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# 1 **Antimicrobial *in vitro* activities of condensed tannin extracts on**

## 2 **avian pathogenic *Escherichia coli***

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### 13 **Significance and impact of the study**

14  
15 This study showed that condensed tannins (CTs), which were a group of secondary  
16 metabolites of many plants and rich in prodelphinidins (PD), had greater antibacterial  
17 activity against avian pathogenic *E. coli* (APEC) than CTs that were rich in  
18 procyanidins (PC). The mode of action of the CTs was to inhibit the swimming and  
19 swarming motility of APEC, and its ability to form biofilms. The significance of this  
20 finding is that the use of PD-rich CTs to control APEC should not encourage the  
21 development of antibiotic resistance by APEC because a different mechanism is used.  
22 If confirmed *in vivo*, this could provide the poultry industry with a valuable and novel  
23 means of controlling the antibiotic resistance.

### 24 **Abstract**

25 Condensed tannins (CTs), which extracted from yew leaves, tilia flower and black  
26 locust leaves, were examined for their antimicrobial *in vitro* activity against avian  
27 pathogenic *Escherichia coli* (APEC). Past research demonstrated that CTs which  
28 contain procyanidins and prodelphinidins that could inhibit the growth of a wide range  
29 of bacteria. However, there is no information on how these affect pathogenic bacteria  
30 from chickens such as APEC.

31 The high concentration of extracts, 10, 5, 2.5 mg/ml, affected the growth curves of  
32 APEC, which gave different inhibition values for the three CT extracts. Further, these

33 CTs had significant effects ( $P \leq 0.05$ ) on APEC biofilm and motility depending on each  
34 CT concentration and composition. However, at low concentration (0.6 mg/ml), the tilia  
35 flowers, a high molar percentage of procyanidins, enhanced bacterial cell attachment  
36 and improved the swimming motility of APEC. In contrast, yew, an equal molar  
37 percentage of procyanidins/prodelphinidins, and black locust, a high molar percentage  
38 of prodelphinidins, interrupted and blocked swarming and swimming motility. The data  
39 suggested that the antimicrobial activity of the CT extracts was elicited by a positive  
40 relationship between anti-biofilm formation and anti-motility capacities.

41 Keywords: Condensed tannins, avian pathogenic *Escherichia coli*, antimicrobials,  
42 biofilm, motility.

### 43 **Introduction**

44 The emergence of antibiotic resistance led to the banning of antimicrobial agents in  
45 feeds as growth promoters in Europe (Dibner and Richards 2005; Koluman and Dikici  
46 2013). Antibiotic addition to feeds has also been found to affect intestinal microflora  
47 (Niewold 2007), which have been increased the demands for effective substances to  
48 reduce pathogenic bacteria and improve animal health (Kroismayr *et al.* 2008). Last  
49 decade, numerous reports demonstrated the development of antibiotic resistance that  
50 started to impact negatively on our ability to treat some human pathogens (Karikari *et*  
51 *al.* 2017). Thus, medicinal plants and herbs are being investigated as a potential  
52 solution to promote animal performance without fostering antibiotic resistance  
53 (Baurhoo *et al.* 2007). Many natural plant products possess antimicrobial activities  
54 (Windisch *et al.* 2008; Liu *et al.* 2011) and have been incorporated into animal feeds  
55 as supplements instead of synthetic drugs. One example of such products are tannins,  
56 which are produced as part of the secondary metabolism of several higher plants  
57 (Frutos *et al.* 2004).

58 *Escherichia coli* is a diverse species that causes diarrheal disorders and a variety of  
59 gastrointestinal infections (Kaper *et al.* 2004). Some of these strains have  
60 demonstrated an ability to penetrate the mucus layer and efficiently colonise the  
61 mucosa of the large intestine (Torres *et al.* 2005). Therefore, *E. coli* has been one of  
62 the most important Gram-negative bacteria for *in vitro* experiments to form the biofilm  
63 on host surfaces (O'Toole *et al.* 2000; Van Houdt and Michiels 2005).

64 One particularly problematic *E. coli* species is avian pathogenic *Escherichia coli*  
65 (APEC), which can survive in different environments and induce infections in chickens,  
66 turkeys and other birds. These bacteria can cause aerosacculitis, polyserositis,  
67 septicaemia and other extraintestinal disorders (Giovanardi *et al.* 2013). *E. coli* have  
68 flagella that contribute to motility dependent upon the environment and can be an  
69 essential part of the induction of adhesion of microbes on a host surface enabling  
70 biofilm formation (Verstraeten *et al.* 2008). Motility can play a critical role in primary  
71 interference with a surface and can help these bacteria to promote biofilm  
72 development (Kearns 2010). There is evidence that bacteria can use various  
73 strategies to initiate biofilm formation, and it is not surprising that bacteria commonly  
74 utilise their cell structures such as flagella in motile stages (Pratt and Kolter 1998).  
75 Moreover, one of these virulence factors is polysaccharide capsule, which enable the  
76 bacteria to avoid the host immune-system (Alkandhari 2018). Therefore, more  
77 information on the effect of plant tannins on virulence factors should be considered.  
78 Furthermore, due to the evolution of antibiotic-resistant strains, this study investigated  
79 the antimicrobial activity of naturally occurring plant tannins as these could be of  
80 interest in the form of feed additives for the management of chicken pathogens. In  
81 particular, this study investigated the ability of CTs to interfere with APEC microbial  
82 activities such as growth, biofilm formation and motile activity in *in vitro* experiments.

83 In conclusion, this study investigated the effect of CT concentrations and structural  
84 features on APEC growth, biofilm formation and motility. Significant antibacterial  
85 effects of CTs against APEC were observed, particularly if the CTs were rich in PDs.  
86 These findings may provide opportunities for use of PD-rich CTs in the management  
87 of bacterial diseases, such as colibacillosis in chickens. This will require further studies  
88 to optimise CT preparations and to evaluate them against a wide range of bacterial  
89 strains under farm conditions. In the present work, relatively high CT concentrations  
90 were used and showed antimicrobial activities against APEC by affecting the growth,  
91 biofilm formation and motility. However, low concentrations (0.6 mg/ml) of some CTs,  
92 particularly the procyanidins, had either a weak effect on antimicrobial activity or even  
93 enhanced bacterial growth.

## 94 **Results and Discussion**

### 95 **Impact of CTs on bacterial growth**

96 This study explored the effects of three types of CTs, which presented their  
97 compositions in (Table 1). The CTs from tilia flowers consisted of high procyanidins  
98 (i.e. approximately 960 mg/g PC), yew leaves had CTs with a mixture of procyanidins  
99 and prodelphinidins (i.e. approximately 520 mg/g PC and 480 mg/g PD), and black  
100 locust CTs were mostly prodelphinidins (760.9 mg/g PD).

101 These CTs were tested against APEC growth using a microtiter broth dilution method.  
102 Figure 1 shows the effect of different concentrations of CTs, including high PD of black  
103 locust, medium PC/PD of yew and high PC of tilia flowers on growth curves of APEC  
104 compared to the control. Irrespective of the source and composition of the CTs, similar  
105 patterns of inhibition were observed with the highest concentration, 10 mg/ml, causing  
106 complete inhibition. Interestingly, low concentration (0.6 mg/ml) of CTs extracted from  
107 tilia flowers appeared to slightly enhance the growth of APEC. Moreover, tilia flowers  
108 (high PC content) was statistically significant  $P \leq 0.05$  at this concentration compared  
109 to control. This is intriguing and suggests that PC have less effect than PD  
110 compositions on bacteria, possibly because the number of hydroxyl groups is lower in  
111 the PC type than in the PD (Dakheel 2018). Generally, the growth curves  
112 demonstrated a dependency on CT concentrations, with the higher the CT  
113 concentration the lower the growth. Thus, the proportion of PDs within CTs was the  
114 most important parameter that influenced the biological activities of microorganism.  
115 However, it is also possible that the growth was similar but that the bacterial cell sizes  
116 were different; as this is the parameter that is measured (light refraction) by the  
117 spectrophotometer. This can be assessed by Electron Microscopy studies.

118 This study agreed to other studies that revealed the antimicrobial activity of several  
119 plants which are rich in tannins on a number of bacteria (Scalbert 1991; Doss *et al.*  
120 2009). However, the present study reported the specific extracts of CT. The data  
121 generated in this paper showed inhibition but do not give any firm identification of the  
122 involved mechanism. However, a study reported by Holloway *et al.* (2015) concluded  
123 that catechin, and flavan-3-ols, which combined with inorganic compounds such as  
124 copper sulphate to generate hydrogen peroxide that would have an antimicrobial effect  
125 on pathogens.

## 126 **APEC biofilm formation**

127 The effect of CT concentrations and compositions on biofilm formation by APEC is  
128 illustrated in Figure (2). The high concentration of CT extracts (10 mg/ml) completely  
129 inhibited bacterial cell attachment of APEC ( $P \leq 0.01$ ) because this concentration could  
130 be at the level of minimal bactericidal concentrations (MBCs), while other  
131 concentrations (5.0 - 1.25 mg/ml) displayed sub-MBC values of inhibition with  
132 significant differences ( $P \leq 0.05$ ). This interesting finding could be explained that when  
133 the bacteria tried to survive, they adhered on the surfaces and formed the biofilm  
134 (Donlan and Costerton 2002). In contrast, the low concentration at 0.6 mg/ml of these  
135 CTs showed slightly enhancement of APEC but no significant differences ( $P > 0.05$ ),  
136 except CT from tilia flowers (high PC content) that showed significantly ( $P \leq 0.05$ )  
137 different results at the low concentrations compared to the control. This result could  
138 indicate that plants with PD-rich CTs are more active against microbes than plants  
139 with high PC-rich of CTs.

140 Importantly, CT extract from black locust (high PD content) showed strong anti-biofilm  
141 activity, and no enhancement at the lowest concentrations compared to other CT  
142 extracts. Thus, low concentrations that are not inhibitory to APEC growth may  
143 contribute physically to increasing binding and biofilm formation. This is a novel finding  
144 that has not been reported before.

145 Based on the inhibitory results of the growth curve, above, the effect of CT on APEC  
146 was similar to biofilm finding. Although CTs inhibited biofilm formation which can  
147 protect bacterial cells from stressful factors such as antimicrobial agents (Bendaoud  
148 *et al.* 2011), the antimicrobial effect of these CT extracts combined to decrease of  
149 nutrients in the medium and this may stimulate biofilm formation as a survival strategy  
150 (Borges *et al.* 2012).

### 151 **Inhibition of Motility**

152 Figure 3 shows significant differences ( $P \leq 0.05$ ) between the motility of APEC and  
153 different concentrations of CT extracts in a concentration dependent manner. The  
154 motility of APEC is less susceptible to PD than PC; this could probably be ascribed to  
155 some impact on their motile structures, e.g. flagella, as suggested previously (Pratt  
156 and Kolter 1998). A study reported that different tannin-containing plants can block the  
157 motility of bacteria (O'May and Tufenkji 2011). Therefore, our finding has been  
158 expanded to demonstrate that not only the concentration of CTs can influence motility

159 but also CT compositions can impact the motility of APEC as well. These results can  
160 be linked to the anti-biofilm effect of CT since bacterial motility plays an important role  
161 in adherence to surfaces and thus on the induction of biofilm formation and  
162 subsequent bacterial colonisation (Verstraeten *et al.* 2008).

163 This is the first study that demonstrates the effect of different concentrations and  
164 compositions of CT on blocking APEC motility in terms of swimming and swarming,  
165 which can cause the migrating bacteria to change direction. CTs showed different  
166 significant values ( $P \leq 0.05$ ) on swimming and swarming activities. CTs were more  
167 effective against swimming than swarming. The controls showed that the normal ability  
168 of APEC was to remain motile and to form a diameter of 30 mm at 10h and of 40 mm  
169 at 24h in swimming tests. Conversely, controls in the swarming zone were recorded  
170 as 28 mm at 10h and 35 mm at 24h.

171 In general, all CT extracts showed a significant impact ( $P \leq 0.05$ ) on swimming. In terms  
172 of swarming activity, the CTs of black locust were the only extract that had a significant  
173 effect ( $P \leq 0.05$ ) compared to control. It is known that CTs can bind to proteins (Ropiak  
174 *et al.* 2017); therefore, it could be possible that CT impact on motility by binding to  
175 proteins in flagella structure (O'May and Tufenkji 2011). Moreover, *E. coli* use their  
176 flagella to move, hence, if one of these flagella has a problem, the bacterium will stop  
177 swimming then fall (Mears *et al.* 2014). On the other hand, during swimming activity,  
178 the bacterial cells move relatively independently, but swarming activity requires that  
179 bacteria work together which involves bacteria sensing the extracellular signals  
180 produced by other bacteria (Sheng *et al.* 2016). Further, these findings supported by  
181 the suggestion mentioned by O'May *et al.* (2012) about the relationship between  
182 motility and biofilm.

## 183 **Materials and methods**

### 184 **Plant materials**

185 Three plant materials (yew leaves, tilia flower and black locust leaves) were collected  
186 from trees around Reading University/ UK, and dried by air drying at the chemical lab;  
187 then the samples were grounded in an impeller SM1 cutting mill (Retsch, Haan,  
188 Germany) to pass a <1 mm screen, and stored at room temperature in plastic  
189 containers.



## 190 **Tannin extraction and purification**

191 The samples were extracted and purified by column chromatography on Sephadex  
192 LH-20 following the methods of Brown *et al.* (2017). The extractions were, then, frozen,  
193 lyophilised, and stored at  $-20\text{ }^{\circ}\text{C}$  for *in vitro* experiments. Afterwards, these extracts  
194 were analysed for CT concentration and composition by thiolysis method with benzyl-  
195 mercaptan reaction, which provides the information of CT content (g/100 g extract)  
196 and CT composition (mean degree of polymerisation, mDP; procyanidins, PC;  
197 prodelphinidins, PD). The PC and PD results are reported on a molar percentage, i.e.  
198  $\% \text{ PD} + \% \text{ PC} = 100\%$  (Gea *et al.* 2011). This reaction was, then, quantified by high-  
199 performance liquid chromatography/mass spectrometry (HPLC/MS) to provide further  
200 information on mDP and PC/PD and *trans*-flavan-3-ol ratios (Karonen *et al.* 2007).

## 201 **Bacteriology**

202 The bacterial strain used in these studies was an Avian Pathogenic *Escherichia coli*  
203 (strain APEC) belonging to serotype O78 that was isolated from diseased chickens  
204 (Alkandhari 2018). This bacterium was stored in Luria-Bertani broth (LB)  
205 supplemented with 125 g/l glycerol and maintained at  $-80\text{ }^{\circ}\text{C}$ .

## 206 **Growth and inhibition assays**

207 The growth curve of APEC was determined according to Sheng *et al.* (2016).  
208 Overnight APEC cultures were diluted in LB medium to give  $1 \times 10^7$  CFU/ml, and 200  
209  $\mu\text{l}$  of this mixture which was added to 96 well microtiter plates that supplemented with  
210 a range of CT concentrations. The plates were, then, incubated aerobically at  $37\text{ }^{\circ}\text{C}$   
211 overnight with shaking at 100 rpm. One row of wells was used per treatment, 6 inner  
212 wells of each column were inoculated with bacteria, while the two outside wells of each  
213 column were loaded with the positive and negative controls that were LB plus  
214 the bacterial inoculum without CT, and LB with CT but without the bacterial inoculum.  
215 Optimum density values were read hourly at 600 nm using a FluoStar spectrometer  
216 (Molecular Device, BMG, Offenburg, Germany). The experiments were repeated three  
217 times plus three replicates with fresh culture.

## 218 **Biofilm formation and cell adhesion of APEC**

219 The effect of CTs on biofilm formation was done as described previously (Shao *et al.*  
220 2015). The same 96 well plates as described above were incubated for 5 days at 25  
221 °C without shaking after the readings had been taken for the growth curve data. After  
222 the 5<sup>th</sup> day of incubation, the content of each well was gently removed, and the wells  
223 were washed twice with 150 µl of phosphate buffered saline (PBS) to remove  
224 planktonic bacteria. These plates were dried at room temperature for 15 minutes, and  
225 adherent bacteria were stained with 150 µl of 1 g/l crystal violet (w/v) for 15 minutes.  
226 The wells were, then, rinsed twice with distilled water to remove any residues. After  
227 the plates were dried at room temperature, stained adherent cells were detached from  
228 the plates using 150 µl of 9:1 ethanol/acetone for 10 min. Then, the optical density  
229 (OD) of stained adherent bacteria was determined with the FluoStar spectrometer.  
230 The OD was read at 600 nm and the mean OD value obtained from the medium control  
231 wells was subtracted from the sample OD values. The formation of biofilm was  
232 determined according to the final biofilm formation formulae:

233 Total OD<sub>600</sub> observed – positive control positive with CTs = Final biofilm formation  
234 Three independent experiments were performed in triplicate.

### 235 **Motility tests for APEC**

236 This assay was performed with different CT concentrations that were tested *in vitro*  
237 against APEC using the method previously described (O'May *et al.* 2012). Briefly,  
238 swarming and swimming methods were undertaken in Petri dishes containing swarm  
239 agar as mentioned by (Kearns 2010). Further, the swim agar supplemented with the  
240 same nutrient broth above plus 3 g/l agar poured into Greiner CELLATAR® multi-well  
241 culture plates (6 wells plates) as described by (Zhu *et al.* 2015). These plates were left  
242 to dry at room temperature, and they were then inoculated with 5 µl aliquots of broth  
243 culture that contained different CT concentrations plus bacterial suspension as also  
244 the treated groups or broth culture without CTs as control. The inoculum was placed  
245 on the centre of the agar surface to enable the visualisation of bacterial motility across  
246 the agar surface. Afterwards, these plates were inoculated and taken for growth phase  
247 measurements at 37 °C for 10h and 24h. The diameters of the motility zones were  
248 recorded.

### 249 **Statistical analyses**

250 Data obtained from the analysis were processed with Minitab (version 18.0; Minitab  
251 software, Inc., PA, USA), which was used to analyse the data via Student's t-tests,  
252 ANOVA (one way) and Tukey adjusted comparisons.

253 The significant differences (*P-values*; the statistical significance was set at  $P \leq 0.05$ )  
254 between the control and treated groups were compared. This generated the values for  
255 each CT treatment that had an influence on the microbes in growth curve tests and on  
256 APEC biofilm formations and motility by ANOVA analysis. All values were based on  
257 three replicates ( $n=3$ ) including control values plus standard error of the means  
258 ( $\pm$ SEM).

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### 265 **Conflict of Interest**

266 The authors have no conflict of interest to declare.

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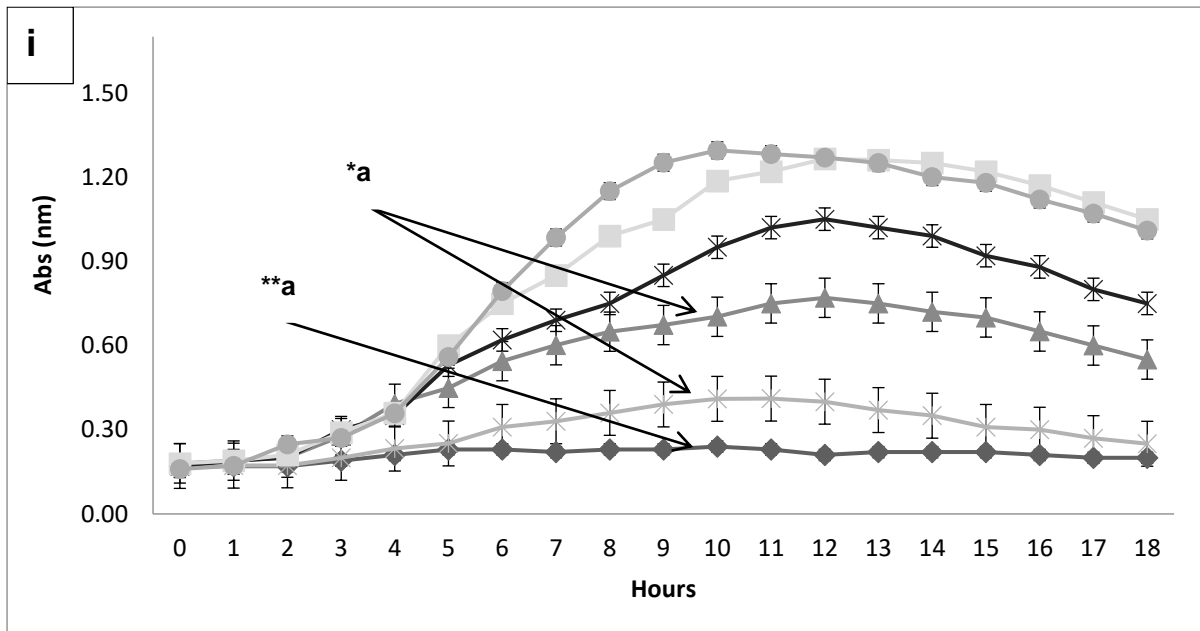
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381 Table 1: The concentration and compositions of CT extracts including mean degree of  
 382 polymerisation (mDP), prodelphinidins (PD), and *trans*-flavan-3-ols. This table is  
 383 ordered according to mDP values.

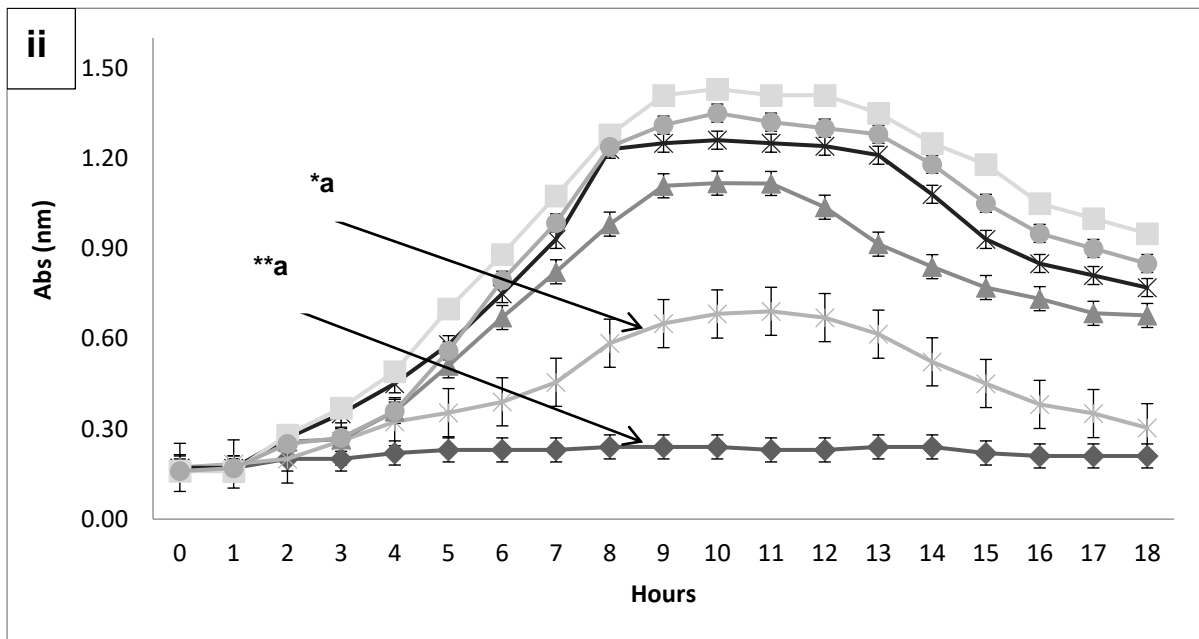
Common name	mDP	PD %*	<i>trans</i> %*	CT %**
Yew leaves	7.5 ±0.23	48.4 ±0.55	30.0 ±1.00	93 ±0.75
Tilia flowers	8.9 ±0.35	3.9 ±0.75	2.3 ±1.05	94 ±0.95
Black locust leaves	9.8 ±0.50	76.9 ±0.55	60.3 ±1.00	95 ±0.80

384 (n=3) ± SEM; %\* indicates the molar percentage; %\*\* indicates 1 g CT /100 g extracts.

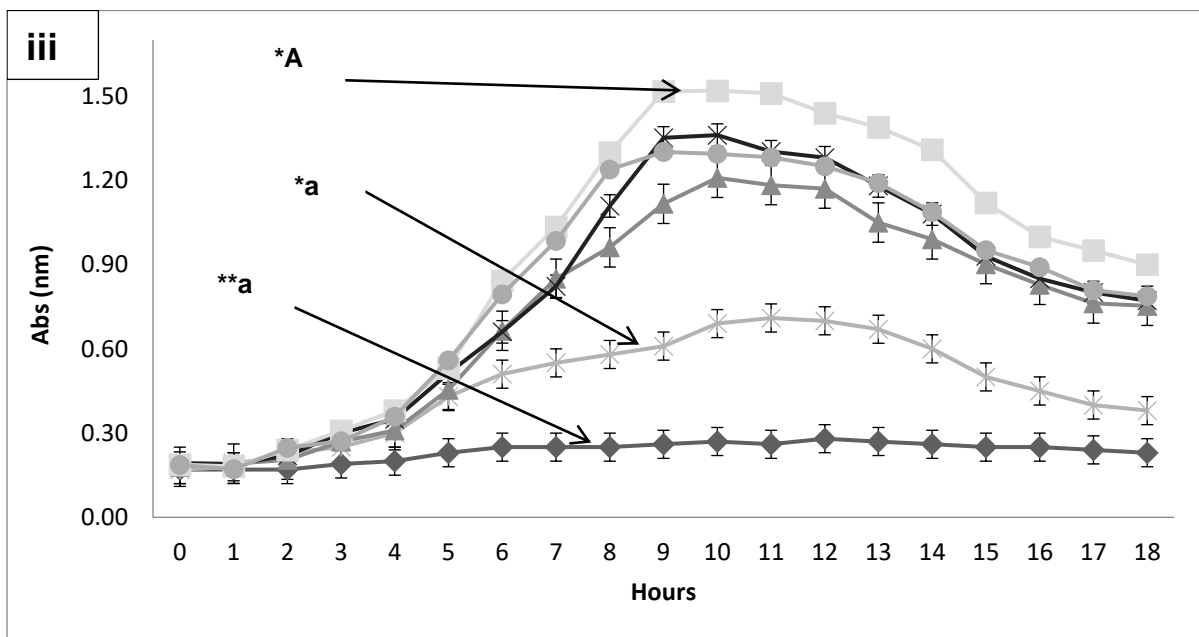
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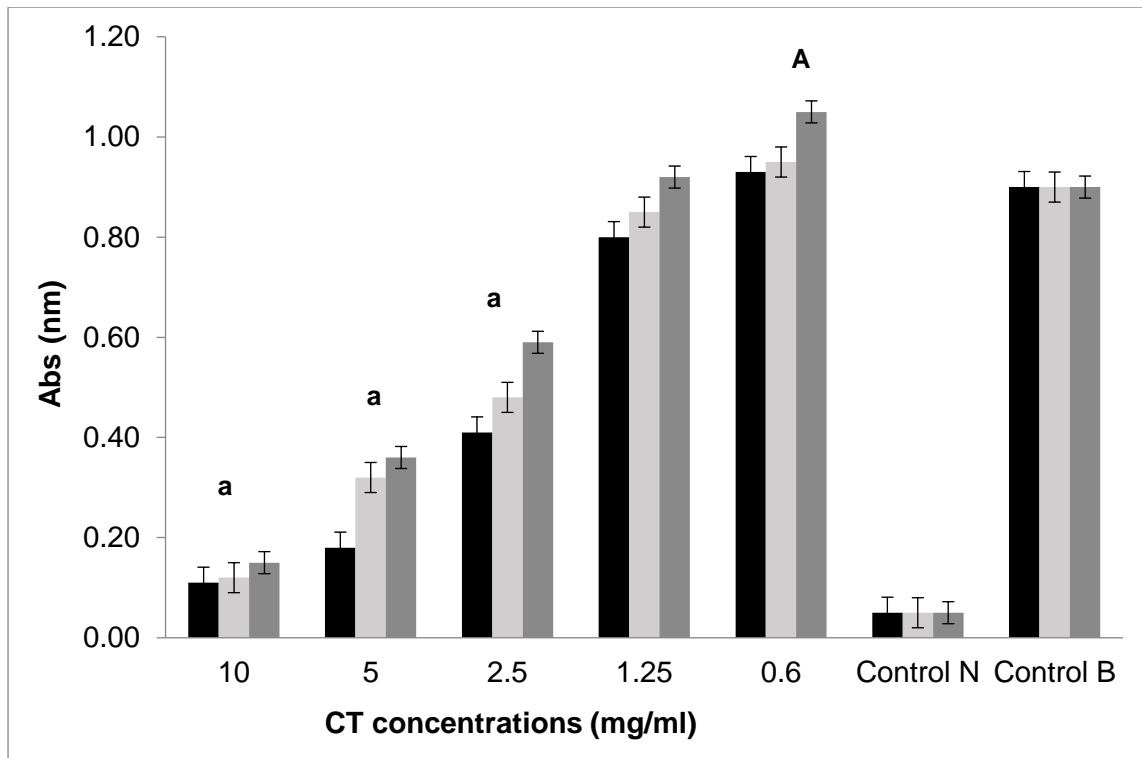


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389 Figure 1: Effect of different concentrations of CTs on growth curves of APEC, including (i) black locust  
390 (PD-rich), (ii) yew (medium levels of PCs and PDs), (iii) tilia flowers (PC-rich). (a) indicates decreased  
391 growth curve; (A) indicates increased growth curve compared to control; (\*) indicates  $P \leq 0.05$ ; (\*\*)  
392 indicates  $P \leq 0.01$ ;  $n = 3 \pm \text{SEM}$ . The concentrations of CTs were shown in the figures 10 mg/ml (◇/ black);  
393 5 mg/ml (x/ light grey); 2.5 mg/ml (Δ/ grey); 1.25 mg/ml (x/ black); 0.6 mg/ml (□/ light grey); control  
394 (o/grey).

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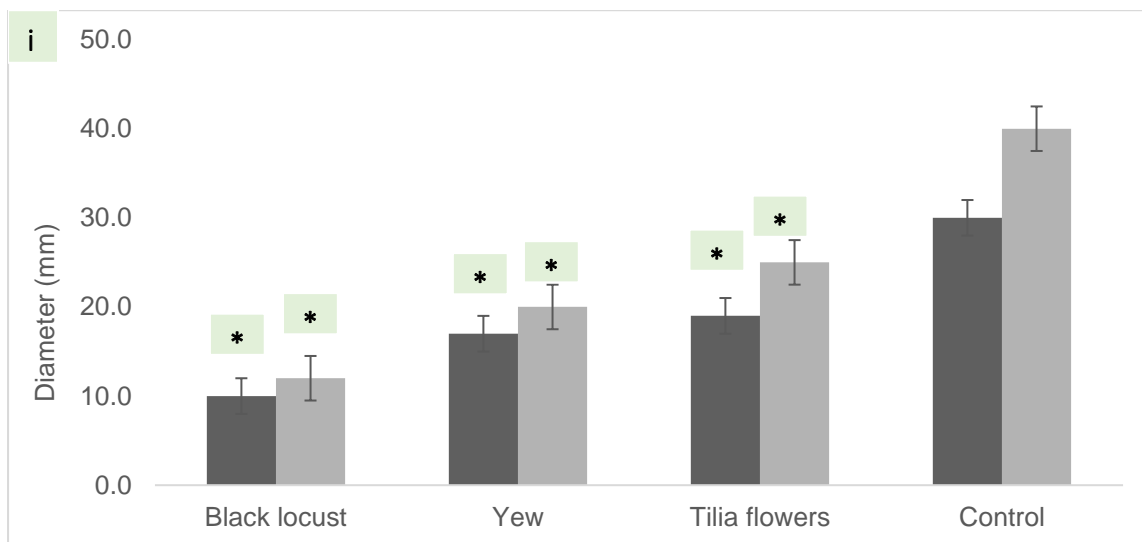




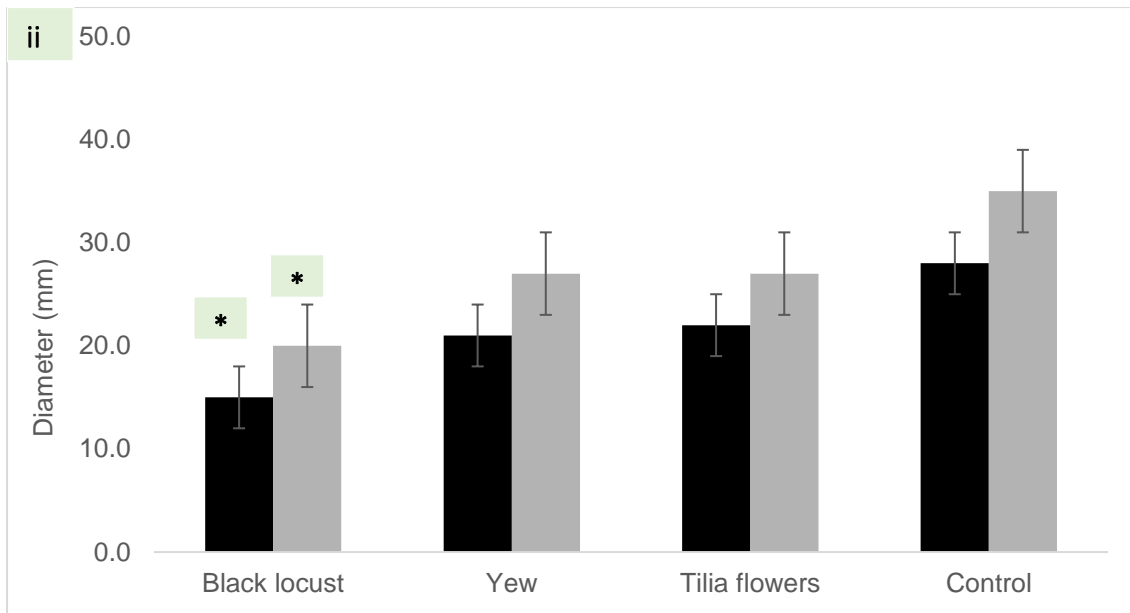
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397 Figure 2: Effect of different CT concentrations on APEC biofilm formation: CTs consisted of  
 398 prodelphinidins from black locust (black); a mixture of procyanidin/prodelphinidin from yew (light grey);  
 399 procyanidins from tilia flowers (grey); control N= negative control (LB medium); control B= positive  
 400 control (bacterial suspension). Significant differences at  $P \leq 0.05$ ; capital letters indicate an increase and  
 401 small letters indicate a decrease compared to the positive control (B).

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405 Figure 3: Effect of prodelphinidins from black locust, a prodelphinidin/procyanidin mixture from yew and  
 406 procyanidins from tilia flowers on APEC motility at 10 h (black) and 24 h (grey), including (i) swimming  
 407 activity, (ii) swarming activity. (n=3 ± SEM); (\*) = significant differences at  $P \leq 0.05$ .