

# Long-term effects of environmental change and species diversity on tree radial growth in a mixed European forest

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# 1 Long-term effects of environmental change and species diversity on tree

# 2 radial growth in a mixed European forest

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- 13
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- 17
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#### 19 Abstract

Norway spruce (*Picea abies*), European beech (*Fagus sylvatica*), silver fir (*Abies alba*) and 20 Scots pine (Pinus sylvestris) typically co-occur in European forests, but show contrasting 21 response to climate and environmental change. Sustainable forest management therefore 22 depends on species- and regional-specific information. Here, we use tree-ring width 23 24 measurements of 334 beech, 280 fir, 144 spruce and 63 pine trees from 75 inventory plots in 25 Slovakia to assess the predominant climatic factors that control radial stem growth of Europe's economically most important forest species. All four species exhibit significant 26 27 shifts in stem growth over the past 100 years. Ring width patterns were, however, not significantly affected by tree species diversity and site elevation. The resistance, resilience 28 29 and recovery of all species to the extreme summer droughts between 1950 and 2003 suggest that spruce is the species most unsuitable for the predicted warmer and drier future. Silver fir 30 may benefit from warmer conditions, although we cannot conclude that it will not suffer from 31 32 predicted increased frequency of climate extremes. Forest management in this locality should aim to avoid significant loss of forest cover by replacing Norway spruce monocultures with 33 mixed stands of silver fir and European beech. 34

#### 35 Introduction

36

productivity of forest ecosystems, which contribute to the global carbon cycle (Ciais et al., 37 2005; Frank et al., 2015; Parmesan et al., 2003). Many tree species are expected to experience 38 range shifts accompanied by population extinctions within a specific locality in the medium to 39 distant future (IPCC, 2014; Lenoir et al., 2009; Thomas et al., 2004). Local forests are, 40 however, primarily affected by intra- and inter-specific competition (Coomes and Allen, 41 2007), forest management (Bosela et al., 2016b; Johnson and Curtis, 2001), as well as 42 nitrogen deposition (Solberg et al., 2009) and pollution (Wang et al., 2016). With reference to 43 a long history of (mis)management of European forests, only few existing forest ecosystems 44 45 resemble their natural state (Sabatini et al., 2018). 46 Norway spruce (Picea abies Karst.), European beech (Fagus sylvatica L.), silver fir (Abies alba Mill.) and Scots pine (Pinus sylvestris L.) are among the most ubiquitous tree species 47 frequently cooccurring in many areas covering Europe's temperate zone (San-Miguel-Ayanz 48 et al., 2016). Norway spruce is currently one of the economically most important species, 49

Anthropogenic climate change has been identified as an important driver of functioning and

50 which has traditionally been used to replace beech and beech-fir forests across Central Europe

51 to increase income from timber production (Spiecker et al., 2004). However, this species has

52 been shown to be prone to summer drought (Pretzsch et al., 2013; Zang et al., 2014),

53 windstorms (Seidl et al., 2014), and bark beetle outbreaks (Hlásny and Turčáni, 2013),

54 especially when grown in monocultures. Both beech and fir, on the other hand, are known to

respond positively to temperature increases (Pretzsch et al., 2014), except for populations at

the trailing edge of their current distribution (Jump et al., 2006; but see Tegel et al. (2014)).

57 Silver fir has also been suggested to be less sensitive to extreme drought than spruce (Vitali et

al., 2017), and may play an important role in maintaining the vigour of central European

59 forest ecosystem services under warmer-than-present conditions (Ruosch et al., 2016). Being

the most widespread across Europe, Scots pine is another important contributor to ecological
and economic functioning and productivity of current forests (Matías et al., 2017; Matías and
Jump, 2012), where the species naturally co-occurs with Norway spruce (Pretzsch et al.,
2015).

There are indications that mixed-species forests possess a higher potential for resistance, 64 resilience and recovery in the face of changing climate conditions (Isbell et al., 2015; Metz et 65 al., 2016), and thus may constitute a viable alternative to monocultures. Species growing in 66 mixed forests were recently suggested to be less sensitive to rapid warming and/or drying 67 (Pretzsch et al., 2013), and also produce more timber than their monospecific equivalents 68 (Pretzsch and Schütze, 2009; Toïgo et al., 2015). Tree species diversity, however, is not the 69 70 only determinant of forest sensitivity to climate. In fact, the long-term productivity of forest ecosystems is a result of several interacting factors, such as the genetic diversity of 71 populations (Bosela et al., 2016a), their position within the current geographical distribution 72 73 of the species (Jump et al., 2006), and/or the level of intra- and inter-specific competitive stress (Paquette and Messier, 2011). In addition, the climate sensitivity of individual trees as 74 well as their populations varies along altitudinal and edaphic gradients (Dittmar et al., 2003; 75 76 Jump et al., 2006; Tegel et al., 2014), thus exhibiting distinct regional differences (Babst et 77 al., 2013).

Predicted changes in the productivity, as well as survival and competitive behaviour of forest tree species will be relevant for adapting their management practices to future environmental and climatic changes (Kirilenko and Sedjo, 2007). A set of new silvicultural management strategies must therefore be developed to safeguard the provision of ecosystem services, including timber production. Forest management mainly operates at stand or even sub-stand level and requires information relating to local conditions. Therefore, to be truly informative for management, regional investigations using appropriate sampling designs may be

preferable to inference on the basis of generalised observations (Law, 2015). Should climate
change force a large-scale transformation of Norway spruce monocultures in Europe,
mixtures of Silver fir, European beech and Scots pine, among other species, may be wellsuited to certain localities. Similar tree mixtures are likely to perform differently across a wide
range of ecological settings with varying climate conditions, information that relates forest
productivity to climate variability must therefore be tailored to local scales to support
evidence-based management decisions.

92 Aiming to generate species-specific information about basal area growth relevant for local forest management, this study reconstructs historical growth-climate responses of Europe's 93 four aforementioned forest tree species: Norway spruce, European beech, silver fir and Scots 94 95 pine. We consider a variety of mixed stands growing between 366 and 1150 m a.s.l. within an area of 2,128 hectares in Slovakia, and analyse interactive effects of climate, altitude and the 96 level of stand diversity on long-term radial stem growth changes as well as the resilience to 97 98 major European heat waves in 821 trees. We hypothesise that, at our study site, Norway spruce is the most susceptible species to increasing temperature and drought (H1), and that 99 100 species diversity (H2) and stand level competition (H3) modify the long-term climate sensitivity of tree growth. 101

102

#### 103 Material and Methods

#### 104 Study area

The study area of 2,128 hectares is located in the Slovakian part of the Carpathian Mountains
(Fig. 1). A single-tree selection management system (individual mature trees removal in
support of natural regeneration) has been applied to all forest stands in this locality since the
(Saniga and Bruchánik, 2009), and the area is listed by the Pro Silva foundation that

109	promotes continuous-cover forestry and sustainable forest management (www.prosilva.org).
110	With the exception of some valley bottoms, the area was almost fully forested at the point of
111	conversion to the individual selection system, and the conversion has resulted in a further
112	increase in forest cover (Fig. S1). The area, in which 11 different tree species were recorded,
113	is characterised by heterogeneous topography between 366 and 1150 m a.s.l. on phylit-
114	derived cambisol soils. Mean annual temperature is around 5 °C and annual precipitation
115	totals are approximately 950 mm. The area is dominated by mixed forests of European beech,
116	silver fir, Norway spruce, and Scots pine, with a minor admixture of ash (Fraxinus excelsior
117	L.), sycamore (Acer pseudoplatanus L.) and larch (Larix decidua Mill.). Forests in the area
118	are characterised by a high spatial variability of stand ages, mean stand diameters, species
119	composition and stand densities (Table 1). Potential productivity of the species investigated in
120	this study, as indicated by the site index (locally defined as stand height at standard age of 100
121	years), is broadly similar (Table 1).

**Table 1** Description of forest stands found on the 75 inventory plots utilised for tree core sampling in
this study (mean across all sites ± standard deviation).

	Sp	pecies			
Variable	Beech	Pine	Fir	Spruce	Total
Species proportion (%) <sup>1</sup>	40±30	61±17	49±31	18±20	-
Mean stand diameter (mm)	243±100	435±76	358±127	279±143	295±102
Mean stand height (m)	22±7	31±3	$28\pm8$	23±9	26±7
Stand density (n trees ha <sup>-1</sup> )	315±350	163±98	167±130	205±400	604±463
Stand volume (m <sup>3</sup> ha <sup>-1</sup> )	133±127	292±141	230±210	55±52	389±232
Age (years) <sup>2</sup>	77±44	114±46	90±41	77±27	-
Site index $(m)^3$	30±10	30±4	30±13	29±9	-
	Beech-Fir-Spruce	Beech-Fir	Beech	-Fir-Spruce-Pine	Beech-Spruce
Proportion of plots	53%	24%		8%	5%
containing a specific	Fir-Spruce	Fir		Beech	Spruce
	3%	3%		1%	1%
mixture	Other				
	2%				

124 Footnote: <sup>1</sup>proportion of species at a site, based on tree volume; <sup>2</sup>mean age weighed by basal area; <sup>3</sup>

defined as mean stand height at the age of 100 years (Halaj and Petráš, 1998).



126

Figure 1 Distribution of silver fir (A), European beech (B), Norway spruce (C) and Scots pine (D) in
Europe; (E) location of the study area in Slovakia; (F) spatial distribution of all inventory (sampling)
plots; (G) mixture of beech, spruce and fir; (H) mixture of pine, spruce and beech

130

#### 131 Inventory plots

Forest inventory plots (IP) were established in 2014, when a regular grid of  $250 \times 250$  m was 132 superimposed over the study area. A total of 344 variable-sized circular plots, with a radius 133 ranging from 5 to 20 m to include 20-30 trees, were centered on the intersection points of the 134 grid. Diameter at breast height (DBH), height and crown length of all trees within each plot 135 136 were measured in 2014. We calculated the Shannon Diversity Index (SDI; Shannon 1948) to describe tree species diversity of each IP, taking into account the overall tree species 137 presence. SDI was preferred to other indices as it accounts for both species richness and 138 species evenness (Purvis and Hector, 2000). Tree species dominance within each plot was 139

classified as: pure (% proportion of volume >75%), mixed (% proportion of volume 25–75%) and admixed (% proportion of volume <25%). Crown quality, an indicator of tree vigour and past competition interactions, was visually assessed for each tree (A – well developed and symmetrical crown with defoliation not exceeding 30%; B – middle developed crown under higher inter-tree competition with defoliation between 30 and 60%; C – less developed, sparse and/or damaged crown with defoliation above 60%). All plots were assigned to two altitudinal categories: lower ( $\leq$ 800 m a.s.l.) and higher (>800 m a.s.l.).

#### 147 Tree-ring data

148 Radial increment cores were collected at each IP of a coarser  $500 \times 500$  m grid. This coarser grid included 75 out of 344 IPs. Sample trees for coring were selected to cover the range of 149 investigated species, mixture types, diameter range and vertical tree classes (dominant, co-150 151 dominant, sub-dominant and suppressed trees). The mean proportion of trees selected for coring within each IP (i.e. plot sampling intensity) ranged from 47% for beech to 78% for 152 pine. In contrast to most published dendroecological studies which collected data from 153 dominant trees only, in all we considered 821 tree-ring width (TRW) series from all vertical 154 tree classes on each IP to avoid bias related to tree size (e.g. Nehrbass-Ahles et al. 2014). A 155 156 single core sample from each tree was taken at the height of 1.3 m in 2015. Core samples were subsequently air-dried in the laboratory, mounted on wooden slats and sanded to 157 158 facilitate the detection of individual ring boundaries. TRW were measured using a LINTAB 159 device (Rinntech, Heidelberg, Germany) and cross-dated by common dendrochronological techniques (Cook and Kairiukstis, 1990) coded in R package "dplR" (Bunn, 2010). The 160 frequency distributions of DBH of all cored trees within mixture and altitude categories are 161 162 shown in the Supplementary Figures S2 and S3.

#### 163 Climate indices

For growth-climate response analyses, we used daily weather station measurements, gridded 164 165 monthly observations (CRU TS4.01; Harris et al. 2014), and output from the MTClim model (Mountain Microclimate Simulation Model; Hungerford et al. 1989). Observed 166 167 meteorological data from two weather stations in the surrounding area (the first station at 583 m a.s.l and the second at 1242 m a.s.l) were recalculated for two elevational zones within the 168 169 study area at 600 m a.s.l and 1000 m a.s.l, by using output from the MTClim model at daily 170 resolution. While local meteorological observations were available for 1961–2006, the CRU dataset extends over the 1901-2016 period. Monthly time-series were derived from daily 171 MTClim simulations and distance-weighted averages of the closest four CRU gridpoints were 172 173 fitted to the climate of the two elevational zones based on the common period 1961-2006. Distributions of monthly temperature and precipitation time-series were then separately fitted 174 by the quantile-quantile mapping method for each month (Piani et al., 2010). Correction 175 176 factors obtained by the comparison were applied to the CRU data to obtain climate data outside the overlaping period. Finally, we combined the corrected CRU and observational 177 data to a time-series for both elevational zones which covers the period of 1901–2016. 178

#### 179 Resilience, resistance and recovery measures

180 To quantify effects of major summer droughts on the growth of the four forest species, we calculated resistance, resilience and recovery indices from our TRW data (Lloret et al., 2011). 181 182 We combined the information obtained from local weather station data and reviewed recent publications (Ciais et al., 2005; Parry et al., 2012; Spinoni et al., 2015) to identify years with 183 184 abnormal summer droughts. Here, resistance is defined as the capacity to retain predisturbance growth during a disturbance event, calculated as the ratio of growth rate during to 185 186 that before an extreme event. Resilience is defined as the capacity to return to pre-disturbance growth levels, estimated by the ratio of post-disturbance growth to that prior to the event. 187 Finally, recovery describes the ability of tree growth to recover from a disturbance-driven 188

suppression, expressed as the ratio of increment after over that during disturbance event. We calculated all three indices for all sampled trees to describe the reaction of tree species to major European heat waves and drought spells in the period of 1950–2003. We used a period of three years before and after the events to quantify these indices (e.g. Gazol *et al.* 2016). We further used Tukey's multiple comparisons using "glht" function in "multcomp" R package (Hothorn et al., 2008) to test for statistical significance of the differences between species and altitudinal and mixture categories (Dushoff et al., 2019).

# 196 Mixed-effects models

A transformation of TRW into basal area increment (BAI) was employed to remove biases of
productivity estimates inherent to TRW data (Bouriaud et al., 2005). Tree productivity
expressed as BAI captures the addition of volume to circular stems and therefore better
represents overall tree growth (Biondi and Qeadan, 2008).

201 We used generalised additive mixed models (GAMM) in R package "mgcv" (Wood and 202 Wood, 2014) to compare the BAI patterns of the four species in the mixture and altitudinal categories along the study period. The GAMM was preferred over linear models to explore 203 204 non-linear BAI patterns via a mixed-effects model setting. This GAMM function uses the Bayesian approach of spline smoothing (Wahba, 1983, Silverman, 1985). Since BAI is 205 usually positively correlated to DBH, DBH was used as a covariate in the models to remove 206 207 its effect and to preserve temporal changes due to other factors. Tree identity was nested within sample plot and used as a random factor to estimate between-plot variation. We used a 208 209 standard DBH of 22 cm to compare century-long BAI patterns among the species and categories. 210

Furthermore, a linear mixed-effects model (LMER) in the R package "lme4" (Bates et al.,
2015) was applied to estimate the effects of DBH, spring and summer temperature, as well as

213	precipitation (Fig. S4), and the crown length-to-height ratio or crown quality classes,
214	representing indicators of past competition and tree vigour (Drobyshev et al., 2007) on annual
215	BAI variation. Between-variable interaction terms were used to assess how the effect of one
216	factor varies along the levels of another factor.
217	Three model alternatives $(a-c)$ were used to test the effects of climate, competition and
218	species diversity on BAI variation:
219	In the first alternative (a), competition was expressed by the ratio between crown length and
220	total tree height and species diversity (mixture) by the proportion of the species basal area
221	from the total stand basal area:
222	(a) $BAI_{ij} = b_0 + b_1 ln(dbh_{ij}) + b_2 ln(dbh_{ij}) \times T_{jun-aug} + b_3 CR_{ij} + b_4 T_{jun-aug} \times CR_{ij} + b_4 T_{jun-aug} \times CR_{ij}$
223	$b_{2}ln(dbh_{ij}) \times T_{mar-may} + b_{2}ln(dbh_{ij}) \times P_{jun-aug} + b_{3}ln(dbh_{ij}) \times P_{mar-may} + SPprop +$
224	$SPprop \times T_{jun-aug} + (1/IDPlot_{j}/IDTree_{ij}) $ $(Eq. 1)$
225	
226	In the second alternative (b), Shannon diversity index was used to express tree species
227	diversity:
228	(b) $BAI_{ij} = b_0 + b_1 ln(dbh_{ij}) + b_2 ln(dbh_{ij}) \times T_{jun-aug} + b_3 CR_{ij} + b_4 T_{jun-aug} \times CR_{ij} + b_4 T_{jun-aug} \times CR_{ij}$
229	$b_2 ln(dbh_{ij}) \times T_{mar-may} + b_2 ln(dbh_{ij}) \times P_{jun-aug} + b_2 ln(dbh_{ij}) \times P_{mar-may} + H' + H' \times T_{jun-may}$
230	$_{aug} + (1/IDPlot_{j}/IDTree_{ij}) $ (Eq. 2)
231	The third alternative (c) included Shannon diversity index as in (b), but the level of
232	competition was expressed by crown quality classes. The crown quality classes are more
233	complex than the simple crown length-height ratio because it also reflects crown foliage
234	(density, defoliation) as well as the shape of crown:
235	(c) $BAI_{ij} = b_0 + b_1 ln(dbh_{ij}) + b_2 ln(dbh_{ij}) \times T_{jun-aug} + b_3 CQ_{ij} + b_4 T_{jun-aug} \times CQ_{ij} + b_4 T_{jun-aug} \times CQ_{ij}$
236	$b_2 ln(dbh_{ij}) \times T_{mar-may} + b_2 ln(dbh_{ij}) \times P_{jun-aug} + b_2 ln(dbh_{ij}) \times P_{mar-may} + H' + H' \times T_{jun-aug}$

237 
$$_{aug} + (1/IDPlot_{j}/IDTree_{ij})$$
 (Eq. 3)

- 238 Where
- 239  $dbh_{ij}$  breast height diameter of the i<sup>th</sup> tree on j<sup>th</sup> plot
- 240 $T_{jun-aug}$  mean temperature anomaly of the June-August period (anomaly calculated against the mean241summer temperature in the period of 1901 1980 used as the reference climate before the242recent climate warming)
- 243 $T_{mar-may}$  mean temperature anomaly of the March-May period (anomaly calculated against the mean244summer temperature in the period of 1901 1980 used as the reference climate before the245recent climate warming)
- P<sub>jun-aug</sub> precipitation totals of the June-August period (anomaly calculated against the mean summer
   temperature in the period of 1901 1980 used as the reference climate before the recent climate
   warming)
- 249  $P_{mar-may}$  precipitation totals of the March-May period
- 250  $CR_{ij}$  ratio between crown length and total tree height of the *i*<sup>th</sup> tree on *j*<sup>th</sup> plot
- 251 SPprop species proportion calculated from tree basal area
- 252 H' Shannon's diversity index
- 253  $CQ_{ij}$  qualitative classes of crown status of the *i*<sup>th</sup> tree on *j*<sup>th</sup> plot (A=1, B=2 and C=3)
- 254
- 255 Tree identity nested within sample plot was used as a random factor in all model variants.
- 256 Marginal effects of interaction terms were calculated using the "effect" function in R "effects"
- 257 package (Fox et al., 2015). When calculating the individual interaction effects, all remaining
- 258 covariates were set to the mean. A "sjp.int" function in "sjPlot" R package (Lüdecke and
- 259 Schwemmer, 2017) was used for visualisation of the effects. Both mean and standard
- 260 deviation were used as moderator variables when plotting the interaction effects.
- 261

# 262 **Results**

Over the last 100 years, each of the four tree species investigated in this study exhibited very contrasting BAI patterns (Fig. 2 and 3). At the beginning of the  $20^{\text{th}}$  century, spruce revealed the fastest growth rate (app.  $35\pm3.3 \text{ cm}^2 \text{ yr}^{-1}$  tree<sup>-1</sup>), but following a steady decline the species

became the least productive in the early 2000s (H1, app.  $7.5\pm2.1$  cm<sup>2</sup> yr<sup>-1</sup> tree<sup>-1</sup>). A relatively 266 high initial BAI of the silver fir trees dipped between 1950 and 1980, but following a recent 267 recovery it now reaches the highest values among the four species (app.  $20.5\pm2.5$  cm<sup>2</sup> yr<sup>-1</sup> 268 tree<sup>-1</sup>). Beech experienced a slow but steady BAI increase during the 20<sup>th</sup> century, without any 269 discernible variation of growth. Compared to the other major species growing in this locality, 270 beech was the second most productive since the start of the 21<sup>st</sup> century (app. 15.8±1.2 cm<sup>2</sup> yr<sup>-</sup> 271 <sup>1</sup> tree<sup>-1</sup> in 2014). Finally, pine trees exhibited a slow BAI decline over the last 100 years, 272 becoming the second least productive species in the region after spruce (app.  $9\pm3$  cm<sup>2</sup> yr<sup>-1</sup> 273 tree<sup>-1</sup> in 2014). Interestingly, no differences in the mean long-term BAI patterns were 274 observed between trees growing at various levels of species mixture (Fig. 2). We found that at 275 the beginning of 20<sup>th</sup> century, fir and beech were growing better at lower elevations while 276 spruce grew better at higher elevations. By the end of the 20<sup>th</sup> century, however, their growth 277 278 rates in the two altitudinal categories were found to be nearly identical (Fig. 3).





Figure 2 Individual tree Basal Area Increment (BAI) of fir, beech, spruce and pine trees normalised to
standard DBH of 22 cm. Lines represent smoothed mean of trees classified as growing in a pure or
mixed stand, or as an admixture, bands represent 95% confidence intervals.



Figure 3 Individual tree Basal Area Increment (BAI) of fir, beech, spruce and pine trees normalised to
standard DBH of 22 cm categorised by altitude (Lower – below 800 m a.s.l. and Higher – above 800
m a.s.l.). Lines represent smoothed mean, bands represent 95% confidence interval.

287

Mixed-effects modelling shows that climatic and forest stand characteristics considered in this 288 study explain 47–72% of the variability in tree BAI over the last century (Table S1). Fir and 289 beech trees revealed positive responses to summer temperature and precipitation, whereas the 290 291 responses were negative for spruce and pine in the region (Fig. 4). We found strong effect of tree size on the BAI-climate responses, but differing among the species (Fig. 4, Fig. S7 and 292 S8). Large-sized fir trees showed strong increase of BAI as a reaction to the increase in 293 294 summer temperature, whereas small-DBH trees revealed a decline. Spruce experienced a contrasting pattern. Strong decline of BAI along a temperature gradient was found for large 295 trees whereas small trees showed no trend. Unlike temperature, we found smaller differences 296 between the species regarding the effect of DBH on BAI responses to summer precipitation 297 298 (Fig. S8).



Figure 4 Regression coefficients of a linear mixed-effects model contrasting how current year basal area increment of four tree species is affected by competition, climate and species diversity. The competition is represented by crown length-to-tree height ratio and species diversity by the Shannon's diversity index. Since the interpretation of the main effects when testing interaction terms can be misleading, only the estimates of the interaction terms are presented. The whiskers denote the 99% confidence intervals.

306

We found significant effect of tree species diversity (expressed by Shannon's index) on BAI
and BAI-climate responses (Table S1, Fig. 4). For fir and beech, higher diversity meant
greater BAI (Fig. S9). However, the opposite effect was found for spruce and pine. At lower
temperatures, BAI was larger when species diversity was higher, but at higher temperatures
growth was faster in less diverse stands.
Crown size affected the BAI and BAI-climate responses. In general, larger crowns were

associated with greater BAI, but the effects of crown on the growth-climate sensitivity

differed among the species, from no effect in spruce to the greatest effects in pine and fir (Fig.

315 S10).

Further, we found large variability in resilience indices among the species. Fir trees showed the strongest recovery but were found less resistant than beech (Fig. 5 and 6). The two altitudinal zones had greater effect on species resilience than species mixture (Table S2 and S3). Spruce was found to be the least resilient and the least resistant among studied species (Table S2).



Figure 5 Recovery, resilience and resistance of the BAI of the four species at the two altitudinal
categories (<800 and ≥800 m a.s.l.). The whiskers denote 95% confidence intervals.</li>





Figure 6 Recovery, resilience and resistance of the BAI of the four species in the three species
mixture categories (<800 and >800 m a.s.l.). The whiskers denote 95% confidence intervals.

In summary, this study shows that over the past century a) Norway spruce was the most
sensitive to changing conditions, b) thus far, silver fir benefitted from climate warming and
rapid reduction of air pollution, c) species mixture had only small effect on long-term growth
changes and trees resilience to drought events in the period 1952–2003, but d) species
diversity played crucial role in driving BAI responses to climate changes.

332

#### 333 Discussion

Recent studies that describe effects of climate change on growth of European tree species 334 paint a very unclear picture. A range of responses is reported, for example (i) both enhanced 335 and reduced growth of spruce after the rapid reduction of air pollution in 1990s (Cienciala et 336 al., 2017; Hauck et al., 2012; Jonard et al., 2012; Kolář et al., 2015); (ii) both increased and 337 decreased growth rates of beech in Central Europe during the last two decades (Dittmar et al., 338 2003; Pretzsch et al., 2014) and at the southern edge of its distribution range (Jump et al., 339 2006; Tegel et al., 2014); and (iii) both faster and slower growth rate of Scots pine across 340 Europe (Castagneri et al., 2015; Matías et al., 2017). Spatial and temporal variability of 341 growth patterns uncovered so far calls for a strong focus on local- and regional-scale 342 investigations. A detailed description of growth patterns within a given locality can yield 343 information valuable to foresters, especially when used in conjunction with climate change 344 predictions for the area in question. In order to devise a sampling strategy and to test its 345 346 potential for generating data fully representative of local populations and to serve as a basis for management recommendations (Babst et al., 2018; Nehrbass-Ahles et al., 2014), we 347 overlaid a systematic distribution of sample plots across an area covering an entire forest 348 349 management unit.

# 350 European beech

So far, beech trees in the region have benefited from recent environmental change, especially at the lower altitude. No sign of a decrease in radial growth rate was identified by this investigation, which is in line with other recent studies from Central Europe, based either on data from long-term forest inventories (Bosela et al., 2016b; Pretzsch et al., 2014) or on growth simulations (Hlásny et al., 2011). However, a recent Europe-wide study showed that beech growth rates have been declining on many sites across its distribution in the past 20–30

years (Bosela et al., 2018). Beech is considered a drought-sensitive species, likely to be 357 358 limited by increasing frequency or severity of summer droughts (Di Filippo et al., 2007). However, mean resistance, resilience and recovery indices, covering extreme drought events 359 in the period between 1950 and 2003, show that beech was not negatively affected by these 360 events at our location - values of all indices are higher than one. Remarkably, and contrary to 361 362 our expectations, the resilience and recovery of beech BAI at lower altitude was higher than 363 that at higher elevations (Fig. 5). Tree mixture did not modify the response of beech to extreme drought (H2, Fig. 6). Similarly, the facilitation effect of inter-specific interactions 364 was not confirmed in a recent study of González de Andrés et al. (2017b). The authors 365 366 explained the lack of facilitation at their Mediterranean site by severe competition for water preventing any manifestation of the beneficial effect of a mixture with Scots pine. In contrast 367 to the Mediterranean, the region of our study is normally well-supplied with water, but still no 368 369 beneficial effect of admixture was found in beech. Interestingly, the copious natural regeneration of beech across the researched area (Fig. S11) suggests suitable site conditions 370 for this species at the present. 371

There is evidence within the region that intensively managed beech forests growing on less-372 productive sites have experienced a recent decrease of growth rates (Bosela et al., 2016b), 373 however the current study does not confirm this. Beech growing at lower altitudes (<800 m 374 a.s.l.) reacted positively to increasing spring and summer temperature and summer 375 precipitation (Fig. 3), which is in agreement with observations from other parts of beech 376 distribution in Europe (Cavin and Jump, 2016; Dittmar et al., 2003; Hacket-Pain et al., 2016). 377 378 A recent tree-ring investigation by Kolář et al. (2017) from beech-dominated forests in the Czech Republic, growing in similar climate conditions to the current study, shows that spring 379 380 temperature and summer water availability are the most influential factors driving radial

growth of beech. However, contrary to our study, they did not find any relationship betweenradial growth and summer temperature, suggesting a regional variation in climate sensitivity.

383 Silver fir

384 Paleoecological records suggest that silver fir was growing under significantly warmer 385 conditions than those experienced by its current populations in Europe (Büntgen et al., 2014; Ruosch et al., 2016; Tinner et al., 2013). Recent studies exploring radial growth of European 386 silver fir consistently confirm a strongly negative effect of SO<sub>2</sub> emissions, which peaked 387 during 1970-90 and caused a large-scale growth depression across Europe (Büntgen et al. 388 2014, Bošel'a et al., 2014; Elling et al., 2009). Our results confirm this trend, the coincidence 389 of the period of minimum growth and that of peak pollution is stark. The reduction of acid 390 deposition, along with the observed 'warming-without-drying' change of climatic conditions 391 392 allowed fir to recover (Büntgen et al., 2014) and, in central Europe, to reach growth rates nearly as high as those at the beginning of the 20<sup>th</sup> century (Fig. 2). 393

394 Recent evidence suggests that silver fir may grow even better and have lower climate sensitivity when growing in a mixture with other tree species (Lebourgeois et al., 2013), 395 396 overyielding when compared to pure fir alternatives (Toïgo et al., 2015). However, our results do not support this hypothesis, data describing fir growth in the post-acidification recovery at 397 the beginning of the 21<sup>st</sup> century do not show any difference between trees growing in pure 398 and mixed stands (H2, Fig. 2). Vitali et al. (2017) have shown that fir trees growing at lower 399 400 altitude in South-Western Germany are more drought-prone when compared to those at higher 401 altitudes. They also assessed fir drought sensitivity by focusing on drought events of 1976 and 402 2003 using resilience and recovery as response variables. Our results corroborate those of 403 Vitali et al. (2017), fir trees at higher altitude (>800m) had higher resilience and resistance 404 indices that those growing lower down. Recovery, however, was the same at both elevations

405 considered in this study. In 2003, we saw a positive effect of increasing tree mixture on all

406 three indices, fir trees growing in mixed and admixed stands shown higher recovery,

407 resilience and resistance to drought than those in monoculture (H2, Fig.6).

408 Scots pine

409 Confirming recent findings (Castagneri et al., 2015; González de Andrés et al., 2017a; Matías et al., 2017), radial growth of Scots pine in our study area was found to be positively 410 correlated with late winter and spring temperature, as well as with precipitation in June (Fig. 411 S4). Moreover, LMER analysis revealed high sensitivity of this species to increasing summer 412 413 temperature and drought (Fig. 4). Scots pine has been shown to benefit from increasing spring temperature, but it also experiences summer drought-related stress (González de Andrés et al., 414 415 2017a; Matías et al., 2017; Thabeet et al., 2009). Scots pine responses to climate change vary 416 across latitudinal and altitudinal gradients (Matías et al., 2017), however. While trees from northern populations respond positively to increasing summer temperature, the opposite effect 417 was observed in southern Mediterranean. Similarly, trees growing at high-altitude sites react 418 positively to summer temperature, whereas negative effects prevail in lower-altitude 419 populations (Matías et al., 2017). 420

Due to the distribution of pine in our area, we could describe long-term variation of its BAI 421 only at elevations of less than 800 m a.s.l. Radial growth of pine trees in the study region 422 showed a mildly decreasing trend over the past century (Fig. 3), indicating that environmental 423 conditions at low elevation correspond to those at the trailing edge of Scots pine distribution 424 425 in Europe. Similarly, Matías et al. (2017) predict that radial growth of pine will decrease at low-altitude sites in the near future. Increasing summer temperature (Fig. S5) may be the 426 reason behind the decreases of growth rate of this species (González de Andrés et al., 2017a). 427 428 It is also possible that the negative BAI trend of Scots pine in our study area was partly

caused by the change of management towards single tree selection forest management. Pine,
as the most light demanding of investigated species, is likely to be negatively affected by
increasingly closed canopy. Negative impact of the change of forest management on this
species is supported by the very low cover of pine regeneration compared to the vigorous
regrowth of beech, fir and spruce (Fig. S11). Extreme climatic events could play a
contributing role, resilience and resistance indices describing BAI reaction to the 1976
drought are significantly smaller than 1 and so is the resistance index in 1952 (Fig. 5).

# 436 Norway spruce

Tree ring width records show that, at the beginning of the 20<sup>th</sup> century, Norway spruce was by 437 far the most productive of the four species in this region. Spruce radial growth was more than 438 439 twice that of pine or beech and considerably higher than that of fir. This did not last, we 440 observed a continuous decline of spruce growth, with no detectable change of trend due to increasing pollution (1970–90) or subsequent clean up (mid 1990's onwards). Norway spruce 441 at this location did not benefit from an extensive transition to single tree selection 442 management either, resulting in the lowest current productivity of all considered species. A 443 leading thesis posits that Norway spruce is the least adapted to climate warming and drying 444 445 when compared to the other species considered here, spruce has been suggested to be more drought-sensitive than co-occurring silver and Douglas fir (Vitali et al., 2017). Indeed, spruce 446 447 has repeatedly been shown to be limited by summer drought, possibly due to its shallow root 448 system (Kolář et al., 2017). This leads to beech, but also fir, outperforming spruce trees in 449 competition for soil nutrients and available water which has been reduced due to recent climate change (Bolte and Villanueva, 2006). 450

451 Our observations of continuous decline of Norway spruce radial growth are in contradiction452 with several studies that report its recovery following the reduction of air pollution in the

1990s (Cienciala et al., 2018; Hauck et al., 2012; Kolář et al., 2015). There is no clear 453 explanation for this interesting finding, the most likely is a combination of several factors of 454 rapid environmental change which renders the area unsuitable for Norway spruce. Despite 455 456 significant reduction of atmospheric pollution loading after 1990, concentration of heavy metals such as mercury and arsenic remains high in the upper soil layer (Fig. S12-S14; Čurlík 457 and Šefčík, 2012). In this region, a relatively high amount of sulphur was found in spruce 458 459 needles (Maňkovská, 1991) and trees were heavily affected by both Honey fungus (Armilaria *mellea* (Vahl) P. Kumm) and bark beetle (*Ips typographus* L.) (Jakuš, 1998). Although we can 460 exclude the bark beetle, having cored only trees with no sign of attack, it has been argued that 461 462 spruce weakened by pollution and biological agents is more susceptible to climate warming and drought stress (Cienciala et al., 2017). Some recent studies show that spruce not affected 463 by air pollution and soil contamination can benefit from warmer but not drier conditions 464 465 (Cienciala et al., 2018; Kolář et al., 2015). This suggests that trends described at continental scale may not be applicable to all populations and local environmental factors must always be 466 467 considered.

Over the last century, the growth rate of spruce populations has collapsed along the entire 468 altitudinal gradient considered in this study (500–1100 m a.s.l.). Hlásny et al. (2011) 469 modelled tree growth under climate change and suggested that spruce productivity will 470 increase at elevations of over 1,200 m a.s.l.in Central Europe by the end of the 21st century. 471 Looking at resilience and resistance indices describing effects of 1976, 1992 and 2003 472 droughts on Norway spruce at both higher and lower elevations (Fig. 5), predictions of future 473 474 spruce productivity at high elevation might need to be reconsidered in locations where the species seems to inhabit an increasingly unsuitable climatic envelope. 475

476

# 477 **Conclusions**

Our results show significant shifts in radial growth of four tree species during the last hundred 478 years or so. At the beginning of the 20<sup>th</sup> century, the population of Norway spruce in the study 479 area started as the most productive, only to end up as the least productive today. Silver fir and 480 European beech have responded positively to recent environmental change, suggesting much 481 better productive potential under near-future climate. We saw limited evidence of the positive 482 role of tree species diversity in increasing tree productivity, apart from much better recovery, 483 resistance and resilience of fir BAI in relation to the 2003 drought when growing in mixtures. 484 The study highlights the utility of dendrochronological studies for investigating future 485 suitability of species and the need to carry out such studies with regional or local focus as 486 487 observations from large-scale trends are not likely to be replicated across distributional ranges of tree species. 488

489

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769	Supplementary Material
770	<b>Table S1</b> Analysis of variance of the linear mixed-effect model (Eq. 2) for the four species.
771	$\mathbf{R}^2$ total includes the variance explained by the random factor while $\mathbf{R}^2$ fixed is the variance
772	explained solely by the fixed factors. AIC is the Akaike Information Criterio.
773	Table S2 Multiple comparisons of linear mixed model to test the differences in resilience,
774	resistance and recovery indices between species within altitudinal zones. The LME included
775	interaction between species and altitudinal zones. Tukey test using "glth" function in
776	"multcomp" R package was used.
777	Table S3 Multiple comparisons of linear mixed model to test the differences in resilience,
778	resistance and recovery indices between mixture variants for the four species. The LME
779	included interaction between species and altitudinal zones. Tukey test using "glth" function in
780	"multcomp" R package was used.
781	
782	Figure S1 Aerial photography of the study area taken in 1950 and 2013 to compare changes
783	in forest cover during the intervening period.
784	Figure S2 Diameter at breast height (DBH) distribution of European beech, Scots pine, Silver
785	fir and Norway spruce trees growing at the site in defined mixture types.
786	Figure S3 Diameter at breast height (DBH) distribution of European beech, Scots pine, Silver
787	fir and Norway spruce trees growing at the site split by altitude category (Lower – below to
788	800 m a.s.l. and Higher – above 800 m a.s.l.)
789	Fig. S4 Responses of silver fir (A1: lower, A2: higher altitude); European beech (B1: lower,

B2: higher altitude); Norway spruce (C1: lower, C2: higher altitude) and Scots pine (D1: 

lower altitude) to climate variation. Modified exponential function (MEF) was used to remove 

non-climatic variation from the TRW series. Ring-width indices (RWI) were then obtained for 792 793 individual TRW series by calculating the ratio between ring width and the fitted values of the MEF. Finally, bootstrapped correlation function in R package "bootRes" (Zang and Biondi, 794 795 2013) was used to identify significant responses. Fir trees was found to be significantly driven by summer temperature and drought (sc-Palmer Drought Index). No differences in responses 796 797 were observed between the contrasting altitudinal categories. Spruce trees at the lower 798 altitudes (<800 m a.s.l.) were found to negatively respond to summer temperature of the year 799 in which the tree ring is formed as well as to summer temperature of the previous year. The species negatively reacted to summer drought of the previous year. In contrast, spruce at 800 801 higher elevations showed no reaction to climate variation. Pine trees were found to positively respond to late winter temperatures (January to March) and June precipitation totals. 802 803 Figure S5 Long-term trends of temperature and precipitation with identification of major abrupt climate events (high temperature and less precipitation at the same time) at the higher 804

805 (1000 m a.s.l.) and lower (600 m a.s.l.) elevations above sea level during the study period.

Figure S6 Regression coefficients of a linear mixed-effects model contrasting how current
year basal area increment of four tree species is affected by competition, climate and species
diversity. The competition is represented by crown quality classes and species diversity by the
Shannon's diversity index. Since the interpretation of the main effects when testing
interaction terms can be misleading, only the estimates of the interaction terms are presented.
The whiskers denote the 99% confidence intervals.

Figure S7 Interactive effects of diameter at breast height (DBH) and temperature (jun-aug) on
basal area increment (BAI) of silver fir, European beech, Norway spruce and Scots pine.

814	Figure S8 Interactive effects of diameter at breast height (DBH) and summer precipitation
815	(jun-aug) on basal area increment (BAI) of silver fir, European beech, Norway spruce and
816	Scots pine.

- Figure S9 Interactive effects of Shannon's diversity index and temperature (jun-aug) on basal
  area increment (BAI) of silver fir, European beech, Norway spruce and Scots pine.
- **Figure S10** Interactive effects of crown quality index (0-1) and temperature (jun-aug) on
- basal area increment (BAI) of silver fir, European beech, Norway spruce and Scots pine.
- **Figure S11** Proportion of plots with regeneration of each species (left axis) and the average
- cover of regeneration within the plots where it occurred (right axis). Natural regeneration was
- visually assessed on each of 344 sample plots at the time of taking core samples.