

Uncertainty in the response of sudden stratospheric warmings and stratospheretroposphere coupling to quadrupled CO2 concentrations in CMIP6 models

Article

Accepted Version

Ayarzagüena, B., Charlton-Perez, A. J. ORCID: https://orcid.org/0000-0001-8179-6220, Butler, A. H., Hitchcock, P., Simpson, I. R., Polvani, L. M., Butchart, N., Gerber, E. P., Gray, L., Hassler, B., Lin, P., Lott, F., Manzini, E., Mizuta, R., Orbe, C., Osprey, S., Saint-Martin, D., Sigmond, M., Taguchi, M., Volodin, E. M. and Watanabe, S. (2020) Uncertainty in the response of sudden stratospheric warmings and stratosphere-troposphere coupling to quadrupled CO2 concentrations in CMIP6 models. Journal of Geophysical Research: Atmospheres, 125 (6). e2019JD032345. ISSN 2169-897X doi: 10.1029/2019JD032345 Available at https://centaur.reading.ac.uk/89428/

It is advisable to refer to the publisher's version if you intend to cite from the work. See <u>Guidance on citing</u>.

To link to this article DOI: http://dx.doi.org/10.1029/2019JD032345



Publisher: American Geophysical Union

All outputs in CentAUR are protected by Intellectual Property Rights law, including copyright law. Copyright and IPR is retained by the creators or other copyright holders. Terms and conditions for use of this material are defined in the <u>End User Agreement</u>.

www.reading.ac.uk/centaur

CentAUR

Central Archive at the University of Reading

Reading's research outputs online

Uncertainty in the response of sudden stratospheric warmings and stratosphere-1 troposphere coupling to quadrupled CO2 concentrations in CMIP6 models 2 3

B. Ayarzagüena¹, A. J. Charlton-Perez², A. H. Butler³, P. Hitchcock⁴, I. R. Simpson⁵, L. M. Polvani⁶, N. Butchart⁷, E. P. Gerber⁸, L. Gray⁹, B. Hassler¹⁰, P. Lin¹¹, F. Lott¹², E. Manzini¹³, R. Mizuta¹⁴, C. Orbe¹⁵, S. Osprey⁹, D. Saint-Martin¹⁶, M. Sigmond¹⁷, M. Taguchi¹⁸, E. M. Volodin¹⁹, S. Watanabe²⁰ 4

- 5
- 6
- 7
- ¹ Departamento de Física de la Tierra y Astrofísica, Universidad Complutense de Madrid, Spain 8
- ² Department of Meteorology, Univ. of Reading, UK 9
- ³Cooperative Institute for Environmental Sciences (CIRES)/National Oceanic and Atmospheric 10
- Administration (NOAA) Chemical Sciences Division, USA 11
- ⁴ Department of Earth and Atmospheric Sciences, Cornell University, USA 12
- ⁵ Climate and Global Dynamics Laboratory, National Center for Atmospheric Research, USA 13
- ⁶ Columbia University, USA 14
- ⁷ Met Office Hadley Centre, Exeter, UK. 15
- ⁸ Courant Institute of Mathematical Sciences, New York University, USA 16
- ⁹ NCAS-Climate, Department of Physics, University of Oxford, UK 17
- ¹⁰ Deutsches Zentrum für Luft-und Raumfahrt (DLR), Oberpfaffenhofen, Germany 18
- ¹¹ Atmospheric and Oceanic Sciences Program, Princeton University, USA, 19
- ¹² Laboratoire de Météorologie Dynamique, Ecole Normale Supérieure, France 20
- ¹³ Max-Planck-Institut für Meteorologie, Germany 21
- ¹⁴ Meteorological Research Institute, Japan 22
- ¹⁵ NASA Goddard Institute for Space Studies, USA 23
- ¹⁶ Centre National de Recherches Météorologiques (CNRM), Université de Toulouse, Météo-24
- France, CNRS, Toulouse, France 25
- ¹⁷ Canadian Centre for Climate Modelling and Analysis Environment and Climate Change. 26 27 Canada
- ¹⁸ Department of Earth Science, Aichi University of Education, Kariya, Japan 28
- ¹⁹ Marchuk Institute of Numerical Mathematics, Russia 29
- ²⁰ Japan Agency for Marine-Earth Science and Technology (JAMSTEC), Japan 30
- 31
- 32
- Corresponding author: Blanca Ayarzagüena (bayarzag@ucm.es) 33

34 Key Points:

- The tropospheric signal of Sudden Stratospheric Warming (SSWs) in the North Atlantic does not change under 4xCO₂ forcing.
- There is high uncertainty in changes of SSW frequency under 4xCO₂ forcing; single
 models show the rate to be significantly halved or doubled.
- The boreal polar vortex will form earlier and disappear later under increased CO₂,
 extending the season of stratosphere-troposphere coupling.
- 41
- 42
- 43

44 Abstract

Major sudden stratospheric warmings (SSWs), vortex formation and final breakdown 45 dates are key highlight points of the stratospheric polar vortex. These phenomena are relevant for 46 stratosphere-troposphere coupling, which explains the interest in understanding their future 47 changes. However, up to now, there is not a clear consensus on which projected changes to the 48 49 polar vortex are robust, particularly in the Northern Hemisphere, possibly due to short data record or relatively moderate CO_2 forcing. The new simulations performed under the Coupled 50 Model Intercomparison Project, Phase 6, together with the long daily data requirements of the 51 DynVarMIP project in preindustrial and quadrupled CO_2 (4xCO₂) forcing simulations provide a 52 new opportunity to revisit this topic by overcoming the limitations mentioned above. 53

54 In this study, we analyze this new model output to document the change, if any, in the frequency of SSWs under 4xCO₂ forcing. Our analysis reveals a large disagreement across the 55 models as to the sign of this change, even though most models show a statistically significant 56 change. As for the near-surface response to SSWs, the models, however, are in good agreement 57 as to this signal over the North Atlantic: there is no indication of a change under 4xCO₂ forcing. 58 Over the Pacific, however, the change is more uncertain, with some indication that there will be 59 a larger mean response. Finally, the models show robust changes to the seasonal cycle in the 60 stratosphere. Specifically, we find a longer duration of the stratospheric polar vortex, and thus a 61 longer season of stratosphere-troposphere coupling. 62

63 **1 Introduction**

The stratospheric polar vortex is a strong wintertime circumpolar cyclonic circulation that isolates the polar air masses from air in the lower latitudes [Andrews et al. 1987]. The stratospheric polar vortex forms in Autumn as solar heating vanishes at the pole, establishing strong meridional temperature gradients. The vortex intensifies during winter and then decays in spring as sunlight returns to high latitudes. The springtime breakdown of the vortex, when the zonal winds revert to easterlies, is also known as the stratospheric final warming (SFW).

70 Interest in the polar vortex has increased in the last decades for two different reasons. 71 First, the magnitude of the Antarctic ozone hole is dependent on the state of the polar vortex, as a strong polar vortex is associated with colder temperatures (crucial for heterogeneous ozone 72 chemistry) and reduced mixing with ozone-rich mid-latitude air [Schoeberl and Hartmann, 73 74 1991]. Secondly, polar stratospheric variability is known to affect not only the stratosphere but also the troposphere, typically projecting onto Annular Mode patterns [e.g.: Baldwin and 75 Dunkerton, 2001; Kidston et al., 2015]. Polar stratospheric variability peaks in the winter 76 hemisphere when the polar vortex is present, as a major source of stratospheric variability is 77 upward propagating, planetary-scale Rossby waves from the troposphere below [Charney and 78 79 Drazin, 1961]. Under linear theory, the vertical propagation of Rossby waves is limited to regions with westerly winds [Andrews et al. 1987]. Furthermore, because wave activity is greater 80 in the Northern Hemisphere (NH) than in the Southern Hemisphere (SH) so is the polar 81 stratospheric variability. In the SH, stratospheric variability, and thus the coupling to the 82 troposphere, is mainly associated with SFW [Black and McDaniel 2007]. In the NH apart from 83 SFWs [Black et al. 2006; Avarzagüena and Serrano, 2009; Hardiman et al., 2011], this coupling 84 is primarily associated with polar vortex extremes, in particular, major sudden stratospheric 85 warmings (SSWs). SSWs happen in midwinter and consist in a reversal of wintertime polar 86 87 stratospheric circulation with a subsequent recovery of the polar vortex after the event. The

tropospheric signal of SSWs can persist for up to two months after the occurrence of each event 88 [Charlton and Polvani, 2007]. Although the exact mechanism for this downward influence is still 89 unclear, different hypothesis have been presented in the literature such as wave reflection, 90 91 downward control or responses to stratospheric redistributions of potential vorticity among others [Song and Robinson, 2004 and references herein]. In most of these theories the role of the 92 circulation anomalies of the lower stratosphere was found to be extremely important to define the 93 impact on the troposphere. Indeed, recently Hitckcock et al. [2013] defined a subset of SSWs, 94 called Polar-night Jet Oscillation events (PJOs), which are characterized by a very persistent 95 warm polar lower stratosphere and whose signal in the troposphere is particularly strong and 96 persistent too. 97

The importance of polar vortex variability for both atmospheric dynamics and ozone 98 99 chemistry has spurred considerable efforts in identifying if and how the stratospheric polar vortex might respond to increasing greenhouse gases (GHGs). While several studies have been 100 devoted to this question, there is not consensus at this time on which projected changes to the 101 polar vortex are robust. Here, and throughout the paper, we use the word robust to mean a strong 102 agreement across many models as to the size and amplitude of the changes to the stratospheric 103 polar vortex under increased GHG. To offer a trivial example: a two-model ensemble in which 104 one model predicted a halving of SSW frequency and the other model predicted a doubling of 105 106 SSW frequency would not represent a robust prediction of future changes, although both these changes might be statistically significant in each model. On the contrary, if one model predicted 107 a significant increase of SSW frequency by a factor of 2.5 and the other by a factor of 2, we 108 would regard this as a robust prediction. 109

110 Early studies using simple models demonstrated polar stratospheric cooling under increased GHG forcing [Manabe and Wetherland, 1967; Fels et al. 1980]. Global atmospheric 111 modeling work in the 1990s (with prescribed changes in sea surface temperatures) projected a 112 boreal polar warming in winter, but no consensus on the changes in the number of SSWs [Rind 113 114 et al. 1990; Mahfouf et al 1994; Rind et al. 1998; Butchart et al 2000]. Moreover, after decades of improvement in modeling the stratosphere, a clear consensus about future changes to the polar 115 vortex is still missing. For instance, one can find in the literature a number of single-model 116 studies that report a significant increase in the frequency of SSWs in the future [Charlton-Perez 117 118 et al., 2008; Bell et al., 2010], while other studies report a non-statistically significant increase [e.g. Mitchell et al., 2012a; Avarzagüena et al. 2013], and others no significant change in SSW 119 120 frequency at all [McLandress and Shepherd, 2009; Scaife et al., 2012; Karpechko and Manzini, 2012]. Multi-model intercomparisons of Chemistry Climate Model Validation (CCMVal) and 121 Coupled Model Intercomparison Project 5 (CMIP5) models have reported large discrepancies in 122 123 the sign of change among models [Mitchell et al. 2012b; Kim et al., 2017].

Recently, Ayarzagüena et al. [2018] revisited this topic, trying to overcome some of the 124 issues suggested in the literature as potential reasons for this disagreement, such as the use of one 125 single model in the analysis or the dependence of results on the SSW identification criterion. 126 They analyzed 12 different models participating in the Chemistry Climate Model Initiative 127 (CCMI) and applied several different (absolute and relative) criteria for the identification of 128 SSWs. The outcome was again a lack of a significant change in SSWs frequency in the future, 129 although most of the models predicted a slight increase in the frequency of these, regardless of 130 the SSW identification algorithm. One might argue, however, that the limited data record 131 available (40 years in each period of study), and the relatively moderate GHG forcing used in the 132

central CCMI scenario (Representative Concentration Pathway 6.0, RCP6.0), might be
 insufficient to detect significant changes in SSWs in those simulations.

The new CMIP6 model generation together with the special data requirements of the 135 DynVarMIP project [Gerber and Manzini, 2016] provide a new opportunity to revisit the 136 question of the effects of increasing CO₂ on the interannual variability of the stratospheric polar 137 vortex. The very long daily data record at stratospheric levels of the Diagnostic, Evaluation and 138 Characterization of Klima (DECK) experiments allows us, for the first time, to try to isolate 139 forced changes in stratospheric variability in a larger ensemble of high-top models than possible 140 previously [Eyring et al. 2016]. Specifically, one of these DECK simulations consists of a very 141 high CO_2 forcing (abrupt4xCO₂) enabling the exploration of changes in the vortex variability 142 under an extreme future scenario. Furthermore, the daily output of the 1pctCO₂ simulation with a 143 gradual increase of CO₂ allows us to investigate the time of emergence of SSW changes. 144

The goal of this study is to analyze the potential changes in the interannual variability of 145 the polar vortex due to increasing CO₂ concentrations, as simulated by CMIP6 models. Apart 146 from the mentioned new possibilities opened up by the availability of CMIP6 data, we have also 147 examined other characteristics that are relevant for the stratosphere-troposphere coupling such as 148 the seasonal cycle of the polar vortex, i.e. formation and final breakdown, in both hemispheres, 149 as well as changes in stratosphere-troposphere coupling during SSWs, given the importance of 150 these aspects for tropospheric impacts and predictability. However, we do not aim here to fully 151 diagnose stratospheric variability in the CMIP6 models, nor to explain in detail why models 152 153 differ in their estimates of the sensitivity of the stratospheric polar vortex to CO_2 forcing. Instead, we simply aim to provide a timely, quantitative estimate of how stratospheric variability 154 might change under CO₂ forcing since this information is of critical importance to the upcoming 155 Intergovernmental Panel on Climate Change (IPCC) AR6 report, and for future work on the 156 157 stratosphere in CMIP6 models.

158

159 2 Data and methodology

160 2.1 Data

In this study we analyze the daily output of DECK simulations by 12 CMIP6 models 161 participating in the DynVarMIP initiative (Table 1). All the models are coupled to an ocean and 162 sea ice model, and most (8 out of 12) are "high-top" models, defined by having a model top at or 163 164 above 0.1hPa as in Domeisen et al. [2019]. A priori, we expect the high-top models to have more realistic polar stratospheric variability and, consequently, to better simulate SSWs, and their 165 frequency and surface impacts, than low-top models [Charlton-Pérez et al. 2013]. For the CMIP6 166 ensemble, there is a much larger number of models that have a high model top than in the 167 previous CMIP5 ensemble. In order to make sure our model sample is unbiased, only a single 168 member of each model ensemble is analyzed here; details are shown in Table 1. 169

We focus on four DECK experiments [Eyring et al., 2016], each of them used for different purposes. The historical run is employed for model validation: we compare the simulated SSW frequency, intensity and seasonality to the values obtained from the JRA-55 reanalysis [Kobayashi et al., 2015]. In fact, we have specifically restricted the analysis period to 1958-2014 to perform a rigorous quantitative comparison with JRA-55. This reanalysis shows a very good performance in representing SSW [Ayarzagüena et al. 2019] and is the most modern reanalyses of the three that extend longer than the satellite era and assimilate more than surfacedata (ERA-40, NCEP/NCAR reanalysis and JRA-55).

The pre-industrial Control (piControl) experiment is used for two purposes. Since it contains a very long data record (more than 450 years for most of the models, Table 1), it is used to characterize both the baseline estimates of SSW frequency and intensity, and to characterize internal atmospheric variability in SSW frequency and trends.

The abrupt $4xCO_2$ and $1pctCO_2$ runs are used to examine the impact of CO_2 forcing on 182 SSW properties. Both simulations extend 150 years (except for the abrupt4xCO₂ in IPSL-183 CM6A-LR, which is 900 years long, and GISS-E2.2AP, which is 81 years long). All forcings in 184 the abrupt $4xCO_2$ simulations are identical to those in the piControl run, except for the CO₂ 185 concentrations, which are abruptly quadrupled from piControl levels, and then are held constant 186 throughout the entire length of the simulation [Eyring et al. 2016]. The large and constant forcing 187 in the abrupt $4xCO_2$ makes it possible to isolate robust changes, if any, to the size and nature of 188 changes to SSW properties. In the 1pctCO₂ simulation, the CO₂ concentration starts at pre-189 industrial levels and is increased at the rate of 1% per year. This simulation is used to estimate 190 the rate at which SSW frequency might change in the future [one aspect of the so-called 191 'dynamical sensitivity' of the stratosphere, Grise and Polvani 2016]. 192

Anomalies are defined as the departure from the daily evolving annual cycle of each 193 respective model. In the piControl run, the climatology is based on the whole period, while in the 194 historical run only the 1979-2014 is considered for calculating the climatology. In the 195 196 $abrupt4xCO_2$ runs, a trend is identified in some variables during the first 50 years following the switch-on of the forcing. To avoid this trend, the climatologies are computed after omitting the 197 first 75 years except for IPSL-CM6A-LR where we omit the first 300 years but we keep the 198 following 600 years. A similar omission of data is performed for the analysis of SFW or vortex 199 formation dates. In contrast, the full abrupt4xCO₂ is considered when looking at SSW frequency 200 201 as no trend is detectable in the occurrence of these phenomena.

202 2.2 Methods

There has recently been a considerable discussion in the literature as to which metrics 203 best characterize the variability of the stratospheric polar vortex, in particular, extreme vortex 204 weakening events [Butler et al. 2015; Butler and Gerber, 2018]. However, in a recent study, 205 Ayarzagüena et al. [2018] found little dependence on the choice of metrics in terms of 206 documenting future changes in SSWs. Thus, we here focus only on a few, widely-used and easily 207 implementing metrics of stratospheric variability. Future work will likely be able to explore 208 stratospheric variability in more detail, and possibly reveal subtleties in changes to stratospheric 209 circulation not apparent in our initial analysis. Furthermore, focusing on commonly used 210 diagnostics allows us to place our work in the context of previously published studies on changes 211 in, for example, SSW frequency. 212

Several aspects of the stratospheric polar vortex (formation, final breakdown and
variability) are analyzed using the zonal mean zonal wind at 60°N and 10hPa (u_{60N10hPa}) for the
NH, and 60°S and 10hPa for the SH.

<u>SSWs</u> are identified following the criterion proposed in Charlton and Polvani [2007], which
 is based on the reversal in the sign of u_{60N10hPa} from November to March. Their criterion
 includes two additional restrictions: (1) winds must return to westerly for at least 20

- consecutive days between events and (2) winds must return to westerly for at least 10
 consecutive days before April 30 of each year. Recall that this definition only identifies so called "major" SSWs. Here we do not examine other aspects of polar vortex variability, such
 as vortex intensification events, wave reflection events, or minor stratospheric warmings.
- <u>SFWs</u> is defined as the last date in the spring on which u_{60N10hPa} reverses and does not return to westerly for more than 10 consecutive days [Butler and Gerber, 2018].
- <u>The polar vortex formation date</u> is identified as the first time that u_{60N10hPa} turns westerly after 1 July, in the NH, and stays westerly for at least 10 days.
- 227 PJOs are identified by applying a slight variation of criteria established by Hitchcock et al. [2013], as the original required finer vertical resolution than available. The new metric has 228 been validated in reanalysis to ensure that similar results are obtained in this case as to those 229 obtained by applying the original one (not shown). Here, the identification is based on two 230 time series $PC_1 = T'(5 hPa) - T'(100 hPa)$ and $PC_2 = T'(50 hPa)$, where T' indicates the 231 polar-cap averaged temperature anomaly (from climatology) at the specified pressure level. 232 These time series are transformed into polar coordinates r(t) and phi(t), and the central dates 233 of events are defined by when the phase phi(t) passes counter-clockwise through $3\pi/2$, so 234 long as the amplitude r(t) is greater than 2.5 σ . Once a central date is defined, the starting date 235 of the event is defined by the most recent date prior to the central date when r(t) is below 236 1.5σ , and similarly the ending date of the event is defined by the earliest date following the 237 central date when the r(t) is below 1.5 σ . 238
- 239 2.2 Statistical methods

Two methods to calculate the statistical significance of changes to the SSW frequency are used: a parametric method based on an assumption that the SSW frequency can be estimated using a Poisson point process, and a non-parametric bootstrapping technique based on resampling the piControl run of each model. Trends in SSW frequency and the time of emergence of these trends are estimated by fitting a Generalized Linear Model (GLM) to the decadal SSW frequency estimates from each model. All three statistical methods are described in detail in Appendix 1.

3 Model simulation of SSWs during the Historical Period: Mean frequency and seasonal distribution

249 Prior to reporting changes in SSWs caused by increased CO_2 concentrations, it is important to document the models' ability to simulate SSW events during the period of overlap 250 with re-analysis data: we do so by analyzing the historical simulations. Figure 1a shows the 251 average frequency of SSWs during the period 1958-2014 in JRA-55 reanalysis (horizontal 252 dashed line) and the corresponding value for the CMIP6 models (bars; the numerical values are 253 given in Table S1). In agreement with prior studies [e.g., Charlton-Perez et al. 2013; 254 255 Ayarzagüena et al. 2018] we find a large spread across the models in the mean frequency of SSW over that period. This spread is likely due, in part, to the large internal variability of the 256 polar wintertime stratosphere; even with an identical climate model the frequency of SSWs can 257 vary greatly across different realizations, as demonstrated by Polvani et al. [2017]. 258

259 Mindful of this large internal variability, it appears that only four of the models are 260 significantly different from JRA-55, at the 95% confidence level. Three of these are the models

with the lowest model tops (CESM2, CanESM5 and GFDL-CM4) that simulate fewer SSW 261 events than JRA-55 re-analysis. When comparing the seasonal distribution of SSW activity in 262 these models with JRA-55 (Fig. 2) it is clear that for two of them (GFDL-CM4 and CESM2), the 263 SSW activity is significantly shifted towards March, with few SSWs observed in December and 264 January. This is another common bias in low-top models [Charlton-Perez et al., 2013], and more 265 generally, in models with an overly strong polar vortex. It is also worth noting that the three low-266 top models mentioned above are the only ones lacking a simulated Quasi-Biennial Oscillation 267 (QBO). The fourth model with an unrealistic SSW frequency (IPSL-CM6A-LR), in contrast, 268 simulates a very high number of SSWs, on average one per year during the historical period 269 (instead of one every other year). As detailed below, this model also stands out for its high 270 frequency of warmings in the piControl run. While we retain these four models in our analysis, 271 the simulated changes produced by these models should be treated with caution given these 272 biases. 273

Finally, considering the surprising occurrence of an SSW in the SH in 2002 [Krüger et al. 2005], we extended the analysis to that hemisphere. Not a single SSW event was identified in the SH over the historical period in the models analyzed here. One may be tempted to claim that the CMIP6 models are underestimating the stratospheric variability in the SH, as spontaneous SSWs in the absence of stationary waves have been reported in simple models [Kushner and Polvani, 2005]. However, it remains to be demonstrated whether five or six decades of observations are sufficient to make that claim.

281

282 **4 Future changes in polar stratospheric variability**

4.1 Future changes in sudden stratospheric warmings

Figure 1b displays the mean frequency of SSWs in both the piControl and abrupt $4xCO_2$ simulations (numerical values in Table S2). As discussed in Section 2, all SSWs identified in the entire abrupt $4xCO_2$ simulation have been considered. We stress, however, that the main results presented below do not change significantly if only the second 75 years of each abrupt $4xCO_2$ simulation are used (not shown). Two different tests of the statistical significance of the changes are conducted, providing a consistent indication of the statistical significance of changes, although the precise p-values vary due to difference in the underlying assumptions.

Of the 12 models in our study, four models indicate a statistically significant decrease in 291 292 SSW frequency, while four indicate a statistically significant *increase* in SSW frequency. Thus, no consensus in the sign of the change exists in the CMIP6 models, in agreement with the 293 diversity of claims reported in the earlier literature. The lack of a robust change across the 294 models is not due to a lack of sensitivity of SSW frequency to increasing CO₂: in fact, 8 of the 12 295 models indicate significant changes. Rather, the CMIP6 models suggest that there is a great deal 296 of uncertainty in the sign of the change, which varies between a near doubling in the frequency 297 298 of SSWs in some models, to a near halving in others. These divergent responses of the models may now be clearer in the CMIP6, where we can consider a stronger forcing $(4xCO_2)$ and have 299 access to longer records of daily data, compared to previous studies. 300

We also note that the lack of consensus in the CMIP6 models agrees with the recent study of Ayarzagüena et al. [2018], who analyzed the chemistry climate model projections of the CCMI models, which were forced with RCP6.0 scenario. While reporting a general tendency towards an increased frequency of SSWs by the end of the current century, they also emphasized
 that most changes were *not* statistically significant.

We do not attempt to further analyze the causes of differences in the model responses 306 here, other than to note that within our set of models, one of the models indicating a significant 307 reduction of SSW frequency (CanESM5) and one of the models indicating a significant increase 308 309 of SSW frequency (CESM2) have anomalously low SSW frequency and (in the case of CESM2) a biased seasonal distribution of SSW in the historical simulations (Fig. 2). Additionally, two 310 models which show significant decreases in SSW frequency (HadGEM3-GC31-LL and IPSL-311 CM6A-LR) have the highest frequency of SSW events in the piControl and historical 312 simulations. The IPSL-CM6A-LR has a significant bias in SSW frequency and presents some 313 strong biases in the representation of QBO in the abrupt4xCO₂ simulation. Nevertheless, even if 314 we did not consider the four models with biases in the representation of SSWs in the historical 315 period (CanESM5, CESM2, IPSL-CM6A-LR and GFDL-CM4), the main conclusion on the 316 uncertainty in the sign of SSW changes would remain the same. 317

318 We also briefly examined the relationship between the change in SSW frequency and possible predictors of the change, including the frequency of SSWs in the piControl and 319 historical simulations and the Effective Climate Sensitivity (ECS, Gregory et al. [2004]) (Fig. 3). 320 Recall that ECS gives a measure of the equilibrium change of the global surface temperature 321 after a doubling of CO_2 . As can be seen from Fig. 3, models that have a larger frequency of 322 SSWs in the piControl run and models that have a larger ECS seem to produce large reductions 323 324 in SSW frequency under large CO₂ forcing. A notable outlier from the main relationship here is the GISS-E2.2AP model but note that shorter simulations are available for this model than for 325 others in the ensemble which also means that the uncertainty on the estimate of the piControl 326 SSW frequency for this model is large. 327

Excluding GISS-E2.2AP, the correlation between SSW frequency changes and ECS is -0.52 with a probability value of obtaining results at least as extreme as the computed correlation (p-value) of 0.12. However, with GISS-E2.2AP included in the ensemble, the correlation drops to -0.33 and is not significant. The correlation between piControl frequency and SSW frequency changes is -0.50 with a p-value of 0.10 with all models included. Further analysis of a larger ensemble would be required to determine the robustness of these relationships.

Although not addressed in the literature, a relationship between ECS and SSW frequency 334 changes might be possible given some previous results connected to this topic. Shepherd and 335 McLandress, [2011] and Grise and Polvani [2016] documented a link between the strengthening 336 337 of the sub-tropical jet and stratospheric wave driving. Moreover, Li et al. [2007] have argued that the subtropical jet, and tropospheric state in general, might control the upward planetary wave 338 propagation. In this sense, the meridional gradient of the upper tropospheric temperature in the 339 piControl simulation (computed as in Harvey et al [2014]) was found to be linked to the SSW 340 frequency changes under high CO₂ concentrations. The correlation between both variables is -341 0.61 (p-value 0.04). Thus, a model bias in the tropospheric state affects the stratospheric 342 response to increasing CO₂, probably due to its effects on wave propagation. In addition, an 343 intriguing examination of the relationship between changes in the tropospheric state and SSW 344 frequency is shown in the bottom row of panels of Fig. 3. Again, GISS-E2.2AP is an outlier in 345 Fig, 3(c)-(f). Excluding, GISS-E2.2AP, there is a significant correlation between changes in 346 SSWs and changes in the polar lower tropospheric temperature (-0.89, p-value < 0.01) and the 347 lower tropospheric temperature gradient (0.79, p-value < 0.01). In contrast, correlations between 348

the upper tropospheric temperature changes and SSW frequency are generally smaller, with the

highest correlation between the tropical upper tropospheric temperature change and SSW

frequency change (-0.62, p-value 0.06). With GISS-E2.2AP included, the lower tropospheric correlations are reduced but have p-values smaller than 0.05, while the correlation with tropical

correlations are reduced but have p-values smaller than 0.05, while the couper tropospheric temperature does not (-0.49, p-value 0.12).

Of these three critical temperature parameters, temperatures in the upper tropical troposphere and polar lower troposphere are correlated with the ECS. As more dynamical diagnostics suitable for detailed examination of the wave generation and propagation in the models become available, it will be very interesting to try to understand the robustness and causes of these relationships. We also note the interesting recent result of Zelinka et al. (2020), that models with higher climate sensitivity in CMIP6 generally have reduced low cloud cover in mid-latitude and polar regions.

To further examine the changes in SSW frequency under $4xCO_2$ forcing, we have 361 analyzed the entire distribution of daily u_{60N10bPa} in December-January-February in the piControl 362 and abrupt4xCO₂ simulations (Fig. 4). The four models with a significant decrease in SSWs 363 frequency in Fig. 1b (HadGEM3-GC31-LL, CanESM5, IPSL-CM6A-LR, and INM-CM5-0) are 364 also those that show the largest shift of the u_{60N10hPa} distribution towards stronger vortex speeds 365 in the abrupt $4xCO_2$ experiment. Interestingly, the opposite does not always apply to models with 366 a significant increase in SSWs. The models with the largest changes in SSW frequency, 367 MIROC6 and CESM2-WACCM show small changes to either the median or standard deviation 368 369 of the $u_{60N10hPa}$ (Table S3). This would agree with the results of Taguchi [2017] who pointed out SSW frequency does not only correlate with vortex strength but also wave activity. 370

371 A similar analysis was repeated for the zonal-mean zonal wind at 10hPa averaged between 70° and 80°N (not shown). That latitude band was found by Manzini et al. [2014] to 372 display significant future changes in wind in most models, unlike the 60°N latitude where no 373 robust future changes were found in CMIP5 models because the opposed effects of subtropical 374 jet and stratospheric polar vortex changes might combine at that latitude. However, in our case, 375 the main conclusions remain the same. Those models that show a shift of the u_{60N10hPa} 376 377 distribution towards stronger vortex speeds under 4xCO2 forcing also display a sharper peak of high values u at 70-80°N suggesting lower variability in that region, consistent with a stronger 378 379 and larger vortex.

We have also examined potential changes in SSW seasonality. However, despite the already mentioned changes detected in SSW frequency in some models, the drastic increase in CO₂ concentrations does not appear to substantially affect the seasonal distribution of SSWs (not shown).

Finally, motivated by the recent occurrence of a minor but highly publicized SSW event in the SH in September 2019 [Hendon et al., 2019], together with the occurrence of a major SSW in September 2002, we also examined the CMIP6 models to determine the extent to which the likelihood of similar events might change under the extreme climate forcing in the abrupt4xCO₂ runs. Only one of our twelve models (MRI-ESM2-0) simulates an SSW in both the piControl and the abrupt4xCO₂ simulations. Thus, these runs provide no evidence for the claim of possible trends in the frequency of SSWs in the SH that would be caused by increased CO_2

391 concentrations.

392 4.2 Trends in SSW frequency and time of emergence

For the model integrations which show a statistically significant increase or decrease in SSW frequency between the piControl and the abrupt $4xCO_2$ runs, it is useful to consider when and whether the trend in SSW frequency might be detected in a simulation with continuously increasing CO₂ forcing. A useful way to frame climate trends is in terms of the time of emergence of the signal from the unforced climate noise [Hawkins and Sutton, 2012]. This question is examined by studying the occurrence of SSWs in the 1pctCO₂ runs, an idealized scenario.

Trend estimates for each model are shown in the Fig. 5a (numerical values in Table S4). 400 Results reveal that there are six models (light gray bars) for which the null hypothesis of no trend 401 in SSW frequency can be rejected, but consistent with the results of the previous section, the sign 402 of this trend is not robust across models. While CanESM5 and HadGEM3-GC31-LL show a 403 significant decrease, CNRM-ESM2-1, CESM2-WACCM, GFDL-CM4 and MRI-ESM2-0 show 404 a significant increase. Recall that for the abrupt4xCO₂ runs (Fig. 1b), CNRM-ESM2-1 and 405 CESM2-WACCM also indicated a statistically significant increase in SSW frequency compared 406 to the piControl runs, while GFDL-CM4 and MRI-ESM2-0 did not (although they did indicate 407 an increased frequency). CanESM5 and HadGEM3-GC31-LL both showed a statistically 408 significant decrease. 409

One can also estimate a time of emergence of the trend by comparing the trend in the 410 1pctCO2 runs with the natural variability in SSW frequency from the piControl run (see 411 412 Appendix for details in the procedure). For the models with a significant trend, the decade of emergence is shown in Fig. 5b. There is a wide spread in the projected time of emergence for the 413 models with a significant trend, varying from the 5th decade to 14th decade. This result reflects 414 both the variation in the trend across the models and the spread in the estimated variability in 415 SSW frequency (the noise) in the piControl simulations. Since the time of CO₂ doubling occurs 416 between the 6th and 7th decade in the 1pctCO₂ run and approximately by 2060-70 in the RCP8.5 417 scenario [Meinshausen et al., 2017], these results indicate that the emergence of a detectable 418 change in SSW frequency is extremely unlikely prior to the end of the 21st century. 419

420

421 **5** Future changes in the seasonal cycle of the polar stratosphere

Since, according to linear theory, the vertical propagation of stationary Rossby waves is restricted to periods with westerly winds, stratospheric variability is largely confined to the winter season [e.g., Charney and Drazin 1961]. When considering how stratospheric variability might change in future climates it is therefore also important to consider the extent to which the timing and length of the winter season in the stratosphere might also change.

427 Figure 6a and b show the distribution of dates of formation and final breakdown of the

boreal stratospheric polar vortex, respectively, in the piControl, historical and abrupt $4xCO_2$

429 CMIP6 simulations. In these plots the first years of the abrupt $4xCO_2$ simulations (75 or 300

430 years) have been omitted similar to the procedure followed to calculate the climatology.

Nevertheless, conclusions do not change when considering the whole data record for abrupt $4xCO_2$ runs.

First, let us consider the historical model simulations, and contrast them to the reanalysis. Over the period 1958-2014, the polar vortex forms earlier in all models than it does in the reanalysis, with the exception of IPSL-CM6A-LR. In contrast, the SFW date is well reproduced
by models. The latter implies an improvement with respect to previous generations of climate
models, such as those contributing to CCMVal and CMIP5, which simulated a delayed SFW
[Butchart et al., 2011; Kelleher et al., 2019]. CMIP6 models are also good at simulating the
different range of interannual variability in the dates of vortex formation and SFW, the latter
being considerably larger than the former.

441 Second, we consider the changes caused by increased CO_2 , both for the formation and the 442 final breakdown of the boreal polar vortex: these display robust changes across models. The 443 polar vortex forms earlier and persists for longer in the abrupt4xCO₂ scenario than in the 444 piControl runs (Fig. 6a and b). This signal is particularly clear, and is significant in most of the 445 models in the case of the vortex formation. Although half of the models do not show a significant 446 change, there is a clear consensus in the sign of the SFW change across these models.

Interestingly, the models with the largest delay of SFW in the abrupt4xCO₂ simulation 447 (CanESM5, HadGEM3.GC31-LL and IPSL-CM6A-LR) are also those with the largest reduction 448 in the frequency of SSWS. This indicates that the long persistence of the vortex is related to a 449 stronger and colder vortex during the extended winter, rather than to the effect of SSWs on the 450 SFWs timing suggested by Hu et al. [2014]. The year-round radiative effect of CO₂, which is 451 associated with a warming tropical upper troposphere and a cooling stratosphere, increases the 452 upper-level meridional temperature gradient and leads to a longer-lived polar vortex. Indeed, a 453 positive and significant correlation (~ 0.65) has been found between the degree of change in the 454 455 duration of the polar vortex per winter and the warming of the tropical upper troposphere in models between piControl and abrupt4xCO₂ simulations. Why this influence occurs primarily in 456 early fall and spring may be tied to the seasonality of the upper tropospheric warming [Harvey et 457 al. 2014], and the dynamical driving of the polar vortex. Indeed, the wave activity is typically 458 weaker during the transition season (particularly in Autumn) than in mid-winter [Kodera et al., 459 2003], and so, the radiative effect of increased CO_2 on the stratosphere dominates. In sum, 460 models predict an increase of around 30 days of westerly winds in the abrupt4xCO₂ simulations, 461 a substantial increase in the time of the year over which stratospheric variability is active and can 462 couple with the troposphere. 463

A similar analysis has been performed for the SH. Because planetary wave activity is 464 much weaker in the SH than in the NH [Andrews et al. 1987], radiative CO₂ forcing dominates 465 the SH polar vortex response to increasing CO₂ concentrations and so, causes a robust 466 strengthening. In many models the extreme CO₂ concentrations prevent the polar vortex from 467 disappearing at all during austral summer, leading to perpetual westerly conditions in the 468 stratosphere, so we do not show the results for the abrupt4xCO₂ simulation. The distribution of 469 SFW dates for piControl and historical simulations are displayed in Figure 6c. Unlike in the NH, 470 the distribution of SFWs in the SH already shifts towards a later date in the historical period with 471 respect to the piControl conditions. Although the attribution of changes in the length of the 472 winter season to CO₂ is complicated, ozone depletion in austral spring over the historical period 473 might be responsible, based on previous literature [e.g. McLandress et al. 2010; Oberländer-474 475 Hayn et al. 2015].

476

477 **6 Future changes in the surface impact of SSW events**

478 6.1 Surface response to SSW events

In addition to changes in SSW frequency, amplitude and seasonality, it is also 479 conceivable that the surface impact of SSW events might change as a consequence of increased 480 CO₂. While detailed quantitative description of the mechanism for coupling between SSW events 481 and surface remains elusive, there is now a large body of evidence quantifying the amplitude and 482 483 spatial structure of the surface pressure and temperature responses following SSW events [e.g., Baldwin and Dunkerton, 2001; Polvani et al. 2017; Butler et al. 2017]. A number of studies point 484 to the importance of eddy-jet feedbacks in determining this surface response [e.g.: Kushner and 485 Polvani, 2004; Song and Robinson, 2004; Garfinkel et al. 2013;]. It is therefore plausible that 486 together with changes in the position and variability of the extra-tropical jet caused by CO₂ 487 increases, one might be able to detect changes in the surface response following SSW events. 488

To test this idea, we analyze first composite maps of anomalous surface temperature and 489 sea-level pressure (SLP) for the period 15-60 days after SSWs in the piControl simulation (Fig. 490 491 7). In nearly all models we obtain the typical SLP and surface temperature patterns following SSWs that are also detected in reanalysis (although CO₂ forcing is different), i.e., negative 492 Northern Annular Mode pattern (particularly over the pole), and Eurasian cooling and 493 Northeastern American warming. None of the models produce a positive SLP anomaly in the 494 495 Pacific basin that can be found in the JRA55 composite though. Despite the relatively structural similarities across models, the amplitude of the response can vary by a factor of two or three 496 497 between them. The amplitudes of SLP anomalies in five of the eleven models (CESM2-WACCM, GFDL-CM4, HadGEM3-GC31-LL, IPSL-CM6A-LR, and MIROC6) are too weak. 498 Moreover, even the rest of the models that do a reasonable job of the polar cap SLP signal 499 significantly underestimate the surface temperature response over the Labrador Sea and to the 500 east of Greenland. This is consistent with Hitchcock and Simpson [2014] that argued the near-501 surface temperature response to SSW was underestimated in specific regions in CMIP5 models. 502 The amplitude of the signal in the troposphere does not correlate with the SSW frequency. It is 503 also not a problem of model biases in the simulation of SSWs mentioned in Section 3 either. The 504 large SSW sample size from the piControl simulations means that the estimates of surface impact 505 are very robust. 506

Secondly, we compare the SLP pattern after SSWs in the abrupt4xCO2 and piControl 507 simulations (Fig. 8, differences in SLP between both runs are shown in shading). The overall 508 SSW signal in SLP appears unchanged between the piControl and abrupt4xCO2 simulations, 509 except in three models (CESM2, HadGEM3-GC31-LL and IPSL-CM6A-LR) that produce a 510 significantly stronger Northern Annular Mode-like response. However, in the Pacific basin there 511 512 are some indications about a potential more general change due to a higher CO_2 loading. Indeed, six of the ten models exhibit a statistically stronger negative SLP anomaly in that area under 513 abrupt4xCO₂ forcing than in the piControl runs. This could be related to some changes in the 514 tropospheric precursors of SSWs because these anomalies have been identified as the remainder 515 of the deepening of the Aleutian low preceding SSWs in observations [Charlton and Polvani, 516 2007; Ayarzagüena et al., 2019]. Nevertheless, more work is required to understand all the 517 518 details.

519 Please note that when restricting the analysis to the years 75-150 in IPSL-CM6A-LR, 520 similar results are found but with a reduction in the areas with statistical significance due to a 521 lower number of events considered.

522

523 6.2 Polar-night Jet Oscillation events

In this subsection we focus on specific events (PJO events) that are closely related to SSWs and the stratosphere-troposphere coupling [Hitchcock et al. 2013]. As indicated in the Introduction section, their strong and persistent tropospheric response explains the interest in investigating possible changes in the occurrence of these events for increasing CO_2 concentrations.

First, examining the surface response to PJOs in the piControl experiment (Fig. S1) 529 confirms that these events in models have a stronger signal in the troposphere than all SSWs too. 530 In JRA-55 roughly half of all SSWs are associated with a PJO event (PJO SSW) (solid line in 531 Fig. 9). Six models include the JRA-55 value of the ratio of PJO SSW events in their confidence 532 interval in the piControl simulations (MRI-ESM2-0, UKESM1-0-LL, CanESM5, HadGEM3-533 GC31-LL, INM-CM5-0 and GFDL-CM4). The other models underestimate this fraction. 534 However, we do not find a clear relationship between this fraction and the amplitude of SLP 535 pattern following SSWs. For instance, HadGEM3-GC31-LL and GFDL-CM4 simulate a very 536 weak SLP pattern (Fig. 7), but the ratio of PJO SSWs is close to observations or even larger. 537

In the future, similar to changes in SSW frequency, there is no robust response of PJO 538 SSWs across models to increasing CO₂ (Fig. 9). Roughly half of the models show a decrease and 539 540 half of them an increase in PJO SSW events between the piControl and abrupt4xCO2 simulations. More interestingly, two of the three models with a stronger Northern Annular 541 Mode-response to SSWs in the abrupt4xCO₂ run (IPSL-CM6A-LR and HadGEM3-GC31-LL) 542 display an increase in this subset of SSWs too. The other one (CESM2) does not show a 543 significant change in the fraction of SSWs that are PJOs. Nevertheless, given the low number of 544 models, it is difficult to make a direct link between changes in the number of PJO SSWs and 545 stronger SSW coupling to the surface under increased CO₂ loading. 546

547

548 7 Conclusions

SSWs are the primary dynamical event in the wintertime polar stratosphere and have 549 clear impacts on the tropospheric circulation on sub-seasonal to seasonal timescales. This study 550 takes advantage of the new sets of simulations available through the DynVarMIP sub-project of 551 CMIP6 to revisit a number of questions about how SSW events and the stratospheric seasonal 552 cycle might respond to quadrupled CO₂ concentrations. In comparison with previous rounds of 553 CMIP and comparisons made as part of the CCMVal and CCMI projects, the new simulations 554 provide significant advances in our ability to study SSWs. In particular, the long piControl runs 555 and the availability of daily data of abrupt4xCO₂ simulations from a large number of high-top 556 models is unprecedented. 557

558 From our analysis of the twelve models for which sufficient daily time resolution 559 stratospheric data was available, these conclusions can be drawn about the impact of extreme 560 CO₂ concentrations on SSW events:

- There is no consensus among models on the sign of changes in SSW frequency to increase in CO_2 forcing.
- It is, however, possible to say with confidence that many models predict that SSW frequency is sensitive to increase in CO₂ forcing.
- There is no change to the impact of SSW events in the N. Atlantic between the
 abrupt4xCO₂ and piControl simulations. In the N. Pacific, there is some indication that
 under large CO₂ forcing there will be a larger mean response to SSW events.
- With the exception of MRI-ESM-2-0, predicted trends in SSW frequency are small relative to natural variability (as characterized by the piControl simulations of each model). This is not to say that SSW changes are themselves small (three models predict frequency changes of more than a factor of two compared to piControl conditions) but more a reflection of the large, natural decadal variability in SSW occurrence. As such, changes in SSW frequency are unlikely to be observed until the end of the 21st century.
- Robust changes to the seasonal cycle in the stratosphere are predicted by all models. The stratospheric polar vortex is likely to form earlier and decay later in the future. This
 extends the season in which the stratosphere can actively couple to the troposphere and influence surface weather.
- There is no evidence of an increased likelihood of major SSWs in the SH in the future.

These results underscore the conclusions of a number of previous studies of SSW events and also motivate the need for more detailed understanding of the stratospheric momentum budget in models as advocated by, for example, Wu et al. [2019], which is now possible with the simulations available through DynVarMIP. Similarly, developing an understanding of how both model formulation and resolution and ECS might influence dynamical sensitivity in the stratosphere remains an important but unsolved challenge for the stratospheric dynamics community.

586 Acknowledgments, Samples, and Data

BA was supported by the Spanish Ministry of Science, Innovation and Universities 587 through the JeDiS (RTI2018-096402-B-I00) project. This research is part of POLARCSIC 588 activities. The work of LMP is funded, in part, by a grant from the US National Science 589 Foundation to Columbia University. NB was supported by the Met Office Hadley Centre Climate 590 Programme funded by BEIS and Defra. EPG acknowledges support from the NSF through award 591 592 AGS-1852727. EM acknowledges support from the Blue-Action project, grant agreement No 727852, European Union's Horizon 2020 research and innovation programme. CO thanks the 593 support and resources provided by the NASA Modeling, Analysis and Prediction program and 594 the NASA High-End Computing (HEC) Program through the NASA Center for Climate 595 Simulation (NCCS) at Goddard Space Flight Center. SW was supported by the "Integrated 596 Research Program for Advancing Climate Models (TOUGOU Program)" from the Ministry of 597 Education, Culture, Sports, Science, and Technology (MEXT), Japan. 598

We acknowledge the World Climate Research Programme, which, through its Working Group on Coupled Modelling, coordinated and promoted CMIP6. We thank the climate modeling groups for producing and making available their model output, the Earth System Grid Federation (ESGF) for archiving the data and providing access, and the multiple funding agencies who support CMIP6 and ESGF. The authors thank the WCRP SPARC for support of

the DynVar Activity, which spurred the formation of the DynVarMIP. CMIP6 data is allocated at

the ESGF archive on the following website: <u>https://esgf-node.llnl.gov/projects/cmip6/</u>. The

Japanese 55-year Reanalysis (JRA-55) project was carried out by the Japan Meteorological Agency (JMA). JRA-55 data were accessed through NCAR- UCAR Research Data Archive

- 607 Agency (JMA). JAA-55 data were accessed through NCAR- UCAR Research Data Archive 608 (https://rda.ucar.edu).
- 609

610 Appendix 1: Statistical framework

- 611 <u>Statistical methodology for comparing SSW frequency</u>
- 612 Parametric method

To compare the frequency of SSW events in two models or between a model and

observations, it can be assumed that each data sample is a Poisson process with an annual rate λ_i .

- 615 The difference between the intensity of the two processes Δ_{λ} is given in equation (1)
- 616

$$\Delta_{\lambda} = \frac{(\lambda_0 - \lambda_1)}{\sqrt{\frac{\lambda_0}{N_0} + \frac{\lambda_1}{N_1}}} \qquad (1)$$

This can be modeled with a normal distribution providing the frequency of observed events is greater than 30 [Charlton et al. 2007]. This approach has been widely used in the literature.

An alternative approach that compares the ratio of the rate of the two Poisson processes has been studied by Gu et al. [2008].

621
$$H_0: \lambda_0 / \lambda_1 = 1 \quad against \quad H_A: \lambda_0 / \lambda_1 \neq 1$$
(2)

Gu et al. [2008] suggest that a conservative test statistic with high power is the one suggested by Huffman [1984] (here X_i is the number of SSWs in each dataset and $\rho = t_0/t_1$ the ratio of the length of observation of the two processes):

625
$$W(X_0, X_1) = \frac{2[\sqrt{X_0 + 3/8} - \sqrt{\rho(X_1 + 3/8)}]}{\sqrt{1 + \rho}}$$
(3)

The p-value for this statistic is estimated as in equation (4), where ϕ is the cumulative distribution function of the standard normal and the observed value of the test statistic $W(X_0, X_1) = w(x_0, x_1)$:

629 $p = 1 - 2 * \Phi(w_j(x_0, x_1))$

This is the parametric test statistic used to compare SSW frequency. In addition to 630 calculating the p-value of any test statistic it is also useful, a priori, to estimate the statistical 631 power of any testing framework. Tests with high statistical power minimize the likelihood of 632 Type-II errors (i.e. that the null hypothesis is not rejected when it is, indeed, false). For the test 633 634 statistic described above, we estimated the statistical power for a comparison with observations of 60 winters with an SSW frequency of 0.6. Assuming a p-value of 0.05, the statistical power of 635 the test is high (above 0.8) for model integrations of more than 100 winters (the null hypothesis 636 will be rejected with a probability above 0.8) which is the case for all comparisons in this study 637 apart from the comparison between the historical simulations and the JRA-55 re-analysis. In this 638 later case, the power of the test is low only for cases in which the observed and modelled SSW 639

(4)

frequency is very similar (i.e. for model SSW frequencies of 0.2 and 1 SSW per year, the power

- 641 is greater than 0.8).
- 642

643 Bootstrapping method

As an alternative to the parametric test, we can also construct a bootstrapping test as 644 outlined by Boos [2003]. We assume that there are two sets of independent samples of the 645 646 number of SSW events in each season $\{X_1, ..., X_m\}$ and $\{Y_1, ..., Y_n\}$. To determine the confidence interval for the difference of mean frequency of the two sets $\mu_x\,-\,\mu_y$ two samples (of equal size 647 to the original samples) are drawn from the pooled observation set $\{X_1, \ldots, X_m, Y_1, \ldots, Y_n\}$, with 648 replacement. The p-value of the true observation is calculated as the number of bootstrap 649 samples with an absolute difference greater than the true value. In all cases, 10,000 boostrap 650 651 sample are drawn.

This bootstrapping technique was also applied to determine the confidence intervals on 652 the seasonal distribution of SSW frequency. We choose to perform the bootstrapping on 653 individual winters over a block bootstrapping approach to increase the sample size available for 654 models that have a limited length of piControl simulation available. We have, therefore, assumed 655 that there is no autocorrelation from one winter to the next, but comparison with a block-656 bootstrapping approach for the models that have long piControl simulations produced similar 657 uncertainty ranges (not shown), indicating that this assumption is reasonable. For Figure 2, the 658 659 uncertainty range is derived from the piControl simulation. Since there are 57 years in the JRA55 record, we resample 57 years from the piControl simulation, with replacement and recalculate 660 the SSW distribution, normalized by the number of SSWs in that sample. This is repeated 1000 661 times and the uncertainty range shows the 2.5th to 97.5th percentile range of these 1000 samples 662 (95% confidence interval) i.e., this is the uncertainty range from the model with an equivalent 663 number of years to that of the observations. 664

665

666 <u>Trend in SSW frequency and Time of Emergence</u>

Analogously to the method of Hawkins and Sutton [2012] the time of emergence of a 'signal' in the frequency of SSW events is estimated by comparing the size of the trend in SSW frequency in the 1pctCO2 simulations with the 'noise' determined from the piControl simulation of the same model.

To calculate the signal term in each integration, a Generalized Linear Model fit to the data with a logarithmic link function, implemented in R is used. Trend estimates for decadal SSW frequency in the 1pctCO2 simulations. Modification to the method following (<u>https://stats.idre.ucla.edu/r/dae/poisson-regression/</u>) to account for cases with mild violation of the Poisson distribution in the models is included. The resulting regression equation is of the form:

 $F_{ssw}(t) = e^{\beta_0 + \beta_t t}$ (5)

Trend terms are expressed as a fractional multiplier of the count per decade. Due to the low mean

annual frequency of SSW events, the noise on annual mean frequency estimates is large,

therefore when estimating trends in SSW frequency and time of emergence we consider the

decadal mean SSW frequency. This means that time of emergence calculations are limited to the decade of emergence.

683 **References**

- Andrews, D. G., J. R. Holton, and C. B. Leovy, (1987) Middle Atmosphere Dynamics,
 Academic, Orlando, Fla.
- Ayarzagüena B. and E. Serrano (2009) Monthly characterization of the tropospheric circulation
 over the Euro-Atlantic area in relation with the timing of stratospheric final warmings,
 J.Clim. 22, 6313-6324.
- Ayarzagüena, B., Langematz, U., Meul, S., Oberländer, S., Abalichin, J., and Kubin, A. (2013)
 The role of climate change and ozone recovery for the future timing of major
 stratospheric warmings, Geophys. Res. Lett., 40, 2460–2465.
- Ayarzagüena, B., Polvani, L.M., Langematz, U., Akiyoshi, H., Bekki, S., Butchart, N., Dameris,
 M., Deushi, M., Hardiman, S.C., Jöckel, P. and Klekociuk, A., (2018) No robust evidence
 of future changes in major stratospheric sudden warmings: a multi-model assessment
 from CCMI. Atmos. Chem. Phys., 18(15), 11277-11287, <u>https://doi.org/10.5194/acp-18-</u>
 <u>11277-2018</u>.
- Ayarzagüena, B., Palmeiro, F. M., Barriopedro, D., Calvo, N., Langematz, U. and K. Shibata,
 (2019) On the representation of major stratospheric warmings in reanalyses, Atmos.
 Chem. Phys., 19, 9469-9484, https://doi.org/10.5194/acp-19-9469-2019.
- Baldwin, M. P and T. J. Dunkerton (2001) Stratospheric harbingers of anomalous weather
 regimes, Science, 294, 581-584.
- Bell, C. J., Gray, L. J., and Kettleborough, J. (2010) Changes in Northern Hemisphere
 stratospheric variability under increased CO2 concentrations, Q. J. Roy. Meteor. Soc.,
 136, 1181–1190.
- Black, R. X., B. A. McDaniel, and W. A. Robinson (2006) Stratosphere-troposphere coupling
 during spring onset, J. Clim. 19, 4891-49001.
- Black, R. X., and B. A. McDaniel (2007) Interannual variability in the Southern Hemisphere
 circulation organized by stratospheric final warming events, J. Atmos. Sci. 64, 2968 2974.
- Boos D. D. (2003) Introduction to the Boostrap World. Statistical Science, 18 (2), 168-174.
- Boucher, O., S. Denvil, A. Caubel, M.A. Foujols (2018). IPSL IPSL-CM6A-LR model output
 prepared for CMIP6 CMIP. Version 20190722.Earth System Grid Federation.
 https://doi.org/10.22033/ESGF/CMIP6.1534
- Butchart, N., J. Austin, J. R. Knight, A. A. Scaife, and M. L. Gallani (2000) The response of the
 stratospheric climate to projected changes in the concentration of well-mixed greenhouse
 gases from 1992 to 2051, J Clim, 13, 2141-2159.
- Butchart, N., and Coauthors (2011) Multimodel climate and variability of the stratosphere. J.
 Geophys. Res., 116 (D5), doi:10.1029/2010jd014995.
- Butler, A.H., J. Sjoberg, D. Seidel., and K.H. Rosenlof (2017) A sudden stratospheric warming
 compendium, Earth Syst. Sci. Data, 9, 63-76.

- Butler, A. H., and E. P. Gerber (2018), Optimizing the definition of a sudden stratospheric
 warming, J. Clim., 31, 2337-2344, doi: 10.1175/JCLI-D-17-0648.1
- Charlton, A. J., and L. M. Polvani (2007), A new look at stratospheric sudden warmings. Part I:
 Climatology and modeling benchmarks, J. Clim., 20, 449–469.
- Charlton, A. J, L. M. Polvani, J. Perlwitz, F. Sassi, E. Manzini, K. Shibata, S. Pawson, J. E.
 Nielsen, and D. Rind (2007), A New Look at Stratospheric Sudden Warmings. Part II:
 Evaluation of Numerical Model Simulations, J. Clim., 20, 470–88.
- Charlton-Pérez, A. J., L. M. Polvani, J. Austin, and F. Li (2008) The frequency and dynamics of
 stratospheric sudden warmings in the 21st century, J. Geophys. Res. 113, D16116, doi:
 10.1029/2007JD009571.
- Charlton-Pérez, A. J. and coauthors (2013) On the lack of stratospheric dynamical variability in
 low-top versions of the CMIP5 models, J. Geophys. Res. Atmos., 118, 2494–2505,
 doi:10.1002/jgrd.50125.
- Charney, J. G., and P. G. Drazin (1961), Propagation of planetary-scale disturbances from the
 lower into the upper atmosphere, J. Geophys. Res., 66, 83–109,
 doi:10.1029/JZ066i001p00083.
- Danabasoglu, Gokhan (2019). NCAR CESM2-WACCM model output prepared for CMIP6
 CMIP. Version 20190730. Earth System Grid Federation.
 https://doi.org/10.22033/ESGF/CMIP6.10024
- Danabasoglu, G., D. Lawrence, K. Lindsay, W. Lipscomb, G. Strand (2019). NCAR CESM2
 model output prepared for CMIP6 CMIP historical. Version 20190730.Earth System Grid
 Federation. https://doi.org/10.22033/ESGF/CMIP6.7627.
- Danabasoglu, G. and coauthors (2020) The Community Earth System Model version2 (CESM2),
 J. Adv. Model. Earth System, doi: 10.1029/2019MS001916.
- Domeisen D.I.V, and coauthors (2019) The role of the stratosphere in subseasonal to seasonal
 prediction. Part I: Predictability of the stratosphere, J. Geophys. Res. Atmos.,
 https://doi.org/10.1029/2019JD030920.
- Eyring, V., Bony, S., G. A. Meehl, C. A. Senior, B. Stevens, R. J. Stouffer, and K. E. Taylor
 (2016), Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6)
 experimental design and organization, Geosci. Model Dev., 9, 1937-1958,
 doi:10.5194/gmd-9-1937-2016.
- Fels, S. B., J. D. Mahlman, M. D. Schwarzkopf and R. W. Sinclair (1980) Stratospheric
 sensitivity to perturbations in ozone and carbon dioxide: radiative and dynamical
 response, J. Atmos. Sci, 37, 2265-2297.
- Garfinkel, C. I., D. W. Waugh, and E. P. Gerber (2013) The effect of tropospheric jet latitude on
 coupling between the stratospheric polar vortex and the troposphere, J. Atmos. Sci., 26,
 2077-2095.
- Gerber, E. and E. Manzini (2016) The dynamics and variability model intercomparison project
 (DynVarMIP) for CMIP6: assessing the stratosphere-troposphere system, Geosci. Model
 Dev., 9, 3413-3425.

Gettelman, A. and coauthors (2019) The Whole Atmosphere Community Climate Model Version 761 762 6 (WACCM6), J. Geophys. Res. Atmos., https://doi.org/10.1029/2019JD030943 Gregory J. M., W. J. Ingram, M. A. Palmer, G. S. Jones, P. A. Stott, R. B. Thorpe, J. A. Lowe, T. 763 C. Johns and K. D. Williams (2004) A new method for diagnosing radiative forcing and 764 climate sensitivity. Geophys. Res. Lett., 31, L03205, doi: 10.1029/2003GL018747. 765 Grise, K.M. and Polvani, L.M., (2017). Understanding the time scales of the tropospheric 766 circulation response to abrupt CO2 forcing in the southern hemisphere: seasonality and 767 the role of the stratosphere. J. Clim., 30(21), 8497-8515, doi: 10.1175/JCLI-D-16-0849.1 768 Gu, K., H. K. Tony Ng, M. L. Tang, and W. R Schucany (2008) Testing the Ratio of Two 769 770 Poisson Rates. Biometrical Journal: Journal of Mathematical Methods in Biosciences 50, 283–98. 771 Guo, H. and coauthors (2018) NOAA-GFDL GFDL-CM4 model output. Version 20190718. 772 773 Earth System Grid Federation. https://doi.org/10.22033/ESGF/CMIP6.1402 774 Hardiman, S. C., Butchart, N., Charlton-Perez, A. J., Shaw, T. A., Akiyoshi, H., Baumgaertner, A., et al. (2011). Improved predictability of the troposphere using stratospheric final 775 warmings. J. Geophys. Res., 116, D18113, https://doi.org/10.1029/2011JD015914 776 Harvey, B. J., L. C. Shaffrey, and T. J. Woollings (2014), Equator-to-pole temperature 777 differences and the extra-tropical storm track responses of the CMIP5 climate models, 778 Clim. Dyn., 43, 1171-1182, doi:10.1007/s00382-013-1883-9. 779 780 Hawkins, E. and R. Sutton (2012) Time of Emergence of Climate Signals, Geophys. Res. Lett., 39, L01702, doi:10.1029/2011GL050087. 781 Held, I.M., and coauthors (2019) Structure and Performance of GFDL's CM4.0 Climate Model, 782 J. Adv. Model. Earth System, https://doi.org/10.1029/2019MS001829. 783 Hendon H., D. W. J. Thompson, E.-P. Lim, A. H. Butler, P. A. Newman, L. Coy, A. Scaife, I. 784 Polichtchouk, R. S. Gerrard, T. G. Shepherd and H. Nakamura (2019), Nature, 573, 496, 785 doi: 10.1038/d41586-019-02858-0 786 Hitchcock, P., T. G. Shepherd, and G. L. Manney (2013) Statistical characterization of Arctic 787 polar-night jet oscillation events, J. Clim., 26, 2096-2116. 788 789 Hitchcock, P., and I. R. Simpson (2014) The downward influence of stratospheric sudden warmings, J. Atmos. Sci., 71, 3856-3876, doi: 10.1175/JAS-D-790 791 Hu, J., R. Ren and H. Xu (2014) Occurrence of winter stratospheric sudden warming events and the seasonal timing of spring stratospheric final warming, J. Clim., 71, 2319-2334. 792 Huffman, M. (1984), An Improved Approximate Two-Sample Poisson Test. J. Roy Stat. Soc.: 793 Series C (Applied Statistics) 33 (2), 224–26. 794 Karpechko, A. Y. and E. Manzini (2012) Stratospheric influence on tropospheric climate change 795 in the Northern Hemisphere. J. Geophys. Res. 117, D05133, DOI 796 10.1029/2011JD017036. 797 Kelleher, M., B. Ayarzagüena and J. Screen (2019): Inter-seasonal connections between the 798 timing of the stratospheric final warming and Arctic sea ice, J. Climate, 799 800 https://doi.org/10.1175/JCLI-D-19-0064.1.

Kidston, J., A. A. Scaife, S. C. Hardiman, D. M. Mitchell, N. Butchart, M. P. Baldwin and L-J. 801 802 Gray (2015) Stratospheric influence on tropospheric jet streams, storm tracks and surface weather, Nat. Geos., 8, 433-440. 803 Kim, J., Son, S.-W., Gerber, E. P., and Park, H.-S. (2017) Defining sudden stratospheric 804 warming in climate models: Accounting for biases in model climatologies, J. Clim., 30, 805 806 5529-5546. Kobayashi, S., Ota, Y., Harada, Y., Ebita, A., Moriya, M., Onoda, H., Onogi, K., Kamahori, H., 807 808 Kobayashi, C., Endo, H., Miyaoka, K., and Takahashi, K. (2015): The JRA-55 reanalysis: General specifications and basic characteristics, J. Meteor. Soc. Jpn., 93, 5–48. 809 Kodera, K., K. Matthes, K. Shibata, U. Langematz, and Y. Kuroda (2003), Solar impact on the 810 lower mesospheric subtropical jet: A comparative study with general circulation model 811 simulations, Geophys. Res. Lett., 30(6), 1315, doi:10.1029/2002GL016124. 812 Krüger, K., B. Naujokat, and K. Labitzke (2005) The Unusual Midwinter Warming in the 813 Southern Hemisphere Stratosphere 2002: A Comparison to Northern Hemisphere 814 Phenomena, J. Clim., 62, 603-613. 815 Kuhlbrodt, T., C. G. Jones, A. Sellar, D. Storkey, E. Blockley, M. Stringer, et al. (2018). The 816 low-resolution version of HadGEM3 GC3.1: Development and evaluation for global 817 climate. J. Adv. Model. Earth Systems, 10, 2865–2888, 818 https://doi.org/10.1029/2018MS001370. 819 Kushner, P.J., and L.M. Polvani (2004). Stratosphere-troposphere coupling in a relatively simple 820 AGCM: The role of eddies. J. Clim., 17, 629-639. 821 Kushner, P.J., and L.M. Polvani (2005). A very large, spontaneous stratospheric sudden warming 822 in a simple AGCM: A prototype for the Southern Hemisphere warming of 2002? J. 823 824 Atmos. Sci., 62, 890-897. Li, O., H.-F. Graf and M. A. Giorgetta (2007) Stationary planetary wave propagation in the 825 826 Northern Hemisphere winter – climatological analysis of the refractive index, Atm. Chem. Phys., 7, 183-200. 827 Mahfouf, J. F., D. Cariolle, J.-F. Royer, J.-F Geleyn and B. Timbal (1994) Response of the 828 Météo-France climate model to changes in CO2 and sea surface temperature, Clim. Dyn. 829 9, 345-362. 830 Mailier, P. J, D. B Stephenson, C. AT Ferro, and K. I Hodges (2006) Serial Clustering of 831 Extratropical Cyclones, Mon. Wea. Rev. 134 (8): 2224-40. 832 Manabe, S. and R. T. Wetherland (1967) Thermal equilibrium of the atmosphere with a given 833 distribution of relative humidity, J. Atmos. Sci, 24, 241-259. 834 835 Manzini, E., and coauthors (2014) Northern winter climate change: Assessment of uncertainty in CMIP5 projections related to stratosphere-troposphere coupling, J. Geophys. Res. 836 Atmos., 119, 7979-7998, doi:10.1002/2013JD021403. 837 McLandress, C., and T. G. Shepherd (2009), Impact of climate change on stratospheric sudden 838 839 warmings as simulated by the Canadian Middle Atmosphere Model, J. Clim., 22, 5449-5463, doi:10.1175/2009JCLI3069.1 840

841	McLandress, C., A. I Jonsson, D. A. Plummer, M. C. Reader, J. F. Scinocca, T. G. Shepherd
842	(2010), Separating the dynamical effects of climate change and ozone depletion. Part I:
843	Southern Hemisphere stratosphere, J. Clim., 23, 5002-5020.
844	Meinshausen, M. and coauthors (2017) Historical greenhouse gas concentrations for climate
845	modelling (CMIP6), Geosci. Model Dev., 10, 2057-2116, doi:10.5194/gmd-10-2057-
846	2017.
847	Mitchell, D. M., Osprey, S. M., Gray, L. J., Butchart, N., Hardiman, S. C., Charlton-Perez, A. J.,
848	and Watson, P. (2012a) The effect of climate change on the variability of the Northern
849	Hemisphere stratospheric polar vortex, J. Atmos. Sci., 69, 2608–2618, 2012a.
850	 Mitchell, D. M., Charlton-Perez, A. J., Gray, L. J., Akiyoshi, H., Butchart, N., Hardiman, S. C.,
851	Morgenstern, O., Nakamura, T., Rozanov, E., Shibata, K., Smale, D., and Yamashita, Y.
852	(2012b) The nature of Arctic polar vortices in chemistry-climate models, Q. J. Roy.
853	Meteor. Soc., 138, 1681–1691.
854 855 856	NASA Goddard Institute for Space Studies (NASA/GISS) (2018). NASA-GISS GISS-E2.1G model output prepared for CMIP6 CMIP piControl. Version 20191120.Earth System Grid Federation. https://doi.org/10.22033/ESGF/CMIP6.7380
857	Oberländer-Hayn, S., S. Meul, U. Langematz, J. Abalichin and F. Haenel (2015) A chemistry-
858	climate model study of past changes in the Brewer-Dobson circulation, J. Geophys. Res.
859	Atmos., 120, 6742-6757.
860	Polvani, L.M., Sun, L., A.H. Butler, J.H. Richter, and C. Deser (2017), Distinguishing
861	stratospheric sudden warmings from ENSO as key drivers of wintertime climate
862	variability over the North Atlantic and Eurasia, J. Clim., 30, 1959-1969, doi:
863	10.1175/JCLI-D-16-0277.1.
864	Rind, D., R. Suozzo, N. K. Balachandran, and M. J. Prather (1990) Climate change and the
865	middle atmosphere. Part I: The doubled CO2 climate, J. Atmos. Sci., 47, 475-494.
866 867	Rind D., D. Shindell, P. Lonergan, and N. K. Balachandran (1998) Climate change and the middle atmosphere. Part III: The doubled CO2 climate revisited, J. Clim., 11, 876-894.
868 869	Roberts, M. (2017). MOHC HadGEM3-GC31-LL model output prepared for CMIP6. Version 20190723.Earth System Grid Federation. https://doi.org/10.22033/ESGF/CMIP6.1901
870 871	Scaife, A., and coauthors (2012), Climate change projections and stratosphere–troposphere interaction, Clim. Dyn., 38, 2089-2097, doi:10.1007/s00382-011-1080-7.
872 873	Schoeberl, M. R., and D. L. Hartmann (1991) The dynamics of the stratospheric polar vortex and its relation to springtime ozone depletions, Science, 251, 46-52.
874 875	Sellers, K. F, and D. S Morris (2017) Underdispersion Models: Models That Are 'Under the Radar', Communications in Statistics-Theory and Methods 46 (24), 12075–12086.
876	Séférian, R. (2018) CNRM-CERFACS CNRM-ESM2-1 model output prepared for CMIP6
877	CMIP for experiment piControl-spinup. Version 20180423. Earth System Grid
878	Federation. https://doi.org/10.22033/ESGF/CMIP6.4169

Séférian, R. and coauthors (2019) Evaluation of CNRM Earth-System model, CNRM-ESM2-1: 879 role of Earth system processes in present-day and future climate, J. Adv. Model. Earth 880 Systems, https://doi.org/10.1029/2019MS001791. 881 Shepherd T.G. and C. S. McLandress (2011) A robust mechanism for strengthening of the 882 Brewer-Dobson circulation in response to climate change: Critical-layer control of 883 884 subtropical wave breaking, J. Atmos. Sci., 69, 784-797, doi: 10.1175/2010JAS3608.1 Song, Y. and W. A. Robinson (2004) Dynamical mechanisms for stratospheric influences on the 885 886 troposphere, J. Atmos. Sci., 61,1711-1725. Swart, N. C., Cole, J. N. S., Kharin, V. V., Lazare, M., Scinocca, J. F., Gillett, N. P., Anstey, J., 887 Arora, V., Christian, J. R., Hanna, S., Jiao, Y., Lee, W. G., Majaess, F., Saenko, O. A., 888 Seiler, C., Seinen, C., Shao, A., Sigmond, M., Solheim, L., von Salzen, K., Yang, D., and 889 Winter, B. (2019a) The Canadian Earth System Model version 5 (CanESM5.0.3), Geosci. 890 891 Model Dev., 12, 4823-4873, https://doi.org/10.5194/gmd-12-4823-2019. Swart, Neil Cameron; Cole, Jason N.S.; Kharin, Viatcheslav V.; Lazare, Mike; Scinocca, John 892 893 F.; Gillett, Nathan P.; Anstey, James; Arora, Vivek; Christian, James R.; Jiao, Yanjun; Lee, Warren G.; Majaess, Fouad; Saenko, Oleg A.; Seiler, Christian; Seinen, Clint; Shao, 894 Andrew; Solheim, Larry; von Salzen, Knut; Yang, Duo; Winter, Barbara; Sigmond, 895 Michael (2019). CCCma CanESM5 model output prepared for CMIP6 CMIP piControl. 896 Version 20190730. Earth System Grid Federation. 897 https://doi.org/10.22033/ESGF/CMIP6.3673 898 Taguchi, M. (2017) A study of different frequencies of major stratospheric sudden warmings in 899 CMIP5 historical simulations, J. Geophys. Res. Atmos., 122, 5144-5156, doi: 900 901 10.1002/2016JD025826. Tang, Y. and coauthors (2019). MOHC UKESM1.0-LL model output prepared for CMIP6 CMIP 902 903 piControl. Version 20190904. Earth System Grid Federation. https://doi.org/10.22033/ESGF/CMIP6.6298 904 Tatebe, H. and Watanabe, M. (2018). MIROC MIROC6 model output prepared for CMIP6 905 906 CMIP piControl. Version 20190903.Earth System Grid Federation. https://doi.org/10.22033/ESGF/CMIP6.5711 907 Tatebe, H., and coauthors (2019) Description and basic evaluation of simulated mean state, 908 internal variability, and climate sensitivity in MIROC6, Geosci. Model Dev., 12, 2727-909 910 2765, https://doi.org/10.5194/gmd-12-2727-2019. Volodin, E. M., Mortikov, E. V., Kostrykin, S. V., Galin, V. Y., Lykossov, V. N., Gritsun, A. S., 911 Diansky, N. A., Gusev, A. V., and Iakovlev, N. G. (2017) Simulation of the present day 912 climate with the climate model INMCM5, Clim. Dyn., 49, 3715, 913 https://doi.org/10.1007/s00382-017-3539-7 914 Wilks, Daniel S. (2011). Statistical Methods in the Atmospheric Sciences. Vol. 100. Academic 915 916 press. Williams, K., Copsey, D., Blockley, E., Bodas-Salcedo, A., Calvert, D., Comer, R., Davis, P., 917 Graham, T., Hewitt, H., & Hill, R. (2018). The Met Office global coupled model 3.0 and 918 919 3.1 (GC3. 0 and GC3. 1) configurations. J. Adv. Model. Earth Systems, 10(2), 357–380.

920	Wu, Y., Simpson, I. R. and Seager, R. (2019) Inter-model spread in the Northern Hemisphere
921	stratospheric polar vortex response to climate change in the CMIP5 models, Geophys.
922	Res. Lett., 46, 13290-13298, https://doi.org/10.1029/2019GL085545.
923	Yukimoto, S. and coauthors (2019). MRI MRI-ESM2.0 model output prepared for CMIP6
924	CMIP. Version 20190726.Earth System Grid Federation.
925	https://doi.org/10.22033/ESGF/CMIP6.621
926	Yukimoto, S. and coauthors (2019) The Meteorological Research Institute Earth System Model
927	Version 2.0, MRI-ESM2.0: Description and Basic Evaluation of the Physical Component,
928	J. Meteor. Soc. Jpn, 97(5),931–965, doi:10.2151/jmsj.2019-051
929	Zelinka M. D., T. A. Myers, D. T. McCoy, S. Po-Chedley, P. M. Caldwell, P. Ceppi, S. A. Klein
930	and K. E. Taylor (2020) Causes of Higher Climate Sensitivity in CMIP6 Models,
931	Geophys. Res. Lett., doi:10.1029/2019GL085782

- **Table 1.** List of models included in the analysis indicating their resolution and the ensemble
- 935 members considered in simulations (rXiXpXfX: where r corresponds to realization, i to
- initialization, p to physics and f to forcing). Effective climate sensitivity for CO₂ doubling is
- taken from analysis by A. G. Pendergrass using Gregory et al. [2004] method
- 938 (<u>https://github.com/apendergrass/cmip6-ecs</u>) apart from the estimate for GISS-E2.2AP which
- 939 was provided by a reviewer. We use the term 'Effective Climate Sensitivity' here following the
- discussion in and recommendation of Zelinka et al. (2020)

941

Models	Model resolution	Ensemble members	Internally generated QBO	Nr. of years piControl run	Effective Climate Sensitivity / K
CanESM5 [Swart et al. 2019a,b]	T63L49, top 1hPa	r1i1p2f1	No	450	5.59
CESM2 [Danabasoglu et al. 2019, 2020]	1ºx1º L32, top 40km	r1i1p1f1	No	1200	5.12
CESM2-WACCM [Danabasoglu, 2019; Gettelman et al. 2019]	1ºx1º L70, top 150km	r1i1p1f1	Yes	500	4.61
CNRM-ESM2-1 [Séférian, 2018; Séférian et al. 2019]	TI127L91, top 0.01hPa	r1i1p1f2	Yes	500	4.66
GFDL-CM4 [Guo et al. 2018; Held et al. 2019]	C96L33, top 1hPa	r1i1p1f1	No	140	3.84
GISS-E2.2AP [NASA-GISS et al. 2018]	2ºx2.5º, top 0.002hPa	r1i1p1f1	Yes	81	2.1
HadGEM3-GC31-LL [Roberts, 2017 Williamson et al. 2018]	N261L85, top 85km	r1i1p1f3 except for piControl run: r1i1p1f1	Yes	500	5.41
INM-CM5-0 [Volodin et al. 2017]	2x1.5L73, top 0.2hPa	r1i1p1f1	Yes	154	2.1
IPSL-CM6A-LR [Boucher et al. 2018]	N96, top 80km	r1i1p1f1	Yes	1200	4.49
MIROC6 [Tatebe et al. 2018; 2019]	T85L81, top 0.004hPa	r1i1p1f1	Yes	800	2.54
MRI-ESM2-0 [Yukimoto et al. 2019a b]	TL159L80, top 0.01hPa	r1i1p1f1	Yes	200	3.30
UKESM1-0-LL [Tang et al., 2019; Kuhlbrodt et al. 2018]	N96L85, top 85 km	r1i1p1f2	Yes	1100	5.27

943 Figures captions

944

Figure 1. (a) Average annual SSW frequency in the historical simulations (1958-2014) of the 11 models. Black lines show 95% confidence estimates for the annual frequency. Dashed black line corresponds to SSW frequency in the JRA-55 reanalysis, with its 95% confidence interval in the light gray shading. (b) Same as (a) but for SSW occurrence in the piControl (light gray bars) and abrupt4xCO₂ simulations (dark gray bars). Black lines show 95% confidence intervals for each estimate. Bars are ordered by the size of the difference between the two simulations.

951

Figure 2. SSW frequency distribution in the historical simulation of each model (blue line) and
JRA-55 reanalysis period (orange dashed line). The distribution has smoothed by a kernel
smoother of a bandwidth of 10 days. Shading corresponds to 2.5th-97.5th percentile range of the
bootstrap samples i.e., the 95% confidence interval on the mean of the piControl simulation. (See
more details about the determination of this interval in Appendix).

957

Figure 3: Scatter plots of the change of SSW frequency between the piControl and abrupt4xCO₂ simulations vs. (a) the frequency in the piControl simulations, (b) the frequency in the historical simulations, (c) the ECS, (d) the change in tropical temperature at 250hPa, (e) the change in polar temperature at 850hPa and (f) the difference in polar-tropical temperature difference at 850hPa. In (a) and (b) the grey dashed line shows the observed SSW frequency in the JRA-55 reanalysis (0.64 SSW yr⁻¹). The temperature regions in (d)-(f) are defined as in Harvey et al. (2014).

964 965

Figure 4. Probability distribution of daily zonal mean zonal wind at 60°N and 10hPa (m/s) for
 the piControl (blue) and abrupt4xCO₂ (orange) experiments. Dashed lines represent the median
 value of the distribution in each integration.

Figure 5. (a) Estimated fractional change in SSW frequency by the seventh decade of the 1pctCO₂ simulations. Light gray shaded lines indicate that the trend of SSW frequency in the model is significantly different from zero at a p-value of 0.05. Dashed line indicates trend equal to 1, i.e. no trend in the SSWs frequency. **(b)** Decade of emergence of SSW frequency trend for those models in which the trend term is significantly different from zero, calculated as described in the main text.

976 Figure 6. Box plots showing the distribution of dates of (a) polar vortex formation and (b) 977 stratospheric final warming in the Northern Hemisphere for the piControl (blue), historical 978 (green) and abrupt- $4xCO_2$ (red) simulations for all models and JRA-55 reanalysis. (c) Same as 979 (b) but for the Southern Hemisphere and only in piControl and historical runs. The interquartile 980 range is represented by the size of the box and the inside line (black cross) corresponds to the 981 median (mean). Whiskers indicate the maximum and minimum points in the distribution that are 982 not outliers. Outliers (red crosses) are defined as points with values greater than 3/2 times the 983 interquartile range from the ends of the box. 984

985

Figure 7. Composite maps of anomalous SLP (contour interval 1hPa) and 2m temperature

- 987 (shading) for 15/60 days after SSWs in piControl simulation and JRA-55 reanalysis (bottom
- left). Green stippling indicates stat. significant differences in SLP from JRA-55 reanalysis at the
- 989 95% confidence level. Numbers in titles indicate the number of events considered.

990

- **Figure 8**. Abrupt4xCO₂-minus-piControl composite maps of anomalous SLP (shading, hPa) for
- 992 15/60 days after SSWs. Anomalous SLP after SSWs in piControl run is shown in contours
- 993 (interval: 1hPa). Green stippling indicates stat. significant differences from piControl run at the
- 994 95% confidence level. Numbers in titles indicate the number of events considered in the
- piControl simulation (piC) and abrupt4xCO2 (4x).
- **Figure 9.** Fraction (%) of SSWs that are also PJO events in piControl (solid bars) and
- abrupt4xCO₂ (open bar) runs. Horizontal black solid and dashed line correspond to the mean
- value and the 2.5th-97.5th percentile range in JRA-55 reanalysis, respectively. Error bars are
- 1000 based on bootstrapping.
- 1001

Figure 1.



Figure 2.







Figure 3.



Figure 4.







Figure 5.



SMS

Figure 6.



Figure 7.



Figure 8.



-3 hPa -1.5 hPa 0 hPa 1.5 hPa 3 hPa

Figure 9.

% of SSWs that are PJOs

