 + s_2 P_2(x)) a(x, T) - (A + B T(x)) + K_a \frac{d}{dx} \left( (1 - x^2) \frac{dT(x)}{dx} \right) + F_o(x) = 0, \]  
(A1)

where \( x = \sin(\phi) \) and \( \phi \) is latitude, \( T(x) \) is the zonal-mean equilibrium surface temperature [° C], \( K_a \) is a coefficient of large-scale heat diffusion for the atmosphere \([W m^{-2} K^{-1}]\), \( B \) is the longwave radiative damping \([W m^{-2} K^{-1}]\), \( Q_0 \) is the solar constant (divided by 4), \( F_o(x) \) is OHT convergence and \( a(x,T) \) is a non-linear co-albedo function that depends strongly on \( T \). The term \( Q_0(1 + s_2 P_2(x)) \) gives a reasonable spatial distribution of the incoming radiation, where \( P_2(x) \) is the second Legendre polynomial and \( s_2 = -0.48 \). The OHT is held constant in time and parameterized as follows:

\[ H_o(x) = \Psi \sin(\phi) \cos(\phi)^{2N} = \Psi x(1 - x^2)^N, \]  
(A2)

where \( N \) is a positive integer and \( \Psi \) is a constant (in units of PW) setting the amplitude of OHT. The parameter \( N \) controls the shape of the OHT profile, with higher values shifting the peak of OHT and its convergence closer to the equator and thus helping the stabilization of the ice edge at lower latitudes. The ocean heat convergence is then:

\[ F_o(x) = -\frac{\Psi}{2\pi R} (1 - x^2)^{N-1} (1 - x^2 (2N + 1)). \]  
(A3)

The co-albedo switches abruptly from ice-free value \( (a_0) \) to the ice-covered \( (a_i) \), depending on the local temperature as follows:

\[ a(x, T) = \begin{cases} 
  a_0 & : T(x) < T_{xi} \\
  a_i & : T(x) > T_{xi}.
\end{cases} \]

A key feature is that the threshold \( T_{xi} \) is not tied to a fixed temperature, but depends on the local energy balance at the ice-water interface as follows:
\[ T_{xi} = T_f - \frac{h_{min}}{k_i} F_o(x_i). \] \hspace{1cm} (A4)

Eq. (A4) is derived by equating the vertical heat flux through the ice to the ocean heat convergence at the ice edge. The parameter \( h_{min} \) is the minimum sea ice thickness to have a substantial effect on the albedo and \( k_i \) is the thermal conductivity of sea ice. Therefore, \( T_{xi} \) represents the maximum surface temperature possible to maintain an ice thickness larger than \( h_{min} \) for a given ocean heat convergence \( F_o \), and is used as the crude threshold between ice-free and ice-covered conditions in the EBM. Incorporating this piece of ice physics helps stabilize climates with intermediate ice covers.

Figure A1 shows a range of bifurcation diagrams obtained by solving the EBM with typical parameter values, as in Rose (2015). The analytical solution is obtained using the method detailed in North (1975) and the numerical solution is obtained with a Crank-Nicholson scheme. The numerical estimates only capture the stable (positive slope) states whereas the analytical solution gives all equilibria. Solutions are plotted for increasing values of \( \delta = \frac{K_a}{B} \), which measures the efficiency of atmospheric meridional heat transport relative to radiative damping. Figure A1 shows that as \( \delta \) increases, the slopes become steeper and thus more prone to instability, consistent with the analytical results of Held and Suarez (1975). However, as argued by Rose and Marshall (2009), the presence of OHT can help stabilize the ice edge as \( \delta \) increases. Figure A1 also shows the OHT profile for \( \Psi = 4 \) PW and \( N = 4 \), which has a peak value of 0.91 PW that occurs at 22° latitude, not untypical of our own climate. We find that \( \delta \) values between 0.4 and 0.5 give a bifurcation diagram with somewhat similar characteristics to the one obtained from the climate model, including steep slopes and a continuous set of stable equilibria at intermediate latitudes. The \( \delta = 0.5 \) curve also gives a bifurcation point close to \( Q_0 = 341.5 \text{ Wm}^{-2} \), which is a feature seen in our climate model.
However, the stable states of this curve are not actually accessible from the warm state, as cooling from the Warm branch directly leads to a Snowball.

References


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Fig. 1. A graphical representation of the 3 equilibria of our climate model: Warm, Cold and Snowball. On the x-axis, we plot the latitude of NH sea ice extent (bottom axis) and ice fraction (top axis). On the y-axis, we plot the ocean-mean potential temperature, \( \theta \), (left axis) and energy level (right axis). The thermal energy stored in the ocean dominates over all other sources. The unstable (dotted) lines are linear interpolations between the stable states found in the model. In these ranges of sea ice latitudes and \( \theta \), no stable solution can be found. The globes show the SST and sea ice extent of the Warm reference, Cold reference, Waterbelt and Snowball states (from right to left).

Fig. 2. Zonally-averaged ocean potential temperature (color shading) for the Warm reference, Cold reference, Waterbelt and Snowball states expressed on a stretched depth scale to highlight the thermal structure of the upper ocean. The annual-mean sea ice cover in each hemisphere is denoted by the thick black lines. The zonally-averaged ocean overturning streamfunction (in Sv) is shown with clockwise circulation represented by solid lines and anticlockwise circulation by dotted lines, with a contour interval of 10 Sv. The zonally-averaged meridional ocean heat transport (in PW) is shown above each panel. Note the different scales of OHT.

Fig. 3. (left) Bifurcation diagram of the climate model expressed in terms of the NH sea ice latitude (left axis) versus the forcing \( F \) (bottom axis). \( F \) is calculated relative to the reference solar constant \( S_0 = 341.5 \text{ W m}^{-2} \). The right axis indicates the approximate global mean SST and the top axis shows the solar constant. The thick lines show the range of stable Warm (red), Cold (blue) and Snowball (black) states. The black dotted lines indicate unstable NH sea ice latitudes. Each red circle, blue square, green triangle and black diamond shows the equilibrated state of a simulation started from the Warm (W), Cold (C), Waterbelt (WB) and Snowball (S) states, respectively. (right) Schematic plot (not to scale) representing the stable (solid) and unstable (dotted) branches of the bifurcation diagram. The red arrows outline a possible path along the diagram starting from a Warm state and the blue arrows shows a different path starting from a Cold state. \( F_{w/c} \) and \( F_{c/s} \) are transition thresholds between the Warm/Cold and the Cold/Snowball equilibria respectively. \( F_{wb} = -5 \text{ W m}^{-2} \) is the forcing below which the Cold states become Waterbelt-like.

Fig. 4. Transition times to reach either the Waterbelt (circles) or Snowball (squares) climate for step simulations starting from the Warm reference state (red) and Cold reference state (blue). The Snowball transition times obtained by VM2010 are also plotted (in orange squares) and scaled by a factor \( f_{vm} = 1.5 \) for ease of comparison with simulations starting from the Warm reference state. Solid lines are corresponding estimates from the 1-box model with the following parameter values: for a Warm start, \( \lambda_w = 0.72 \text{ W m}^{-2} \) and \( H = 2416 \text{ m} \) (red line); for a Cold start, \( \lambda_c = 0.95 \text{ W m}^{-2} \) and \( H = 1149 \text{ m} \) (blue line); for VM2010, \( \lambda_{vm} = 3.3 \text{ W m}^{-2} \), \( H = 2603 \text{ m} \) and \( f_{vm} = 1.5 \) (orange line).

Fig. 5. Time evolution of the whole-ocean mean potential temperature anomaly following 1-year long eruptions in our coupled model for a range of forcing magnitudes and starting states. (left) Absolute temperature anomaly response, where the shading represents the 2\( \sigma \) range of unforced variability in the control run. (right) Normalized responses with respect to the peak cooling, which in each case occurs at the end of the first year, the time at which the forcing is turned off. Solid lines are simulation results from the climate model, whereas dotted lines are exponential fits to the following simulations: \( F = -105 \text{ W m}^{-2} \) run from Warm (red), \( F = -89 \text{ W m}^{-2} \) from Cold (blue) and \( F = -108 \text{ W m}^{-2} \) from Very Warm (pink).

Fig. 6. Global-mean ocean potential temperature anomaly, plotted as a function of depth, following impulse cooling forcings lasting 1 year, with a 50-year time averaging window. The
shading represents the 2σ level of unforced variability estimated from the relevant control simulation. The panels present results from the following simulations: (a) $F = -105 \, W\, m^{-2}$ starting from Warm, (b) $F = -35 \, W\, m^{-2}$ starting from Warm, (c) $F = +31 \, W\, m^{-2}$ starting from Warm, and (d) $F = -108 \, W\, m^{-2}$ starting from Very Warm. The dotted lines in panel (a) show temperature profiles obtained from a 1D diffusive model with a diffusivity $\kappa = 3 \times 10^{-5} \, m^2\, s^{-1}$.

**Fig. 7.** (left) The response of global-mean ocean temperature, $\theta$, to repeated 1-year eruptions every $\tau_i = 10$ years beginning from a Warm start with $F = -105 \, W\, m^{-2}$ (red) and a Cold start with $F = -89 \, W\, m^{-2}$ (dark blue). Step simulation responses are also shown for a Warm start with $F = -11 \, W\, m^{-2}$ (orange) and for a Cold start with $F = -9 \, W\, m^{-2}$ (light blue). Box model step responses for a Warm start with $F = -11 \, W\, m^{-2}$ (black dotted) and for a Cold start with $F = -9 \, W\, m^{-2}$ (green dotted). (right) Sea ice fraction response in the climate model simulations.

**Fig. 8.** Estimates of state transition times for forcings consisting of repeated volcanic eruptions lasting 1 year, every $\tau_i$ years. The transition times are estimated using the step response under an average forcing $F_{av}$, for a range of forcing magnitudes $F$ and eruption intervals $\tau_i$. The points below the white dotted line are transitions to a Snowball. Points between the white and red dotted lines are transitions to the Waterbelt climate. Points between the red and black dotted lines are transitions to Cold. The straight contours represent constant values of $F_{av}$. Panel (a) and (b) show transition times when starting from the Cold and Warm reference climates, respectively. Note the different scales for the two panels.

**Fig. A1.** Bifurcation diagrams obtained from the EBM for a range of $\delta$ values. All other parameters are fixed to: $A = 210 \, W\, m^{-2}$, $B = 1.5 \, W\, m^{-2} K^{-1}$, $a_0 = 0.7$, $a_i = 0.4$, $s_2 = -0.48$, $h_{min} = 0.67 m$, $k_i = 2 \, W\, m^{-2} K^{-1}$, $T_f = 0 \, ^\circ C$, $\Psi = 4$ PW and $N = 4$. Solid curves are obtained from the analytical model, whereas the dotted lines are results from the numerical solution of the EBM, which only picks out the stable branches of the diagram.
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FIG. 4. Transition times to reach either the Waterbelt (circles) or Snowball (squares) climate for step simulations starting from the Warm reference state (red) and Cold reference state (blue). The Snowball transition times obtained by VM2010 are also plotted (in orange squares) and scaled by a factor $f_{vm} = 1.5$ for ease of comparison with simulations starting from the Warm reference state. Solid lines are corresponding estimates from the 1-box model with the following parameter values: for a Warm start, $\lambda_w = 0.72 \, W \, m^{-2}$ and $H = 2416 \, m$ (red line); for a Cold start, $\lambda_c = 0.95 \, W \, m^{-2}$ and $H = 1149 \, m$ (blue line); for VM2010, $\lambda_{vm} = 3.3 \, W \, m^{-2}$, $H = 2603 \, m$ and $f_{vm} = 1.5$ (orange line).
FIG. 5. Time evolution of the whole-ocean mean potential temperature anomaly following 1-year long eruptions in our coupled model for a range of forcing magnitudes and starting states. (left) Absolute temperature anomaly response, where the shading represents the 2σ range of unforced variability in the control run. (right) Normalized responses with respect to the peak cooling, which in each case occurs at the end of the first year, the time at which the forcing is turned off. Solid lines are simulation results from the climate model, whereas dotted lines are exponential fits to the following simulations: \( F = -105 \, W \, m^{-2} \) run from Warm (red), \( F = -89 \, W \, m^{-2} \) from Cold (blue) and \( F = -108 \, W \, m^{-2} \) from Very Warm (pink).
FIG. 6. Global-mean ocean potential temperature anomaly, plotted as a function of depth, following impulse cooling forcings lasting 1 year, with a 50-year time averaging window. The shading represents the 2σ level of unforced variability estimated from the relevant control simulation. The panels present results from the following simulations: (a) $F = -105 \text{ W m}^{-2}$ starting from Warm, (b) $F = -35 \text{ W m}^{-2}$ starting from Warm, (c) $F = +31 \text{ W m}^{-2}$ starting from Warm, and (d) $F = -108 \text{ W m}^{-2}$ starting from Very Warm. The dotted lines in panel (a) show temperature profiles obtained from a 1D diffusive model with a diffusivity $\kappa = 3 \times 10^{-5} \text{ m}^2\text{s}^{-1}$. 
FIG. 7. (left) The response of global-mean ocean temperature, $\theta$, to repeated 1-year eruptions every $\tau_i = 10$ years beginning from a Warm start with $F = -105 \text{ Wm}^{-2}$ (red) and a Cold start with $F = -89 \text{ Wm}^{-2}$ (dark blue). Step simulation responses are also shown for a Warm start with $F = -11 \text{ Wm}^{-2}$ (orange) and for a Cold start with $F = -9 \text{ Wm}^{-2}$ (light blue). Box model step responses for a Warm start with $F = -11 \text{ Wm}^{-2}$ (black dotted) and for a Cold start with $F = -9 \text{ Wm}^{-2}$ (green dotted). (right) Sea ice fraction response in the climate model simulations.
Fig. 8. Estimates of state transition times for forcings consisting of repeated volcanic eruptions lasting 1 year, every $\tau_i$ years. The transition times are estimated using the step response under an average forcing $F_{av}$, for a range of forcing magnitudes $F$ and eruption intervals $\tau_i$. The points below the white dotted line are transitions to a Snowball. Points between the white and red dotted lines are transitions to the Waterbelt climate. Points between the red and black dotted lines are transitions to Cold. The straight contours represent constant values of $F_{av}$. Panel (a) and (b) show transition times when starting from the Cold and Warm reference climates, respectively. Note the different scales for the two panels.
Fig. A1. Bifurcation diagrams obtained from the EBM for a range of $\delta$ values. All other parameters are fixed to: $A = 210 \ W m^{-2}$, $B = 1.5 \ W m^{-2} K^{-1}$, $a_0 = 0.7$, $a_i = 0.4$, $s_2 = -0.48$, $h_{\text{min}} = 0.67 \ m$, $k_i = 2 \ W m^{-2} K^{-1}$, $T_f = 0 \ ^\circ C$, $\Psi = 4 \ PW$ and $N = 4$. Solid curves are obtained from the analytical model, whereas the dotted lines are results from the numerical solution of the EBM, which only picks out the stable branches of the diagram.