

## Influences of local and remote conditions on tropical precipitation and its response to climate change

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#### ABSTRACT

By comparing a Single Column Model (SCM) with closely related Gen-22 eral Circulation Models (GCMs), precipitation changes that can be diagnosed 23 from local changes in surface temperature  $(T_S)$  and relative humidity  $(RH_S)$ 24 are separated from more complex responses. In the SCM set-up, the large-25 scale tropical circulation is parametrized to respond to the surface temperature 26 departure from a prescribed environment, following the Weak Temperature 27 Gradient (WTG) approximation and using the Damped Gravity Wave (DGW) 28 parametrization. The SCM is also forced with moisture variations. First, it 29 is found that most of the present-day mean tropical rainfall and circulation 30 pattern is associated with  $T_S$  and  $RH_S$  patterns. Climate change experiments 3 with the SCM are performed, imposing separately surface warming and CO<sub>2</sub> 32 increase. The rainfall response to future changes in sea surface temperature 33 patterns and plant physiology are successfully reproduced, suggesting that 34 these are direct responses to local changes in convective instability. How-35 ever, the SCM increases oceanic rainfall too much, and fails to reproduce 36 the land rainfall decrease, that are both associated with uniform ocean warm-37 ing. It is argued that remote atmospheric teleconnections play a crucial role in 38 both weakening the atmospheric overturning circulation and constraining pre-39 cipitation changes. Results suggest that the overturning circulation weakens, 40 both as a direct local response to increased CO<sub>2</sub> and in response to energy-41 imbalance driven exchanges between ascent and descent regions. 42

#### 43 1. Introduction

Uncertainty remains in how tropical rainfall will change in the future, particularly at regional 44 scales. Previous studies have shown that the mean future changes in tropical rainfall mainly consist 45 in shifts, which over the oceans are mainly driven by changes in the mean Sea Surface Temperature 46 (SST) pattern, following the so-called warmer-get-wetter mechanism (Xie et al. 2010; Ma and Xie 47 2013; Chadwick et al. 2014; Kent et al. 2015). However, rainfall changes over land seem to be 48 driven by more complex combinations of different aspects of the CO<sub>2</sub> forcing, including changes 49 in the plant physiology, in the atmospheric radiative cooling or in the mean ocean warming (e.g. 50 Betts et al. 2004; Giannini 2010; Cao et al. 2012; Chadwick et al. 2017). Understanding tropical 51 rainfall changes under global warming would help to improve future projections that still exhibit 52 strong disagreement and better inform climate adaptation policy (Knutti and Sedlek 2013; Collins 53 et al. 2013; Shepherd 2014; Kent et al. 2015; Oueslati et al. 2016; Long et al. 2016). 54

Based on the observation that horizontal gradients of free-tropospheric temperatures are weak in the Tropics, the so-called Weak Temperature Gradient (WTG) approximation suggests that convective instability is largely driven by spatial variations in surface temperature and moisture (Sobel and Bretherton 2000; Sobel et al. 2001). The influence of free-tropospheric moisture gradients on precipitation patterns is not ruled out by the WTG approximation.

<sup>60</sup> Based on this theory, Lambert et al. (2017) and Todd et al. (2018) have diagnosed tropical <sup>61</sup> rainfall patterns and shifts from two surface observable variables, surface Temperature ( $T_S$ ) and <sup>62</sup> near-surface Relative Humidity ( $RH_S$ ), with the idea that precipitation falls in the highest  $T_S$  and <sup>63</sup>  $RH_S$  regions. This view is based on the argument (from convective quasi-equilibrium and WTG <sup>64</sup> approximation) that tropical precipitation is a function of  $T_S$  and  $RH_S$  and that rainfall shifts can <sup>65</sup> be diagnosed from the combination of  $T_S$  shifts and  $RH_S$  shifts.

The general aim of this study is to test how much of the pattern of mean tropical precipitation 66 and its response to climate change can be simulated from  $T_S$  and  $RH_S$  patterns using a Single 67 Column Model (SCM) under the WTG approximation. This study first investigates how much of 68 the present-day annual-mean tropical rainfall pattern simulated by a General Circulation Model 69 (GCM) can be reproduced by reconstructing only three elements: 1) the environment provided 70 by the tropical mean-state, 2) the  $T_S$  tropical pattern, 3) the  $RH_S$  tropical pattern. Simulating a 71 single atmospheric column, embedded in a pre-determined environment, allows us to reconstruct 72 those three elements since the only information needed is: 1) the moisture and temperature pro-73 files that describe the tropical environment, 2) the local  $T_S$  anomaly at each location (departure 74 from the environment), 3) the local  $RH_S$  at each location. We use an SCM modified to imple-75 ment the WTG approximation, so that precipitation in the column responds to the  $T_S$  anomaly via 76 the parametrization of the large-scale circulation. The latter is done using the so-called Damped 77 Gravity Wave (DGW) parametrization method (Bergman and Sardeshmukh 2004; Kuang 2008, 78 2011; Wang et al. 2013) and has been implemented following Daleu et al. (2015). Similar set-ups 79 have been used in many studies (e.g. Sobel and Bretherton 2000; Chiang and Sobel 2002; Sobel 80 et al. 2007; Sobel and Bellon 2009; Zhu and Sobel 2012). In addition, we implement the variation 81 of moisture in the column in order to represent the precipitation response to  $RH_S$ . The SCM is 82 run multiple times to reconstruct the tropical  $T_S$  and  $RH_S$  patterns from the corresponding parent 83 GCM. The rainfall pattern reproduced from this reconstruction is then compared with the GCM. 84 The experimental set-up is described in detail in Section 2. 85

In the second part of the study, increased atmospheric  $CO_2$  and uniform surface warming are independently applied to the SCM in order to investigate how much of the rainfall response can be reproduced by reconstructing: 1) the change in the tropical mean-state environment, 2) the change in the  $T_S$  tropical pattern, 3) the change in the *RH*<sub>S</sub> tropical pattern. Those three compo<sup>90</sup> nents are reconstructed for different aspects of  $CO_2$  forcing, such as uniform ocean warming, SST <sup>91</sup> pattern change or direct radiative effect of increased atmospheric  $CO_2$ . They are taken from GCM <sup>92</sup> atmosphere-only experiments in which these boundary conditions have been applied. Rainfall <sup>93</sup> changes reproduced from these reconstructions in the SCM are then compared to the correspond-<sup>94</sup> ing GCM experiment.

In addition to rainfall, we also investigate changes in convective mass fluxes which can be used 95 as a proxy for the intensity of the atmospheric circulation. Precipitation can be approximated as 96 the product of near-surface specific humidity and vertically integrated convective mass flux. In 97 a warmer and wetter climate, rainfall can increase even as convective mass flux decreases (Held 98 and Soden 2006). Convective mass flux is expected to weaken in response to climate change, 99 which has been attributed to the reduction of radiative cooling, or the enhanced warming of the 100 subtropics, or the increase in dry static stability as a response to surface warming (e.g. Knutson 101 and Manabe 1995; Held and Soden 2006; Vecchi and Soden 2007; Ma et al. 2012; Chadwick et al. 102 2013; Bony et al. 2013; He et al. 2014; He and Soden 2015). In order to test these mechanisms in 103 the SCM, we use a more direct approach where we look at the direct response of the column to the 104 forcing, without reconstructing  $RH_S$  patterns changes from the GCM. This way, we use the SCM 105 to further understand whether the tropical circulation weakening is a direct or indirect, uniform or 106 non-uniform response to increased atmospheric  $CO_2$ . The weakening of the tropical circulation 107 further affects the rainfall changes, which are also investigated. 108

#### **2. SCM description and set-up**

The SCM uses the Met Office Unified Model Global Atmosphere version 7.1 in one dimension, which is also used in three dimensions in the atmosphere-only GCM HadGEM3 (Walters et al. 2019). The surface is prescribed, with no ocean or land-surface model. The SCM has interactive radiation and solar diurnal cycle. There is no surface temperature variability applied on any timescale.

#### <sup>115</sup> a. Parametrization of the large-scale circulation in the SCM

Conceptually, the SCM represents two atmospheric domains: the simulated column and a pre-116 scribed environment that typically represents the tropical mean. The environment is defined by 117 reference vertical profiles of potential temperature  $\theta$  and specific humidity q. In order to de-118 termine these profiles, the SCM is first run in Radiative-Convective Equilibrium (RCE) mode: 119 vertical velocity is set to zero so that convective heating balances radiative cooling. Surface tem-120 perature is prescribed and represents the tropical average SST. Reference profiles of  $\theta$  and q are 121 determined from the equilibrated state of the RCE run. They will constitute the environment and 122 initial state for DGW-parametrized SCM simulations, described below, with their mathematical 123 framework detailed in appendix A. 124

In the single column simulated using the DGW parametrization, the prescribed surface tempera-125 ture affects the column stability (compared to the initial state/environment), which in turns affects 126 convection and convective heating, warming or cooling the column. The column is also warmed 127 or cooled by changes in the sensible heat flux and in water vapour and clouds which then feed 128 back on the column radiative heating. The vertical velocity w', that is the marker of the large-scale 129 circulation in the column, is parametrized to respond to the column temperature anomaly. The 130 subsequent vertical advection of  $\theta$  relaxes the simulated  $\theta$  profile towards the reference  $\theta$  profile, 131 maintaining approximate uniformity with the environment, as dictated by the WTG approxima-132 tion (see schematic Fig. 1). Together with the subsequent vertical advection of q, it further affects 133 rainfall. 134

<sup>135</sup> Another method commonly used is the WTG parametrization (Sobel and Bretherton 2000; Sobel <sup>136</sup> et al. 2001), that is only used here in the Supplementary Material. Note that both the DGW and <sup>137</sup> the WTG parametrization methods follow the WTG approximation. The DGW parametrization <sup>138</sup> of w', unlike the WTG parametrization, takes place in the whole column, including the boundary <sup>139</sup> layer, without linear interpolation.

This SCM set-up represents the local effect of  $T_S$  patterns on convective instability and thus freetropospheric latent heating, which then drives low-level convergence (represented by the vertical velocity in the SCM) and convection. On the other hand, our SCM set-up is not representative of the Lindzen and Nigam (1987) model, which describes the more direct effect of sharp  $T_S$  gradients on low-level convergence via their influence on boundary layer pressure gradients. The SCM parametrization represents the effect of the  $T_S$  anomaly regarding the tropical average, but is not able to simulate sharp  $T_S$  gradients.

Horizontal advection of moisture between the environment and the simulated domain is mod-147 elled using simultaneously two different schemes that represent two different processes: (1) the 148 horizontal advection by the locally parametrized mean divergent circulation (lateral drawing), (2) 149 the horizontal advection by the mean rotational flow and transient eddies in the form of a relaxation 150 of the domain q profile towards the environmental q profile (moisture relaxation). The time-scale 151 used for this relaxation is 1 day, which would be typical of horizontal moisture mixing between the 152 simulated domain and a surrounding environment that is far enough away to be independent of the 153 former. More details and mathematical formulation of the DGW parametrization and horizontal 154 advection of moisture are given in appendix A. 155

#### <sup>156</sup> b. Varying moisture in the SCM

During a further stage of this study, in order to include variations of moisture and to be able to 157 produce various values of  $RH_S$  (particularly low values found over land), we add to this set-up a 158 scaling of both surface evaporation (with a coefficient  $\beta$ ) and environmental q profile. The envi-159 ronmental q profile is scaled with the same coefficient throughout the whole column. We use a 160 range of combinations of those two scaling coefficients for each surface temperature. This allows 161 us to vary moisture in the column, which also affects precipitation. It also allows us to have a better 162 representation of the different tropical regions since there is no weak moisture gradient principle 163 in the tropics. The  $\beta$  and q profile scaling coefficients are determined by spatial clustering anal-164 yses (see section 1 of Supplementary material). Following these analyses, surface evaporation is 165 varied using 5 coefficients that represent: (1) the ocean ( $\beta$ =1), (2) rainforests ( $\beta$ =0.75), (3) a 20% 166 reduction of the evaporation over rainforests as is expected in response to  $4 \times CO_2$  increase (our 167 vegetation-only forcing component with prescribed land gives a 17% reduction over rainforests 168 latitudes over land) ( $\beta$ =0.6), (4) wet regions ( $\beta$ =0.5), (5) semi-arid regions ( $\beta$ =0.2). The environ-169 mental q profile is varied using 7 coefficients that represent: heavy rainfall convergence zones and 170 rainforests (scaling coefficient = 1 and 1.1), north and south subtropics (0.8 and 0.7), north and 171 south equatorial bands (1 and 0.9), deserts (0.4) and the Amazon during wet season (1.25). 172

#### 173 c. Experimental design

First, the SCM is run in RCE mode in order to determine the environment. Atmospheric CO<sub>2</sub> concentrations are set to mid-1970s values. The run is performed for 100 days at  $T_s^{RCE} = 300$  K, which is approximately the mean SST over the tropics (20N-20S). Reference  $\theta$  and q profiles are determined from the time-mean over the last 40 days of the RCE run. These profiles are then used as initial state and environment in the SCM runs under the DGW parametrization. In the first stage of this study, the SCM is run 13 times under the DGW parametrization, with the surface temperature varying from 297.5 to 303.5 K, in increments of 0.5 K ( $\beta$  and the environmental *q* profile scaling coefficients are both set to 1). This set of experiments will be referred to as SCM\_CTRL\_*T<sub>S</sub>*-only.

<sup>183</sup> During a further stage of this study, the SCM is run multiple times with many possible com-<sup>184</sup> binations of  $T_S$ ,  $\beta$  and environmental q profile scaling. In total a set of 455 (13  $T_S \times 5 \beta \times 7 q$ <sup>185</sup> scalings) SCM experiments are run to cover enough possibilities of  $T_S$ ,  $RH_S$  and rainfall conditions <sup>186</sup> in the column in order to reproduce rainfall patterns. We will refer to this set of experiments as <sup>187</sup> SCM\_CTRL.

In the last stage of this study, the set of 455 SCM experiments is replicated twice with two 188 different perturbations. The control set of experiments mentioned above (SCM\_CTRL) serves as 189 the reference. A first set of perturbed experiments is performed with warmer mean conditions 190 corresponding to a uniform warming of the surface by 4 K (SCM\_4K). For this set of experiments, 191 the SCM is first run in RCE mode at  $T_{s+4K}^{RCE}$  = 304 K. Again, this run is performed for 100 days 192 and the new reference  $\theta$  and q profiles are determined from the time-mean over the last 40 days. 193 These new profiles are then used as initial state and environment to perform a new set of runs 194 under the DGW parametrization: the SCM is run again 455 times, varying  $T_S$  from 301.5 to 195 307.5 K every 0.5 K, and varying moisture using the same  $\beta$  and q profile scaling coefficients as in 196 SCM\_CTRL. A second set of perturbed experiments is performed with increased atmospheric CO<sub>2</sub> 197 corresponding to the  $4 \times CO_2$  forcing (SCM\_4xCO2). For this set of experiments, the SCM is first 198 run in RCE mode again at  $T_s^{RCE}$  = 300 K as in SCM\_CTRL, but with atmospheric CO<sub>2</sub> multiplied 199 by 4. As before, the run is performed for 100 days, the new reference profiles are determined from 200 the time-mean over the last 40 days and then used as initial state and environment to perform a 20

<sup>202</sup> new set of runs under the DGW parametrization: the SCM is run again 455 times, varying  $T_S$ ,  $\beta$ <sup>203</sup> and the *q* profile scaling as in SCM\_CTRL but with 4 times more CO<sub>2</sub> in the atmospheric column.

#### **3. GCM experiments**

Different experiments from different GCMs are compared with the SCM results. They are all described in Table 1. The most relevant comparison is with the atmosphere-only experiment AMIP (Atmospheric Modelling Intercomparison Project) performed with the SCM's parent GCM HadGEM3 (Walters et al. 2019). We consider 20 years of this experiment from 1989 to 2008 and refer to it as HG3-AMIP. In HG3-AMIP, prescribed SST is taken from observations.

In order to investigate the response to the  $4 \times CO_2$  forcing, we compare the pre-industrial (pi-210 Control) and abrupt4 $\times$ CO<sub>2</sub> simulations performed with the previous version of the Met Office 211 Unified Model HadGEM2-ES (Martin et al. 2011). In order to decompose the  $4 \times CO_2$  forcing, 212 we use atmosphere-only experiments, each perturbed with one isolated component of the forcing 213 (Table 1). Some of them have been performed with HadGEM2-ES and are described in more 214 detail in Chadwick et al. (2017) (piSST, p4KSST, a4SST). At the time of writing, none of these 215 experiments have been performed with HadGEM3 (the SCM's parent GCM); HadGEM2-ES was 216 then most likely the closest model to be compared to the SCM. The other atmosphere-only experi-217 ments used in this study have prescribed land in addition to prescribed ocean. They have only been 218 performed with ACCESS1.0 (Bi et al. 2013; Ackerley and Dommenget 2016) and are described 219 in more detail in Ackerley et al. (2018). ACCESS1.0 and HadGEM2-ES are very similar models 220 sharing the same configurations of their land-surface and atmospheric components (including con-221 vection scheme). Using these "prescribed-land" experiments allows us to decompose the  $4 \times CO_2$ 222 forcing more, since land-surface changes are separated from ocean-surface changes. 223

For all these GCM experiments, the last 30 years are considered. The different components of the  $4 \times CO_2$  forcing built from combinations of these experiments are defined in Table 2. The Vegetation-only forcing with prescribed land shows the effect of the plant physiological response to  $4 \times CO_2$  with prescribed surface temperature over land and ocean. The  $4 \times CO_2$  radiative-only forcing with prescribed land shows the  $4 \times CO_2$  radiative-only effect (no plant physiology change) with prescribed surface temperature over land and ocean. Other definitions given in Table 2 are self-explanatory.

#### **4. Present-day climate**

#### <sup>232</sup> a. Reproduction from surface temperature pattern only

In this part we analyze SCM\_CTRL\_ $T_S$ -only, where only the surface temperature varies (moisture can vary but evaporation and environmental q profile scalings are set to 1). This set-up gives the expected rainfall response to the large-scale circulation induced by surface temperature patterns, under the WTG approximation.

SCM\_CTRL\_ $T_S$ -only precipitation results are shown in Fig. 2a for each surface temperature, and compared with the HG3-AMIP distribution of precipitation over the ocean, for each corresponding SST bin. The qualitative relationship between SST and precipitation is fairly well reproduced in the SCM, but the SCM precipitation is too sensitive to the surface temperature compared with the GCM. This is associated with an overestimation of the sensitivity of the parametrized vertical velocity w' to the surface temperature (Fig. 2b).

There are many possible reasons for the SCM not to perfectly reproduce the GCM rainfall. Our SCM set-up is an idealized model, based on an approximation and with simplified representation of moisture advection. Besides, the WTG approximation is not always accurate, as the free tropo-

spheric temperature is not perfectly uniform across the tropics, particularly outside the equatorial 246 band (10N-10S) and over land regions outside the equatorial band (Todd et al. 2018). On the other 247 hand, its accuracy over land regions within the equatorial band has been shown for the Amazon 248 (Anber et al. 2015). Our SCM set-up is also not meant to capture all the mechanisms that exist 249 in the GCM; only the effect of  $T_S$  patterns on the large-scale circulation via convective instability 250 and free-tropospheric heating patterns. However, the most likely reason for the over-sensitivity of 251 w' and precipitation to the surface temperature is the relative isolation and lack of variability of 252 the simulated single column. In the GCM, each column is affected by transients, weather systems, 253 disturbances from nearby columns, that are lacking in the SCM. As it is not disturbed, the vertical 254 velocity in the single column is relatively free to grow or decline, as a consequence of positive 255 feedbacks detailed in Supplementary section 2. As a result, the single column reaches a steady 256 state after a few days, that tends to be either too wet or too dry, even though horizontal mixing of 257 moisture prevents it from getting excessive (see Supp. sec. 2). 258

Fig. 3b shows SCM\_CTRL\_ $T_S$ -only precipitation results on a map, projecting it on HG3-AMIP 259 surface temperatures (see Methods). Over the ocean, the precipitation pattern is sensible (corre-260 lation over the ocean: 0.7; correlation including land: 0.42). Not surprisingly, it closely follows 261 the SST pattern (not shown), raining over warm regions. As found in other studies, tropical an-262 nual mean rainfall can be fairly sensibly reproduced by an SCM under the WTG approximation 263 (Sobel and Bretherton 2000; Zhu and Sobel 2012). Over land, precipitation is generally underes-264 timated, as a result of land regions being relatively cold compared to the tropical average; except 265 over the Sahel, northern Australia and India, which are the hottest regions of the tropics and where 266 precipitation is overestimated. 267

As mentioned before, this SCM set-up represents the effect of  $T_S$  patterns on the large-scale circulation via convective instability and free-tropospheric heating patterns. While this drives most of

the low-level wind convergence in the tropics, other mechanisms have been suggested to dominate 270 in some particular regions, such as regions of strong meridional SST gradients near the equator, 271 and on the flanks of the oceanic Inter-Tropical Convergence Zone (ITCZ) (Chiang et al. 2001; 272 Diakhaté et al. 2018). In particular, in the central-eastern Pacific, boundary-layer pressure gradi-273 ents driven by the strong meridional SST gradients create low-level wind convergence that forces 274 convection, rather than being a consequence of it (Lindzen and Nigam 1987; Back and Bretherton 275 2009). Our SCM set-up does not capture this influence of  $T_S$  gradients on the large-scale circula-276 tion via boundary-layer pressure gradients. This could explain some of the differences between the 277 GCM and the SCM, such as the too-weak and too-wide ITCZ produced by SCM<sub>-</sub>CTRL<sub>-</sub> $T_S$ -only 278 in the north-east Pacific (Fig. 3b), and thus support the idea that the effect of  $T_S$  gradients plays a 279 key role in this region. 280

Figure 4a confirms the good correspondence between the SCM and the GCM precipitation over the ocean, as shown by the linear regression of one on another, although the SCM tends to generally overestimate rainfall. In particular, over some oceanic grid-points (blue dots), the annual-mean precipitation is high in the SCM but low in the GCM, which corresponds to SCM overestimations at high SSTs on Fig. 2a. Figure 4a also confirms the lack of correspondence over land, with the SCM raining too much in GCM dry regions, and not enough in GCM rainy regions (given the poor correlation over land, no linear regression is shown).

In the real world, precipitation and SST patterns do not exactly match. One thing in particular that SCM\_CTRL\_ $T_S$ -only is missing is the spatial variation of near-surface and atmospheric moisture. Only one moisture profile was used to define the environment in SCM\_CTRL\_ $T_S$ -only, while moisture is not uniform across the tropics. Variations of moisture are especially an issue for representing relatively cold but wet land regions such as rainforests, or hot but dry land regions

<sup>293</sup> such as deserts. In the next section and the rest of this study, the column moisture will be varied
 <sup>294</sup> (in addition to surface temperature), using SCM\_CTRL, to address these issues.

#### *b. Reproduction from surface temperature and relative humidity patterns*

From now on we analyze the SCM\_CTRL set of experiments (see section 2.c), where not only 296 the surface temperature varies but also moisture, through variations of evaporation and environ-297 mental q profile scalings. Figure 3c shows SCM\_CTRL precipitation results on a map, projecting 298 it on HG3-AMIP  $T_S$  and  $RH_S$  (see Methods). Considering moisture variation clearly improves the 299 projected rainfall pattern (higher correlation with HG3-AMIP: 0.8 over the ocean and 0.71 includ-300 ing land). Over land, varying moisture now allows the representation of relatively cold and wet 301 regions like rainforests and hot, dry regions like deserts. In the GCM, RH<sub>S</sub> affects precipitation, 302 but precipitation also feeds back on  $RH_S$ , so the causality between moisture and rainfall patterns 303 is unclear. In the SCM, the causality is clearer, even though  $RH_S$  variations are not directly pre-304 scribed (but induced by variations in the moisture coefficients), because precipitation has very 305 limited ways of feeding back on to *RH<sub>S</sub>*. 306

But what is the SCM not able to capture? Figure 3d highlights differences with HG3-AMIP 307 precipitation pattern. The sensitivity of precipitation to the surface temperature remains overesti-308 mated in the SCM. This is consistent with rainfall over the ocean being too extended spatially and 309 generally too strong, while regions with low rain rates are generally too dry. This is also consistent 310 with land regions remaining too dry, except for some rainforests. It remains unclear whether it 311 is due to the SCM parametrization or whether it has a physical explanation such as rainfall being 312 driven by other factors than local surface temperature and humidity. Over land, low thermal iner-313 tia, consequently strong diurnal cycle, as well as orography or soil moisture play a large role in 314 circulation and convective systems, none of which are directly represented in the SCM. For exam-315

ple, the mean precipitation over land partly results from the diurnal cycle of surface temperature, 316 which may be very different from the precipitation resulting from the mean surface temperature. 317 Another thing the SCM does not reproduce is the fact that convection over coastal land drives low-318 level mass divergence over nearby coastal ocean, forcing subsidence and advective drying there, 319 which are not well captured by  $T_S$  and moisture patterns. Over the Maritime continent for exam-320 ple, even though considering moisture heterogeneity and transport allows a better representation 321 of rainfall, the SCM still overestimates oceanic rainfall near the coasts. It is generally the case for 322 African and Asian tropical coasts as well. 323

Figure 4b confirms that the correspondence between the SCM and the GCM precipitation, over 324 both ocean and land, is substantially improved by considering moisture variations. Despite this 325 strong improvement, the SCM still tends to be either too wet or too dry over land, exhibiting two 326 populations of grid-points in GCM rainy regions: one where the SCM remains dry and another one 327 where the SCM overestimates rainfall. Given the existence of these two populations, regressing 328 linearly the SCM rainfall on the GCM rainfall over land would not be sensible. Overall, the 329 SCM still overestimates rainfall over both land and ocean, as further confirmed by the 20N-20S 330 tropically-averaged annual-mean rainfall, which is 4.84 mm/day in the SCM against 4.34 mm/day 331 in the GCM. 332

#### **5.** Perturbed climate experiments

In the GCM, we choose to decompose the  $4 \times CO_2$  forcing into: (1) land warming due to the plant physiological response to  $4 \times CO_2$ , (2) land warming due to the  $4 \times CO_2$  radiative-only effect, (3) effect of the plant physiological response to  $4 \times CO_2$  with prescribed  $T_S$  over land and ocean, (4) change in the SST pattern, (5)  $4 \times CO_2$  radiative-only effect (no plant physiology) with prescribed  $T_S$  over land and ocean, (6) uniform +4 K ocean warming. The first three correspond to <sup>339</sup> perturbations in the land surface. The last two correspond to uniform perturbations that strongly <sup>340</sup> affect the atmospheric budget. We use GCM experiments described in section 3 that isolate those <sup>341</sup> different components of the  $4 \times CO_2$  forcing. Figure 5a shows the full annual-mean precipitation <sup>342</sup> response to the  $4 \times CO_2$  forcing, as given by abrupt $4 \times CO_2$ , and Fig. 5b shows the sum of the six <sup>343</sup> components described above. Fig. 5a and Fig. 5b patterns and magnitudes are consistent (correla-<sup>344</sup> tion: 0.78), suggesting that those six components add up nearly linearly and that looking at each <sup>345</sup> one separately can help us to understand the full response.

In order to reproduce each forcing component with the SCM, we use the two sets of SCM 346 experiments perturbed with surface warming (SCM\_4K) and  $4 \times CO_2$  (SCM\_4xCO<sub>2</sub>) described in 347 section 2.c. Note that in these cases, the SCM results are compared with experiments performed 348 with HadGEM2-ES and ACCESS1.0, which use different physical schemes than HadGEM3 (the 349 SCM's parent GCM). At the time of this study, these experiments have not been performed with 350 HadGEM3. Therefore, it is worth keeping in mind that this could cause differences between 351 the GCM experiments and the SCM results. However, we believe this is unlikely to cause major 352 differences, because SCM\_CTRL projects very well on both piSST (HadGEM2-ES), with a pattern 353 correlation of 0.68, and AMIP\_PL (ACCESS1.0), with a pattern correlation of 0.73 (when applying 354 the same method as in section 4.b and Fig. 3c; not shown) 355

#### 356 a. Perturbed land surface

Figure 6 shows annual-mean precipitation changes associated with different forcing components, as simulated by the GCM (top of each panel) and reproduced by the SCM (bottom of each panel). Plant transpiration weakens in response to increased atmospheric CO<sub>2</sub>, reducing evapotranspiration and warming the land surface by reducing its cooling capacity (Sellers et al. 1996; Cox et al. 1999; Dong et al. 2009). Land warming induced by this vegetation forcing, when isolated, results in a general rainfall increase over land (Fig. 6a). When land warming is induced by the direct radiative effect of increased atmospheric CO<sub>2</sub>, the response is similar (Fig. 6c) although with a smaller magnitude, as the magnitude of land warming is also smaller (land warms by  $0.74^{\circ}$ C on average when induced by vegetation and by  $0.38^{\circ}$ C when induced by the radiative CO<sub>2</sub> effect). In both cases, the SCM captures the general rainfall increase over land (Fig. 6b,d), confirming that land warming brings more rainfall over land.

In the case of the vegetation-induced land warming, the SCM reproduces the right magnitudes 368 of rainfall increases (Fig. 6b), despite the strong sensitivity of its precipitation to surface temper-369 atures (shown in the previous section). This is because we take into account  $RH_S$  variations, that 370 we reconstruct in the SCM through variations of evaporation and environmental moisture profile 371 (affecting horizontal moisture advection). Land warming is generally associated with reduced  $RH_S$ 372 over land (Joshi et al. 2008; O'Gorman and Muller 2010; Simmons et al. 2010; Chadwick et al. 373 2016; Byrne and O'Gorman 2016), as confirmed by Fig. S8g, making the SCM able to capture 374 land rainfall increases with the right magnitudes. Land warming induced by vegetation also cre-375 ates a drying patch over the eastern Amazon (Fig. 6a) which is captured by the SCM (Fig. 6b) 376 thanks to the associated RH<sub>S</sub> reduction (Fig. S8g). The causality between reduced rainfall and 377 reduced  $RH_S$  remains unclear. There are a few other spots of land rainfall decrease (northeastern 378 Brasil, central-eastern Africa, continental southeastern Asia) that the SCM fails to capture. As a 379 result, it overestimates the average land rainfall increase (Fig. S9a). 380

In the case of the  $CO_2$ -induced land warming, the SCM overestimates the land rainfall increase on average (Fig. S9b). Both  $T_S$  and  $RH_S$  changes are of weak magnitudes, making it difficult to evaluate the sensitivity of the SCM to these changes. The resulting correlation coefficient between the patterns of Fig. 6c and 6d is very weak. Note that the strong rainfall increase over the Sahara is not significant, because there are less than 6 months of the climatological year for which SCM
 runs correspond to this region and can be projected on it (not shown).

As mentioned above, the vegetation response to increased CO<sub>2</sub> reduces evapotranspiration and 387 subsequently warms the surface over land. The effect of land-surface warming, detailed above, 388 can now be switched off by fixing the land surface temperature. This allows us to isolate the 389 effect of reduced evapotranspiration, which is to generally reduce rainfall over land (Fig. 6e). 390 Both the pattern and magnitudes of land rainfall decreases are well captured by the SCM (Fig. 6f, 391 Fig. S9c). This shows that the sensitivity of the SCM precipitation to  $RH_S$  is sensible. Note 392 that for this particular projection, we only use variations in evaporation (using  $\beta$ ) to reconstruct 393 the  $RH_S$  pattern (i.e. we fixed horizontal advection of moisture), simply for more relevance and 394 consistency with the GCM forcing. However, here again the SCM fails to capture a few spots of 395 land rainfall increases (the same as in the land-warming case: northeastern Brasil, central-eastern 396 Africa, continental southeastern Asia). As a result, the average land rainfall decrease is slightly 397 overestimated by the SCM over tropical America and more strongly over Asia and Oceania. 398

Overall, when forcing is applied over land as it is the case here, the SCM does not capture rainfall 399 changes over the ocean, or over some land regions like northeastern Brazil, central-eastern Africa 400 and continental southeastern Asia. This highlights the role of large-scale circulation changes that 401 are independent from local surface changes and cannot be represented by the SCM. Over the 402 eastern Amazon in particular, the crucial role of remotely-driven changes in low-level wind con-403 vergence, independent from local surface changes, has been shown by Saint-Lu et al. (2019). The 404 SCM results are consistent with this idea that changes in the local surface temperature and evapo-405 ration do not dominate regional rainfall changes over land everywhere. 406

#### 407 b. Perturbed Sea Surface Temperature pattern

Several studies have shown that changes in SST patterns drive most of the changes in rainfall 408 patterns over the tropical oceans (Xie et al. 2010; Ma and Xie 2013; Chadwick et al. 2014; Kent 409 et al. 2015). The pattern of the rainfall response to changes in the SST pattern is well captured by 410 the SCM (correlation: 0.72), especially over the ocean (correlation: 0.77), as shown by Fig. 6g,h. 411 Despite the strong sensitivity of the SCM precipitation to the SST, the magnitude of the rainfall 412 response is also well captured, thanks to the reconstruction of the  $RH_S$  pattern via variations of 413 moisture (not shown). When regressing linearly the SCM precipitation change on the GCM pre-414 cipitation change over the ocean (Fig. S10), the slope is very close to 1 with an origin very close 415 to 0, confirming the good correspondence in the magnitudes of rainfall changes between the SCM 416 and the GCM. 417

Overall, this result confirms the dominance of the warmer-get-wetter mechanism in the rainfall response to SST pattern changes over the tropical ocean in GCMs. In particular, the local effect of SST pattern change on convective instability appears to dominate over the influence of SST gradients on boundary layer pressure gradients [Lindzen and Nigam (1987) model], as this second effect is not well represented by the SCM.

<sup>423</sup> Over land, the rainfall response to SST pattern changes is not well captured by the SCM. This <sup>424</sup> is not surprising, since the GCM land rainfall responds to the change in the SST pattern via the <sup>425</sup> atmosphere, with a top-down forcing—that we attempt to capture in the SCM with a bottom-up <sup>426</sup> forcing (using the surface temperature and relative humidity). Recall that here, unlike for land-<sup>427</sup> surface perturbations, only the ocean surface is prescribed in the GCM. Changes in the SST pattern <sup>428</sup> directly drive circulation changes over land, which are thus not driven by the land surface. In this <sup>429</sup> case, the only way the SCM can capture the GCM land rainfall changes is via their signatures on

the land surface; for example some drying over the Amazon is captured, probably because of the subsequent  $RH_S$  reduction.

#### $_{432}$ c. Perturbed atmospheric $CO_2$

#### 433 1) CIRCULATION WEAKENING

As shown in previous studies, the atmospheric overturning circulation weakens as a direct re-434 sponse to increased atmospheric CO<sub>2</sub> (Bony et al. 2013; He and Soden 2015; Chadwick et al. 435 2014). Additional evidence for this is provided by the reduction of the vertically-integrated con-436 vective mass flux (positive upward,  $M_{INT}$ ) simulated by the GCM in response to the 4×CO<sub>2</sub> 437 radiative-only forcing, especially over the ocean (Fig. 7a). Two important hypotheses to explain 438 the  $CO_2$ -induced circulation weakening are: (1) reduced radiative cooling, directly due to in-439 creased atmospheric  $CO_2$ , heats the atmosphere and suppresses convection, reducing the convec-440 tive mass flux (i.e reduced radiative cooling has to be balanced by reduced convective heating) 441 (Bony et al. 2013), (2) increasing  $CO_2$  warms dry regions (especially the subtropics) more than 442 convective regions, reducing energy transports between ascent and descent regions and slowing 443 down the associated circulation. Merlis (2015) suggested that the troposphere warms more in dry 444 regions than in wet regions because increasing  $CO_2$  reduces radiative cooling more efficiently as 445 there is less absorption overlap with water vapour and clouds. Both hypotheses could be captured 446 by the SCM, as they involve local changes in radiative cooling. 447

Figure 7a shows the  $M_{INT}$  response to the 4×CO<sub>2</sub> radiative-only direct effect, as simulated by the GCM and projected by the SCM, tropically averaged over ocean and over land. Here, we use the set of SCM experiments perturbed with increased atmospheric CO<sub>2</sub> (SCM\_4xCO2; see section 2.c), based on a 4xCO<sub>2</sub>-perturbed environment. In order to investigate the direct response of the SCM vertical convective mass flux to the 4×CO<sub>2</sub> forcing, *RH*<sub>S</sub> is left free to respond instead of being prescribed from the GCM (this is done by keeping the same scaling coefficients for evaporation and moisture as in the reference experiment, see Methods; corresponding maps are given in Fig. S11a,b). Note that there are no  $T_S$  changes anyway since the surface is prescribed over land and ocean. When increasing CO<sub>2</sub>, the SCM captures most of the convective mass flux weakening, as expected (Fig. 7a).

On average over land, the circulation weakening is a lot less pronounced than over the ocean 458 in the GCM (Fig 7a). This is because over most land regions except South America, circulation 459 actually strengthens in response to the  $4 \times CO_2$  radiative-only forcing in the GCM (Fig. S11a). One 460 possible explanation is that enhanced warming of the atmospheric column over desert regions rein-461 forces monsoon circulations, by increasing land-ocean pressure gradients (Chadwick et al. 2019). 462 This hypothesis is supported by the fact that the SCM does not capture any circulation strengthen-463 ing over land (Fig. S11b), since it cannot reproduce such a direct atmospheric teleconnection that 464 is not driven by surface warming. 465

#### 466 2) RAINFALL RESPONSE

The rainfall response to the  $4 \times CO_2$  radiative-only forcing (Fig. 6i) is strongly consistent with 467 the convective mass flux response mentioned above: rainfall decreases over the ocean following 468 the tropical circulation weakening and increases over land, presumably because of enhanced mon-469 soon systems associated with enhanced subtropical tropospheric warming. Over the ocean, the 470 SCM only produces a very weak rainfall decrease over the southern Indian ocean, the western 471 Atlantic and western Pacific and no clear noticeable change over the central-eastern Pacific. This 472 is consistent with the SCM not fully capturing the circulation weakening, as mentioned above. It 473 is also due to  $RH_S$  changes over the ocean: in the GCM,  $RH_S$  is increased over the ocean by the 474 weakened circulation (Fig. S8a), probably because of moisture building up near the surface; but 475

<sup>476</sup> in the SCM, increased  $RH_S$  tends to increase rainfall and counteract the rainfall reduction induced <sup>477</sup> by the circulation weakening. Over land, the rainfall increase is captured by the SCM, thanks to <sup>478</sup> the associated  $RH_S$  increase (Fig. S8a).

#### 479 d. Uniform ocean warming

#### 480 1) CIRCULATION WEAKENING

Previous studies have shown that the overturning circulation is also weakened by global surface 481 warming (Knutson and Manabe 1995; Ma et al. 2012; He et al. 2014; He and Soden 2015). This 482 is consistent with reduced  $M_{INT}$  across the whole tropics when a uniform + 4 K ocean warming 483 is applied in the GCM (Fig. 7b). This ocean warming-induced circulation weakening is thought 484 to originate from increasing dry static stability  $(\partial \theta / \partial z)$  in descent regions (Knutson and Manabe 485 1995). When the surface warms by about 4 K, the troposphere warms even more, as dictated 486 by the shape of moist adiabat which is maintained by convection in the tropics. This vertically 487 non-uniform warming increases the dry static stability, which tends to increase dynamical heating 488  $(w \partial \theta / \partial z)$ . As dynamical heating and radiative cooling balance each other in descent regions, the 489 limited increase in radiative cooling in descent regions limits the increase in dynamical heating 490 and requires a reduction in the vertical motion w. By mass conservation, this weakens the whole 491 overturning circulation. This process does not only involve the direct local response to increased 492 dry static stability, but it also involves changes in mass transport that are not induced by local 493 surface temperature, and are not captured by the WTG/DGW framework. Therefore, the SCM is 494 not able to fully capture it. 495

Figure 7b shows the  $M_{INT}$  response to the uniform ocean +4K warming, as simulated by the GCM and projected by the SCM tropically averaged over ocean and over land. Here, we use the set of SCM experiments perturbed with surface warming (SCM\_4K; see section 2.c), based on

a +4K-perturbed environment (warmer and moister). In order to investigate the direct response 499 of the SCM vertical circulation to the uniform ocean +4 K warming,  $RH_S$  is left free to respond 500 instead of being prescribed from the GCM (this is done by keeping the same scaling coefficients 501 for evaporation and moisture as in the reference experiment, see Methods; corresponding maps 502 are given in Supplementary Figure S11). When warming the surface (SCM\_4K) as in p4KSST, the 503 SCM does not produce any circulation weakening (Fig. 7b), even over the ocean. As mentioned 504 above, explicit connections between ascent and descent regions are missing in the SCM, making it 505 unable to fully capture the circulation weakening. The SCM only locally captures the weakening 506 of subsidence in descent regions, as required by the local balance between dynamical heating and 507 radiative cooling with increased dry static stability (Supp. Fig. S12). Therefore, our results support 508 the above mechanism to explain the ocean warming-induced circulation weakening; that is the idea 509 that it is not a direct local response to increased stability, but to changes in mass transport between 510 ascent and descent regions that are independent from local surface changes. 511

Over land, the SCM predicts a strong increase in circulation instead of the strong decrease simulated by the GCM (Fig. 7b). A simple view to explain this result is that it follows enhanced land warming: with fixed moisture coefficients, the SCM DGW parametrization predicts that rainfall increases over land since land warms more than the ocean.

#### 516 2) RAINFALL RESPONSE

In response to uniform ocean +4 K warming, precipitation generally increases over the ocean following the wet-get-wetter mechanism (Chou et al. 2009) as shown in Fig. 6k. The SCM produces a general rainfall increase over the ocean but strongly overestimates the magnitude (Fig. 6l). This is consistent with the SCM not capturing the ocean warming-induced circulation weakening, as mentioned above, that damps the wet-get-wetter response. Besides, our SCM set-up is not able to represent the fact that as precipitation intensifies, it can decrease on its margins due to enhanced advective drying (Chou et al. 2009).

As when perturbing SST patterns, ocean warming directly drives circulation changes over land 524 (top-down forcing), which are thus not driven by the land surface (bottom-up forcing) and are not 525 expected to be captured by the SCM. However, ocean warming also indirectly drives land surface 526 warming, with land warming more than the ocean, associated with reduced  $RH_S$  (Sutton et al. 527 2007; Joshi et al. 2008; Dong et al. 2009; Lambert et al. 2011), as confirmed by Fig. S8d. Both en-528 hanced land-surface warming and reduced  $RH_S$  constitute a bottom-up forcing on the atmospheric 529 column, which the SCM can capture. In the GCM, rainfall decreases over almost all tropical land 530 in response to uniform ocean warming (Fig. 6k). Some studies suggest that this is caused by the 531 decline of land  $RH_S$  (Fasullo 2012; Chadwick 2016; Lambert et al. 2017), in which case the land 532 rainfall decrease would be a response to a bottom-up forcing, reproducible in the SCM. 533

Other studies emphasize the role of remote tropospheric forcing on local rainfall and surface 534 temperature changes (Chiang and Sobel 2002; Joshi et al. 2008; Giannini 2010). Following these 535 ideas, the land rainfall decrease could be driven by a top-down forcing. For example, tropospheric 536 warming over land (transmitted from the ocean by atmospheric waves, consistent with the WTG 537 approximation) could directly suppress convection by stabilizing the column. Atmospheric sta-538 bility over land cannot be fully diagnosed from the enhanced land warming, because of potential 539 effects of both reduced  $RH_S$  and top-down atmospheric connections. Convection is already in-540 creased over the ocean, as a direct response to ocean warming; so it cannot be increased over land 541 too, owing to mass and energy conservation. It can be viewed as the atmosphere over land being 542 forced to import increased energy from the atmosphere over the ocean, as suggested by Lambert 543 et al. (2011). Since radiative cooling over land can only increase by a limited amount, convection 544

<sup>545</sup> over land ultimately decreases to reduce latent heating and conserve energy. The SCM would not <sup>546</sup> capture such a top-down atmospheric connection.

The SCM fails to capture the land rainfall decrease in response to uniform ocean warming 547 (Fig. 61). Despite reduced land  $RH_S$ , the SCM produces the opposite response, with a strong 548 intensification of rainfall over land. This could indicate that the land rainfall decrease is not a 549 response to the bottom-up forcing associated with reduced  $RH_S$ ; but to a top-down forcing, as 550 proposed above. However, it could also simply be a result of the SCM precipitation being overly 551 sensitive to surface temperatures, especially given the strong magnitude of the enhanced land-552 surface warming in this case (not shown). As a result, it is possible that the effect of land-surface 553 warming dominates over the effect of reduced  $RH_S$  in the SCM, even if the opposite happens in 554 the GCM. This means that it is possible that the SCM fails to capture a bottom-up forcing, which 555 it is theoretically able to capture, because of its too-strong sensitivity to the surface temperature. 556

<sup>567</sup> We cannot firmly determine the reasons for the SCM failure to reproduce the land rainfall de-<sup>568</sup> crease, but results are very consistent with Chadwick et al. (2019), who used two experiments <sup>569</sup> isolating land-warming only from ocean-warming only. They showed that they did not add up <sup>560</sup> linearly to the full ocean warming experiment, suggesting that forcing the atmosphere with land <sup>561</sup> warming cannot capture the response of land rainfall to ocean warming.

#### **6.** Conclusions

This study uses a Single Column model (SCM) representing the Weak Temperature Gradient (WTG) approximation with the Damped Gravity Wave (DGW) parametrization and implemented with moisture variations, in order to investigate: 1) how much of the present-day mean tropical rainfall and circulation pattern is associated with  $T_S$  and  $RH_S$  patterns, 2) how much of the change <sup>567</sup> in the mean tropical rainfall pattern is associated with the change in the tropical mean-state envi-<sup>568</sup> ronment and in the  $T_S$  and  $RH_S$  patterns.

Our first result is that most of the present-day mean tropical rainfall and circulation pattern 569 is associated with  $T_S$  and  $RH_S$  patterns, confirming the relevance of the WTG approximation. 570 We use the SCM to produce a rainfall pattern that is associated with  $T_S$  and  $RH_S$  patterns. We 571 show that it captures much of the General Circulation Model (GCM) tropical mean rainfall pattern 572 (correlation with HG3-AMIP of 0.71 over the whole tropics and 0.8 when considering only the 573 ocean), although rainfall tends to extend too much spatially over the ocean. Previous studies have 574 also found good correspondences between SCM and GCM rainfall (Sobel and Bretherton 2000; 575 Zhu and Sobel 2012) but here we implement variations of moisture which considerably improve 576 the rainfall representation, especially over land. Despite the overall good correspondence, the 577 SCM precipitation is too sensitive to the surface temperature compared with the GCM. This is 578 probably associated with the lack of variability and transients in the simulated single column, 579 which is specific to SCMs under the WTG approximation. As a result, the SCM overestimates 580 rainfall on average. Rainfall over the ocean is too spatially extended and generally too strong, 581 while regions with low rain rates are too dry. Land regions are too dry, except for some rainforests. 582 Our second result is that the change in the mean tropical rainfall pattern cannot be fully associ-583 ated with the change in the tropical mean-state environment and in the  $T_S$  and  $RH_S$  patterns. The 584 SCM does not successfully reproduce the rainfall response to the full  $CO_2$  forcing. In particular, 585 it fails to limit the increase in rainfall over the ocean and to reproduce the rainfall decrease over 586 land that occur when uniformly warming the ocean. This is, at least partly, because of the crucial 587 role of circulation changes that are driven by remote surface changes through atmospheric tele-588 connections, highlighting the importance of top-down forcing (as opposed to bottom-up forcing). 589

<sup>590</sup> However, the too-strong sensitivity of the SCM precipitation to the surface temperature could also <sup>591</sup> play a role in these misrepresentations.

By analysing the differences between the SCM and the GCM, we were able to show that the 592 weakening of the tropical atmospheric overturning circulation, which constrains rainfall changes, 593 is only partly a direct local response to increasing CO<sub>2</sub>: atmospheric teleconnections between as-594 cent and descent regions, that are independent from local surface changes, play a crucial role. The 595 tropical atmospheric overturning circulation weakens partly as a direct response to the increased 596 atmospheric  $CO_2$  and partly in response to the subsequent tropics-wide surface warming. These 597 two cases (the direct radiative-only effect of  $4 \times CO_2$  and the uniform ocean warming) are repro-598 duced in the SCM using two different sets of perturbed SCM runs, based on a perturbed RCE 599 environment (either with increased  $CO_2$  or with +4 K surface warming). The SCM captures most 600 of the circulation weakening that is due to the direct radiative effect of increased  $CO_2$ . However, 601 it does not capture the circulation weakening that is due to the uniform surface warming. This 602 suggests that it originates from static stability changes in descent regions, and also relies on at-603 mospheric teleconnections between descent and ascent regions, that are independent from local 604 surface changes (and not fully captured by the SCM). The fact that the SCM does not represent 605 top-down atmospheric teleconnections, which seem to play a key role in weakening the overturn-606 ing circulation, explains at least part of the misrepresentation of rainfall changes over the ocean 607 (too much rainfall increase in response to ocean warming). 608

Even though the SCM does not successfully reproduce the full rainfall response to the  $CO_2$ forcing, it does successfully reproduce the rainfall response to changes in the SST pattern only. We show that the rainfall response to changes in the SST pattern, which is the dominant part of the full rainfall change over the ocean, can be mostly associated with large-scale circulation changes driven by  $T_S$  and  $RH_S$  patterns, suggesting a dominant role for the local effect of SST pattern <sup>614</sup> change on convective instability (rather than the influence of SST gradients on boundary-layer
 <sup>615</sup> pressure gradients).

The rainfall response to vegetation changes caused by the  $CO_2$  increase, which are a dominant 616 component of rainfall changes over tropical forest regions (Betts et al. 2004; Cao et al. 2012; 617 Chadwick et al. 2017) can also be mostly associated with  $T_S$  and  $RH_S$  pattern changes. The SCM 618 successfully reproduces the rainfall response to vegetation changes caused by the CO<sub>2</sub> increase. 619 It reproduces rainfall increases over land when forced by land warming, rainfall decreases when 620 forced by evaporation weakening, and even some of the Amazon drying that appears in response 621 to land warming induced by vegetation changes. These results are reassuring, as they suggest 622 that rainfall changes can be well diagnosed from changes in  $T_S$  and  $RH_S$ , when they are forced 623 by perturbations in surface temperature and evaporation patterns. However, when the forcing 624 is applied over land, the SCM does not capture rainfall changes over the ocean or over some 625 land regions. This suggests that changes in the local surface temperature and evaporation do not 626 dominate regional rainfall changes over land everywhere. Remotely-driven changes in low-level 627 wind convergence, independent from local surface changes, can play a crucial role in some tropical 628 land regions. 629

We cannot exclude the possibility that our SCM set-up, as a simplified representation of the 630 WTG approximation, using an idealized parametrization in a one-dimensional model, biases our 631 results, due to misrepresenting the sensitivity of rainfall to temperature and humidity. The goal 632 of this study was not to perfectly reproduce the mean tropical rainfall pattern and its response to 633 climate change, but to diagnose its drivers and better understand it. Further work is needed to 634 confirm or disprove our hypotheses. To better represent land regions, the SCM could be coupled 635 to a land-surface model. To test our hypothesis on land precipitation decreases, another set-up 636 could be used by connecting a second column to the existing one, which would not be forced at 637

the surface but coupled to a land-surface model. Finally, our analyses could be replicated using
GCM experiments performed with HadGEM3, the SCM's parent GCM, once they are available.
Even though circulation weakening, for example, is a quite robust climate change response across
models, this would give more confidence on the attribution of the differences between the SCM
and the GCM results for climate change.

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657

#### APPENDIX A

# Mathematical formulation of the Damped Gravity Wave parametrization and horizontal advection schemes in the SCM

#### 660 a. Damped Gravity Wave parametrization

The DGW parametrization consists in providing a solution to the system of momentum, continuity and hydrostatic equations, that maintains weak free-tropospheric temperature gradients.

Our formulation of the DGW parametrization follows Kuang (2008), is described in Daleu et al.

<sub>664</sub> (2015) and summarized in this section.

<sup>665</sup> Considering the decomposition of a variable *X* into a mean-equilibrated value  $\bar{X}$  and a perturba-<sup>666</sup> tion *X'*, the 2D linearized perturbed equations of momentum, continuity and hydrostatic balance <sup>667</sup> can be written as:

$$\bar{\rho}\,\partial_t u' = -\partial_x p' - \varepsilon\,\bar{\rho}\,u' \tag{A1}$$

$$\partial_x(\bar{\rho}u') + \partial_z(\bar{\rho}w') = 0 \tag{A2}$$

$$\partial_z p' = \bar{\rho} \, g \frac{T_\nu'}{\bar{T}_\nu} \tag{A3}$$

Where  $\varepsilon$  is the mechanical damping of 1 day<sup>-1</sup>.

This system is solved by assuming a solution in the form  $T' = Re(\hat{T}e^{-ikx})$ , describing the temperature perturbation T' as vanishing with horizontal distance. This solution represents the horizontal propagation of a gravity wave of a single wave number  $k=10^{-6} \text{ m}^{-1}$ , that maintains horizontal uniformity. We performed a few SCM runs using  $k=2.10^{-6} \text{ m}^{-1}$  (another value used in the literature): it does not make any noticeable difference (not shown).

<sup>674</sup> In steady state, injecting this solution in the system yields:

$$\rho^{ref}w' = \int_z \int_z -\frac{k^2}{\varepsilon} \frac{\rho^{ref}g}{T_v^{ref}} T_v' dz^2$$
(A4)

In this framework, the vertical motion *w*<sup>'</sup> responds to temperature perturbations which horizontally vanish with respects to the WTG approximation by keeping hydrostatic equilibrium, continuity and momentum conservation.

#### 678 b. Moisture advection

<sup>679</sup> We parametrize the horizontal advection of moisture from the environment into the simulated <sup>680</sup> column. We define two terms of advection. The first one is the lateral drawing, describing the <sup>681</sup> horizontal advection of moisture by the locally parametrized circulation. The second one is the <sup>682</sup> moisture relaxation, representing the horizontal mixing of moisture through the mean rotational <sup>683</sup> flow and transient eddies, unrelated to the circulation parametrized in the column. We argue they <sup>684</sup> represent different processes and can be used together.

#### 685 1) LATERAL DRAWING

<sup>686</sup> Following Daleu et al. (2015), horizontal advection of moisture induced by the vertical motion <sup>687</sup> is defined as the drawing of the reference air into the simulated domain, at each vertical level:

$$\left(\frac{\partial q}{\partial t}\right)_{drawing} = max \left(\frac{\partial \omega}{\partial p}, 0\right) (q^{ref} - q) \tag{A5}$$

688

where 
$$max(\partial_p \omega, 0)$$
 is non zero only if there is convergence into the simulated column.

#### 690 2) HORIZONTAL MIXING

<sup>691</sup> Zhu and Sobel (2012) showed that the sensitivity of rainfall to surface temperature was better <sup>692</sup> represented by relaxing the moisture profile towards the environment. By representing horizontal

- mixing, this moisture relaxation scheme prevents the simulated domain from getting unrealistically different from its environment.
- <sup>695</sup> We implement the following moisture relaxation scheme in our SCM:

$$\left(\frac{\partial q}{\partial t}\right)_{mixing} = \frac{q_{ref} - q}{\tau_q} \tag{A6}$$

696

where  $\tau_q$  is the relaxation time-scale that we fix to 1 day. In annual mean, tropical surface waters can remain at approximately the same temperature (+/- 0.2 K) over a distance of the order of 500 km (not shown). In the SCM, surface winds are fixed at 5 m/s. It would take about 1.15 days for moisture to be transported by a mean flow of 5 m/s over 500 km. A time-scale of 1 day would then be typical of horizontal moisture mixing between the simulated domain and a surrounding environment, that is far enough to be independent of the former (i.e. not under the same regime).

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#### APPENDIX B

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#### Methods for the projection of SCM results on a map

To project a set of SCM runs (SCM\_CTRL, SCM\_4xCO2 or SCM\_4K) on the climatology of a GCM experiment, we consider the GCM surface temperatures ( $T_S$ ) anomalies to the tropical average SST (on 20N-20S), and the SCM  $T_S$  anomalies to  $T_s^{RCE}$ . In most cases, we will also consider GCM and SCM near-surface relative humidity values ( $RH_S$ ). SCM results,  $T_S$  and  $RH_S$ are always time-means over the last 40 days of the run. Projections are all performed on every month of the mean annual cycle of the GCM climatology, and then averaged over the year to obtain the annual-mean projection.

- Projection using GCM  $T_S$  (Fig. 3b): on each grid-point, the SCM run that has the closest  $T_S$ anomaly is projected.
- Projection using GCM  $T_S$  and  $RH_S$  (Fig. 3c, 6b,d,h,j,l): on each grid-point, the SCM run that has the closest  $T_S$  anomaly and  $RH_S$  is projected.
- Projection using GCM  $T_S$ , and using the same  $\beta$  and q profile scaling as for a reference 716 projection, i.e. allowing no change in moisture coefficients (Fig. 7): on a reference projec-717 tion, of a given set of SCM runs (SCM\_REF) on  $T_S$  and  $RH_S$  from a given GCM experiment 718 (GCM\_REF), one particular SCM run, that was performed using a unique combination of  $(T_S,$ 719  $\beta$ , q profile scaling), is projected on one particular month and grid-point of GCM\_REF. Thus, 720 each grid-point of each month is associated with one value of  $\beta$  ( $\beta_{ref}$ ) and one q profile scal-721 ing  $(q\_scaling_{ref})$ , that can be stored. The new projection of a set of SCM runs (SCM\_PERT) 722 on another GCM experiment (GCM\_PERT) is then performed doing the following: on each 723 grid-point, the SCM\_PERT run that has the closest  $T_S$  anomaly and was performed using  $\beta_{ref}$ 724 and  $q_{scaling_{ref}}$  is projected (i.e. the same  $\beta$  and q profile scaling as the SCM\_REF run 725 projected on that same month and on that same grid-point of GCM\_REF). 726

• Projection using GCM  $T_S$  and  $RH_S$ , and using the same q profile scaling as for another projection (Fig. 6f): the projection of a set of SCM runs (SCM\_PERT) on a GCM experiment (GCM\_PERT) is performed doing the following. On each grid-point, the SCM\_PERT run that has the closest  $T_S$  anomaly and  $RH_S$ , and that was performed using  $q\_scaling_{ref}$  is projected (i.e. the same q profile scaling as the SCM\_REF run projected on that same month and on that same grid-point of GCM\_REF). Only  $\beta$  is allowed to be different.

The conditions for projection on each grid-point, when applicable, are that the SCM and the grid-point  $T_S$  and  $RH_S$  are not different by more than the spatio-temporal standard-deviation of the GCM  $T_S$  and  $RH_S$ , respectively (standard deviation of the flattened 12-months × latitudes × longitudes array). As a result, it is possible that nothing projects on the grid-point.

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  <sup>968</sup> 967/abstract.

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970	Table 1.	GCM experiments used in this study (either directly analysed or used for their
971		output to perform other experiments). For each experiment: name (in this pa-
972		per), GCM used to perform it, atmospheric CO <sub>2</sub> forcing, interacting plant phys-
973		iology, SST forcing and land $T_S$ forcing
974	Table 2.	Definition of the different components of the $4 \times CO_2$ forcing from the experi-
975		ments listed in Table 1

TABLE 1. GCM experiments used in this study (either directly analysed or used for their output to perform other experiments). For each experiment: name (in this paper), GCM used to perform it, atmospheric CO<sub>2</sub> forcing, interacting plant physiology, SST forcing and land  $T_S$  forcing.

Name	GCM	CO <sub>2</sub>	Plant physiology	SST	land conditions
HG3-AMIP	HadGEM3	observations 1989-2008	ON	observations 1989-2008	Free
piControl	HadGEM2-ES	pre-industrial	ON	Free	Free
abrupt4×CO <sub>2</sub>	HadGEM2-ES	pre-industrial $\times$ 4	ON	Free	Free
piSST	HadGEM2-ES	observations 1979-2008	ON	piControl	Free
p4KSST	HadGEM2-ES	piSST	ON	Uniform 4 K warming from piControl	Free
a4SST	HadGEM2-ES	piSST	ON	$abrupt4 \times CO_2$	Free
AMIP	ACCESS1.0	observations 1979-2008	ON	observations 1979-2008	Free
AMIP_4xCO2tot	ACCESS1.0	$\mathbf{AMIP}\times 4$	ON	AMIP	Free
AMIP_4xCO2rad	ACCESS1.0	$\mathbf{AMIP}\times 4$	OFF	AMIP	Free
AMIP_PL	ACCESS1.0	AMIP	ON	AMIP	AMIP
AMIP_PL_4xCO2tot	ACCESS1.0	AMIP	ON	AMIP	AMIP_4xCO2tot
AMIP_PL_4xCO2rad	ACCESS1.0	AMIP	OFF	AMIP	AMIP_4xCO2rad
AMIP_4xCO2tot_PL	ACCESS1.0	$\mathbf{AMIP}\times 4$	ON	AMIP	AMIP
AMIP_4xCO2rad_PL	ACCESS1.0	$\mathbf{AMIP}\times 4$	OFF	AMIP	AMIP

TABLE 2. Definition of the different components of the  $4 \times CO_2$  forcing from the experiments listed in Table 1.

Component	Definition
Uniform + 4 K ocean warming	p4KSST - piSST
SST pattern-only	a4SST - p4KSST
Land warming induced by $4 \times CO_2$ radiative-only forcing	AMIP_PL_4xCO2rad - AMIP_PL
Vegetation-only forcing with prescribed land	AMIP_4xCO2tot_PL - AMIP_4xCO2rad_PL
Land warming induced by Vegetation-only forcing	AMIP_PL_4xCO2tot - AMIP_PL_4xCO2rad
$4 \times CO_2$ radiative-only forcing with prescribed land	AMIP_4xCO2rad_PL - AMIP_PL

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jections compared).

- Schematic of the SCM with the DGW parametrization.  $\theta_{ref}$  and  $\theta$  are the reference and Fig. 1. 980 simulated potential temperatures, respectively.  $q_{ref}$  is the reference specific humidity and 981 w' is the parametrized vertical velocity. The dashed red line represents the potential tem-982 perature profile once it has been relaxed towards the reference profile, via vertical advection 983 (represented by the thin red arrows) by the parametrized vertical velocity. 51 984 Fig. 2. (Top) Relationship between precipitation and SST in the SCM\_CTRL\_ $T_S$ -only runs (black 985 line) and in the GCM HG3-AMIP (boxes encompass 50% of the values between the 25<sup>th</sup> 986 and the  $75^{\text{th}}$  percentiles, median is plain bold, mean is dashed). Each SCM experiment 987 corresponds to one prescribed surface temperature value and one resulting equilibrated mean 988 precipitation (taken as the time-mean over the last 40 days of the 100 days-long run to keep 989 only the equilibrated period). Error bars are drawn between the  $25^{\text{th}}$  and the  $75^{\text{th}}$  percentiles 990 of the range of precipitation values occurring during the equilibrated period of the run. In 991 HG3-AMIP, boxes show the distribution of precipitation found for each SST bin, considering 992 all months and all oceanic grid-points of the tropics (20N-20S). Boxes are 0.5 K-wide and 993 correspond to the surface temperature values used in the SCM experiments. (Bottom) Same 994 but for the relationship between vertical velocity at 500 hPa and SST. 52 995 Fig. 3. Annual-mean precipitation in HG3-AMIP and from SCM runs. a) HG3-AMIP annual mean 996 precipitation. b) Projection of SCM\_CTRL\_ $T_S$ -only precipitation results on HG3-AMIP  $T_S$ 997 (see Methods). c) Projection of SCM\_CTRL precipitation results on HG3-AMIP  $T_S$  and 998  $RH_S$  (see Methods). d) Difference between c) and a). Hatched regions are where there are 999 less than 10 months of the climatological year for which SCM runs correspond to the region 1000 and can be projected on it. R on the bottom right is the Pearson pattern correlation with a); 1001 53 R(ocean) is computed over the ocean only. 1002 SCM against GCM (HG3-AMIP) annual-mean precipitation. Fig. 4. a) SCM\_CTRL\_ $T_{s}$ -only 1003 against HG3-AMIP (i.e. precipitation from Fig. 3b plotted against precipitation from Fig. 3a, 1004 taken over the whole tropics). b) SCM\_CTRL against HG3-AMIP (i.e. precipitation from 1005 Fig. 3c plotted against precipitation from Fig. 3a). Orange dots are land grid-points and blue 1006 dots are ocean grid-points. Corresponding linear regressions are shown for land (orange) 1007 and ocean (blue). The dashed black line shows the y=x one-to-one line. 54 1008 Fig. 5. Annual-mean precipitation responses to a combination of forcings in the GCM. a) Fully 1009 coupled response to increased atmospheric  $CO_2$ : abrupt4× $CO_2$  - piControl. b) Sum of the 1010 responses to six different components of the  $4 \times CO_2$  forcing, namely (1) the change in the 1011 SST pattern, (2) the land warming due to the  $4 \times CO_2$  radiative-only effect, (3) the effect of 1012 the plant physiological response to  $4 \times CO_2$  with prescribed  $T_S$  over land and ocean, (4) the 1013 land warming due to the plant physiological response to  $4 \times CO_2$ , (5) the  $4 \times CO_2$  radiative-1014 only effect (no plant physiology) with prescribed  $T_S$  over land and ocean, and (6) the uni-1015 form + 4 K ocean warming: a4SST - piSST + AMIP\_4xCO2tot\_PL + AMIP\_PL\_4xCO2tot -1016 2\*AMIP\_PL. R is the Pearson pattern correlation between a) and b). 55 1017 Annual-mean precipitation responses to different components of the  $4 \times CO_2$  forcing, in **Fig. 6.** 1018 the GCM and from SCM runs. Each panel shows on the top the GCM rainfall re-1019 sponse and on the bottom the corresponding SCM projection. When not specified 1020 otherwise, projections are done using  $T_S$  and  $RH_S$  (Methods). Hatched regions are 1021 where there are less than 10 months of the climatological year for which SCM runs 1022
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correspond to the region and can be projected on it (for either one of the two pro-

tion and the GCM; R(ocean) is when considering the ocean only; R(land) when con-

R is the Pearson pattern correlation between the SCM projec-

1026	sidering land only. a) AMIP_PL_4xCO2tot - AMIP_PL_4xCO2rad. b) [Projection of	
1027	SCM_CTRL on AMIP_PL_4xCO2tot] - [proj. of SCM_CTRL on AMIP_PL_4xCO2rad].	
1028	c) AMIP_PL_4xCO2rad - AMIP_PL. d) [Proj. of SCM_CTRL on AMIP_PL_4xCO2rad]	
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1030	[Proj. of SCM_4xCO2 on AMIP_4xCO2tot_PL, done using $T_S$ and $RH_S$ and using the same	
1031	q profile scaling as for the proj. of SCM_4xCO2 on AMIP_4xCO2rad_PL (so that only evap-	
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1037 1038 1039 1040 1041 1042	<b>Fig. 7.</b> Annual-mean convective mass flux (positive upward, $M_{INT}$ , left panel) and near-surface relative humidity ( $RH_S$ , right panel) responses to different components of the 4×CO <sub>2</sub> forcing, in the GCM and from SCM runs. The top and bottom panels correspond to two components of the forcing indicated in the panels titles. Each shows GCM responses (plain bars) and corresponding SCM projections (circled-patterned bars) with fixed moisture coefficients (details hereafter), averaged over tropical (20N-20S) ocean (blue bars) and land (orange	
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1037 1038 1039 1040 1041 1042 1043 1044 1045 1046 1047	Fig. 7. Annual-mean convective mass flux (positive upward, $M_{INT}$ , left panel) and near-surface rel- ative humidity ( $RH_S$ , right panel) responses to different components of the 4×CO <sub>2</sub> forcing, in the GCM and from SCM runs. The top and bottom panels correspond to two compo- nents of the forcing indicated in the panels titles. Each shows GCM responses (plain bars) and corresponding SCM projections (circled-patterned bars) with fixed moisture coefficients (details hereafter), averaged over tropical (20N-20S) ocean (blue bars) and land (orange bars). a) and c) GCM: AMIP_4xCO2rad_PL - AMIP_PL; SCM: [Projection of SCM_4xCO2 on AMIP_4xCO2rad_PL, done using $T_S$ only and using the same $\beta$ and $q$ profile scaling as for the projection of SCM_CTRL on AMIP_PL (Methods)] - [projection of SCM_CTRL on AMIP_PL (done using $T_S$ and $RH_S$ ; Methods)]. b) and d) GCM: p4KSST - piSST; SCM: [Projection of SCM_4K on p4KSST, done using $T_S$ only and using the same $\beta$ and	
1037 1038 1039 1040 1041 1042 1043 1044 1045 1046 1047 1048	Fig. 7. Annual-mean convective mass flux (positive upward, $M_{INT}$ , left panel) and near-surface rel- ative humidity ( $RH_S$ , right panel) responses to different components of the 4×CO <sub>2</sub> forcing, in the GCM and from SCM runs. The top and bottom panels correspond to two compo- nents of the forcing indicated in the panels titles. Each shows GCM responses (plain bars) and corresponding SCM projections (circled-patterned bars) with fixed moisture coefficients (details hereafter), averaged over tropical (20N-20S) ocean (blue bars) and land (orange bars). a) and c) GCM: AMIP_4xCO2rad_PL - AMIP_PL; SCM: [Projection of SCM_4xCO2 on AMIP_4xCO2rad_PL, done using $T_S$ only and using the same $\beta$ and $q$ profile scaling as for the projection of SCM_CTRL on AMIP_PL (Methods)] - [projection of SCM_CTRL on AMIP_PL (done using $T_S$ and $RH_S$ ; Methods)]. b) and d) GCM: p4KSST - piSST; SCM: [Projection of SCM_4K on p4KSST, done using $T_S$ only and using the same $\beta$ and $q$ profile scaling as for the projection of SCM_CTRL on piSST (Methods)] - projection of	



FIG. 1. Schematic of the SCM with the DGW parametrization.  $\theta_{ref}$  and  $\theta$  are the reference and simulated potential temperatures, respectively.  $q_{ref}$  is the reference specific humidity and w' is the parametrized vertical velocity. The dashed red line represents the potential temperature profile once it has been relaxed towards the reference profile, via vertical advection (represented by the thin red arrows) by the parametrized vertical velocity.



b) Vertical velocity at 500 hPa against SST



FIG. 2. (Top) Relationship between precipitation and SST in the SCM\_CTRL\_T<sub>S</sub>-only runs (black line) and in 1054 the GCM HG3-AMIP (boxes encompass 50% of the values between the 25<sup>th</sup> and the 75<sup>th</sup> percentiles, median is 1055 plain bold, mean is dashed). Each SCM experiment corresponds to one prescribed surface temperature value and 1056 one resulting equilibrated mean precipitation (taken as the time-mean over the last 40 days of the 100 days-long 1057 run to keep only the equilibrated period). Error bars are drawn between the 25th and the 75th percentiles of the 1058 range of precipitation values occurring during the equilibrated period of the run. In HG3-AMIP, boxes show 1059 the distribution of precipitation found for each SST bin, considering all months and all oceanic grid-points of 1060 the tropics (20N-20S). Boxes are 0.5 K-wide and correspond to the surface temperature values used in the SCM 1061 experiments. (Bottom) Same but for the relationship between vertical velocity at 500 hPa and SST. 1062



FIG. 3. Annual-mean precipitation in HG3-AMIP and from SCM runs. a) HG3-AMIP annual mean precipitation. b) Projection of SCM\_CTRL\_ $T_S$ -only precipitation results on HG3-AMIP  $T_S$  (see Methods). c) Projection of SCM\_CTRL precipitation results on HG3-AMIP  $T_S$  and  $RH_S$  (see Methods). d) Difference between c) and a). Hatched regions are where there are less than 10 months of the climatological year for which SCM runs correspond to the region and can be projected on it. R on the bottom right is the Pearson pattern correlation with a); R(ocean) is computed over the ocean only.



FIG. 4. SCM against GCM (HG3-AMIP) annual-mean precipitation. a) SCM\_CTRL\_ $T_S$ -only against HG3-AMIP (i.e. precipitation from Fig. 3b plotted against precipitation from Fig. 3a, taken over the whole tropics). b) SCM\_CTRL against HG3-AMIP (i.e. precipitation from Fig. 3c plotted against precipitation from Fig. 3a). Orange dots are land grid-points and blue dots are ocean grid-points. Corresponding linear regressions are shown for land (orange) and ocean (blue). The dashed black line shows the y=x one-to-one line.



FIG. 5. Annual-mean precipitation responses to a combination of forcings in the GCM. a) Fully coupled 1074 response to increased atmospheric CO2: abrupt4×CO2 - piControl. b) Sum of the responses to six different 1075 components of the 4×CO<sub>2</sub> forcing, namely (1) the change in the SST pattern, (2) the land warming due to the 1076  $4 \times CO_2$  radiative-only effect, (3) the effect of the plant physiological response to  $4 \times CO_2$  with prescribed  $T_S$ 1077 over land and ocean, (4) the land warming due to the plant physiological response to  $4 \times CO_2$ , (5) the  $4 \times CO_2$ 1078 radiative-only effect (no plant physiology) with prescribed  $T_S$  over land and ocean, and (6) the uniform +4K 1079 ocean warming: a4SST - piSST + AMIP\_4xCO2tot\_PL + AMIP\_PL\_4xCO2tot - 2\*AMIP\_PL. R is the Pearson 1080 pattern correlation between a) and b). 1081



FIG. 6. Annual-mean precipitation responses to different components of the  $4 \times CO_2$  forcing, in the GCM and 1082 from SCM runs. Each panel shows on the top the GCM rainfall response and on the bottom the corresponding 1083 SCM projection. When not specified otherwise, projections are done using  $T_S$  and  $RH_S$  (Methods). Hatched 1084 regions are where there are less than 10 months of the climatological year for which SCM runs correspond to 1085 the region and can be projected on it (for either one of the two projections compared). R is the Pearson pattern 1086 correlation between the SCM projection and the GCM; R(ocean) is when considering the ocean only; R(land) 1087 when considering land only. a) AMIP\_PL\_4xCO2tot - AMIP\_PL\_4xCO2rad. b) [Projection of SCM\_CTRL on 1088 AMIP\_PL\_4xCO2tot] - [proj. of SCM\_CTRL on AMIP\_PL\_4xCO2rad]. c) AMIP\_PL\_4xCO2rad - AMIP\_PL. d) 1089 [Proj. of SCM\_CTRL on AMIP\_PL\_4xCO2rad] - [proj. of SCM\_CTRL on AMIP\_PL]. e) AMIP\_4xCO2tot\_PL 1090 - AMIP\_4xCO2rad\_PL. f) [Proj. of SCM\_4xCO2 on AMIP\_4xCO2tot\_PL, done using  $T_S$  and  $RH_S$  and using 109 the same q profile scaling as for the proj. of SCM\_4xCO2 on AMIP\_4xCO2rad\_PL (so that only evaporation 1092 is allowed to change; Methods)] - [proj. of SCM\_4xCO2 on AMIP\_4xCO2rad\_PL]. g) a4SST - p4KSST. h) 1093 [Proj. of SCM\_4K on a4SST] - [proj. of SCM\_4K on p4KSST]. i) AMIP\_4xCO2rad\_PL - AMIP\_PL. j) [Proj. of 1094 SCM\_4xCO2 on AMIP\_4xCO2rad\_PL] - [proj. of SCM\_CTRL on AMIP\_PL]. k) p4KSST - piSST. 1) [Proj. of 1095 SCM\_4K on p4KSST] - [proj. of SCM\_CTRL on piSST]. 1096



FIG. 7. Annual-mean convective mass flux (positive upward,  $M_{INT}$ , left panel) and near-surface relative 1097 humidity ( $RH_S$ , right panel) responses to different components of the 4×CO<sub>2</sub> forcing, in the GCM and from 1098 SCM runs. The top and bottom panels correspond to two components of the forcing indicated in the panels 1099 titles. Each shows GCM responses (plain bars) and corresponding SCM projections (circled-patterned bars) 1100 with fixed moisture coefficients (details hereafter), averaged over tropical (20N-20S) ocean (blue bars) and 1101 land (orange bars). a) and c) GCM: AMIP\_4xCO2rad\_PL - AMIP\_PL; SCM: [Projection of SCM\_4xCO2 on 1102 AMIP\_4xCO2rad\_PL, done using  $T_S$  only and using the same  $\beta$  and q profile scaling as for the projection 1103 of SCM\_CTRL on AMIP\_PL (Methods)] - [projection of SCM\_CTRL on AMIP\_PL (done using T<sub>S</sub> and RH<sub>S</sub>; 1104 Methods)]. b) and d) GCM: p4KSST - piSST; SCM: [Projection of SCM\_4K on p4KSST, done using T<sub>S</sub> only 1105 and using the same  $\beta$  and q profile scaling as for the projection of SCM\_CTRL on piSST (Methods)] - projection 1106 of SCM\_CTRL on piSST (done using  $T_S$  and  $RH_S$ ; Methods). 1107