

Linking in-situ charge accumulation to electronic structure in doped SrTiO3 reveals design principles for hydrogen evolving photocatalysts

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- Linking in-situ charge accumulation to electronic structure in doped 1 SrTiO₃ reveals design principles for hydrogen evolving photocatalysts. 2
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6 Abstract

7 Recently, record solar to hydrogen efficiencies have been demonstrated using La,Rh co-8 doped SrTiO₃ (La,Rh:SrTiO₃) incorporated into a low cost and scalable Z-scheme 9 device, known as a photocatalyst sheet. However, the unique properties that enable 10 La,Rh:SrTiO₃ to support this impressive performance are not fully understood. 11 Combining in-situ spectroelectrochemical measurements with density functional theory 12 and photoelectron spectroscopy produces a depletion model of $Rh:SrTiO_3$ and 13 La,Rh:SrTiO₃ photocatalyst sheets. This reveals remarkable properties, such as deep 14 flatband potentials $(+2 V_{RHE})$ and a Rh oxidation state dependent reorganisation of the 15 electronic structure, involving the loss of a vacant Rh 4d mid gap state. This 16 reorganisation enables Rh:SrTiO₃ to be reduced by co-doping without compromising p-17 type character. In-situ time resolved spectroscopies show the electronic structure 18 reorganisation induced by Rh reduction controls electron lifetime in photocatalyst 19 sheets. In Rh:SrTiO₃, enhanced lifetimes can only be obtained at negative applied 20 potentials, where the complete Z-scheme operates inefficiently. La co-doping fixes Rh in 21 the 3+ state, resulting in long-lived photogenerated electrons even at very positive 22 potentials (+1 V_{RHE}), where both components of the complete device operate effectively. 23 This understanding of role of co-dopants provides new insight into the design principles 24 for water splitting devices based on bandgap engineered metal oxides.

25

Wide band gap binary and ternary metal oxides such as TiO₂,¹ SrTiO₃,² are often considered 26 benchmark photocatalysts due to their chemical stability, low-cost and high quantum yields 27 (>70% for unassisted water splitting).^{3,4} However, their large optical band gap limits solar 28 harvesting to the UV and solar-to-hydrogen (STH) conversion efficiency to ~2%.⁵ One 29 30 potential strategy to introduce visible light absorption is to modify the band structure of wide band gap oxides. For example, visible light harvesting in TiO₂ has been achieved through 31 doping with carbon⁶, nitrogen⁷ or transition metals.^{8,9} Improvements have been chequered, 32 33 uncovering significant challenges in translating enhanced visible light harvesting into improved STH efficiency.^{1,10} With notable exceptions,^{6,7} marginal gains or even losses in 34 overall photon conversion efficiency are generally observed in most studies, despite 35 improved light absorption.^{9,11,12} The reasons for these losses are often unclear, but are 36 broadly attributed to the inadvertent formation of localised dopant states, associated with 37 short carrier diffusion lengths and low conductivities, and/or charge compensating defect 38 levels, which may act as recombination centres.^{13,14} As such, doping for visible light activity 39 has often been suggested to be a less promising strategy for producing efficient 40 photocatalysts in comparison to other methods.^{14,15–22} 41

42 Recently Domen and co-workers have demonstrated a band gap engineered wide band gap 43 oxide (La,Rh co-doped SrTiO₃) exhibiting QYs in the visible of 33% and overall STH efficiencies exceeding 1% using a 'photocatalyst sheet' device architecture.²³⁻²⁶ This 44 45 represents a record for a Z-scheme water splitting device. Sheets are constructed by embedding a layer of n-type Mo:BiVO₄ and p-type La,Rh:SrTiO₃ semiconducting particles 46 into a solid conductive mediator such as gold or carbon. Pioneering ex-situ studies of 47 Rh:SrTiO₃^{27–31} powders have indicated that Rh⁴⁺ substitution at Ti⁴⁺ sites introduces filled Rh states above the SrTiO₃ valence band (VB) as well as a vacant mid gap state.²⁷ Reduction of 48 49 Rh^{4+} to Rh^{3+} by chemical reduction or co-doping supresses this state.^{27,29} Electron trapping in 50 Rh:SrTiO₃ has been previously observed in ex-situ transient absorption experiments on 51 nanosecond timescales and was attributed to trapping in the vacant mid gap state, thus explaining the low efficiency of Rh⁴⁺ doped systems. ^{11–14} However, the absence of a 52 53 54 semiconductor electrolyte interface in these studies means that the intrinsic capacity of 55 $Rh:SrTiO_3$ and related materials to separate charge remains unexplored. Further, key

operational parameters such as the potential drop when contacted with electrolyte remain unmeasured, limiting understanding of the factors that may facilitate charge separation in this remarkable material.^{27,29} Herein, we combine time resolved optical spectroscopies performed in situ on (La),Rh:SrTiO₃ photocatalyst sheets with direct electronic structure measurements supported by density functional theory (DFT) to reveal the remarkable interplay between Fermi level position, Rh 4d structure and band bending/charge separation in Rh:SrTiO₃ materials.

63 Figures 1a-b show optical absorption spectra and photographs of SrTiO₃, Rh:SrTiO₃, hydrogen reduced Rh:SrTiO₃ (H₂-Rh:SrTiO₃) and La,Rh:SrTiO₃ powders used to fabricate 64 65 photocatalyst sheets. XRD showed all powders to be phase pure – consisting of single perovskite phase (Supplementary Fig. S0). Upon doping SrTiO₃ with Rh, a purple powder is 66 67 obtained showing a 0.4 eV red-shift in the effective optical band gap (Tauc plots in 68 Supplementary Fig. S1) alongside two strongly broadened sub-bandgap absorption features 69 between \sim 500-800 nm and \sim 900-1200 nm. After chemically reducing Rh:SrTiO₃ in a 70 hydrogen atmosphere, the powder turns bright yellow and the absorption spectrum shows a further red-shift in the effective band edge and the disappearance of the additional absorption features, consistent with previous observations.^{8,27} XPS indicates the reduction of Rh⁴⁺, 71 72 predominantly to Rh³⁺ (Supplementary Fig. S2.1-S2.3). A similar effect is observed when 73 74 Rh:SrTiO₃ is co-doped with La. Here, a light-yellow powder is obtained. XPS reveals a Rh:La ratio of 1:1 in our samples and the reduction of Rh^{4+} to Rh^{3+} . This is in agreement with previous studies which reported that La^{3+} substitution at Sr^{2+} sites can effectively facilitate 75 76 the reduction of Rh^{4+} to Rh^{3+} via an ionic charge compensation mechanism,^{23,29,30} and shows 77 78 that La co-doping has an effect analogous to chemical reduction.



Wavelength (nm)

Figure 1. The colour of doped and undoped SrTiO₃ powders and the morphology of photocatalyst sheets. (a) Optical absorption spectra, plotted in dimensionless units of the Kubelka-Munk function, F(R), for SrTiO₃, Rh doped SrTiO₃ (Rh:SrTiO₃), hydrogen reduced Rh:SrTiO₃ (H₂-Rh:SrTiO₃) and La,Rh co-doped SrTiO₃ (La,Rh:SrTiO₃). Dotted lines show the fitted effective optical band gap extracted from Supplementary Fig. S1. (b) Photographs of the powders to indicate the color of the aforementioned materials. (c) A typical cross-sectional SEM image of the photocatalyst sheets studied herein, showing a monolayer of partially sintered (La),Rh:SrTiO₃ particles (blue) embedded (see inset) in a 2 μ m thick gold back contact (yellow). This is colored according to EDX mapping results (Supplementary Fig. S3).

We fabricated photocatalyst sheet half-electrodes from these powders using a modified particle transfer method (see Methods). Cross-sectional scanning electron microscopy (SEM)

81 images (Fig. 1c, EDX mapping in Supplementary Fig. S3) show that these modified

82 photocatalyst sheets consist of a layer of partially sintered oxide particles embedded in a

 $2 \mu m$ thick Au back contact. These photocatalyst sheet half-electrodes permit us to study the

84 properties of Rh:SrTiO₃ and La,Rh:SrTiO₃ under operational conditions, meaning in

85 electrolyte solution, under illumination and in the potential window a z-scheme device is

86 likely to operate during water splitting. As such the results shown in Figure 2 track electrode 87 processes and transformation in situ and thus differ from ex situ studies published thus far. 88 Spectroelectrochemical (SEC) measurements of a Rh:SrTiO₃ photocatalyst sheet shown in 89 Figure 2a track the change in optical absorption at the surface of Rh:SrTiO₃ as the potential is 90 varied from 1.1 V_{RHE} towards -0.2 V_{RHE} . The differential absorption spectra at more negative 91 potentials show a redshift in absorption around the effective band edge (positive $\Delta F(R)$) that 92 occurs in concert with the loss of absorption from the sub-band gap features (negative 93 $\Delta F(R)$). This trend is reversible when the potential is scanned in the opposite direction 94 (Supplementary Fig. S4a). $\Delta F(R)$ of the sub-band gap features exhibits sigmoidal behaviour 95 with respect to applied potential, typical of a redox couple, with $E_{1/2}$ located at 0.52 V_{RHE} 96 (Supplementary Fig. S4b and Fig. 2f discussed further below). A similar differential 97 absorption spectrum can be obtained by subtracting the absorption spectrum of (Rh^{4+}) 98 Rh:SrTiO₃ from (Rh³⁺) H₂-Rh:SrTiO₃ (Fig. 2b). We therefore attribute the optical changes 99 observed in Rh:SrTiO₃ photocatalyst sheets under applied potential to the reversible reduction of Rh⁴⁺ to Rh³⁺ within the space charge layer. This is corroborated by cyclic 100 voltammograms showing a reversible redox wave within the same potential window 101 102 (Supplementary Fig. S4c). In contrast, the optical absorption of La,Rh:SrTiO₃ sheets shows 103 negligible absorption changes over this potential range (Supplementary Fig. S4d), consistent 104 with an ionic charge compensation mechanism, which fixes Rh predominantly in the 3+ 105 oxidation state independent of applied bias.



Figure 2. Connecting the Rh oxidation state to charge carrier dynamics of (La,)Rh:SrTiO₃ photocatalyst sheets. (a) Spectroelectrochemical (SEC) difference spectra of Rh:SrTiO₃ photocatalyst sheets, showing the change in optical absorption upon applying negative potentials in comparison to a reference spectrum taken at 1.1 V_{RHE} [Δ F(R) = F(R(V_{applied}))-F(R(1.1 V_{RHE})] (b) The absorption spectra of Rh:SrTiO₃ and H₂-Rh:SrTiO₃ (top) can be subtracted to produce a difference spectrum [Δ F(R) = F(R(H₂-Rh:SrTiO₃))-F(R(Rh:SrTiO₃))] (bottom) similar to that seen in (a). (c) Transient absorption kinetics of electrons in Rh:SrTiO₃ and La,Rh:SrTiO₃ (inset) photocatalyst sheets measured over a range of applied potentials (1.1, 0.8, 0.5, 0.3 and 0 V_{RHE}). Samples were excited with a 355 nm laser pulse (7 ns, 400 μ/cm^2) with a 1250 nm probe light used to monitor electrons. (d) Photoinduced absorption (PIA) kinetics in Rh:SrTiO₃ and (e) La,Rh:SrTiO₃ photocatalyst sheets at various applied potentials, tracking conduction band electrons monitored at 1250 nm. The sample was irradiated with 365 nm LED light for several seconds (8 mW cm⁻², shaded region) before the LED was switched off again. (f) Overlay of normalised differential absorption as a function of potential extracted from: i) the 620 nm bleaching signal in SEC, normalised against the bleaching minimum at 620 nm and 0 V_{RHE} (Fig. 2a) ii) TA signals measured at 5 µs and 1 ms normalised against the 0 V_{RHE} signal at these times (Fig. 2c) and iii) the maximum PIA amplitude, normalised using the PIA maximum at 0 V_{RHE} (Fig. 2d,e) for Rh:SrTiO₃ and La,Rh:SrTiO₃ photocatalyst sheets. All data points for Rh:SrTiO₃ sheets were then fitted to a sigmoidal (logistic decay type) function, yielding a midpoint at 0.52 V_{RHE} . A linear function was used to fit datapoints for La,Rh:SrTiO₃ sheets. Electrolyte in all measurements: 0.1 M Na₂SO₄ solution (pH 7).

Figure 2c shows potential dependent transient absorption (TA) of (La),Rh:SrTiO₃ 106 107 photocatalyst sheet half-electrodes. We excited samples with a UV laser flash and monitored 108 the kinetics at 1250 nm, spanning the μ s-s timescales relevant to water splitting reactions³². 109 TA studies of SrTiO₃ and La,Rh:SrTiO₃ using electron and hole scavengers (Supplementary 110 Fig. S5.1-5.2) indicate that 1250 nm probes the absorption of photogenerated electrons 111 relatively close in energy to the conduction band (hereafter 'CB electrons', though we note 112 that in oxide materials these CB electrons are not necessarily of the same nature as early 113 timescale (i.e. ps-ns) free electrons in the CB). In La,Rh:SrTiO₃ sheets (inset Fig. 2c), a 114 positive 1250 nm transient absorption is observed, showing power law decay kinetics 115 invariant over the range of potentials studied (TA analysis in Supplementary Fig. 5.3a). Only 116 a modest initial amplitude dependence on applied potential (<10%) is found. In the case of 117 Rh:SrTiO₃ photocatalyst sheets, the TA kinetics show two distinct decay regimes governed by applied potential. At positive potentials (e.g. $1.1 V_{RHE}$) a transient bleach (loss of 118

119 absorption) is observed on the µs-ms timescale. SEC of Rh:SrTiO₃ sheets (Fig. 2a) indicates that a loss of sub-band gap absorption is associated with the removal of Rh⁴⁺ states from the 120 system. Consequently, we attribute the 1250 nm bleach observed in Rh:SrTiO3 sheets at 121 positive applied potentials to a loss of Rh⁴⁺ states after excitation, presumably related to 122 electron trapping by Rh⁴⁺ states. This is in agreement with *ex-situ* observations made by 123 Murthy et al^{33} and is also concordant with a linear dependence of the amplitude of this 124 125 feature on laser intensity (Supplementary Fig. 5.3b,c). In contrast, at potentials close to 0 126 V_{RHE} , positive transients are observed with power law decay kinetics *identical* to 127 La,Rh:SrTiO₃ (Supplementary Fig. S5.3d). By extension, we therefore also assign absorption 128 at 1250 nm in Rh:SrTiO₃ sheets at negative applied potentials to CB electrons. A change in 129 the fate of photogenerated electrons in Rh:SrTiO₃ photocatalyst sheets is further supported by 130 the TA spectrum of Rh:SrTiO₃ (Supplementary Fig. S5.4a), which is similar to La,Rh:SrTiO₃ 131 at negative potentials but dissimilar at positive potentials (Supplementary Fig. S5.4b).

132 To evaluate photocatalyst sheets under operational conditions and study the accumulation of 133 charges at the oxide surface available for catalysis, we monitored electron dynamics (1250 134 nm probe) during and after long pulse illumination (15-20s) with a 365 nm LED (Fig. 2d-e 135 and Methods). The resulting photoinduced absorption (PIA) spectra of Rh:SrTiO₃ and 136 La,Rh:SrTiO₃ sheets (Supplementary Fig. S5.5a-c) exhibit a similar shape and potential 137 dependence to the respective TA spectra (Supplementary Fig. 5.4a,b), indicating that the 138 same species are monitored at 1250 nm in both experiments. We observe that electron 139 accumulation during irradiation is strongly potential-dependent in Rh:SrTiO₃ photocatalyst 140 sheets (Fig. 2d), but broadly potential-independent in La,Rh:SrTiO₃ sheets (Fig. 2e). At 141 positive potentials (e.g. 1.1 V_{RHE}) Rh:SrTiO₃ shows a small ground state bleach. This signal 142 transforms into a growing positive absorption as the applied potential approaches $0 V_{RHE}$. 143 After the LED is turned off, the small bleach signal at positive applied potentials recovers on 144 a similar timescale (~ 100 ms) to that seen in TAS (Fig. 2c). In contrast, at negative potentials, 145 absorption signals persist for tens of seconds after the LED is turned off.

146 Figure 2f summarises the potential dependence of our in-situ studies; plotting signal 147 amplitudes of SEC (Fig. 2a), TA (Fig 2c) and PIA (Fig. 2d,e) against electrode potential for 148 Rh:SrTiO₃ and La,Rh:SrTiO₃ photocatalyst sheets. In Rh:SrTiO₃ photocatalyst sheets, 149 normalised TA signals, as well as the maximum PIA amplitude of accumulated electrons 150 track the redox wave behaviour of our SEC measurements (Supplementary Fig. S4b). This overlay clearly shows that the photogeneration of long-lived conduction band electrons is 151 strongly linked to Rh⁴⁺ reduction in Rh:SrTiO₃ sheets. In summary, this data indicates that 152 153 under operational conditions, Rh:SrTiO₃ photocatalyst sheets only generate long-lived electrons for biases $<< 0.52 V_{RHE}$ (i.e. $E^{Rh4+/Rh3+}$), whilst La,Rh:SrTiO₃ sheets produce 154 long-lived electrons even under strongly positive (+1 V_{RHE}) potentials. As we later discuss, 155 156 the ability to accumulate charge at positive potentials is critical to the efficient operation of 157 the complete water splitting Z-scheme in photocatalyst sheets.

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Figure 3. Effect of Rh and La doping on theoretical and experimental band structure of SrTiO₃. (a) Valence XPS spectra of SrTiO₃, Rh:SrTiO₃, and La,Rh:SrTiO₃. The larger area of the peak introduced by Rh doping in Rh:SrTiO₃ is attributed to increased surface segregation of Rh in Rh:SrTiO₃ (Supplementary Fig. S2.1, Table S1).(b) VB spectra of the samples on the ΔE_B scale enable direct comparison of the energy of the Rh dopant states. (c)The density of states calculated by hybrid DFT, aligned using O 2p states and weighted by the elemental single electron ionisation cross-section for the AI K α X-rays used in our XPS studies. Rh 4d states are shown in red. To approximate further broadening, an additional gaussian broadening was applied to the peaks, leading to the envelope shown by the dotted line. Unlike XPS, DFT also gives the density of unoccupied states. The red arrow points to an unoccupied Rh state in the mid gap of Rh:SrTiO₃ that is absent in La,Rh:SrTiO₃.

160 To better understand the link between the fundamental photophysical properties of 161 (La),Rh:SrTiO₃ and the oxidation state of Rh, we turn to DFT-supported VB XPS. Comparing VB spectra of SrTiO₃, Rh:SrTiO₃ and La,Rh:SrTiO₃ (Fig. 3a), we observe a 162 163 significant Fermi level (E_F) shift in both Rh-doped oxides towards the effective VB edge, consistent with p-type conductivity as observed by Kudo et al.³⁴ E_F of SrTiO₃, Rh:SrTiO₃ and 164 La,Rh:SrTiO₃ is located ~1.8 eV, ~0.1 eV and ~0.3 eV above their respective VB edges 165 (linear extrapolation see Supplementary Fig. S2.1f). As a result, all peaks shift in accordance 166 with the observed Fermi level change seen in the VB spectrum (Supplementary Figure 167 S2.2a), consistent with previous observations of Rh doped oxides.^{35,36} This effect prevents 168 169 direct comparison of relative energies of Rh states in the VB region on the binding energy (E_B) scale.³⁵ However, the binding energy relative to lattice O^{2-} in the O 1s spectrum (ΔE_B vs O 1s) should be independent of this effect³⁶ (Supplementary Figure S2.2a and accompanying 170 171 172 equation). Comparison of the VB spectra of doped and undoped materials, plotted relative to 173 the lattice O²⁻ binding energy (Fig. 3b), allows us to see that Rh doping introduces states 174 above the VB maximum and below the VB minimum of SrTiO₃, leading to a broadening of 175 the VB envelope and a narrowing of the effective band gap, consistent with our observations 176 in Fig. 1a. Closer inspection of the region around the VB maximum (Supplementary Fig. 177 S2.2b) shows that the states introduced by Rh doping are shifted to higher energy upon La 178 co-doping. The same effect is also observed when Rh:SrTiO₃ is reduced in a hydrogen 179 atmosphere (Supplementary Figure S2.3f), implying that this shift is the result of Rh^{4+} 180 reduction rather than any additional orbital contribution from La. This effect is consistent 181 with the red shift in the effective band edge observed when $Rh:SrTiO_3$ is reduced (Fig. 2a,b).



Figure 4. A simplified electronic structure model. Energy band diagrams of $SrTiO_3$, $Rh:SrTiO_3$ and $La:SrTiO_3$ determined from the combined results of our XPS, UV-vis, (spectro)electrochemistry measurements and DFT calculations. Plotted is the density of states (DOS) on the absolute energy scale as well as on the reversible hydrogen electrode (RHE) scale at pH 7. Fermi level positions (E_F) and band gap energies (E_g) and the position of the vacant Rh^{4+} mid gap level in $Rh:SrTiO_3$ are also indicated. See main text for more details.

182 To verify our analysis of the VB spectra, and to provide insight into the nature of the Rh 183 states introduced by doping, we turned to hybrid DFT. The HSE06 functional was combined 184 with an unprecedentedly large 3x3x3 supercell to enable accurate reproduction of the nominal 185 Rh-doping concentration to calculate and compare the electronic structure of doped, co-doped 186 and undoped SrTiO₃ (see Methods for further details). The calculated densities of states 187 (DOS) for the VB region of Rh:SrTiO₃ and La,Rh:SrTiO₃ (Fig. 3c) reveal that the broadening 188 of the VB region observed experimentally upon Rh doping is caused by the introduction of 189 Rh 4d states above the VB maximum and below the VB minimum of SrTiO₃. Moreover, DFT 190 accurately predicts that in Rh:SrTiO₃, Rh 4d states are more deeply situated than in 191 La,Rh:SrTiO₃, which is verified by our experiments as detailed above (Fig. 1a and 3b). No La orbital character is observed near the band edges (Supplementary Fig. S6.1b), consistent with the concept that La^{3+} induces the reduction of Rh⁴⁺ via an ionic charge compensation 192 193 mechanism but does not directly alter band edge composition.²⁹ Most strikingly, a vacant mid 194 195 gap state with Rh 4d character is observed in Rh:SrTiO₃ (red arrow, Fig. 3c) which is absent 196 in La,Rh:SrTiO₃. As this state does not have La orbital character, and an analogous effect can 197 be achieved by introducing an electron to Rh:SrTiO₃ in the presence of a compensating background charge (which localises on Rh⁴⁺ during optimisation, Supplementary Fig. S6.1d, 198 S6.2), we attribute this change to Rh⁴⁺ reduction. Further, integration of the Rh 4d DOS 199 shows that the density of filled Rh 4d states at the VB edge effectively increases by one 200 201 electron upon reduction. This indicates that the Rh 4d electronic structure re-arranges as an electron is added to Rh⁴⁺ and the mid gap state is incorporated into the VB (for discussion see 202 203 Supplementary Fig. S6.2, S6.3).

Figure 4 summarises energy band diagrams of $SrTiO_3$ and its doped equivalents determined from our SEC and DFT-supported XPS experiments. From DFT we obtain electron affinities, which are in good agreement with literature values for CB edge energies (E_{CB}) of $SrTiO_3$,³⁷ and indicate that E_{CB} is largely insensitive to doping (Supplementary Fig. S6.4). As DFT calculations often slightly underestimate E_g , we use our UV-vis spectra (Fig. 1a) to position the VB edge energies on an absolute energy scale, in reasonable agreement with our

210 calculated ionisation potentials (Supplementary Fig. S6.4). From VB XPS (Fig. 3a), we

- estimate the Fermi level position relative to the VB (in the absence of band bending) and find
- it to be in excellent agreement with independent measurements of a very positive flatband
- potential of La,Rh:SrTiO₃ photocatalyst sheets (+1.8 V_{RHE}) using impedance spectroscopy, consistent with reported p-type character.^{24,30,31} Finally, we estimate the reduction potential of Rh⁴⁺ states using the half-wave potential obtained from SEC (Supplementary Fig. S4b) and
- combine it with our DFT results to locate the vacant mid-gap state.



Figure 5. A simple surface depletion model explaining the in-situ charge carrier dynamics of (La,)Rh:SrTiO₃ photocatalyst sheets. Model of surface depletion in La,Rh:SrTiO₃ (a) and Rh:SrTiO₃ (b) at different applied potentials in the dark. The flatband condition ($E_F=E_{FB}$) for both materials is adapted from Fig. 4. At 1 V_{RHE}, there is significant band bending in both La,Rh:SrTiO₃ and Rh:SrTiO₃ (with W_d indicating the space charge layer width). However, in Rh:SrTiO₃, the Fermi level (E_F) does not exceed the reduction potential of the vacant Rh⁴⁺ level (E_{xx} , purple dashed line), leading to Rh adopting the 4+ oxidation state in the entire material and in the depletion layer (x<W_d). At 0 V_{RHE}, the energy of Rh⁴⁺ at the surface of the depletion region (d << W_d) falls below the Fermi level and Rh⁴⁺ becomes reduced, leading to a re-organisation of the Rh 4d states – adopting the electronic configuration of a reduced Rh:SrTiO₃ (indicated by yellow colouring), which is almost identical to that of La,Rh:SrTiO₃. This is corroborated by a 0.15 eV red-shift in the effective band gap that we observe in our SEC experiments (Fig. 2a). (c) Photovoltage build-up in La,Rh:SrTiO₃ due to strong downward band bending leading to effective charge separation. This is indicated by a quasi-Fermi level for electrons ($E_{F,n}$) reaching towards the CB. In Rh:SrTiO₃, a similarly strong potential drop develops at the surface, however, trapping processes likely related to the trapping of electrons by Rh⁴⁺ states prevent the accumulation of electrons, indicated by a quasi-Fermi level pinned to the Rh⁴⁺ redox potential. This in turn leads to smaller photovoltage developing in Rh:SrTiO₃.

217 In Figure 5, we use the energy band diagrams in Figure 4 to illustrate the expected potential-218 dependence of depletion layer formation in (La), Rh:SrTiO₃, and relate these to our potential-219 dependent SEC, PIA and TA measurements (Fig. 2). A striking result of this analysis is that 220 in both La,Rh:SrTiO₃ (Fig. 5a) and Rh:SrTiO₃ (Fig. 5b), a strong potential drop at the 221 semiconductor-electrolyte liquid junction is created even at the most positive potentials 222 studied (e.g. 1 V_{RHE}) due to their strongly positive flatband potentials (Fig 4). The field in the 223 depletion region at these potentials appears to be sufficient to efficiently separate charge in 224 La,Rh:SrTiO₃, as inducing a further volt of band bending (i.e. at 0 V_{RHE}) yields only a modest 225 increase in the photogeneration of long-lived CB electrons under operational conditions (Fig. 226 2e). This implies that the downward shift in flatland potentials induced by Rh doping drives 227 effective charge separation even at positive applied potentials. This is consistent with the 228 remarkably early onset potentials (up to $1.2 V_{RHE}$) previously observed in photocatalyst sheet half-electrodes.²⁵ However, despite a similar flatband potential to La,Rh:SrTiO₃, Rh:SrTiO₃ 229 230 is unable to photogenerate long-lived electrons at equivalent positive potentials (i.e. 1 V_{RHE}). 231 Instead, Rh:SrTiO₃ shows a yield of CB electrons which tracks the redox wave originating 232 from Rh⁴⁺ reduction (Fig. 2f, Supplementary Fig. S4b), with the largest changes occurring around $E_{1/2}$ of the Rh⁴⁺/Rh³⁺ redox couple. Only at potentials negative of this redox potential 233 234 do the yields and lifetimes of CB electrons in Rh:SrTiO₃ and La,Rh:SrTiO₃ converge. In 235 Figure 5c we use our model to explain this behaviour. At potentials positive of $E_{1/2}$, minority 236 carriers in Rh:SrTiO₃ are trapped and recombine via mid-gap Rh⁴⁺ states. The quasi-Fermi 237 level of electrons $(E_{F,n})$ is therefore likely to be pinned to these mid-gap states, leading to a 238 diminished photovoltage in comparison to La,Rh:SrTiO₃ (Supplementary Fig. 7). At



Figure 6. The role of Rh oxidation state and La co-doping in determining the performance of a Mo:BiVO₄/(La),Rh:SrTiO₃ Z-scheme device. Electron accumulation under operational conditions in (La),Rh:SrTiO₃ (Fig. 2f) plotted alongside a normalised J-V curve for typical Mo:BiVO₄ photocatalyst sheets in the presence of a Fe/Ni based co-catalyst.¹⁷

potentials negative of $E_{\frac{1}{2}}$, Rh^{4+} states in the depletion layer are reduced, and persistent CB electrons are observed (Fig. 2d). Hence, La co-doping can be understood as obviating the need for the strong negative potentials (<< 0.52 V_{RHE}) or chemically reducing conditions^{23,25,27,38} that would be otherwise required to reduce surface Rh^{4+} states. This suppresses electron trapping, leading to the build-up of a higher photovoltage due to the accumulation of minority carriers on timescales of the water splitting reaction.

245 Due to current matching requirements, the operation of complete photocatalyst sheet devices is thus also Rh oxidation state dependent. Hence, in a Rh:SrTiO₃/Mo:BiVO₄ device, large 246 yields of persistent electrons in Rh:SrTiO₃ can solely be obtained closer to the flatband 247 potential of Mo:BiVO₄ (ca. 0.1 V_{RHE}^{39}) or, in other words, close to the onset of photocurrent for an optimal Mo:BiVO₄ photoanode.^{40,41} In contrast, in a La,Rh:SrTiO₃/Mo:BiVO₄ device, La fixes the Rh oxidation state to Rh³⁺ and removes the Rh⁴⁺ mid-gap level *without* 248 249 250 compromising the position of the Fermi level. This leads to accumulation of CB electrons 251 252 even at remarkably positive potentials. This is illustrated in Figure 6 where the population of 253 accumulated CB electrons in (La),Rh:SrTiO₃ sheets (taken from Fig. 2f) is plotted alongside 254 a JV curve of a previously reported typical Mo:BiVO₄ photocatalyst sheet half electrode.¹⁷ 255 Figure 6 predicts that the crossing point of Rh:SrTiO₃/Mo:BiVO₄ device would be at more 256 negative potentials, where the Rh:SrTiO₃ surface is only partially reduced and the predicted 257 photocurrent low. On the other hand, a La,Rh:SrTiO₃/Mo:BiVO₄ crosses at a significantly 258 more positive potential, at which photogeneration of long-lived charge carriers is high in both 259 Mo:BiVO₄ and La,Rh:SrTiO₃, enabling both efficient proton reduction and water oxidation. The more positive crossing point of the respective half-cell J-E curves in turn leads to a Z-260 scheme photocatalyst sheet device requiring a metal contact with a high work function (i.e. Au), consistent with calculations in the work of Wang *et al.*²⁶ The carrier dynamics of this 261 262 263 interface are of significant interest. However, the surface selective nature of the 264 measurements presented here preclude study of the back contact.

It is remarkable that chemical reduction of $Rh:SrTiO_3$ does not compromise the position of the Fermi level as would be expected from simply considering the filling of the Rh^{4+} mid gap level upon reduction in the absence of any reorganization. We therefore consider the 268 oxidation state dependent Rh 4d electronic structure to be a crucial and distinguishing 269 operational parameter in this material, as conservation of the position of the Fermi level upon 270 chemical reduction leads to extremely positive flatband potentials being observed in the Rh-271 doped and La,Rh co-doped materials alike. This drives strong band bending at the 272 semiconductor/electrolyte interface in-situ, meaning that charge separation is limited by density of the vacant mid gap levels associated only with Rh⁴⁺ rather than the strength or 273 width of the depletion layer. Thus, co-doping with La reduces Rh⁴⁺; removing mid gap levels 274 without strongly influencing band bending. This drives a positive shift in the crossing point 275 276 of the complete Z-scheme device towards a crossing point where both water oxidation and 277 proton reduction occurs efficiently.

278 In conclusion, by linking charge accumulation to electronic structure in (La),Rh:SrTiO₃ we 279 are able to explain the record device operation of the La,Rh:SrTiO₃/Mo:BiVO₄ photocatalyst 280 sheets. We find that Rh doping narrows the effective optical gap of SrTiO₃ and induces a 281 strong downward shift in flatband potential, successfully creating a p-type visible light 282 absorber. Using Rh alone to dope the wide band gap absorber produces a vacant mid-gap Rh 283 4d state. During illumination, this state prevents the accumulation of electrons near the 284 conduction band and instead promotes charge trapping and recombination. Reduction of Rh⁴⁺ 285 leads to a reorganization of Rh 4d orbitals, integrating this state into the valence band region.

286 While the reduction to Rh^{3+} can be driven by negative applied potential or by a reductive 287 annealing treatment, co-doping with La appears to be an elegant strategy to effectively and 288 persistently mitigate the formation of the mid-gap state without compromising p-type 289 character. In the absence of a mid-gap state, the strongly positive flatband potential of 290 La,Rh:SrTiO₃ enables strong downward band bending even under relatively positive 291 potentials (e.g. +1 V_{RHE}), enabling bipolar charge accumulation in the device at potentials 292 where both the n- and p-type semiconductors separate charge efficiently.

293 More broadly, this work identifies both electronic structure reorganisation and Fermi level 294 effects as key parameters that must be considered in engineering novel functional visible light 295 absorbing materials for photocatalysis. The insights gained herein lead to a deeper 296 understanding of the interplay between electronic structure, dopant oxidation states, minority 297 carrier lifetimes and device operation in cutting edge photocatalyst devices. Having identified 298 the crucial role of co-doping in the function of the complete Z-scheme device, we note that a 299 necessary prerequisite to succeeding in creating new band gap engineered visible light 300 absorbers may be the choice of a perovskite (ABX_3) host lattice, such as $SrTiO_3$, where two 301 cation sites can be substituted independently of one another in a crystal lattice that is 302 structurally tolerant to doping. This represents a crucial break from studies on TiO₂, where only one cation site can be substituted in a lattice that is itself less tolerant to doping.³⁶ 303 304

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322 Author Contributions

- 323 B.M. carried out all optical, SEM and XPS measurements and wrote the manuscript with help
- from L.S. and S.S. XPS measurements and interpretation of results were supervised by A.R.
- and D.J.P. Q.W. synthesised all materials, fabricated all devices and performed XRD. K.T.B.
- 326 performed all calculations of doped, co-doped and undoped STO, with the exception of the
- 327 DFT study of Rh doping concentration, which was performed by R.G.C. L.S supervised this
- 328 work, guided SEM, EDX and spectroelectrochemical measurements, oversaw data
- interpretation and manuscript preparation, and conceptualised Figures 4, 5 and 6. R.G. and A.K. trained B.M. and supervised optical measurements. T.H. co-supervised this work and
- 331 K.D. and J.R.D. directed the research. All authors commented on the manuscript.

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334 Competing interests

335 The authors declare no competing interests.

336 Data Availability

- 337 The data presented in the main body of this paper is available in csv format on Zenodo at
- 338 <u>http://doi.org/10.5281/zenodo.4063942</u> and source data is available in opj format also on
- 339 Zenodo at <u>http://doi.org/10.5281/zenodo.4071556</u>. Both can be used under the Creative
- 340 Commons Attribution licence 4.0.
- 341 342

343 Methods

344

345 Synthesis of Rh and La, Rh: SrTiO₃: Rh: SrTiO₃ and La, Rh: SrTiO₃ were synthesised by a previously reported two step solid state reaction.^{25,42} In the first step, rutile TiO₂ (Kanto Chemicals, 99.0%) and 346 347 SrCO₃ (Kanto Chemicals, 99.9%, calcined in air at 573 K for 1 h) were ground in a mortar to obtain a 348 mixture with a Sr/Ti ratio of 1.05. The mixture was then calcined at 1423 K for 10 h to produce 349 $SrTiO_3$. In the second step, $SrTiO_3$ was ground in ethanol with Rh_2O_3 (Kanto Chemicals, 99.9%) and 350 calcined at 1373 K for 6 h to make Rh:SrTiO₃. For La,Rh:SrTiO₃ fabrication, both La₂O₃ (Kanto 351 Chemicals, 99.99%, freshly calcined in air at 1273 K for 12 h) and Rh₂O₃ (Kanto Chemicals, 99.9%) 352 were calcined at 1373 K for 6 h. In both cases, La and Rh was added such that the nominal doping 353 concentration (i.e [Rh]/([Rh]+[Ti] or [La]/([La]+[Sr]) was 4 mol%. H₂-Rh:SrTiO₃ was produced by 354 annealing Rh:SrTiO₃ powder at 573 K in a hydrogen atmosphere for two hours. For transient 355 absorption scavenger studies, films were fabricated directly from powders by dispersing 50 mg of 356 powder in water, drop casting on to glass and calcining at 673 K for 1 h.

357 Fabrication of photocatalyst sheets: (La),Rh:SrTiO₃ photocatalyst sheets were fabricated by a 358 modified particle transfer method. The procedure was identical to previous reports except that 359 Mo:BiVO₄ particles were omitted and a much thicker Au layer (ca. 2 µm as opposed to ca. 350 nm) 360 was used to create a continuously conductive back contact. Doped SrTiO₃ (20 mg) was suspended in 361 isopropanol (99.9%, 0.5 ml), drop-cast on a glass substrate (3×3 cm²) and left to dry at room 362 temperature overnight. The Au back contact was then deposited by thermal vacuum evaporation 363 (VFR-200M/ERH, ULVAC KIKO) at an evaporation rate of approximately 20 nm s⁻¹ at a base 364 pressure of 2.6×10^{-3} Pa. The exposed Au surface was then bonded to a second glass plate (3×3 cm²) 365 with double sided carbon tape and lifted off the primary glass plate. The resulting photocatalyst sheet 366 was then ultrasonicated twice in distilled water for 2 minutes to remove any unattached particles.

367 Scanning electron microscopy (SEM): SEM images were taken on a LEO GEMINI 1525 368 microscope using a 1.5 keV electron beam and a secondary electron detector. As the back Au contact 369 in the photocatalyst sheets provides a highly conductive pathway for charge, no conductive coating 370 was required. EDX was performed on the same instrument using an Oxford Instruments X-act 371 detector at a beam voltage of 20 keV and a 60 mm aperture.

372 X-ray photoelectron spectroscopy (XPS): XPS was performed on a Thermo Scientific K-alpha+ 373 instrument. Powdered samples were attached to a stainless-steel plate using conductive carbon tape. 374 The instrument uses monochromated and microfocused Al K α (hv = 1486.6 eV) radiation to eject 375 photoelectrons which are then analysed using a 180° double-focusing hemispherical analyser with a 2D detector. Spectra were collected at 2×10^{-9} mbar base pressure. A flood gun was used to minimize 376 377 sample charging. All samples were referenced against the C-C peak of adventitious carbon in the C 1s 378 spectrum at a binding energy of 284.8 eV to correct for any charge that is not neutralised by the flood 379 gun. Further effects were then accounted for by taking the separation from the O 1s oxide peak. Data 380 was analysed using the CASA XPS package.

381 Ultraviolet-visible absorption spectroscopy and spectroelectrochemistry: Reflectance spectra of 382 the photocatalyst sheets were collected using a Shimadzu UV-vis 2600 spectrophotometer equipped 383 with an integrating sphere, using a disk of pressed barium sulphate as a 100% reflecting reference. 384 The resulting diffuse reflectance spectra were then converted to a unit proportional to absorptance using the Kubelka-Munk function, $F(R) = \frac{k}{s} = \frac{(1-R)^2}{(2R)}$. Where k and s respectively correspond to 385 386 absorption and scattering coefficients and R corresponds to the reflectance (the fraction of light 387 reflected in comparison to the fully scattering BaSO₄ reference). For spectroelectrochemical 388 measurements, photocatalyst sheets were measured in a quartz cuvette in three-electrode 389 configuration using an Ag/AgCl (sat'd KCl) reference electrode, a platinum mesh counter electrode 390 and the doped $SrTiO_3$ working electrodes in 0.1 M Na₂SO₄ electrolyte (pH 7). Potentials were applied 391 using a Metrohm Autolab PGSTAT 101 potentiostat. Reflection and refraction from the cuvette do 392 not change with applied potential and so did not contribute to the observed change in the KubelkaMunk function. A small offset at 820 nm is visible due to a change in detector and a low background
level of reflected light in this experiment.

Hybrid Density functional calculations: All calculations are performed using the VASP package.⁴³ 395 396 For doping calculations a 3x3x3 supercell was created. In the case of co-doping all symmetry 397 inequivalent positions of the dopants were explored, although no qualitative and very little 398 quantitative differences were found. We therefore use a single configuration for presenting our results. 399 For relaxation of atomic positions the PBEsol functional⁴⁴, projector augmented pseudopotentials⁴⁵, 400 and a cut-off energy of 500 eV, with k-point sampling defined as an evenly spaced grid in reciprocal 401 space with a density scaled to the unit cell size were used to achieve uniform sampling with a target length cut-off of 10 Å, as described by Moreno and Soler⁴⁶. The relaxed structures were then used for 402 input to hybrid DFT calculations using the HSE06 functional⁴⁴ to calculate accurate electronic 403 404 structure.

405 Diffuse reflectance transient absorption and photoinduced absorption: Transient absorption and photoinduced absorption measurements were carried out on a home built setup described in our 406 previous publications.⁴⁷ Briefly, micro-second to second transient absorption decays were acquired by 407 measuring the diffuse reflectance of the opaque samples studied herein. A Nd:YAG laser (OPOTEK 408 409 Opolette 355 II, 7 ns pulse width) was used as the excitation source, producing 355 nm light that was 410 transmitted to the sample using a liquid light guide. An excitation power density of 400 μ J/cm² was 411 typically used in conjunction with a laser repetition rate of 0.8 Hz. Probe light was generated by a 412 100 W Bentham IL1 quartz halogen lamp. Long pass filters (Comar Instruments) and an IR filter 413 $(H_2O, 5 \text{ cm path length})$ were positioned between the lamp and sample to minimise short wavelength 414 irradiation and heating of the sample. Diffuse reflectance from the sample was collected and relayed 415 to a monochromator (Oriel Cornerstone 130) through a long pass filter to select the probe wavelength. 416 Acquisitions were triggered by a photodiode (Thorlabs DET10A) exposed to laser scatter. A Si 417 photodiode (Hamamatsu S3071) was used as a detector in the visible region and an InGaAs diode 418 (Hamamatsu G10899-03K) in the near IR. Data at times faster than 2 ms were amplified by custom 419 electronics and recorded by an oscilloscope (Tektronics DPO3012) while data slower than 2 ms was 420 simultaneously recorded on a National Instrument DAQ card (NI USB-6251). Kinetic traces were 421 typically obtained from the average of 100 laser pulses. Data was acquired and processed using 422 software written in the LabVIEW environment (Austin Consultants). Photoinduced absorption data, 423 were collected with the same setup replacing the laser pulse by a continuous wave illumination of a 365 nm LED at an intensity of 8 mW cm⁻², corresponding to ¹/₂ sun of absorbed photons assuming a 424 425 sharp absorption edge at 470 nm. The percentage change in reflectance was calculated according to pioneering work by Wilkinson and co-workers.⁴⁸ Here the fractional change in reflected light due to 426 427 transient absorption is:

428

$$R_t = \frac{V_t - V_0}{V_0}$$

429 Where V_0 is the voltage arising on Si photodiode from the probe beam before the pump, V_t is the 430 voltage on the diode at time *t* after the pump. The % change in absorption (% *Abs.*) is thus:

431

432

$$\% Abs = (1 - R_t) \cdot 100$$

The changes of reflectance observed were low, with the largest signals being on the order of 1%. This enabled the transient signal to be taken as directly proportional to the concentration of excited state species. ⁴⁹

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