

# Assimilation of all-sky seviri infrared brightness temperatures in a regionalscale ensemble data assimilation system

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ASSIMILATION OF ALL-SKY SEVIRI INFRAKED BRIGHTNESS
TEMPERATURES WITH NONLINEAR BIAIS CORRECTIONS IN A
<b>REGIONAL-SCALE ENSEMBLE DATA ASSIMILATION SYSTEM</b>
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# ABSTRACT

Ensemble data assimilation experiments were performed to explore the abil-16 ity of all-sky infrared brightness temperatures and nonlinear bias corrections 17 (BC) to improve the accuracy of short-range forecasts used as the prior anal-18 yses during each assimilation cycle. Satellite observations sensitive to clouds 19 and water vapor in the upper troposphere were assimilated at hourly intervals 20 during a 3-day period. Linear and nonlinear conditional biases were removed 2 from the infrared observations using a Taylor series polynomial expansion of 22 the observation-minus-background departures and BC predictors sensitive to 23 clouds and water vapor or to variations in the satellite zenith angle. Assimi-24 lating the all-sky brightness temperatures without BC degraded the analyses 25 based on comparisons to radiosonde observations. Bias-correcting the satel-26 lite observations substantially improved the results, with predictors sensitive 27 to the location of the cloud top having the largest impact. Experiments em-28 ploying the observed cloud top height or observed brightness temperatures as 29 the bias predictor generally had the smallest errors because the cloud-sensitive 30 BC predictors were able to more effectively remove large conditional biases 31 for lower brightness temperatures associated with a deficiency in upper-level 32 clouds in the model analyses. Additional experiments showed that it is benefi-33 cial to use higher order nonlinear BC terms to remove the bias from the all-sky 34 satellite observations. This was demonstrated by the tendency for the higher 35 order predictors to have a neutral-to-positive impact on the temperature and 36 wind fields, while also greatly improving the cloud and water vapor fields. 37

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# 38 1. Introduction

Indirect observations of the atmosphere, ocean, and land surface conditions obtained using so-39 phisticated satellite remote sensing instruments are an indispensable component of the global ob-40 serving system. For numerical weather prediction (NWP) applications, satellite radiances from 41 visible, infrared, and microwave bands provide important information about atmospheric vari-42 ables, such as temperature, winds, water vapor, and clouds, as well as lower boundary variables 43 such as soil moisture, vegetation biomass, and sea surface temperatures. Satellite observations can 44 also be used to detect the presence of aerosols and trace gases that are important for health and air 45 quality models. Recent enhancements to the global satellite observing system through deployment 46 of more accurate sensors onboard geostationary and polar-orbiting satellite platforms has made it 47 possible to routinely monitor environmental conditions with high spatial and temporal resolution 48 across the entire globe (Klaes et al. 2007; Strow 2013; Bessho et al. 2016; Schmit et al. 2017). 49

As satellite remote sensing capabilities have expanded and improved during the past several 50 decades, substantial progress has also been made in our ability to extract more information from 51 these important observations through development of advanced data assimilation (DA) methods 52 and more accurate NWP models. Despite using only a small percentage of all available observa-53 tions, satellite brightness temperatures and derived products such as atmospheric motion vectors 54 still constitute more than 90% of the observations that are actively assimilated in most operational 55 global NWP models (Bauer et al. 2010). Satellite observations are especially important in data 56 sparse regions or for model state variables such as clouds and water vapor for which conventional 57 in situ observations with high spatial and temporal resolution are not available. 58

<sup>59</sup> Until the past decade, however, almost all efforts within the operational and research DA com-<sup>60</sup> munities were directed toward optimizing the use of clear-sky brightness temperatures. This point

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of emphasis was not made because cloud-impacted observations were deemed unimportant, but 61 rather, was due to the difficulty of using them in existing DA systems (Errico et al. 2007). Indeed, 62 until the recent development of all-sky DA methods, the need to exclude observations impacted 63 by clouds and precipitation meant that only a small percentage of available satellite observations 64 were actively assimilated at global NWP centers (Yang et al. 2016). This limitation is even more 65 severe for regional-scale NWP models where the entire domain may be covered by clouds (Lin et 66 al. 2017). Though more effective assimilation of clear-sky satellite brightness temperatures has 67 contributed to a steady increase in forecast skill, neglecting observations impacted by clouds is 68 problematic because they tend to be located in dynamically active regions where the generation of 69 more accurate initialization datasets through better use of these observations could help constrain 70 potentially rapid error growth in NWP models (McNally 2002). 71

Observations sensitive to clouds and precipitation are challenging to use for a variety of rea-72 sons (Errico et al. 2007). For example, though observation-minus-background (OMB) departure 73 statistics are generally close to Gaussian for clear-sky observations, they can have substantial non-74 Gaussian error characteristics in the presence of clouds and precipitation (Bocquet et al. 2010; 75 Okamoto et al. 2014; Harnisch et al. 2016; Otkin et al. 2018). Short-range model forecasts used 76 as the first guess often exhibit large errors in the placement and characteristics of clouds and pre-77 cipitation. Limited predictability of small-scale features and the difficulty of accurately modeling 78 moist processes means that it is common for the model first guess to have much larger errors for 79 clouds and precipitation than it does for dynamical variables such as temperature and geopoten-80 tial height (Fabry and Sun 2010). Though representativeness errors can usually be ignored when 81 assimilating clear-sky observations primarily sensitive to temperature, they become important for 82 cloud-affected observations because they can lead to very large OMB departures that hinder their 83 assimilation (Geer and Bauer 2011; Geer et al. 2012; Okamoto 2013). It is also more difficult to 84

quantify the observation and model background errors because it can be challenging to separate 85 signals associated with the individual atmospheric and land surface variables that contribute to the 86 sensitivity of a given satellite observation (Bauer et al. 2011). Another prominent problem is the 87 difficulty of modeling complex cloud properties in the radiative transfer models used to compute 88 the model-equivalent brightness temperatures. Nonlinear error characteristics due to deficiencies 89 in the radiative transfer and NWP models could lead to erroneous analysis increments in the model 90 state variables that in turn could impact balance and stability during the first few hours of the fore-91 cast (Errico et al. 2007). Last, it is also important to account for correlated observation errors 92 because they can become very large in the presence of clouds and precipitation (Bormann et al. 93 2011, 2016; Campbell et al. 2017). 94

Despite these and other issues that make it challenging to assimilate cloud-sensitive observa-95 tions, substantial progress has still been made during the past decade (Geer et al. 2017, 2018). 96 Successful efforts to assimilate all-sky satellite observations have occurred in tandem with im-97 provements in the representation of water vapor and cloud features in NWP models and advances 98 in the ability of radiative transfer models to accurately model radiative fluxes in clouds. These 99 efforts have also been aided through the widespread adoption of four-dimensional variational 100 (4DVAR) and ensemble DA methods that can more easily extract information about dynamical 101 variables from cloud- and moisture-sensitive observations (Geer et al. 2014; Lien et al. 2016; 102 Zhu et al. 2016). For example, Peubey and McNally (2009) demonstrated that four-dimensional 103 variational methods could extract useful information about the wind field from moisture-sensitive 104 satellite observations through the "tracer-advection" mechanism. Likewise, ensemble DA systems 105 can infer the temperature, water vapor, and wind fields through ensemble covariances that link the 106 model state variables to the simulated observations (Zhang et al. 2011; Houtekamer and Zhang 107 2016). Compared to DA methods that only assimilate clear-sky satellite observations, an impor-108

tant benefit of an all-sky DA approach is that it provides a unified treatment of cloud-free and
cloud-impacted observations that negates the need to perform potentially unreliable and expensive
cloud detection procedures (Bauer et al. 2010). An all-sky DA approach also promotes a more
balanced use of satellite observations in clear and cloudy areas that helps overcome the tendency
for operational DA systems to assimilate substantially more observations in regions that are not
affected by clouds or precipitation (Geer et al. 2017).

Early efforts to assimilate all-sky satellite observations focused on microwave sounding channels 115 that are sensitive to water vapor and non-precipitating cloud particles (Bauer et al. 2010). These 116 channels were initially chosen because they have more Gaussian error characteristics than cloud-117 sensitive infrared and visible channels, thereby making them a logical starting point to explore the 118 assimilation of all-sky observations. Whereas it was once thought that it may prove too difficult 119 to assimilate water vapor and cloud-sensitive satellite observations (e.g., Bengtsson and Hodges, 120 2005), their impact has increased greatly in recent years (Geer et al. 2018). The direct assimilation 121 of all-sky microwave observations was first accomplished in an operational DA system in 2009 at 122 the European Centre for Medium-range Weather Forecasting (ECMWF) (Bauer et al. 2010). Since 123 then, the impact of these observations has risen to nearly 20% (Geer et al. 2017), as measured using 124 the forecast sensitivity observation impact metric (Langland and Baker 2004). This rapid increase 125 in their impact means that all-sky microwave observations have become one of the most important 126 sources of data in the ECMWF model, with an impact comparable to clear-sky satellite radiances 127 and conventional observations. More recently, the National Centers for Environmental Prediction 128 has also started to assimilate all-sky microwave observations in their operational global forecasting 129 system (Zhu et al. 2016). Numerous studies have documented the benefits of assimilating all-sky 130 microwave observations in global and regional modeling systems (e.g., Aonashi and Eito 2011; 131

<sup>132</sup> Geer et al. 2014; Yang et al. 2016; Kazumori et al. 2016; Baordo and Geer 2016; Zhang and Guan
<sup>133</sup> 2017; Lawrence et al. 2018; Wu et al. 2019).

In contrast to the extensive resources that have been directed by the operational DA community 134 toward the assimilation of all-sky microwave observations, much less attention has been given to 135 increasing the use of cloud-sensitive infrared brightness temperatures. Indeed, until the past few 136 years, most studies that explored the assimilation of all-sky infrared observations have done so 137 using research models or within the context of observing system simulation experiments (OSSEs). 138 Early studies by Vukicevic et al. (2004, 2006) assimilated cloudy-sky infrared brightness tem-139 peratures from the 10.7- and 12.0- $\mu$ m bands on the Geostationary Operational Environmental 140 Satellite (GOES) Imager using a 4DVAR assimilation system. Observations from these atmo-141 spheric window bands were shown to improve the depiction of upper-level ice clouds; however, 142 they had less impact on liquid clouds occurring lower in the troposphere. Subsequent studies by 143 Stengel et al. (2009, 2013) found that assimilation of cloud-impacted infrared observations from 144 the 6.2- and 7.3- $\mu$ m water vapor channels on the Spinning Enhanced Visible and Infrared Imager 145 (SEVIRI) sensor led to more accurate analyses and forecasts in a high-resolution regional-scale 146 model. Other investigators proposed several methods that could be used to assimilate information 147 from cloud-impacted observations from hyperspectral sounders onboard polar-orbiting satellite 148 platforms (Heillette and Garand 2007; Pavelin et al. 2008; McNally 2009; Pangaud et al. 2009; 149 Guidard et al. 2011; Lupu and McNally 2012). All of these methods were designed to estimate 150 the cloud top pressure or effective cloud amount, with these parameters then fed to the DA system. 151 This process enabled the assimilation of some cloud information from these observations. 152

The direct assimilation of cloud and water vapor sensitive infrared brightness temperatures has also been investigated using regional-scale OSSEs. Most of these studies employed ensemble DA systems and were used to examine the potential impact of assimilating observations from the Ad-

vanced Baseline Imager (ABI) onboard the GOES-R satellite (currently GOES-16 and GOES-17). 156 In studies assimilating both clear- and cloudy-sky brightness temperatures from the ABI 8.5  $\mu$ m 157 band, Otkin (2010, 2012a) showed that their assimilation improved the cloud field and that it was 158 necessary to use a short horizontal localization radius to account for small-scale cloud features 159 in the infrared observations. A subsequent study by Otkin (2012b) revealed that assimilation of 160 all-sky observations from the three water vapor sensitive bands on the ABI sensor had a large pos-161 itive impact on 6-h precipitation forecasts during a high-impact winter storm. Jones et al. (2013a, 162 2014) examined the impact of simultaneously assimilating all-sky ABI brightness temperatures 163 and Doppler radar reflectivity observations for an extratropical cyclone, where it was found that 164 the most accurate analyses and forecasts were obtained when both observation types were assimi-165 lated because they are sensitive to different portions of the cloud field. The radar observations had 166 a large positive impact on the cloud and wind fields in the lower troposphere, whereas the satel-167 lite observations provided additional improvements in the cloud and moisture fields in the upper 168 troposphere. Other OSSE studies have shown similar positive results for various weather features, 169 such as mesoscale convective systems and tropical cyclones (Zupanski et al. 2011; Cintineo et al. 170 2016; Zhang et al. 2016; Minamide and Zhang 2017, 2018; Pan et al. 2018). 171

Results from the various OSSE studies have been used to inform ongoing efforts by various 172 groups to assimilate real all-sky infrared brightness temperatures and satellite-derived products. 173 Most of these studies have focused on optimizing methods to assimilate data from geostationary 174 satellite sensors in regional-scale ensemble DA systems. Geostationary satellite observations are 175 very useful for these models because they are the only source of cloud and water vapor informa-176 tion with high spatial resolution. Moreover, unlike polar-orbiting satellites, geostationary sensors 177 are also able to provide frequent observation updates that cover most, if not all, of the model 178 domain. Some recent studies have shown positive results when assimilating satellite-derived prod-179

<sup>180</sup> ucts such as cloud water path or layer precipitable water (Jones et al. 2013b, 2015, 2016, 2018;
<sup>181</sup> Schomburg et al. 2015; Jones and Stensrud 2015; Kerr et al. 2015; Wang et al. 2018), whereas
<sup>182</sup> other studies have explored the direct assimilation of all-sky infrared brightness temperatures.
<sup>183</sup> Regardless, there is great potential in assimilating all-sky observations from geostationary satel<sup>184</sup> lite sensors in regional-scale models because clouds are the first observable aspect of convective
<sup>185</sup> systems (Gustafsson et al. 2018; Kurzrock et al. 2019).

Okamoto (2013) showed a slightly positive impact on temperature and wind analyses and 6-186 h forecasts when a subset of infrared brightness temperatures depicting spatially homogeneous 187 clouds in the middle and upper troposphere were assimilated. Subsequent studies by Okamoto et 188 al. (2014) and Harnisch et al. (2016) developed cloud-dependent all-sky observation error models 189 where the error is allowed to vary as a function of a diagnosed cloud impact parameter. Similar 190 in construct to the "symmetric" observation error model developed by Geer and Bauer (2011) for 191 all-sky microwave observations, both models assign the largest errors to the most strongly cloud-192 impacted observations given greater uncertainties in both the NWP and radiative transfer models 193 in cloudy scenes. Minamide and Zhang (2017) have proposed an alternative method, known as 194 adaptive observation error inflation, that scales the observation errors as a function of the first guess 195 departure, with the largest errors given to observations with the largest departures. Application of 196 these dynamical observation error models to all-sky infrared brightness temperatures generally 197 leads to more Gaussian departure statistics, thereby promoting a more effective assimilation of 198 these observations. 199

Other studies have shown that assimilation of all-sky infrared observations from geostationary satellite sensors can improve forecasts for tropical cyclones, floods, and severe thunderstorms (Zhang et al. 2016, 2018; Honda et al. 2018a,b; Minamide and Zhang 2018). In particular, these case studies revealed that assimilation of all-sky observations improved the prediction of the mid-

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level mesocyclone during a tornadic thunderstorm and the structure of the inner core and outer 204 rainband regions for several tropical cyclones. More accurate precipitation forecasts were also 205 shown to lead to more skillful flood forecasts from a river discharge model (Honda et al. 2018b). 206 Though the direct assimilation of all-sky infrared brightness temperatures is currently not included 207 in any operational DA systems, Geer et al. (2019) present promising early results from a semi-208 operational implementation of the ECMWF model. Their study assimilated all-sky observations 209 from seven water vapor sensitive bands on the Infrared Atmospheric Sounding Interferometer 210 sensor onboard the polar-orbiting Metop-A and Metop-B satellites. It was shown that the newly-211 developed all-sky DA approach gave results that were as good or better than the existing clear-212 sky-only approach, with the largest benefits found in the tropics where short-range forecasts were 213 improved throughout the troposphere and stratosphere. 214

In this study, we advance efforts to assimilate all-sky infrared brightness temperatures from 215 the cloud and water vapor sensitive 6.2- $\mu$ m band on the SEVIRI sensor using a pre-operational 216 version of the Kilometer-scale Ensemble Data Assimilation (KENDA) system run at the German 217 Deutscher Wetterdienst (DWD). Experiments are run in which the nonlinear bias correction (NBC) 218 method developed by Otkin et al. (2018) is used to remove systematic biases from the all-sky ob-219 servations prior to their assimilation. Given the proven utility of clear-sky satellite BC methods 220 (Eyre 2016), it is necessary to develop cloud-dependent BC methods for all-sky infrared brightness 221 temperatures to make full use of these observations within modern DA systems. Cloud-dependent 222 biases can occur for a variety of reasons. For example, deficiencies in the forward radiative trans-223 fer model used to compute the model-equivalent brightness temperatures, or the inability of the 224 parameterization schemes in the NWP model to accurately represent the spatial extent, thickness, 225 and optical properties of clouds, can introduce systematic errors that vary as a nonlinear function 226 of some cloud property, such as cloud top height (Dee 2005; Dee and Uppala 2009; Mahfouf 2010; 227

Otkin and Greenwald 2008; Cintineo et al. 2014; Eikenberg et al. 2015). Though the accuracy of radiative transfer models has improved greatly in recent years, there are still large uncertainties regarding the specification of cloud properties, especially for ice clouds (Yang et al. 2013; Baum et al. 2014; Yi et al. 2016).

Most BC methods use a set of predictors describing aspects of the atmospheric state or charac-232 teristics of the satellite data to remove biases from the OMB departures (Eyre 2016). So-called 233 "static" BC methods use a set of departures accumulated over long periods of time outside of the 234 DA system to estimate and remove biases from the observations (Eyre 1992; Harris and Kelly 235 2001; Hilton et al. 2019). In contrast to the non-time-varying BC coefficients derived using static 236 methods, variational BC (VarBC) methods update the BC coefficients during each DA cycle using 237 an augmented control vector (Derber et al. 1991; Parrish and Derber 1992; Derber and Wu 1998; 238 Dee 2005; Auligne et al. 2007; Dee and Uppala 2009; Zhu et al. 2014, 2016). Recently, Zhu et al. 239 (2016) expanded an existing operational VarBC method so that it could be used to remove biases 240 from all-sky microwave observations. To reduce errors associated with mismatched cloud fields, 241 the BC coefficients with this method were computed using only situations where both the observed 242 and model-equivalent brightness temperatures were diagnosed as clear or cloudy. Though most 243 studies have focused on variational or hybrid DA systems, several studies have also explored their 244 use in ensemble DA systems (Szunyogh et al. 2008; Fertig et al. 2009; Stengel et al. 2009, 2013; 245 Miyoshi et al. 2010; Araveguia et al. 2011; Cintineo et al. 2016). 246

<sup>247</sup> BC methods typically assume that a linear relationship exists between the OMB departure bias <sup>248</sup> and a given set of predictors. Though previous studies have shown that linear BC methods are <sup>249</sup> able to effectively remove biases from clear-sky satellite observations, these methods are subop-<sup>250</sup> timal if the observation bias varies as a nonlinear function of some predictor. Otkin et al. (2018) <sup>251</sup> showed that nonlinear conditional biases are more likely to occur for cloudy observations, which

necessitates development of BC methods that can more easily capture complex error patterns when 252 assimilating all-sky observations. Their study also showed that cloud-sensitive predictors, such as 253 cloud top height or the brightness temperatures themselves, are most effective at removing biases 254 from all-sky infrared observations. In this study, we build upon the work of Otkin et al. (2018) by 255 assessing the ability of linear and nonlinear BC predictors in the context of all-sky infrared bright-256 ness temperature assimilation to improve short-range (1-h) forecasts in an ensemble DA system. 257 The paper is organized as follows. The DA framework is described in section 2, with assimilation 258 results using different linear and nonlinear BC predictors presented in section 3. Conclusions and 259 a discussion are presented in section 4. 260

# 261 2. Experimental Design

# 262 a. SEVIRI Satellite Datasets

The DA experiments performed during this study employed all-sky infrared brightness tempera-263 tures from the SEVIRI sensor onboard the Meteosat Second Generation satellite, along with cloud 264 top height (CTH) retrievals provided by the EUMETSAT Nowcasting Satellite Applications Fa-265 cility. The SEVIRI sensor observes the top-of-atmosphere radiances across 12 visible and infrared 266 spectral bands, with a nadir resolution of 3 km for all infrared bands (Schmetz et al. 2002). This 267 study focuses on the assimilation of clear and cloudy-sky brightness temperatures from the 6.2  $\mu$ m 268 band sensitive to clouds and water vapor in the upper troposphere. Under clear-sky conditions, the 269 weighting function for this band peaks near 350 hPa for a standard mid-latitude atmosphere; how-270 ever, it will shift upward and become truncated near the cloud top when clouds are present due to 271 increased scattering. It will also peak at a higher (lower) atmospheric level if more (less) water 272 vapor is present in the middle and upper troposphere. The dual sensitivity of this band to clouds 273

and water vapor is advantageous for DA applications because increasing moisture and increasing
cloud optical thickness influence the infrared brightness temperatures in a similar way. The resultant smoother dependence between water in its vapor and condensed (cloud) states will generally
lead to more Gaussian statistics than would occur with an infrared atmospheric window band that
has little or no sensitivity to water vapor.

As will be discussed in Section 3, CTH retrievals derived from SEVIRI observations were used 279 as one of the BC predictors during the DA experiments. With this dataset, the CTH is estimated for 280 each satellite pixel by first computing a simulated clear-sky 10.8  $\mu$ m brightness temperature using 281 the Radiative Transfer for TOVS (RTTOV) radiative transfer model (Saunders et al. 1993) and 282 temperature and water vapor profiles from the global NWP model run at the DWD (Majewski et 283 al. 2002). An opaque cloud is then inserted in the atmospheric profile at successively higher levels 284 until the difference between the observed and simulated brightness temperatures is minimized 285 (Derrien and Le Gleau 2005). The CTH retrievals have a nominal vertical resolution of 200 m; 286 however, their uncertainty is larger for semi-transparent clouds (Le Gleau 2016). To minimize 287 the impact associated with spatially correlated errors, the CTH retrievals and SEVIRI brightness 288 temperatures were horizontally thinned by a factor of five in the meridional and zonal directions. 289 This reduces their horizontal resolution to  $\sim$ 20-25 km across the model domain, which is  $\sim$ 8 times 290 coarser than the resolution of the NWP model employed during this study. 291

#### <sup>292</sup> b. KENDA Data Assimilation System

Ensemble DA experiments were performed using a research version of the KENDA system (Schraff et al. 2016) used at the DWD. A major development focus of KENDA in recent years has been the inclusion of cloud- and precipitation-sensitive observations that can be used to constrain the cloud and thermodynamic fields in convection-resolving models. KENDA employs a local

ensemble transform Kalman filter (Hunt et al. 2007) during the analysis step and the Consortium 297 for Small-scale Modeling (COSMO) NWP model (Baldauf et al. 2011) during the forecast step. 298 All of the DA experiments were run on the COSMO-DE domain covering Germany and parts 299 of surrounding countries with 2.8 km horizontal resolution. With this version of KENDA, the 300 lateral boundary conditions were obtained at hourly intervals from the COSMO-EU domain run 301 at the DWD, which in turn was driven by lateral boundary conditions from the global Icosahedral 302 non-hydrostatic (ICON) model (Zangl et al. 2015). The COSMO-DE domain contains 50 terrain-303 following vertical layers, with the model top located near 22 km (about 40 hPa). 304

The COSMO model includes prognostic variables for atmospheric temperature, pressure, hor-305 izontal and meridional wind components, and the mixing ratios for water vapor, cloud water, 306 rainwater, ice, snow, and graupel. Cloud microphysical processes are handled using a simplified 307 version of the double-moment Seifert and Beheng (2001) microphysics scheme that was reduced 308 to a single-moment scheme for computational purposes, whereas the parameterization of cloud 309 formation and decay processes is based on Lin et al. (1983). Though deep convection is explicitly 310 resolved on the COSMO-DE domain, a simplified version of the Tiedtke (1989) mass-flux scheme 311 is used to parameterize shallow convection. Atmospheric turbulence is predicted using the 2.5 312 order turbulent kinetic energy scheme developed by Raschendorfer (2001). A  $\delta$ -2 stream radia-313 tive transfer method is used to update atmospheric heating rates due to radiative effects at 15-min 314 intervals (Ritter and Geleyn 1992). 315

The DA experiments employed a 40-member ensemble, along with a deterministic run that is initialized by applying the Kalman gain matrix from the assimilation update to the deterministic model background. The ensemble and deterministic runs were initialized at 00 UTC on 28 May 2014 and then updated at hourly intervals during a 3-day period. Model-equivalent brightness temperatures for the SEVIRI 6.2  $\mu$ m band were computed using version 10.2 of the RTTOV <sup>321</sup> radiative transfer model that includes an enhanced cloud-scattering module that enables the use <sup>322</sup> of cloud hydrometeor profiles located on the NWP model vertical grid (Matricardi 2005; Hocking <sup>323</sup> et al. 2011). Vertical profiles of fractional cloud cover and ice and liquid water contents used to <sup>324</sup> compute the cloudy-sky brightness temperatures were obtained using COSMO model output and <sup>325</sup> empirical relationships developed by Kostka et al. (2014). The maximum-random cloud overlap <sup>326</sup> scheme (Raisanen 1998) was used, with the ice crystals assumed to have a hexagonal shape and <sup>327</sup> the effective particle diameters computed using the McFarquhar et al. (2003) method.

SEVIRI 6.2  $\mu$ m brightness temperatures, along with radiosonde, surface, wind profiler, and air-328 craft observations, were actively assimilated at hourly intervals during each DA experiment. The 329 corresponding model equivalents were computed at the exact observation times through inclusion 330 of the various observation operators within the COSMO model. Covariance inflation values were 331 computed at each grid point using a combination of the relaxation to prior perturbations approach 332 described by Zhang et al. (2004) and multiplicative inflation based on Anderson and Anderson 333 (1999). Covariance localization was performed by using only those observations located within 334 a specified horizontal radius of a given analysis point. An adaptive horizontal localization radius 335 was used for the conventional observations (Perianez et al. 2014); however, it was set to 35 km 336 for the all-sky SEVIRI brightness temperatures given their uniform data coverage. The vertical 337 localization scale was set to 0.7 in logarithm of pressure for the brightness temperatures, with the 338 localization height determined using the peak of the satellite weighting function for the simulated 339 brightness temperature from the deterministic run. The observation error was set to 4 K for the 340 all-sky brightness temperatures, similar to that used in Otkin (2012b) and Cintineo et al. (2016). 341 Though it may have been advantageous to use a cloud-dependent observation error model, that is 342 beyond the scope of the current study. 343

#### 344 c. Nonlinear Bias Correction Method

<sup>345</sup> Systematic biases were removed from the satellite observations using the NBC method devel-<sup>346</sup> oped by Otkin et al. (2018). This method uses a Taylor series polynomial expansion of the OMB <sup>347</sup> departures for a given satellite band to remove linear and nonlinear conditional biases from the <sup>348</sup> observations prior to their assimilation. A brief overview of the NBC method is provided here, <sup>349</sup> with the reader referred to Otkin et al. (2018) for a more detailed description. To begin, the OMB <sup>350</sup> departure vector is defined as:

$$d\mathbf{y} = \mathbf{y} - H(\mathbf{x}),\tag{1}$$

where **y** and  $H(\mathbf{x})$  are vectors containing the observed and model-equivalent brightness temperatures, respectively, and *H* is the observation operator that is used to convert the NWP model first guess fields into simulated brightness temperatures. If we assume that any biases present in the OMB departures can be described by a real function f(z) that is infinitely differentiable around a real number *c*, Eqn. 1 can be decomposed into an *N* order Taylor series polynomial expansion. A representative example in which a single predictor is used to identify biases in a given set of observations using a 3rd order expansion is shown in Eqn. 2:

$$\mathbf{dy} = \left(b_0 + b_1(z^{(i)} - c) + b_2(z^{(i)} - c)^2 + b_3(z^{(i)} - c)^3\right)_{i=1,\dots,m}$$
(2)

where *m* is the number of observations, z(i) is the predictor value for the *i*th observation,  $b_n$  are the 0...*n*th BC coefficients, and *c* is a constant that can be set to any value. The (i = 1, ..., m)notation outside the parentheses indicates that the Taylor series terms are computed separately for each element of the observation departure vector. In this example, the first two terms on the right hand side represent the constant and linear bias components, whereas the last two terms represent the nonlinear 2nd order (quadratic) and 3rd order (cubic) components. Eqn. 2 can be rewritten in matrix notation as  $d\mathbf{y} = \mathbf{A}\mathbf{b}$ , where  $\mathbf{A}$  is an  $m \ge n$  matrix containing the *n* Taylor series terms for each observation and  $\mathbf{b}$  is an  $n \ge 1$  vector containing the BC coefficients. This is an overdetermined system of *m* linear equations in *n* unknown coefficients because m > n. The BC coefficients that best fit the set of equations can be identified by solving the quadratic minimization problem, which, after adding a Tikhonov regularization term ( $\alpha I$ ) to improve its conditioning, leads to:

$$\mathbf{b} = (\alpha I + \mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T \mathbf{d} \mathbf{y}$$
(3)

The  $(\alpha I + \mathbf{A}^T \mathbf{A})$  matrix is a symmetric, *n* x *n* square matrix, thereby making it easy to compute its inverse. The Tikhonov regularization term is defined to be a multiple of the identity matrix, which is a standard approach when solving inverse problems (Nakamura and Potthast 2015). The constant  $\alpha$  was set to a very small value (10<sup>-9</sup>) following the results of Otkin et al. (2018).

For this study, the BC coefficients for the SEVIRI 6.2  $\mu$ m band were updated during each as-374 similation cycle using only the observation departure statistics accumulated during the previous 375 hour. This approach was used rather than accumulating statistics over a longer time period be-376 cause it allows the BC coefficients to quickly adapt to changes in the cloud field, such as those 377 associated with the diurnal cycle of convection and its impact on cloud properties in the upper 378 troposphere. All of the observation departures for a given assimilation cycle were used to compute 379 the BC coefficients, thereby providing a larger sample size and negating the need to identify cloud-380 matched observations. After computing the BC coefficients, they were then applied separately to 381 each observation and ensemble member. 382

# 383 3. Results

In this section, we assess the ability of all-sky infrared brightness temperatures from the SEVIRI 6.2  $\mu$ m band to improve short-range forecasts when assimilated in an ensemble DA system after

using various BC predictors to remove biases from the observations. Figure 1 shows the evolution 386 of the upper-level cloud and water vapor fields during the 3-day assimilation period, as depicted by 387 the SEVIRI 6.2  $\mu$ m brightness temperatures. At the start of the period, an extensive area of cold, 388 upper-level clouds associated with widespread precipitation extended from northwest-to-southeast 389 across the domain (Fig. 1a). As this weather feature slowly moved toward the south and weakened 390 during the next two and a half days, the lower brightness temperatures indicative of optically thick 391 clouds were steadily replaced by higher brightness temperatures as the clouds became optically 392 thinner and their spatial extent lessened. A small area of clear skies across the southwestern part 393 of the domain was shunted southward during this time period, and was replaced by a much larger 394 area of clear skies behind the departing weather feature (Fig. 1e). Within these clear-sky areas, the 395 highest brightness temperatures are associated with the driest conditions in the upper troposphere. 396 Overall, this synopsis shows that there were a wide range of cloud and water vapor conditions in 397 the upper troposphere that together support a realistic assessment of the impacts of the infrared 398 observations and bias predictors on the performance of the assimilation system. 399

## 400 a. Assessing the Impact of Nonlinear Bias Corrections

Prior work by Otkin et al. (2018) found that it was necessary to use nonlinear BC predictors 401 to remove cloud-dependent biases from passively monitored all-sky infrared brightness tempera-402 tures. Here, we extend their results by examining the impact of nonlinear BC predictors in cycled 403 DA experiments where all-sky 6.2  $\mu$ m brightness temperatures are actively assimilated. In par-404 ticular, experiments are performed where the observation bias is removed using a 0th (constant), 405 1st (linear), 2nd (quadratic), or 3rd (cubic) order Taylor series polynomial expansion of the OMB 406 departures when the observed cloud top height is used as the bias predictor. To provide complete 407 domain coverage, satellite pixels identified as clear in the EUMETSAT cloud top height product 408

were assigned a height equal to the model terrain elevation. These four experiments are hereafter 409 referred to as OBSCTH-0TH, OBSCTH-1ST, OBSCTH-2ND, and OBSCTH-3RD, respectively. 410 Results from these experiments are then compared to two baseline experiments in which the all-411 sky infrared observations are either not assimilated (No-Assim), or are actively assimilated, but 412 without using any bias corrections (No-BC). The impact of the BC predictors is assessed using 413 OMB departure statistics from the prior ensemble mean analyses accumulated at hourly intervals 414 during the 72-h assimilation period. The prior analyses are used here to provide a measure of the 415 observation impact on short-range (1-h) forecasts. 416

#### 417 1) BRIGHTNESS TEMPERATURE BIAS CORRECTION STATISTICS

To assess how the BC changes in relation to use of linear and nonlinear predictors, Fig. 2 shows 418 the 2-D probability distribution of OMB departures for the 6.2  $\mu$ m brightness temperatures from 419 the No-Assim experiment (Fig. 2a), along with the corresponding BC distributions for each DA 420 experiment. All of the distributions are plotted as a function of the observed 6.2  $\mu$ m brightness 421 temperatures. The magenta line in each panel denotes the mean of the entire distribution, whereas 422 the shorter black lines depict the conditional mean in each column. Inspection of Fig. 2a re-423 veals that, though the mean bias during the No-Assim experiment is relatively small (-0.76 K), the 424 conditional biases exhibit an asymmetrical arch-shaped pattern that is a nonlinear function of the 425 observed brightness temperatures. The conditional biases are close to zero for brightness temper-426 atures near 235 K, and remain small for brightness temperatures > 230 K; however, they become 427 progressively more negative for lower brightness temperatures. The large negative biases for the 428 lowest brightness temperatures indicate that the COSMO model forecasts are deficient in upper-429 level clouds or that there are biases in the RTTOV model used to compute the model-equivalent 430 brightness temperatures. Regardless, assimilation of observations with such large biases could 431

degrade the performance of the DA system. The simplest option is to exclude these observations,
however, that is not ideal because they still contain useful information about random errors in the
cloud field if the biases can be removed.

Inspection of the corrections applied to the infrared observations during the active DA experi-435 ments (Figs. 2b-e) reveals that the mean BC is similar for all experiments despite the 2-D distribu-436 tions having very different shapes. This occurs because the mean BC is most strongly influenced 437 by the mean bias in the full set of OMB departures (Fig. 2a) and by the tendency for larger cor-438 rections for the lower brightness temperatures to be offset by smaller corrections for the higher 439 brightness temperatures. Because the single bias predictor in the OBSCTH-0TH experiment (Fig. 440 2b) is only able to remove the mean bias during a given assimilation cycle, it has a narrower BC 441 distribution than the other experiments. There is still some spread in the corrections during this 442 experiment because the mean BC varies with time due to changes in the prevailing atmospheric 443 conditions. The constant corrections, however, are not optimal because they are unable to account 444 for the large variations in the conditional biases across the OMB distribution (Fig. 2a). In contrast, 445 more accurate corrections are obtained through application of the linear bias predictor during the 446 OBSCTH-1ST experiment (Fig. 2c), as evidenced by the smaller (larger) BC for brightness tem-447 peratures greater (less) than 230 K. The corrections for the lower brightness temperatures become 448 even larger during the OBSCTH-2ND and OBSCTH-3RD experiments (Fig. 2d, e) because the 449 additional nonlinear predictors are able to remove more of the conditional biases at those tem-450 peratures (Fig. 2a). Overall, these results indicate that the OBSCTH-2ND and OBSCTH-3RD 451 experiments provide more accurate BC in the presence of complex nonlinear bias patterns. 452

#### 453 2) BRIGHTNESS TEMPERATURE ERROR TIME SERIES

The evolution of the 6.2  $\mu$ m brightness temperature root mean square error (RMSE) and bias 454 during the 3-day assimilation period is shown in Fig. 3. The error statistics are computed using 455 the ensemble mean brightness temperatures from the prior analyses for each assimilation cycle. 456 Note that the bias is nonzero for all of the experiments because the statistics are computed using 457 output from 1-h forecasts and prior to bias-correcting the satellite observations. Overall, there is 458 a large diurnal cycle in the error statistics, with the largest RMSE and negative biases occurring 459 during the daytime (09-18 UTC), followed by smaller errors at night. This error pattern is con-460 sistent with a lack of lower brightness temperatures during the afternoon when the deficiency in 461 upper-level clouds associated with deep convection is most prominent (not shown). The large di-462 urnal differences in the bias also illustrate why it is advantageous to compute the BC coefficients 463 using observations from a single assimilation cycle because accumulation of OMB departures over 464 longer time periods would obscure these important differences and therefore make the BC method 465 less effective. 466

Inspection of the error time series reveals that the bias and RMSE are smallest during the No-BC 467 experiment, which indicates that larger improvements are realized in the forecast cloud field when 468 BC is not applied to the all-sky brightness temperatures. As will be shown in the next section, how-469 ever, the improved fits to the satellite observations during the No-BC experiment do not translate 470 into smaller errors for conventional observations that are not sensitive to clouds. Compared to the 471 No-Assim experiment, the four experiments in which bias-corrected satellite observations were 472 assimilated had similar biases, but much smaller RMSE, with values approaching those obtained 473 during the No-BC experiment. The simultaneous large reductions in RMSE and small changes 474 in bias demonstrate that even though the bias-corrected observations are unable to substantially 475

<sup>476</sup> reduce the bias, it is still possible to use them to fix random errors in the cloud and water vapor <sup>477</sup> fields. Moreover, though there is a trend toward lower RMSE in all of the experiments during the <sup>478</sup> 3-day assimilation period due to a decrease in upper-level clouds (Fig. 1), this decrease in RMSE <sup>479</sup> is larger for the experiments where infrared observations are assimilated. This result provides fur-<sup>480</sup> ther evidence that the all-sky infrared brightness temperatures are able to improve the cloud field <sup>481</sup> in the 1-h forecasts regardless of whether or not BC is applied to them prior to their assimilation.

# 482 3) CONVENTIONAL OBSERVATION ERROR ANALYSIS

To assess the impact of the nonlinear bias predictors on the thermodynamic and kinematic fields, 483 Fig. 4 shows vertical profiles of RMSE for air temperature, relative humidity, and the zonal and 484 meridional wind components computed using radiosonde observations accumulated over the 3-day 485 assimilation period and binned into 100 hPa layers. For each variable, RMSE profiles are shown 486 for the two baseline experiments (No-Assim and No-BC), followed by vertical profiles showing 487 the percentage changes in RMSE for the remaining experiments computed with respect to each of 488 the baseline experiments. This approach was used to make it easier to assess the impact of the bias 489 predictors, while still being able to show the baseline error profiles. Negative (positive) changes 490 mean that assimilation of the all-sky infrared observations decreased (increased) the errors relative 491 to a given baseline experiment and therefore improved (degraded) the prior analysis fits to the 492 radiosonde observations. Figure 5 shows the corresponding profiles of observation bias for each 493 experiment. Only raw error profiles are shown for this metric because small biases in the baseline 494 experiments make the percentage changes difficult to evaluate. 495

<sup>496</sup> Comparison of the temperature RMSE profiles for the baseline experiments reveals that the <sup>497</sup> errors are up to 2% smaller (larger) in the upper (lower) troposphere when the all-sky observations <sup>498</sup> are assimilated during the No-BC experiment (Fig. 4b). The RMSE and bias for the radiosonde

temperatures were smaller below 400 hPa when the brightness temperature biases were removed 499 during the OBSCTH experiments; however, the errors increased by several percent above this 500 level (Fig. 4c, 5a). Because the largest BC is generally applied to lower brightness temperatures 501 associated with clouds in the upper troposphere (e.g., Fig. 2), the larger errors near and above 502 the tropopause indicate that removal of the brightness temperature bias may actually lead to some 503 degradation in the fits to the radiosonde temperatures. The larger temperature errors occur during 504 all of the OBSCTH experiments, however, which suggests that they may be related to removal of 505 the mean brightness temperature bias rather than to removal of the conditional biases. It is also 506 possible that some of the cloud and water vapor information from the all-sky satellite observations 507 is being incorrectly aliased onto the temperature field. Further work is necessary to identify the 508 cause of the larger temperature errors between 300 and 100 hPa. 509

For the relative humidity observations, the RMSE from the baseline experiments is relatively 510 small near the surface, but increases rapidly to over 20% by 800 hPa. It then remains large in 511 the middle troposphere before slowly decreasing with height in the upper troposphere (Fig. 4d). 512 The bias profiles from the baseline experiments likewise indicate that the model background is 513 too dry below 800 hPa, but too moist above this level (Fig. 5b). When all-sky brightness tem-514 peratures are assimilated during the No-BC experiment, the RMSE increases throughout most of 515 the vertical profile (Fig. 4e), and the negative biases become even larger in the upper troposphere 516 (Fig. 5b). Indeed, the relative humidity errors are larger in the No-BC experiment than they are 517 in the No-Assim experiment despite the fact that the infrared observations are strongly sensitive 518 to water vapor in the upper troposphere. As discussed previously, the negative conditional biases 519 for brightness temperatures < 230 K indicate that the model background is deficient in upper level 520 clouds (Fig. 2a). Thus, it appears that trying to add clouds more forcefully through assimilation 521 of the non-bias-corrected observations leads to an incorrect aliasing of cloud information onto the 522

water vapor field. Instead of increasing the cloud condensate in response to the negative OMB departures, the assimilation instead adds more water vapor to the model analyses. In contrast, both the RMSE and bias are greatly reduced when BC is applied to the infrared observations during the OBSCTH experiments (Fig. 4f, 5b). When combined with the brightness temperature statistics shown in Fig. 3, this demonstrate that bias-correcting the all-sky infrared observations retains some cloud information during the assimilation while also improving the water vapor field.

For the zonal and meridional wind observations, the RMSE profiles from the baseline exper-529 iments have a sinusoidal appearance characterized by the largest errors in the lower and upper 530 troposphere and smaller errors in the mid-troposphere (Fig. 4g, k). The biases in the baseline ex-531 periments are generally  $< 0.2 \text{ m s}^{-1}$ , with the largest biases occurring near 600 and 700 hPa for the 532 zonal and meridional wind components, respectively (Fig. 5c, d). The RMSE generally increases, 533 especially for the meridional wind component, when the satellite observations are assimilated dur-534 ing the No-BC experiment (Fig. 4h, k). The wind errors are slightly reduced, however, when BC is 535 applied to the infrared brightness temperatures during the OBSCTH experiments (Fig. 4i, 1). Even 536 so, it is evident that assimilation of the all-sky observations leads to a slightly negative impact on 537 the mid-tropospheric winds and only a neutral to slightly positive impact in the lower troposphere 538 and near the tropopause. 539

To more clearly assess the impact of the nonlinear BC predictors on each variable, summary statistics were computed using all of the radiosonde observations during the 72-h assimilation period. Table 1 shows the percentage changes in RMSE and bias for each OBSCTH experiment relative to the No-BC experiment. Overall, it is evident that bias-correcting the infrared brightness temperatures improves the quality of the model background fields. The largest improvements (negative values) occur for the relative humidity field, with the bias reduced by at least 25% during each experiment. Smaller improvements occurred for the other variables. Comparison of the

OBSCTH experiments reveals that there is a distinct advantage to using higher order nonlinear BC 547 terms to remove the bias from the all-sky brightness temperatures. For example, the RMSE for 548 the relative humidity and wind observations steadily decrease as the BC predictor increases from 549 the 0th (OBSCTH-0TH) to 3rd (OBSCTH-3RD) order. The impact of the higher order BC terms 550 is less consistent for temperature and for the relative humidity bias; however, the errors are still 551 smaller than occurred during the No-BC experiment. Together, the results presented in this section 552 have shown that it is necessary to bias correct the infrared observations prior to their assimilation 553 and that it is generally beneficial to include nonlinear BC predictors. This was demonstrated by 554 the tendency for the higher order predictors to have a neutral-to-positive impact on the temperature 555 and wind fields, while also improving the cloud and water vapor fields. 556

#### <sup>557</sup> b. Assessing the Impact of Different Bias Predictor Variables

In this section, we assess the ability of individual bias predictor variables sensitive to clouds 558 and water vapor, or that depict variations in the satellite zenith angle, to improve the assimilation 559 of all-sky infrared brightness temperatures during cycled DA experiments. Based on results from 560 the previous section, all of the experiments employed a 3rd order polynomial expansion of the 561 OMB departures to remove biases from the satellite brightness temperatures prior to their assimi-562 lation. In addition to the OBSCTH-3RD experiment presented in Section 3a (hereafter referred to 563 as BC-OBSCTH), experiments were performed in which the observed SEVIRI 6.2  $\mu$ m brightness 564 temperatures (BC-OBSBT), satellite zenith angle (BC-SATZEN), or 100-700 hPa integrated water 565 content (BC-IWC) were used as the bias predictors. The integrated water content predictor was 566 calculated by converting the water vapor and all cloud hydrometeor mixing ratios in each model 567 layer into millimeters and then integrating over the 100-700 hPa layer. Together, these four predic-568 tors were chosen because they were also used during the passive monitoring experiments presented 569

<sup>570</sup> in Otkin et al. (2018). Here, we extend the results of that study by assessing the performance of <sup>571</sup> these bias predictor variables when they are used during active DA experiments.

#### <sup>572</sup> 1) Observation Space Diagnostics

<sup>573</sup> Figure 6 shows the evolution of the SEVIRI 6.2  $\mu$ m brightness temperature bias, RMSE, ensem-<sup>574</sup> ble spread, and consistency ratio (CR) for each experiment during the 3-day assimilation period. <sup>575</sup> The statistics were computed for each assimilation cycle using brightness temperatures from the <sup>576</sup> prior ensemble analyses. The ensemble spread is defined as:

Spread = 
$$\sqrt{\left\langle \frac{1}{N-1} \sum_{n=1}^{N} \left[ H(\mathbf{x}_n) - \overline{H(\mathbf{x}_n)} \right]^2 \right\rangle},$$
 (4)

where *N* is the ensemble size, *n* is the index of a given ensemble member, and *H* is the observation operator (e.g., RTTOV) used to compute the model-equivalent brightness temperatures. The total ensemble spread is the combination of the observation error ( $\sigma_{obs}$ , set to 4 K) and ensemble spread, such that:

Total Spread = 
$$\sqrt{\sigma_{obs}^2 + \left\langle \frac{1}{N-1} \sum_{n=1}^{N} \left[ H(\mathbf{x}_n) - \overline{H(\mathbf{x}_n)} \right]^2 \right\rangle},$$
 (5)

Finally, the RMSE and total spread are used to calculate the CR, which provides another diagnostic measure of the performance of the assimilation system:

$$CR = (Total Spread)^2 / (RMSE)^2$$
 (6)

<sup>583</sup> With the CR, a value of 1 is desired because, in an ideal situation, the total spread should equal <sup>584</sup> the RMSE for each observation type being assimilated. Values greater (less) than 1 indicate that <sup>585</sup> there is too little (too much) ensemble spread and/or that the observation error is larger (smaller) <sup>586</sup> than necessary (Dowell et al. 2004; Aksoy et al. 2009).

Inspection of the time series shows that the smallest RMSE and bias (Fig. 6a,b) occurred during the No-BC experiment, which is not surprising because assimilating non-bias-corrected obser-

vations should lead to the largest impact when assessed against themselves. Comparison of the 589 BC experiments reveals that the BC-SATZEN and BC-IWC experiments have larger biases and 590 RMSEs than the BC-OBSBT and BC-OBSCTH experiments. The larger positive impact of the 591 OBSBT and OBSCTH predictors on these two metrics is consistent with Otkin et al. (2018), who 592 showed that variables sensitive to the cloud top height are more effective at identifying biases in 593 all-sky infrared brightness temperatures. The results shown here indicate that using these predic-594 tors in active DA experiments also leads to smaller errors in the cloud and water vapor fields in the 595 prior ensemble analyses when assessed using satellite observations. 596

The ensemble spread (Fig. 6c) generally decreases during the assimilation period due to a tran-597 sition toward clearer skies and the cumulative impact of the all-sky brightness temperatures on the 598 cloud and water vapor fields. The decrease in ensemble spread is accompanied by a corresponding 599 increase in the CR (Fig. 6d), which peaks each day when the RMSE reaches its diurnal minimum. 600 Because the RMSE is smallest during the No-BC, BC-OBSCTH, and BC-OBSBT experiments 601 (Fig. 6b), they also have the largest CRs. The large CR values during all of the active DA experi-602 ments reveal that it was sub-optimal to employ the same observation error variance for both clear 603 and cloudy-sky observations during the entire assimilation period. Thus, combining an adaptive 604 all-sky observation error model with the BC method would be beneficial; however, that is left for 605 future work. In addition, inspection of rank histograms for each experiment (not shown) revealed 606 that the ensemble spread is too small. This result points toward the need to also develop methods 607 that increase the ensemble spread in cloud hydrometeors because they have the largest impact on 608 the spread in the all-sky infrared brightness temperatures. One potential option would be to use 609 the stochastic parameter perturbations method (Berner et al. 2017) to add perturbations to cloud 610 source/sink terms to account for some of the uncertainty in cloud microphysics schemes. This has 611 been shown to increase the spread in cloudy regions (Griffin et al. 2019). 612

#### 613 2) BRIGHTNESS TEMPERATURE BIAS CORRECTION STATISTICS

To further assess the behavior of each bias predictor, 2-D probability distributions of the ensem-614 ble mean BCs accumulated at hourly intervals during the 72-h assimilation period are shown for 615 each experiment in Fig. 7. Overall, the BC-OBSBT and BC-OBSCTH experiments have similar 616 distributions characterized by relatively small mean BCs for brightness temperatures > 230 K and 617 then a strong upward trend in the mean BC for lower brightness temperatures (Fig. 7a,b). Even 618 so, there are notable differences between these experiments, such as the larger BC for the lowest 619 brightness temperatures in the BC-OBSBT experiment and the wider vertical distribution for most 620 brightness temperatures in the BC-OBSCTH experiment. The BC patterns for both experiments 621 are flipped compared to the OMB departure distribution from the No-Assim experiment (Fig. 2a), 622 which is good because that means that the OBSBT and OBSCTH predictors are able to account 623 for the nonlinear, cloud-dependent conditional biases in that distribution. In contrast, the BC-IWC 624 and BC-SATZEN experiments have much smaller BCs for the lowest brightness temperatures that 625 then become larger for higher brightness temperatures. The mean BC is also larger during these 626 experiments, which indicates that the IWC and SATZEN predictors did not have the same positive 627 impact on the cloud field as the OBSBT and OBSCTH predictors. This behavior is consistent 628 with the brightness temperature bias time series shown in Fig. 6a, and provides further evidence 629 that it is necessary to use BC predictors sensitive to the cloud top height when assimilating all-sky 630 infrared brightness temperatures. 631

#### **3)** Brightness Temperature Innovations

<sup>633</sup> Next, we examine the 6.2  $\mu$ m brightness temperature innovations during each experiment using <sup>634</sup> the 2-D probability distributions shown in Fig. 8. These distributions were constructed using the <sup>635</sup> ensemble mean innovations accumulated at hourly intervals during the 72-h assimilation period.

Inspection of Fig. 8a shows that the conditional mean innovations are close to zero across the 636 entire distribution during the No-Assim experiment. This indicates that the conventional in-situ 637 observations by themselves do not have a systematic impact on the cloud and water vapor fields 638 in the upper troposphere. During the No-BC experiment (Fig. 8b), the innovation pattern is very 639 similar to the OMB departure distribution in the No-Assim experiment (Fig. 2a), with large (small) 640 innovations occurring for lower (higher) brightness temperatures. This shows that the large condi-641 tional biases for the lower brightness temperatures are strongly corrected during this experiment, 642 which is not surprising because BC was not applied to the brightness temperatures prior to their 643 assimilation. A similar pattern emerges during the BC-IWC and BC-SATZEN experiments (Fig. 644 8e, f) because their smaller BCs for lower brightness temperatures (Fig. 7c, d) meant that large in-645 novations were still possible during each assimilation cycle. In contrast, the mean innovations are 646 very small across most of the distribution during the BC-OBSBT experiment (Fig. 8c) because the 647 larger BCs for lower brightness temperatures (Fig. 7a) reduces the size of the resultant innovations. 648 The distribution for the BC-OBSCTH experiment (Fig. 8d) has some larger negative innovations 649 for the lower brightness temperatures, but is otherwise similar to the BC-OBSBT experiment. The 650 smaller innovations during the BC-OBSBT and BC-OBSCTH experiments were likely beneficial 651 because they limited potential imbalances in the model due to large analysis increments, while still 652 leading to large reductions in the RMSE and bias (Fig. 6a, b). 653

#### **4)** CONVENTIONAL ERROR ANALYSIS

Finally, we examine the impact of the infrared brightness temperatures and BC predictors on the accuracy of the prior ensemble mean analyses using OMB departure statistics accumulated during the 72-h assimilation period for the radiosonde temperature, relative humidity, and zonal and meridional wind observations. Figure 9 shows vertical profiles of RMSE for the No-Assim and <sup>659</sup> No-BC experiments, along with percentage changes in RMSE for each BC experiment, whereas
 <sup>660</sup> Fig. 10 shows the corresponding bias profiles. Summary statistics showing the percentage changes
 <sup>661</sup> in RMSE and bias during each BC experiment relative to the No-Assim and No-BC experiments
 <sup>662</sup> are shown in Tables 2 and 3, respectively.

Compared to the No-Assim experiment, the zonal and meridional wind speed errors in aggregate 663 are slightly smaller during the BC-OBSBT and BC-OBSCTH experiments, but increase by 0.5 -664 0.8% during the BC-SATZEN and BC-IWC experiments (Table 2). Inspection of the zonal wind 665 profiles (Fig. 9h, i) shows that the overall smaller RMSE during the BC-OBSBT and BC-OBSCTH 666 experiments are primarily due to larger improvements in the upper and lower troposphere, with 667 some degradation evident in the mid-troposphere. Both of these experiments also have the smallest 668 meridional wind speed errors for most of the vertical layers (Fig. 9k, 1). Indeed, the RMSE for 669 the meridional wind speed observations is 1.4% and 0.8% smaller during the BC-OBSBT and BC-670 OBSCTH experiments, respectively, compared to a neutral impact when the IWC and SATZEN 671 predictors are used (Table 3). 672

Assimilation of the infrared brightness temperatures led to very different impacts on the RMSE 673 and bias for the radiosonde temperature observations. For example, though the RMSE in each 674 experiment increased by 0.8 - 1.0% relative to the No-Assim experiment, the bias was substan-675 tially lower, with decreases ranging from -1.7% during the No-BC experiment to -6.1% for the 676 BC-SATZEN experiment (Table 2). Overall, the smallest biases are obtained during the various 677 BC experiments, with all but BC-SATZEN also having slightly smaller RMSEs than the No-BC 678 experiment (Table 3). Comparison of the vertical profiles shows that the temperature RMSEs are 679 smaller within most of the troposphere during the BC experiments (Fig. 9c); however, the presence 680 of much larger errors near the tropopause led to only a neutral to slightly positive impact when all 681 of the temperature observations are considered (Table 3). 682

For relative humidity, assimilating the infrared brightness temperatures without first removing 683 their biases led to sharply higher bias (30.1%) and RMSE (0.8%) during the No-BC experiment 684 (Table 2). In contrast, the overall RMSE and bias are much smaller during the other experiments 685 regardless of which BC predictor is used (Table 3). Compared to the No-BC experiment, the largest 686 RMSE reductions occur during the BC-OBSCTH (-1.8%) and BC-SATZEN (-1.4%) experiments, 687 with the largest bias reductions occurring during the BC-IWC (-45.2%), BC-SATZEN (-38.2%), 688 and BC-OBSCTH (-30.2%) experiments. The error profiles in Fig. 9f show that, though there 689 are some differences between the BC experiments, that the RMSEs are smaller in most of the 690 troposphere relative to the No-BC experiment. The biases are also greatly reduced in the middle 691 and upper troposphere (Fig. 10b). 692

In summary, the results presented in this section show that assimilation of infrared brightness 693 temperatures that are not bias-corrected leads to larger errors for all metrics, except for the tem-694 perature bias, relative to the No-Assim experiment. Removal of the brightness temperature biases, 695 however, greatly improves the impact of the satellite observations, with the largest percentage 696 decreases in the errors realized for the relative humidity observations. Overall, the OBSCTH and 697 OBSBT predictors were the most useful because not only did their use lead to more accurate cloud 698 and water vapor fields in the prior analyses, but they also produced the smallest RMSEs for the 699 wind and temperature fields. 700

#### 701 c. Symmetric Bias Correction Predictors

In this section, we assess the impact of using "symmetric" predictors to remove the bias from allsky infrared brightness temperatures. As discussed in the introduction, symmetric predictors that represent the average of an observed quantity and its corresponding model equivalent have been extensively used when developing all-sky observation error models. First introduced by Geer and Bauer (2011), symmetric predictors have been shown in various studies to lead to more Gaussian OMB departure statistics when a suitable cloud impact parameter is used to dynamically assign the error variance to each observation. This symmetric observation error approach is now widely used by operational DA systems that assimilate all-sky microwave radiances because it leads to more accurate forecasts through better utilization of the satellite observations.

Despite their widespread application in all-sky observation error models, it is not clear if sym-711 metric variables are effective bias predictors, especially in the presence of complex nonlinear bias 712 patterns. To explore their potential utility, two additional sets of experiments were run where the 713 cloud top height or the 6.2  $\mu$ m brightness temperatures were used as the bias predictor. These vari-714 ables were chosen because they are either a direct measure of, or are sensitive to, the cloud height, 715 which is an excellent measure of cloud impact in all-sky infrared brightness temperatures. Experi-716 ments were performed where observed (BC-OBSBT, BC-OBSCTH), simulated (BC-SIMBT, BC-717 SIMCTH), or symmetric (BC-SYMBT, BC-SYMCTH) quantities for each BC predictor variable 718 were used to remove the bias from the infrared brightness temperatures prior to their assimilation. 719 For the simulated cloud top height predictor, the cloud top was identified as the first model level 720 looking downward from the model top in which the vertically-integrated cloud hydrometeor mix-721 ing ratio was  $> 10^{-4}$  kg kg<sup>-1</sup>. All of the cloud hydrometeor species predicted by the microphysics 722 parameterization scheme were used when computing this quantity. The modeled land/ocean sur-723 face elevation was used as the predictor value when the accumulated cloud mixing ratio threshold 724 was not surpassed. The same approach was used for the observed cloud top height retrievals where 725 grid points identified as clear were also set to the model surface elevation. 726

<sup>727</sup> Summary statistics showing the percentage changes relative to the No-BC experiment for the <sup>728</sup> radiosonde temperature, relative humidity, and zonal and meridional wind speed observations are <sup>729</sup> shown in Tables 4 and 5, respectively, for experiments using the various 6.2  $\mu$ m brightness tem-

perature or cloud top height quantities as the bias predictor. These statistics were computed using 730 output from the prior ensemble mean analyses. Overall, the results show that using symmetric bias 731 predictors does not lead to a more accurate model background. For experiments using the 6.2  $\mu$ m 732 brightness temperature predictors (Table 4), the error reduction for each radiosonde observation 733 type is smaller during the BC-SYMBT experiment than it is during the BC-OBSBT experiment. 734 Likewise, when the cloud top height quantities are used as the bias predictors (Table 5), the most 735 accurate analyses are obtained when the observed quantity is used during the BC-OBSCTH ex-736 periment. The error reductions during the BC-SYMCTH experiment are either in between those 737 obtained during the BC-OBSCTH and BC-SIMCTH experiments, or are smaller than both of them. 738 A possible reason for the relatively poor performance during both of the symmetric bias predictor 739 experiments is that, with the exception of relative humidity, the error reductions are consistently 740 smaller when the simulated predictors are used to remove the bias from the all-sky infrared obser-741 vations. Thus, inclusion of the model-simulated predictor value when computing the symmetric 742 bias predictor is not beneficial. Instead, it is more effective to simply use the observed quantity as 743 the bias predictor. 744

To examine this behavior more closely, Fig. 11 shows 2-D probability distributions for the en-745 semble mean 6.2  $\mu$ m brightness temperature BCs and innovations when the simulated, observed, 746 and symmetric cloud top height bias predictors are used. Similar results are obtained for experi-747 ments employing the 6.2  $\mu$ m brightness temperature predictors (not shown). Comparison of the 748 BC distributions reveals a relatively flat pattern during the BC-SIMCTH experiment (Fig. 11a), 749 which shows that the model-simulated version of the cloud top height predictor is unable to ac-750 count for the large negative conditional biases for brightness temperatures < 230 K (Fig. 2a). The 751 smaller BCs for the lower brightness temperatures during this experiment stands in sharp contrast 752 to the much larger BCs during the BC-OBSCTH experiment (Fig. 11e). Because the symmetric 753

predictor is simply the mean of the observed and simulated quantities, the BC distribution during 754 the BC-SYMCTH experiment (Fig. 11c) is a hybrid of the BC-OBSCTH and BC-SIMCTH dis-755 tributions. As such, the smaller BCs for the lower brightness temperatures due to the impact of 756 the model-simulated quantity leads to larger innovations than occurred during the BC-OBSCTH 757 experiment (Fig 11d, f). As was shown in the previous section, experiments containing larger in-758 novations for the lower brightness temperatures associated with optically thick upper-level clouds 759 were generally less accurate when assessed using radiosonde observations. This result suggests 760 that, though symmetric predictors have been shown to improve the performance of all-sky obser-761 vation error models, they may not work as well for all-sky BC. Further studies using other satellite 762 bands and models are necessary to explore this in more detail. 763

## 764 **4. Discussion and Conclusions**

In this study, ensemble DA experiments were performed using the regional-scale KENDA sys-765 tem to evaluate the ability of all-sky infrared brightness temperatures to improve the accuracy of 766 the ensemble prior analyses used during each assimilation cycle. Observations from the 6.2  $\mu$ m 767 band on the SEVIRI sensor were assimilated at hourly intervals over a 3-day period in May 2014. 768 This infrared band is primarily sensitive to clouds and water vapor in the upper troposphere. Var-769 ious experiments were performed in which different BC predictors were used to remove biases 770 from the all-sky brightness temperatures prior to their assimilation. Results from these BC exper-771 iments were compared to baseline experiments in which the brightness temperature were either 772 not assimilated (No-BC) or were assimilated without first removing their biases (No-BC). This 773 study builds upon the passive monitoring experiments described in Otkin et al. (2018) by explor-774 ing the impact of linear and nonlinear BC predictors during experiments in which all-sky infrared 775 brightness temperatures are actively assimilated. 776
Overall, inspection of the 6.2  $\mu$ m brightness temperature OMB departure distribution from the 777 No-Assim experiment revealed that the conditional biases exhibited a nonlinear pattern character-778 ized by small biases for higher brightness temperatures and increasingly large negative biases for 779 lower brightness temperatures. Though the negative conditional biases are likely at least partially 780 due to inaccuracies in the forward observation operator, they also indicate that the model analyses 781 do not contain enough cloud condensate in the upper troposphere. This deficiency, whether due to 782 insufficient spatial coverage or cloud optical depth, represents a systematic bias in the NWP model 783 depiction of the cloud field. Thus, trying to add these upper-level clouds during an assimilation 784 cycle could be problematic because of aliasing of the cloud information onto other model state 785 variables and the tendency for the model to revert back to its preferred state during the subsequent 786 forecast period. 787

Evaluation of the No-BC experiment showed that assimilation of the infrared brightness tem-788 peratures without first removing their biases almost always degraded the accuracy of the ensemble 789 prior analyses based on larger OMB departures for the radiosonde observations. In particular, the 790 summary statistics showed that the relative humidity bias and RMSE were much larger during this 791 experiment than they were during the No-Assim experiment. Despite having strong sensitivity to 792 water vapor in the upper troposphere, assimilating infrared brightness temperatures without BC 793 actually increased the relative humidity RMSE, primarily because of a large increase in the moist 794 bias already present in the No-Assim experiment. The No-BC experiment was also characterized 795 by smaller 6.2  $\mu$ m brightness temperature OMB departures, which suggests that instead of adding 796 clouds to the analysis that the DA system instead added more water vapor. An alternative expla-797 nation is that a portion of the cloud condensate added to the ensemble posterior analyses during 798 a given assimilation cycle evaporated during the subsequent model integration period, thereby in-799 creasing the moist bias. Regardless, this result suggests that the analyses were being too strongly 800

<sup>801</sup> constrained by the all-sky infrared brightness temperatures during the No-BC experiment in situ-<sup>802</sup> ations where the model was unable to properly handle the additional cloud information.

The subsequent removal of linear and nonlinear conditional biases from the all-sky brightness 803 temperatures through use of a 3rd order polynomial expansion of the OMB departures and various 804 BC predictors led to smaller errors for all of the radiosonde observation types when compared to 805 the No-BC experiment. The largest improvements occurred for the relative humidity observations 806 where the moist bias in the upper troposphere was greatly reduced. Notable improvements also 807 occurred in the temperature bias and in the RMSE for the zonal and meridional wind speed com-808 ponents during the BC-OBSBT and BC-OBSCTH experiments. The temperature RMSE was also 809 smaller in most of the troposphere; however, a spike of larger errors near and above the tropopause 810 led to a neutral impact when all temperature observations were considered. 811

Comparison of the various predictors showed that those sensitive to the location of the cloud top 812 had the largest positive impact on the model background based on improved fits to the radiosonde 813 observations. The observed cloud top height and observed 6.2  $\mu$ m brightness temperature predic-814 tors were the best overall because their use not only led to the smallest relative humidity errors, but 815 also led to the largest error reductions for the zonal and meridional wind speed observations and 816 the smallest degradation for the temperature RMSE. Both of these predictors also improved the 817 cloud field much more than the other predictors, as signified by the smaller brightness temperature 818 RMSE and bias. The larger improvements during the BC-OBSBT and BC-OBSCTH experiments 819 were primarily due to the ability of the cloud-sensitive predictors to more effectively remove the 820 large negative biases from brightness temperatures < 230 K. The larger BCs for these clouds 821 then led to smaller brightness temperature innovations and presumably fewer model spin-up prob-822 lems during the subsequent 1-h forecasts. Additional experiments using the OBSCTH predictor 823 revealed that it was beneficial to use higher order nonlinear BC terms to remove the bias from 824

the all-sky infrared brightness temperatures. For example, the radiosonde OMB departure errors generally decreased as the order of the polynomial expansion increased from the 0th order to the 3rd order. Finally, an additional set of experiments showed that symmetric bias predictors do not improve the model analyses as effectively as the observed predictors do by themselves. This suggests that, though symmetric predictors have proven utility for all-sky observation error models, they may not be as useful when developing all-sky BC methods.

This study has shown that assimilation of all-sky infrared brightness temperatures substantially 831 improves the accuracy of the cloud and water vapor fields in the prior ensemble analyses when 832 cloud-sensitive predictors and higher order BC terms are used to remove linear and nonlinear con-833 ditional biases from the observations prior to their assimilation. Though encouraging, additional 834 studies are necessary to evaluate the ability of the NBC method and the all-sky infrared bright-835 ness temperatures to improve the model analyses during other seasons containing different cloud 836 regimes potentially characterized by different conditional bias patterns. It will also be necessary to 837 perform ensemble forecasts to evaluate how long the improved cloud and water vapor fields per-838 sist during the forecast period. It is important to note that the experiments performed during this 839 study are only an initial step toward inclusion of the all-sky infrared observations in the KENDA 840 system and that additional developments have the potential to substantially increase their impact. 841 For example, there is great promise in pairing the BC method to a dynamic all-sky observation 842 error model because that could lead to more effective use of the clear- and cloudy-sky brightness 843 temperatures. It would also be helpful to explore the benefits of more frequent assimilation up-844 dates and in assimilating brightness temperatures from more than one infrared band, though that 845 would require development of a correlated observation error model. The results also suggested 846 that attention should be given to developing methods that can increase the ensemble spread in the 847 cloud hydrometeor variables. These topics are all left to future work. 848

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## **1176** 6. Figure Captions

Fig. 1. Observed SEVIRI 6.2  $\mu$ m brightness temperatures (K) valid at (a) 06 UTC on 28 May, (b) 18 UTC on 28 May, (c) 06 UTC on 29 May, (d) 18 UTC on 29 May, (e) 06 UTC on 30 May, and (f) 18 UTC on 30 May 2014.

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<sup>1190</sup> Fig. 3. Time series showing the evolution of the SEVIRI 6.2  $\mu$ m brightness temperature (a) <sup>1191</sup> bias (K) and (b) root mean square error (RMSE; K) computed using the ensemble mean prior <sup>1192</sup> analysis at hourly intervals from 00 UTC on 28 May 2014 to 00 UTC on 31 May 2014. Results <sup>1193</sup> are shown for the No-BC (dashed black line), OBSCTH-3RD (red line), OBSCTH-2ND (blue line), OBSCTH-1ST (green line), OBSCTH-0TH (magenta line), and No-Assim (solid black line)
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Fig. 4. (a) Vertical profiles of temperature root mean square error (RMSE; K) from the No-1196 Assim (black) and No-BC experiments (dashed black), with percentage changes in RMSE for 1197 the OBSCTH-3RD (red), OBSCTH-2ND (blue), OBSCTH-1ST (green), and OBSCTH-0TH (ma-1198 genta) experiments relative to the No-Assim and No-BC experiments shown in panels (b) and 1199 (c). (d-f) Same as (a-c) except for showing vertical profiles of relative humidity RMSE (%). (g-i) 1200 Same as (a-c) except for showing vertical profiles of zonal wind speed RMSE (m s-1). (j-l) Same 1201 as (a-c) except for showing vertical profiles of meridional wind speed RMSE (m s-1). The error 1202 profiles were computed using data from the prior analyses over a 3-day period from 00 UTC on 1203 28 May 2014 to 00 UTC on 31 May 2014. 1204

Fig. 5. Vertical profiles of (a) temperture bias (K), (b) relative humidity bias (%), (c) zonal wind speed bias (m s-1), and (d) meridional wind speed bias (m s-1) for the No-Assim (solid black), No-BC (dashed black), OBSCTH-3RD (red), OBSCTH-2ND (blue), OBSCTH-1ST (green), and OBSCTH-0TH (magenta) experiments. The error profiles were computed using data from the prior analyses over a 3-day period from 01 UTC on 28 May 2014 to 00 UTC on 31 May 2014.

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	U	v	Т	-	R	Н
EXP	RMSE	RMSE	RMSE	BIAS	RMSE	BIAS
OBSCTH-0TH - No-BC	-0.2%	-0.2%	-0.1%	-4.7%	-0.6%	-36.2%
OBSCTH-1ST - No-BC	-0.7%	-0.1%	-0.3%	-3.1%	-0.9%	-29.1%
OBSCTH-2ND - No-BC	-0.9%	-0.5%	-0.3%	-5.0%	-1.5%	-25.6%
OBSCTH-3RD - No-BC	-1.0%	-0.8%	-0.2%	-1.3%	-1.8%	-30.2%

TABLE 2. Percentage changes in root mean square error (RMSE) and bias for the zonal and meridional wind speed, temperature, and relative humidity for the BC-OBSBT, BC-OBSCTH, BC-IWC, and BC-SATZEN experiments relative to the No-Assim experiment. The statistics were computed using all of the radiosonde observations and output from the prior ensemble mean analyses during the 72-hr assimilation period.

	U	v	Г		R	H
EXP	RMSE	RMSE	RMSE	BIAS	RMSE	BIAS
No-BC - No-Assim	0.9%	0.6%	1.0%	-1.7%	0.8%	30.1%
BC-OBSBT - No-Assim	0.0%	-0.8%	0.8%	-4.7%	-0.4%	9.8%
BC-OBSCTH - No-Assim	-0.1%	-0.2%	0.8%	-3.0%	-1.0%	-9.2%
BC-IWC - No-Assim	0.7%	0.6%	0.9%	-4.8%	-0.1%	-28.8%
BC-SATZEN - No-Assim	0.8%	0.5%	1.0%	-6.1%	-0.6%	-19.6%

TABLE 3. Percentage changes in root mean square error (RMSE) and bias for the zonal and meridional wind speed, temperature, and relative humidity for the BC-OBSBT, BC-OBSCTH, BC-IWC, and BC-SATZEN experiments relative to the No-BC experiment. The statistics were computed using all of the radiosonde observations and output from the prior ensemble mean analyses during the 72-hr assimilation period.

	U	V	Т		R	H
EXP	RMSE	RMSE	RMSE	BIAS	RMSE	BIAS
BC-OBSBT - No-BC	-0.9%	-1.4%	-0.2%	-3.1%	-1.2%	-15.6%
BC-OBSCTH - No-BC	-1.0%	-0.8%	-0.2%	-1.3%	-1.8%	-30.2%
BC-IWC - No-BC	-0.2%	0.0%	-0.1%	-3.2%	-0.9%	-45.2%
BC-SATZEN - No-BC	-0.1%	-0.1%	0.1%	-4.5%	-1.4%	-38.2%

TABLE 4. Percentage changes in root mean square error (RMSE) and bias for the zonal and meridional wind speed, temperature, and relative humidity for the BC-OBSBT, BC-SYMBT, and BC-SIMBT experiments relative to the No-BC experiment. The statistics were computed using all of the radiosonde observations and output from the prior ensemble mean analyses during the 72-h assimilation period.

	U	V	1	-	RH			
EXP	RMSE	RMSE	RMSE	BIAS	RMSE	BIAS		
BC-OBSBT - No-BC	-0.9%	-1.4%	-0.2%	-3.1%	-1.2%	-15.6%		
BC-SYMBT - No-BC	-0.1%	0.0%	-0.1%	-2.0%	-1.0%	-29.6%		
BC-SIMBT - No-BC	1.0%	1.3%	0.6%	-1.1%	-0.8%	-55.8%		

TABLE 5. Percentage changes in root mean square error (RMSE) and bias for the zonal and meridional wind speed, temperature, and relative humidity for the BC-OBSCTH, BC-SYMCTH, and BC-SIMCTH experiments relative to the No-BC experiment. The statistics were computed using all of the radiosonde observations and output from the prior ensemble mean analyses during the 72-h assimilation period.

	U	v	Г		R	H
EXP	RMSE	RMSE	RMSE	BIAS	RMSE	BIAS
BC-OBSCTH - No-BC	-1.0%	-0.8%	-0.2%	-1.3%	-1.8%	-30.2%
BC-SYMCTH - No-BC	-0.4%	-0.5%	0.0%	-3.1%	-1.2%	-27.1%
BC-SIMCTH - No-BC	-0.2%	0.5%	0.0%	-1.2%	-1.5%	-43.2%

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Fig. 1. Observed SEVIRI 6.2 µm brightness temperatures (K) valid at (a) 06 UTC on 28 May, (b) 18 UTC on 28 May, (c) 06 UTC on 29 May, (d) 18 UTC on 29 May, (e) 06 UTC on 30 May, and (f) 18 UTC on 30 May 2014.

Fig. 1.



Fig. 2. (a) Probability distribution of SEVIRI 6.2  $\mu$ m observation-minus-background (O-B) brightness temperature departures (K) for the No-Assim experiment plotted as a function of the observed 6.2  $\mu$ m brightness temperatures (K). (b-e) Probability distributions of SEVIRI 6.2  $\mu$ m ensemble mean brightness temperature bias corrections (K) for the OBSCTH-0TH, OBSCTH-1ST, OBSCTH-2ND, and OBSCTH-3RD experiments plotted as a function of the observed 6.2  $\mu$ m brightness temperatures (K). Data were accumulated at hourly intervals during a 72-h period from 00 UTC on 28 May 2014 to 00 UTC on 31 May 2014. The horizontal purple lines shows the mean O-B departure (panel a) or the mean bias correction (panels b-e), whereas the black line **65**gments depict the conditional O-B bias (panel a) or the mean bias correction (panels b-e) in each column.



Fig. 3. Time series showing the evolution of the SEVIRI 6.2 µm brightness temperature (a) bias (K) and (b) root mean square error (RMSE; K) computed using the ensemble mean prior analysis at hourly intervals from 00 UTC on 28 May 2014 to 00 UTC on 31 May 2014. Results are shown for the No-BC (dashed black line), OBSCTH-3RD (red line), OBSCTH-2ND (blue line), OBSCTH-1ST (green line), OBSCTH-0TH (magenta line), and No-Assim (solid black line) experiments.

## FIG. 3.



Fig. 4. (a) Vertical profiles of temperature root mean square error (RMSE; K) from the No-Assim (black) and No-BC experiments (dashed black), with percentage changes in RMSE for the OBSCTH-3RD (red), OBSCTH-2ND (blue), OBSCTH-1ST (green), and OBSCTH-0TH (magenta ) experiments relative to the No-Assim and No-BC experiments shown in panels (b) and (c). (d-f) Same as (a-c) except for showing vertical profiles of relative humidity RMSE (%). (g-i) Same as (a-c) except for showing vertical profiles of zonal wind speed RMSE (m s<sup>-1</sup>). (j-l) Same as (a-c) except for showing vertical profiles of meridional wind speed RMSE (m s<sup>-1</sup>). The error profiles were computed using data from the prior analyses over a 3-day period from 00 UTC on 28 May 2014 to 00 UTC on 31 May 2014.

FIG. 4.



Fig. 5. Vertical profiles of (a) temperture bias (K), (b) relative humidity bias (%), (c) zonal wind speed bias (m s<sup>-1</sup>), and (d) meridional wind speed bias (m s<sup>-1</sup>) for the No-Assim (solid black), No-BC (dashed black), OBSCTH-3RD (red), OBSCTH-2ND (blue), OBSCTH-1ST (green), and OBSCTH-0TH (magenta) experiments. The error profiles were computed using data from the prior analyses over a 3-day period from 01 UTC on 28 May 2014 to 00 UTC on 31 May 2014.



Fig. 6. Time series showing the evolution of the SEVIRI 6.2 µm brightness temperature (a) bias (K), (b) root mean square error (RMSE; K), (c) spread (K), and (d) consistency ratio computed using the ensemble mean prior analysis at hourly intervals from 00 UTC on 28 May 2014 to 00 UTC on 31 May 2014. Results are shown for the No-BC (dashed black line), BC-OBSCTH (red line), BC-OBSBT (blue line), BC-IWC (green line), BC-SATZEN (magenta line), and No-Assim (solid black line) experiments.

Fig. 6.



Fig. 7. Probability distribution of SEVIRI 6.2  $\mu$ m ensemble mean brightness temperature corrections (K) from the (a) BC-OBSBT, (b) BC-OBSCTH, (c) BC-IWC, and (d) BC-SATZEN experiments plotted as a function of the observed 6.2  $\mu$ m brightness temperatures. Data were accumulated at hourly intervals during a 72-h period from 01 UTC on 28 May 2014 to 00 UTC on 31 May 2014. The horizontal black line segments represent the conditional bias in each column.

Fig. 7.



Fig. 8. Probability distributions of SEVIRI 6.2  $\mu$ m brightness temperature innovations (K) for the (a) No-Assim, (b) No-BC, (c) BC-OBSBT, (d) BC-OBSCTH, (e) BC-IWC, and (f) BC-SATZEN experiments plotted as a function of the observed 6.2  $\mu$ m brightness temperatures (K). Data were accumulated at hourly intervals from 00 UTC on 28 May 2014 to 00 UTC on 31 May 2014. The black line segments depict the mean innovation in each column.



Fig. 9. (a) Vertical profiles of temperature root mean square error (RMSE; K) from the No-Assim (solid black) and No-BC experiments (dashed black), with percentage changes in RMSE for the BC-OBSBT (blue), BC-OBSCTH (red), BC-IWC (green), and BC-SATZEN (magenta ) experiments relative to the No-Assim and No-BC experiments shown in panels (b) and (c). (d-f) Same as (a-c) except for showing vertical profiles of relative humidity RMSE (%). (g-i) Same as (a-c) except for showing vertical profiles of zonal wind speed RMSE (m s<sup>-1</sup>). (j-l) Same as (a-c) except for showing vertical profiles of meridional wind speed RMSE (m s<sup>-1</sup>). The error profiles were computed using data from the ensemble mean prior analyses at hourly over a 3-day period from 00 UTC on 28 May 2014 to 00 UTC on 31 May 2014.

Fig. 9.



Fig. 10. Vertical profiles of (a) temperture bias (K), (b) relative humidity bias (%), (c) zonal wind speed bias (m s<sup>-1</sup>), and (d) meridional wind speed bias (m s<sup>-1</sup>) for the No-Assim (solid black), No-BC (dashed black), BC-OBSBT (blue), BC-OBSCTH (red), BC-IWC (green), and BC-SATZEN (magenta) experiments. The error profiles were computed using data from the prior analyses over a 3-day period from 01 UTC on 28 May 2014 to 00 UTC on 31 May 2014.



Fig. 11. Probability distributions for the SEVIRI 6.2  $\mu$ m brightness temperature (a) bias corrections and (b) innovations from the BC-SIMCTH experiment plotted as a function of the observed 6.2  $\mu$ m brightness temperatures (K). (c-d) Same as (a-b), except for the