

Analysis to energy consumption characteristics and influencing factors of terminal building based on airport operating data

Article

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Nomenclature*Variables, parameters and indices*

E_{Total}	Total energy consumption, the sum energy consumption of the cooling plant and the terminal
E_T	Terminal energy consumption
E_{CP}	Cooling plant energy consumption
E_{TA}	terminal HVAC system energy consumption
E_{TN}	all other terminal systems except HVAC energy consumption
T_{db}	Dry bulb temperature
T_{dp}	Dew point temperature
L_{CC}	Low cloud cover
SP	Surface pressure
WD	wind direction
P_T	Total passenger flow
P_D	Departing passenger number
P_A	Arrival passenger number
T_{ws}	Supply water temperature
T_{wr}	Return water temperature
T_{SF}	supply air temperature of AHU
T_i	indoor temperature
f_s	supply fan frequency
f_P	exhaust fan frequency
C_{CO_2}	CO ₂ concentration
\bar{x}	The average
s	Standard deviation
r	correlation coefficients
P	p-value
R^2	Coefficient of determination
Y_0, A, t	Regression coefficients
Q_1	Lower quartile, 25th percentile
Q_3	Upper quartile, 50th percentile
R_I	Quartile range, $Q_3 - Q_1$

Abbreviation

CV	Coefficient of variation
SD	Standard deviation
HVAC	Heating, ventilation and air-conditioning
AHU	Air-conditioning units
FCU	Fan coil unit
VRF	Variable refrigerant volume
COP	Coefficient of Performance

1 **1 Introduction**

2

3 With China's urbanization and continual development, the number of civil airports increases
4 rapidly from 175 in 2010 to 218 in 2016. In the 13th Five-year Plan for China Civil Aviation
5 published by The Civil Aviation Bureau in Feb 2017, 260 civil airports will be built by 2020
6 [1]. Compared with conventional civil buildings, airport terminals are characterized by
7 enormously high and open spaces enclosed mainly with glazing system. These buildings handle
8 a large number of passengers and need to maintain operation for an extended duration every
9 day. All these factors lead to very high HVAC energy consumption [2]. Civil Airport Terminal
10 Green Performance Investigation Report published by Civil Aviation Bureau in 2017 showed
11 that the energy consumptions including terminal buildings and cooling plants were 129-281
12 kWh/ (m²·a) with an average value of 180 kWh/ (m²·a). In similar climate conditions, the
13 maximum energy consumption can be 50-100% higher than the average value, which means
14 there is a high potential for energy saving [3]. The average energy consumption is about 2.9
15 times that for non-airport public buildings, which is 61.96 kWh/ (m²·a) [4]. Among the total
16 airport energy consumptions, 41.2-62.9% are consumed by the HVAC systems, which are in
17 the range of 73.4 to 121.7 kWh/ (m²·a). In countries outside China, the HVAC energy
18 consumption is about 80% [5] for Adnan Menderes Airport and 86% [6] for Soekarno-Hatta
19 Menderes Airport. Therefore, it is important to understand the contributing factors to airport
20 energy consumption, especially HVAC energy consumption in order to reduce total airport
21 energy consumption, cut carbon emission and protect environment [7].

22

23 In recent years, there have been many studies on the total energy consumption and HVAC
24 system energy consumption in airports at home and abroad using energy consumption
25 predictions, computer simulations, on-site questionnaire investigations and field testing [3,8-
26 11]. In energy consumption prediction field, scholars were more concerned about how to
27 improve the accuracy of prediction. Chen et al. proposed a mixed method by combining neuro-
28 network and grey method, which can achieve a prediction accuracy of 93% [12,13]. Huang et
29 al presented a hybrid model predictive control (HMPC) scheme, which combined the classical
30 approach with a neural network feedback linearization method. Compared with existing control
31 methods, this method has higher prediction accuracy in terms of energy saving and cost saving
32 [14]. With building energy simulation, researchers intend to support rational use of energy and
33 make recommendations for energy saving measures. Balaras et al. simulated airport terminal
34 energy consumption based on on-site measurement of indoor thermal environment and

1 passenger survey, and their study showed a potential to achieve 15-35% energy saving [15].
2 Chen et al. simulated an airport HVAC system by Energy Plus software. After verification
3 against measured data, the simulation showed that by lowering the supply air temperature from
4 15°C to 12°C, the total energy consumption can be reduced by 4-6% [16]. Fang et al. applied
5 Energy Plus simulated hourly operation data throughout one year to obtain the Coefficient of
6 Performance (COP) value of the chiller by clustering and regression of these data, which can
7 be used to optimize the chiller operation [17]. Previous studies have also been performed on
8 the impact of operating parameters such as supply air temperature, air flow rate, supply vane
9 angle, etc. on energy consumption, based on the studies, guidance was provided on the selection
10 of the optimization method to improve the operation [18-19]. In all these studies, energy
11 consumption predictions and simulations require a large number of boundary conditions, which
12 are mainly from field testing or operating data. And the results of predictions or simulations
13 need to be verified against actual measurement or operating data [20-23]. All researches listed
14 above have used site measurement or operating data from real airport terminals, but these data
15 either referred to a specific location of an airport or limited to a short time duration. It is well
16 known that energy consumptions vary significantly with locations and seasons [24], and a study
17 needs to track a full building for at least one year to provide a complete profile of the building
18 energy consumption characteristics.

19

20 Airport energy consumptions are strongly correlated to the overall passenger number and flows
21 [3]. There have been many studies on airport passenger flows. Liu et al, simulated the dynamic
22 distribution of airport passengers with on-site investigation as input data. Their result showed
23 that the passenger density exceeded the design value in only 3.6% of total duration. The
24 maximum usage ratio of departure floor was only 54.8-64.4%, and the maximum actual demand
25 of cooling load for primary air (25 W/m²) was obviously lower than the design value (47 W/m²),
26 which means the energy consumption of the HVAC systems in airports can be significantly
27 reduced if the amount of mechanical outdoor air can be adjusted with variation of actual
28 occupancy rates [25]. Furthermore, Liu et al, investigated the passenger flow in main public
29 areas of a Chinese hub airport terminal in typical three months of three different seasons. The
30 results showed various areas in the terminal building displayed very different passenger flow
31 characteristics [26]. Abdulhameed et al. presented and appraised fuzzy control strategies for
32 reducing energy consumptions, and the inputs were the time schedule for arrival and departure
33 of passenger planes as well as the expected number of passengers during each flight, zone
34 illuminance and external temperature [27].

1

2 As a summary of the literature review, to better understand the energy consumption of airport
3 terminals requires long-term monitoring of their operations, including meteorological data,
4 mechanical system data and passenger data. And as far as the authors are aware, this paper is
5 the first to present multiple-year operation data. Data including terminal and cooling plant
6 energy consumption, indoor temperature, passenger flow, meteorological parameters and air
7 conditioning unit parameters *etc.* were collected for Nanning Wuxu International Airport
8 Terminal 2 from 2016-2019. Passenger flow patterns were also established based on the flight
9 schedule in these years. Data analysis was performed to identify the airport terminal energy
10 distribution pattern. Then, clustering analysis and correlation analysis were performed to find
11 out the main factors influencing energy consumption. A model was built by regression analysis
12 for the prediction of each categories of energy consumptions based on monthly values of major
13 influencing factors. This study is expected to provide valuable information to the design and
14 operation of airport terminals by refining the energy consumption simulation and prediction
15 models.

16

17 **2 Methodology**

18

19 This section describes the methodology for data collection and analysis. The types of data were
20 introduced following an introduction to the building. A statistical analysis was first performed
21 to obtain the distribution pattern of each data set, followed by a cluster analysis to filter the
22 main parameters. Correlation analysis was performed to identify the independent parameters
23 and regression analysis was finally adopted to establish the equations for the prediction of
24 energy consumptions. In this paper, all data analyses were carried out in Origin2019 (Origin
25 Lab Inc., Massachusetts, USA).

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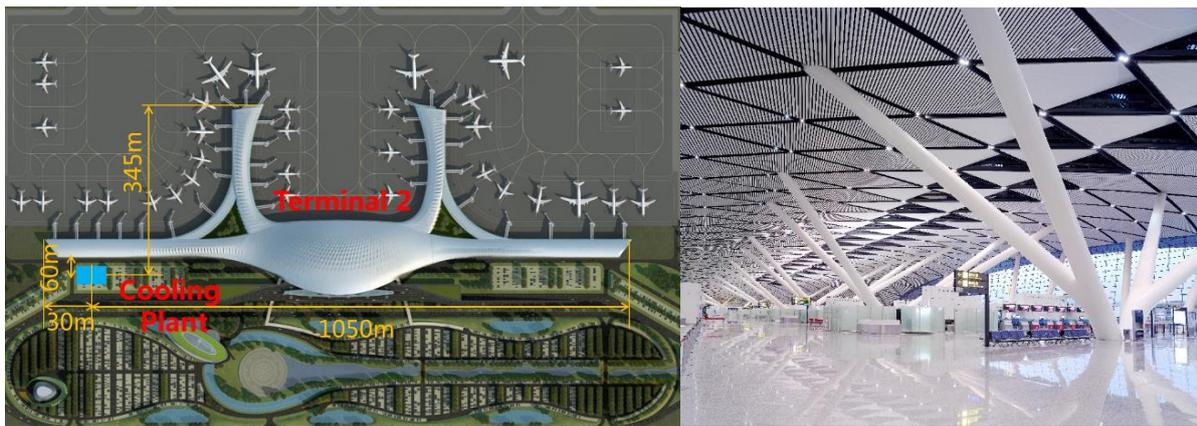
27 ***2.1 Building Description***

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29 This study was based on the Nanning Wuxu International Airport Terminal 2, thereafter
30 simplified as Nanning Airport. Nanning is located in south China with a climate characterized
31 by hot summer and warm winter. According to China design code [28] the HVAC system needs
32 to consider only cooling load in summer. The Nanning Airport was designed to accommodate
33 16.0 million passengers by 2020 with a total floor area of 183800 m² consisting of three

1 aboveground floors and one basement floor. The third floor includes a departure hall, security
2 check area, waiting areas adjacent to boarding gates plus retails and offices. The second floor
3 is for domestic arrival, international arrival, transfer and offices. The ground floor
4 accommodates luggage handling plant room, luggage claim hall, reception hall plus offices and
5 plant rooms. The basement is mainly plant rooms and utility tunnels.

6
7 This building was put in operation in September 2014. An independent cooling plant was built
8 near the end of the west pier to provide cooling source for the terminal. An overview of the
9 terminal is shown in Figure 1.



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11 (a) Site plan

(b) Check-in hall

12 **Fig. 1.** The Nanning Airport Terminal 2

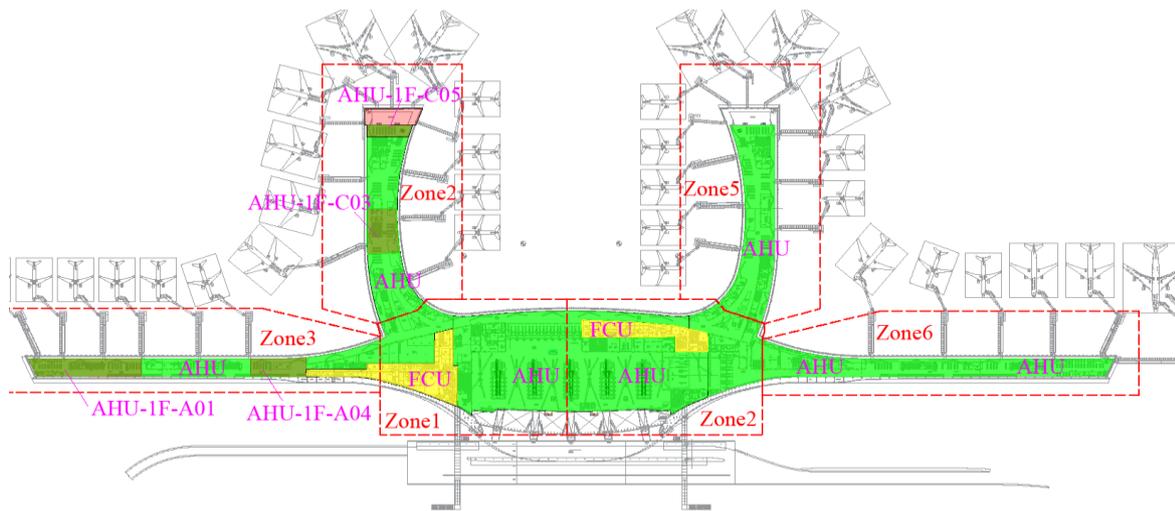
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14 The environmental standards for the large space area are set as air temperature 26 °C, relative
15 humidity 55%, fresh air volume 25-30 m³/ (h·p), indoor wind speed 0.2 m/s and an air filtration
16 standard F7. The cooling plant at the end of the west pier holds three 2100 RT centrifugal
17 chillers, one 1000 RT centrifugal chiller, and the corresponding primary cold-water pumps and
18 cooling towers to provide air conditioning cold sources for the terminal. The terminal air
19 conditioning water system is a secondary pump system with secondary pump set located in the
20 terminal building. Different HVAC terminal systems were adopted depending on space
21 characteristics. The main public large space area uses regional variable air volume all air system
22 (AHU, 90% capacity), and the ordinary office room uses the fan coil unit plus the fresh air
23 system (FCU, 10% capacity). The HVAC terminal equipment on the third floor is shown in
24 Figure 2. A total of 111 air-conditioning units (AHU-1F-A01, A05, C03, C05 etc.) are installed
25 to provide a total air volume of 2.27 million m³/h, with 835 fan coil units.

26

27 The terminal was divided into six zones as marked by dashed lines in Figure 2, each served by

1 a substation thus allowing the regional energy consumption to be recorded daily. The energy of
 2 the cooling plant was measured by the substation outside the terminal. A flow meter and a
 3 temperature sensor are installed on the supply and return water main pipe of the cooling plant
 4 to measure the flow rate of the water system and the temperature of the supply and return water.
 5 The terminal air conditioning unit measures the fresh air temperature, supply air temperature,
 6 return air temperature, return water temperature, supply fan frequency, exhaust fan frequency,
 7 CO₂ concentration, etc.



9
 10 **Fig. 2.** The HVAC System of Nanning Airport Terminal 2

11
 12 **2.2 Operating Data Collection**

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 14 Airports have strict operation requirements and keep accurate recording of flight information,
 15 communication facilities operation, and security preventions. The data collected in this article
 16 are from the airport's operating records from 2016-2019. Details are shown in Table 1.

17
 18 **Table 1** Operating Data

Item	Description	Frequency and duration
Terminal energy consumption	Energy consumption of all terminal facilities, categorized as office, roof lighting, advertisement, commercial, HVAC system, MEP rooms, low-voltage electrical system, lift system, boarding gates.	Daily from 2016-2019 Hourly in 2017
Cooling plant energy consumption	Energy consumption of all cooling plant facilities including chillers, cold-water pumps, cooling towers and lighting	Daily from 2016-2019 Hourly in 2017

Meteorological data (22 ° 36 'n 108 ° 10 'e)	Dry bulb temperature, dew point temperature, low cloud cover, surface pressure, wind direction	Hourly from 2016-2019
Passenger data	Total passenger flow, Departing passenger number and Arrival passenger number	Hourly from 2016-2019
Terminal cooling data	Energy meter readings, including water flow, supply water temperature and return water temperature.	Hourly in 2017
HVAC system parameter	Fresh air temperature, supply air temperature, indoor temperature, return water temperature, supply fan frequency, exhaust fan frequency and CO ₂ concentration	Hourly from 2016-2017

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2.3 Statistical analysis

Table 1 lists a large amount of data and some of them are repeating. For example, the fresh air temperature of the HVAC system theoretically should be the same with dry bulb temperature neglecting the measurement errors and the influence of measuring locations. Some parameters such as the return water temperature of AHU represent individual terminal and provides little information on system performance. After initial filtering, the following parameters are included in the current analysis: total energy consumption, terminal energy consumption (E_T), Cooling plant energy consumption, supply water temperature, return water temperature, dry bulb temperature, dew point temperature, low cloud cover, pressure, wind direction, total passenger flow, departure passenger, arrival passenger, indoor temperature, supply air temperature, supply fan frequency, exhaust fan frequency and CO₂ concentration.

Statistical methods were used for the analysis of the operating data. The following concepts are commonly used in statistics: sum, frequency count, mean (\bar{x}), standard deviation (s), coefficient of variation ($CV = s / \bar{x}$), where CV is used to check the dispersion degree of the data. When CV is less than 10%, it is considered the sample is stable with little variation [29]. Before data analysis, a Kolmogorov–Smirnov test was performed to check if the data set follows normal distribution. If so, t-test will be performed to check if two independent samples have significant variation. When $P \leq 0.05$, it is considered they have significant variation, otherwise they are considered to show little variation. When the data set does not follow normal distribution, a Kolmogorov–Smirnov test with two independent samples will be performed to check if the two local samples have significant variation.

2.4 Cluster analysis

1 A cluster analysis was performed to reduce the number of independent variables to be included
2 in further analysis. The principle was to categorize parameters according to their similarities
3 and all variables with high similarity could be treated as one parameter [30]. Assuming a total
4 of n parameters initially, hierarchical clustering was adopted and median method was used to
5 calculate the Euclidian distance between any two parameters. The two with the smallest
6 distance were combined as one new category and the rest remained to obtain n-1 parameters.
7 This operation could be repeated until all potential target parameters had been combined. Due
8 to the large difference between the maximum and minimum value of each parameter, the median
9 method was used for distance calculation.

11 ***2.5 Correlation analysis***

13 Correlation coefficient was commonly used to describe the linear relationship between
14 parameters without giving too much information on the internal logic among the parameters.
15 Whereas partial correlation coefficient can calculate the linear correlation among two variables
16 while removing the impact of other parameters. When the sample follows normal distribution,
17 Pearson correlation analysis was performed, otherwise Spearman correlation analysis was
18 performed. The correlation between two variables is defined as non-relevance when the partial
19 correlation coefficient is 0-0.09, weak relevance when it is 0.1-0.3, medium relevance when it
20 is 0.3-0.5 and strong relevance when it is 0.5-1.0 [31]. Positive partial correlation coefficient
21 value means positive correlation, and vice versa.

23 Hourly data was recorded for all parameters in 2017 whereas for other years, the energy
24 consumptions were recorded daily as shown in Table 1. Therefore, a comprehensive analysis
25 including both simple correlation analysis and partial correlation analysis were performed to
26 the hourly data in 2017 first. Then partial correlation analysis was performed to the daily and
27 monthly data from 2016-2019 and compared to the result from 2017. The purpose of the
28 analysis was to demonstrate the accuracy of the daily and monthly energy consumption data
29 because daily and monthly energy consumption were more commonly used in practice.

31 ***2.6 Regression Analysis***

33 On the basis of clustering and correlation analysis, all factors that impose a major impact to the
34 total energy consumption or its sub-items could be determined. Then, the approximate

1 functional relationship between these factors and the energy consumption sub-items could be
2 obtained by regression analysis. The sum of these sub-items gave preliminary prediction of the
3 total energy consumption. The graph and data analysis software Origin2019 (Origin Lab Inc.,
4 Massachusetts, USA) was used to obtain the optimal-fit model. The significance of the
5 regression model was determined by F test of the variances. When P value was less than or
6 equal to 0.05 in F test, the regression was considered significant. For multiple linear regressions,
7 t test of regression coefficient was performed. When P value was less than or equal to 0.05, a
8 linear relationship between independent variable and dependent variable was considered. The
9 quality of the regression analysis can be checked by coefficient of determination (R^2) and
10 Adjusted R^2 . The curve was considered to fit the variables extraordinary well when R^2 was
11 closer to 1, and reasonably well when R^2 was above 0.8 [32]. More details about the statistical
12 analysis theories can be found in literature [33-35].

13

14 **3 Results**

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16 ***3.1 The distribution Characteristics of Energy Consumption***

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- 18 • Temporal distribution characteristics

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20 The terminal energy consumption, cooling plant energy consumption, passenger flow,
21 meteorological parameters (dry bulb temperature and dew point temperature), indoor
22 temperature and CO_2 concentration from 2016 to 2019 are presented in Figure 3-6. For indoor
23 temperature and CO_2 concentration, the average values in large space of the terminal were used.

24

25 It can be seen from Figure 3 (a) and 4 (a) that the energy consumptions of the terminal building
26 increased over the years. The total energy consumption (E_{Total}) increased by 4.1%, 7.2% and
27 7.3% from 2017-2019. The energy consumption of the cooling plant (E_{CP}) increased by 1.0%,
28 5.5% and 5.8% correspondingly. The increase rates of the terminal building are higher than the
29 cooling plant. The E_{Total} displayed an obvious yearly cyclic pattern with peak value in summer
30 and off-peak values in winter. Both peak values and off-peak values increased slightly from
31 2017-2019.

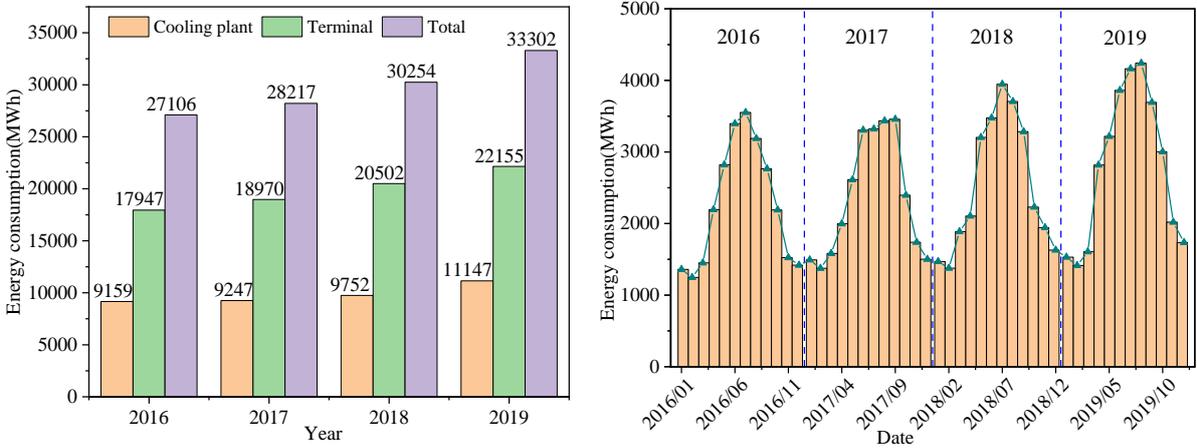
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33 The departure and arrival passengers also increased over the years. The departure passengers

1 (P_D) increased by 19.9%, 6.9% and 2.8% from 2017 to 2019 while the arrival passengers (P_A)
 2 increased by 20.9%, 10.1% and 6.1%. The total passengers (P_T) increase rates were 20.4%, 8.5%
 3 and 4.4%, which is obviously not in a linear relationship with the E_{Total} . Therefore, it requires
 4 further analysis to identify their relevance.

5
 6 The monthly outdoor dry bulb temperature (T_{db}) and dew point temperature (T_{dp}) are shown in
 7 Figure 5. In the figure, some obvious outliers were observed, for which the lower truncation
 8 point was defined as $Q_1 - 1.5(Q_3 - Q_1)$ where Q_1 is the 25th percentile and Q_3 is the 75th percentile.
 9 Kolmogorov-Simonov test was carried out on the average monthly data of 2016 and 2017,
 10 which showed that both followed normal distribution. The t test gave a P Value of 0.975, which
 11 was far larger than 0.05, indicating no significant difference between these two parameters.
 12 Same conclusions can be drawn when comparing the input from other years. Comparing the
 13 mean value, the standard deviation (SD) and CV (Coefficient of variation) values of the daily
 14 average temperature from all years showed that the mean values were nearly the same. SD and
 15 CV values were also similar from year. The analysis was repeated to the distributions of indoor
 16 temperature (T_i) and indoor CO_2 concentration (C_{CO_2}) as shown in Figure 6. The CV values of
 17 indoor temperatures were 0.2, 0.15, 0.15, 0.15 and the CV value of indoor CO_2 concentrations
 18 were 0.02, 0.01, 0.02, 0.01. Again, the average values, SD and CV values were nearly the same
 19 over the years. In summary, the four parameters, dry bulb temperature and dew point
 20 temperature, indoor temperature and indoor CO_2 concentration remain basically unchanged
 21 from one year to another and when necessary, the data from one specific year can be used for
 22 further study.

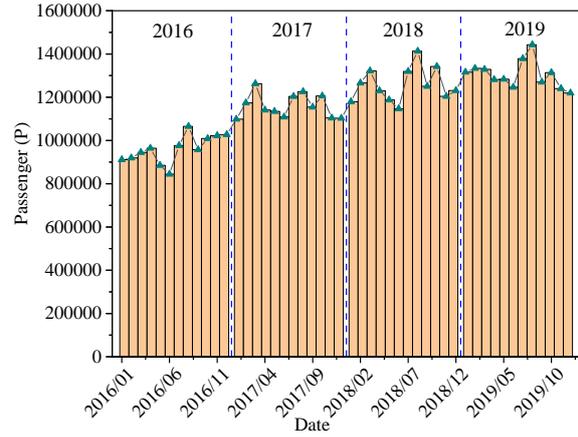
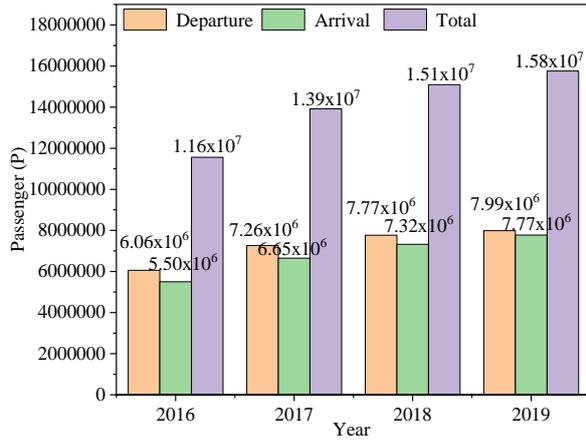
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(a) Annual energy consumptions (b) Monthly total energy

Fig. 3. Energy consumption in 2016-2019

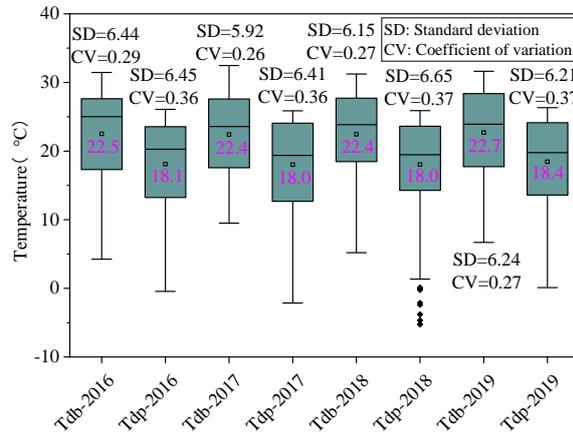
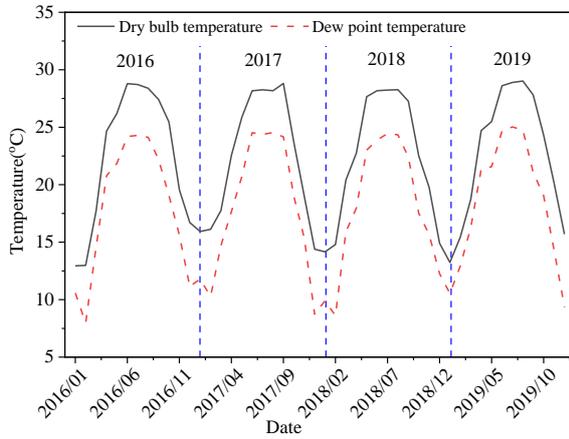
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(a) Annual passenger flow

(b) Monthly total passenger flow

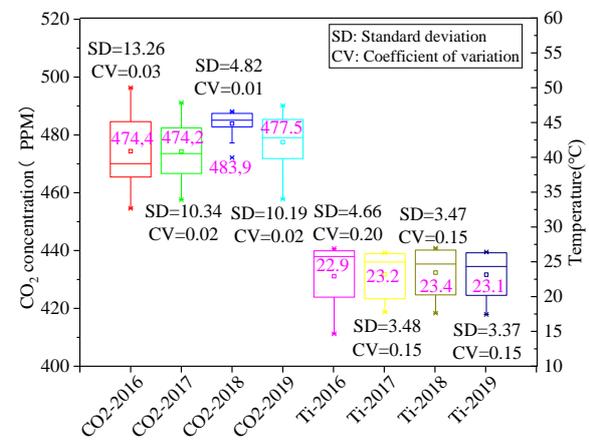
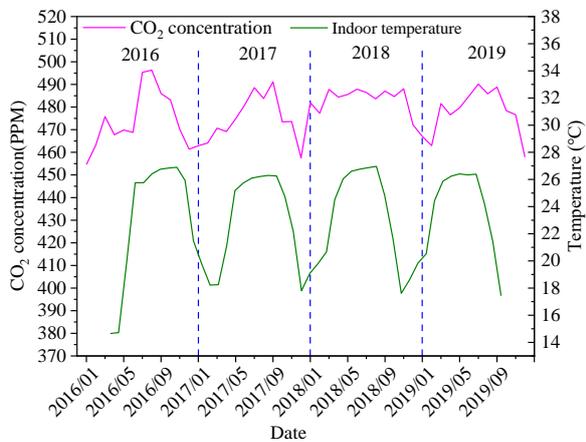
Fig. 4. Passenger flow in 2016-2019



(a) Monthly average temperatures

(b) Boxplots of daily average temperatures

Fig. 5. Outdoor temperature



(a) Monthly average values

(b) Boxplots of daily average values

Fig. 6. Indoor temperature and CO₂ concentration in 2016-2019

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The energy consumption of all terminal sub-items were also analyzed for four years, as shown in Figure 7. Except for the advertising energy consumption, all other sub-items basically increased from 2016 to 2019. Nevertheless, their proportions maintained stable. The cooling plant remained as the largest single source of energy consumption, whose energy consumption constituted 31.8%-33.8% of the total energy consumption, followed by the terminal HVAC system, with a percentage of 15.9%-17.4%. These two items (representing the total energy consumption of the HVAC system) accounted for 48.6%-50.4% of the total energy consumption of the terminal. Therefore, it is of great significance to study the energy consumption of the HVAC system for reducing the total energy consumption of the terminal.

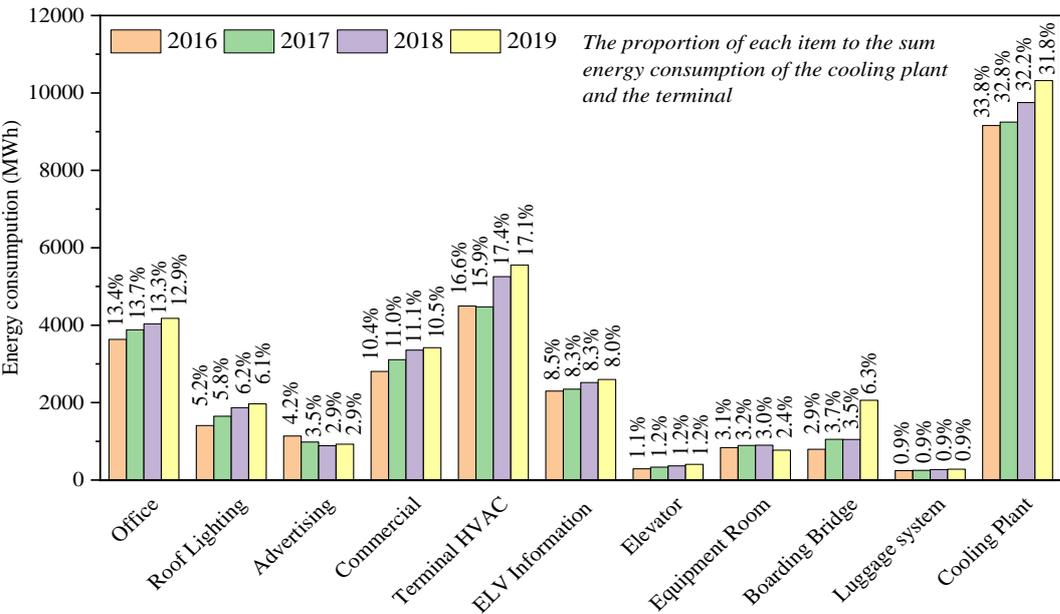


Fig. 7. Classification of terminal energy consumption

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The above analysis demonstrated again that the system energy consumption followed a similar pattern every year. Therefore, the data in 2017 was used as an example to study the temporal distribution characteristics of the terminal energy consumption, which was divided into two categories of the electricity consumption by the HVAC system (E_{TA}) and the electricity consumption by all other systems (E_{TN}). Their distributions and statistical characteristics are shown in Figure 8, where the E_{TN} show little fluctuation about the daily average value of 38.9 MWh, the E_{TA} showed much higher variation from the daily average value of 12.3 MWh. The cooling plant energy consumption (E_{CP}) is also shown in the figure, to provide a complete profile. As E_{CP} occurred only in the air-conditioning season, their daily values fluctuated

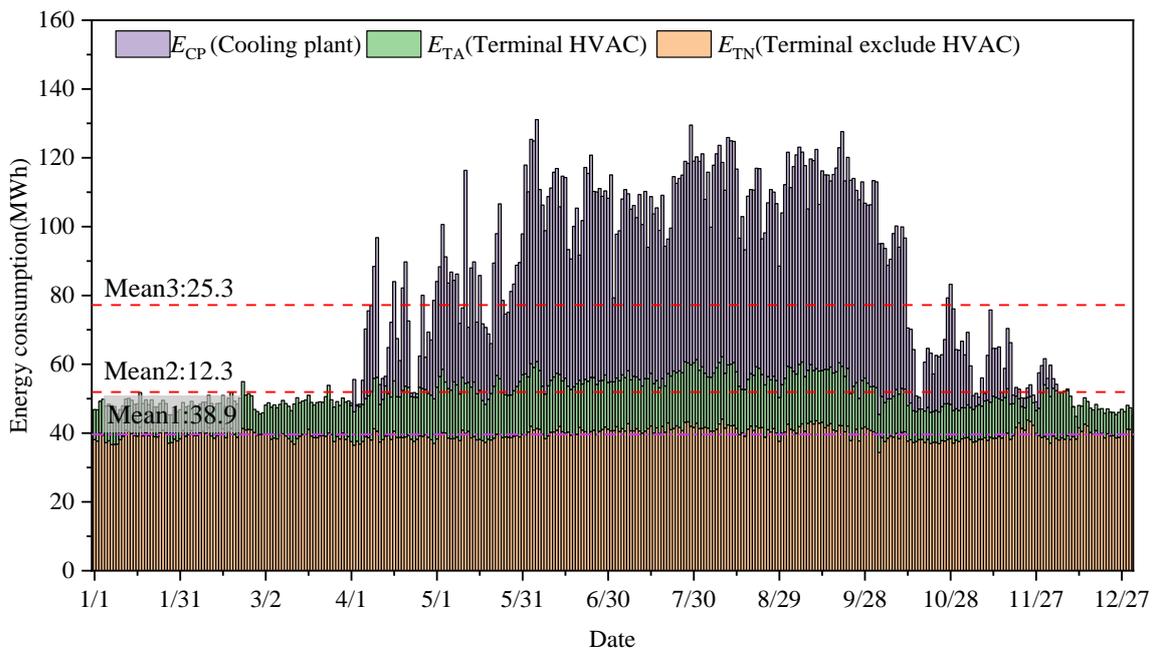
1 dramatically around the average value of 25.3 MWh.

2

3 The three energy consumptions showed standard deviations (SD) of 21.24, 3.3 and 2.17 and
4 variation coefficients (CV) of 0.96, 0.27 and 0.06 in 2017, as shown in Figure 8 (b). The CVs
5 of the E_{CP} and E_{TA} were 16 times and 4.5 times that of the E_{TN} . Analysis to the data in 2016,
6 2018 and 2019 showed similar pattern that E_{TN} had an annual CV less than 10%.

7 In conclusion, the daily electricity consumption by all other systems in the terminal maintained
8 nearly constant all the year and could be represented by yearly average value. Their proportion
9 in total energy consumption remained around 50% in all years.

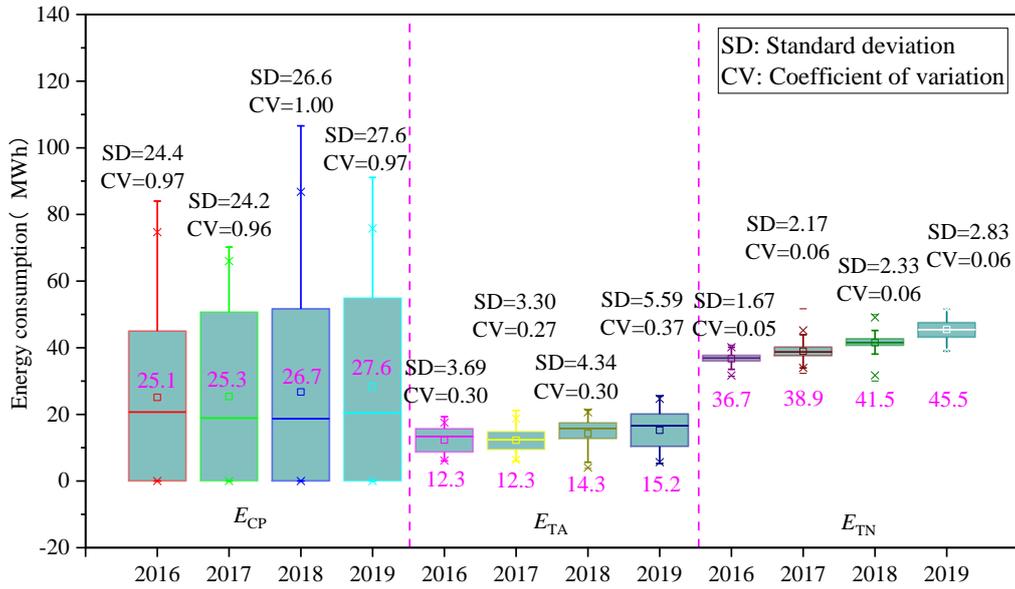
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(a) Annual in 2017



(b) Box plot in 2016-2019

Fig. 8. Daily distribution of energy consumption

- Spatial distribution characteristics

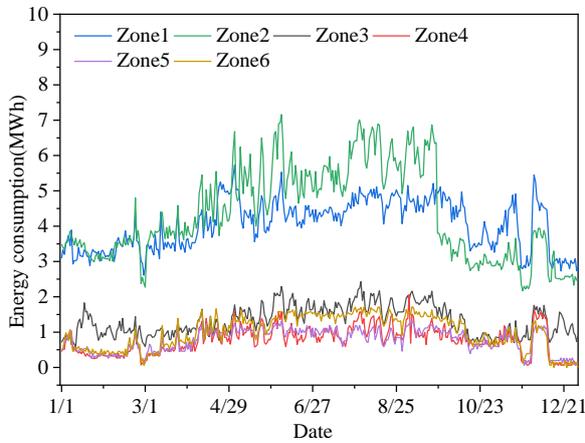
The distribution of E_{TA} and E_{TN} in different zones are analyzed in this section. Again, using the data in 2017 as an example, the distribution curves in different regions are shown in Figure 9. The CVs for E_{TA} in different areas were 0.17-0.50. Comparing against the annual value of 0.27 for the whole building, E_{TA} showed non-uniform distribution with the change of location.

The CVs for E_{TN} energy consumption were 0.05-0.09, which was close to the annual value of 0.06 of the whole building. It indicated that the distribution of E_{TN} showed little variation with the change of location. This could be partially contributed to the nature that the E_{TN} was not directly affected by the outdoor Meteorological parameter, but more related to passenger flow.

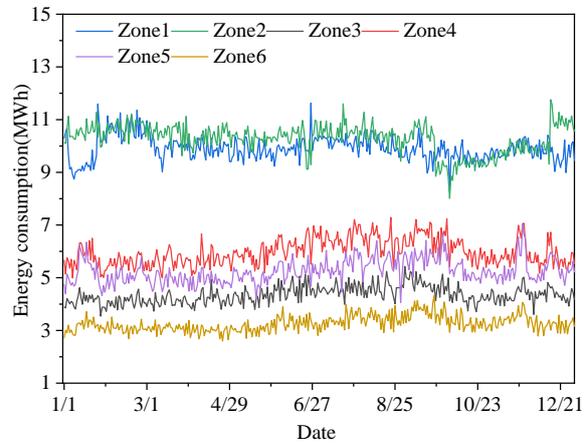
The E_{TA} and E_{TN} per floor area are shown in Figure 9 (c). The E_{TA} per floor area are higher in Zone 1,2,3 and 6. For Zone 3 and 6, this could be explained by the VIP services that adopted variable refrigerant flow (VRF) air-conditioning system whereas other areas had only air conditioning terminal equipment. Zone 1 and 2 are the main activity areas for passengers such as the main check-in hall, security check hall, baggage claim hall and arrival hall, etc., which suggested a relation between high energy consumption per floor area to active human activity.

1 The absolute value of E_{TN} and values per floor area were both location dependent. It is
 2 particularly worth attention that Zone1 and 2 had highest absolute values, but lowest values per
 3 floor area, which means the energy consumptions of these facilities are not uniformly
 4 distributed. Therefore, when calculating the air-conditioning load, the heat generated by
 5 facilities shall be location and function dependent.

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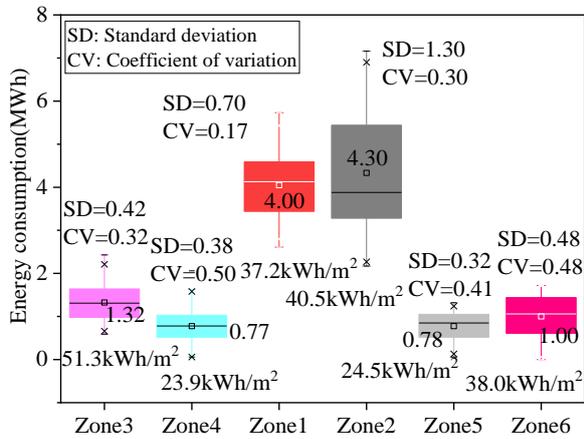


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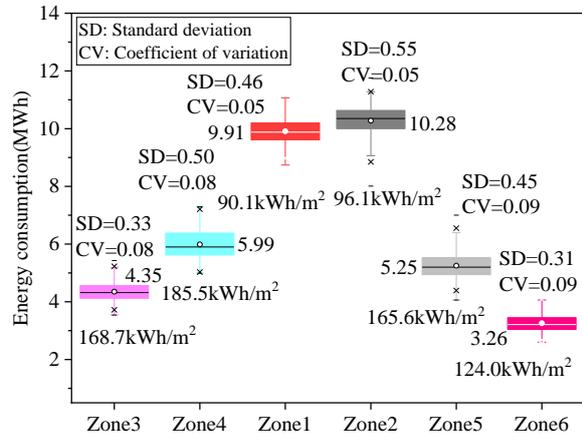
8 (a) Terminal HVAC system (E_{TA})

(b) Terminal exclude HVAC system (E_{TN})



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(c) E_{TA} boxplots



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(d) E_{TN} boxplots

11 **Fig. 9.** Daily distribution of energy consumption in different regions in 2017

12

13 In conclusion, the monthly mean values of terminal energy consumption, outdoor dry bulb
 14 temperature and dew point temperature follow normal distribution, and the distribution
 15 characteristics are similar from year to year. However, the monthly accumulative value of
 16 passenger flow increases with the years, which do not follow normal distribution. The energy
 17 consumption of the terminal shows uneven temporal and special distribution, therefore, when
 18 calculating the energy consumption, the influence of terminal location and time season should
 19 be considered.

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3.2 Energy efficiency

The energy consumption per floor area and per passenger were calculated and compared against the national standards [35-36]. In agreement with the national standard approach, the energy of the boarding gates was excluded[35]. The total annual energy consumptions per floor area were 143.1 kWh/m², 178.8 kWh/m², 158.9 kWh/m² and 176.7 kWh/m² from 2016-2019, all lower than the average value of domestic airports in the same climate zone 236 kWh/m²[3]. The total energy consumptions per passenger were 2.28 kWh/P, 1.95 kWh/P, 1.94 kWh/P, 1.93 kWh/P from 2016-2019, and the national standard threshold was 2.0 kWh/P [35]. Except for 2016, all other years met the threshold.

The HVAC system efficiency is defined in national standard as the total cooling energy consumption during air-conditioning season divided by the sum of the electricity consumption of the cooling plant and terminal HVAC system during air-conditioning season [35]. And the efficiency of the air-conditioning terminal unit (AHU, FCU) is defined as the cooling energy consumed by the air-conditioning terminal units divided by their electricity consumption. During the air-conditioning season of 2017, the total cooling load was 34488.57 MW and the terminal HVAC system energy consumption was 3572.96 kWh. The HVAC system efficiency could be calculated as 3.69, which was higher than the national standard threshold of 3.6 [35]. The efficiency of the air-conditioning terminal unit was 9.65, again, higher than the national standard threshold of 6.3 (90% AHU threshold + 10% FCU threshold) [35]. The above analysis shows the overall energy efficiency index of Nanning Airport met the national standard and the system performance was above the national average.

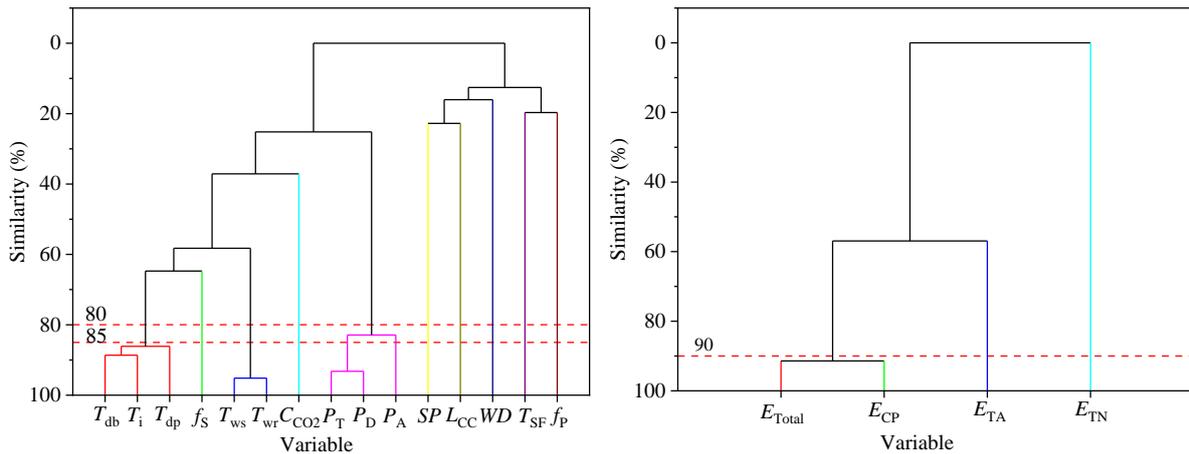
3.3 Cluster analysis

Cluster analysis was performed for the parameters studied and all categories of the energy consumptions in Figure 10. Using a similarity of 85% as a criterion, outdoor dry bulb temperature, indoor temperature and outdoor dew temperature could be classified as one category of temperature. The supply water temperature, return water temperature could be put in one category of water temperature. Total passenger flow and departure passenger flow could be put in one category. It would require the criterion for similarity to be lowered to 80% for all passenger flows to be combined in one category, which meant less similarity of the arrival

1 passenger flow with the total passenger. Other parameters such as low cloud cover, surface
 2 pressure, wind direction, supply air temperature, supply fan frequency, exhaust fan frequency
 3 and CO₂ concentration could be considered as independent parameters. Among all sub-items of
 4 energy consumptions, the total energy consumption and the cooling plant energy consumption
 5 showed high similarity.

6
 7 The cluster analysis gave the representative parameters as outdoor dry bulb temperature in
 8 temperature category, supply water temperature in water temperature category and total
 9 passenger flow in all passenger flows.

10



(a) Operation parameters

(b) Energy classification

Fig. 10. The dendrograms of cluster analysis

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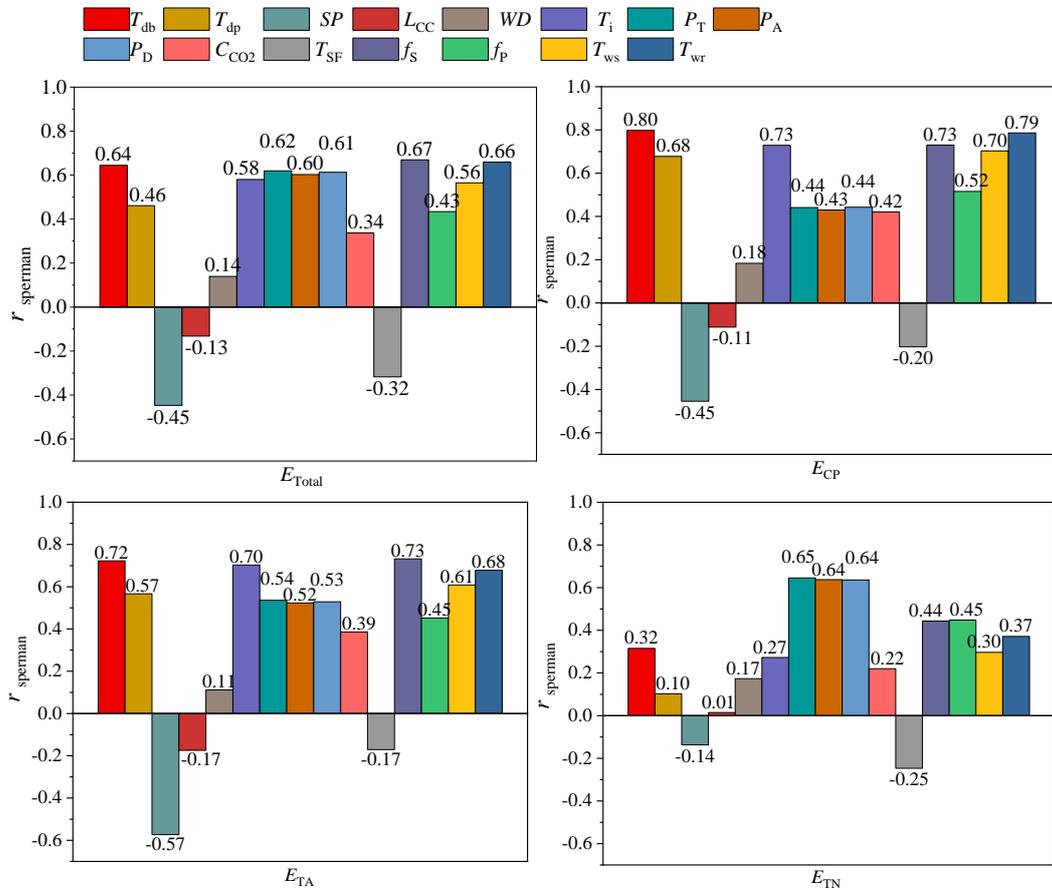
15 **3.4 Correlation analysis**

16

17 The relevance of the above parameters to the total energy consumption (E_{Total}) and its three
 18 components of E_{CP} , E_{TA} and E_{TN} are studied in this section. Kolmogorov–Smirnov test showed
 19 none of these data followed normal distribution. Spearman correlation analysis to the hourly
 20 data in 2017 gave the simple correlation coefficients between any two factors as shown in
 21 Figure 11. Those that showed a strong correlation with the total energy consumption were
 22 outdoor dry bulb temperature, total passenger flow, departure passenger flow, arrival passenger
 23 flow, supplying fan frequency, return water temperature, supply water temperature. The factors
 24 that showed a strong correlation with the E_{CP} and the E_{TA} were similar, both including outdoor
 25 dry bulb temperature, indoor temperature, supplying fan frequency, supply water temperature,
 26 return water temperature. But there was one more factor of dew temperature for the E_{CP} . Total

1 passenger flow, arrival passenger flow and departure passenger flow showed strong correlation
 2 with the E_{TN} . Low cloud cover, CO₂ concentration and supply air temperature had low
 3 correlation with all energy consumption categories whereas surface pressure and supply fan
 4 frequency showed medium correlation with them.

5



6

7 **Fig. 11.** Energy consumption correlation coefficient in 2017

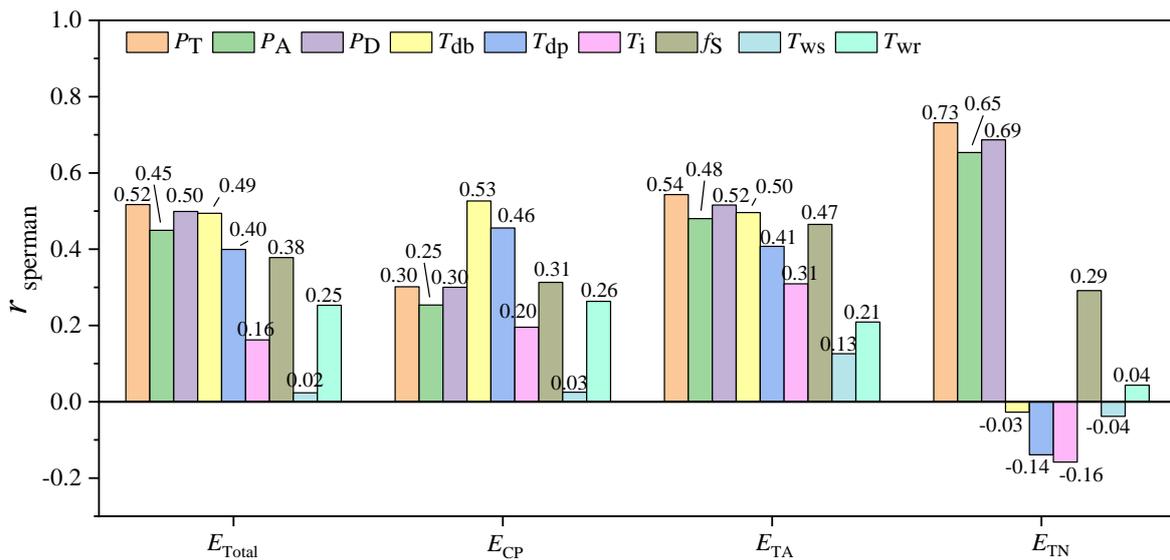
8

9 Removing the influence of other parameters, the partial correlation coefficient of passenger
 10 flow, meteorological data, supply fan frequency and water system parameters to E_{Total} , E_{CP} , E_{TA}
 11 and E_{TN} are shown in Figure 12, where the main variables were chosen from Figure 10 (a)
 12 adopting a criterion of 85% similarity.

13

14 Total passenger flow, departure passenger flow, outdoor dry bulb temperature and arrival
 15 passenger flow had the strongest correlation with the E_{Total} in the listed sequence. Outdoor dry
 16 bulb temperature and outdoor dew point temperature had strong correlation with the E_{CP} . The
 17 variables that had strong correlation with the E_{TA} include total passenger flow, departure
 18 passenger flow, outdoor dry bulb temperature, arrival passenger flow and supply fan frequency.

1 And total passenger flow, departure passenger flow, arrival passenger flow showed strong
 2 correlation with E_{TN} . The partial correlation coefficients were not in agreement with simple
 3 correlation coefficients in that the partial correlation coefficients between the E_{Total} , E_{CP} , E_{TA}
 4 and the variables were smaller while that between E_{TN} and the passenger flows were stronger.
 5 The partial correlation coefficients can reflect the reality in a better way than the simple
 6 correlation coefficients. The key factors that influence the E_{Total} are the passenger flow and
 7 outdoor meteorological data. For the E_{CP} , the key factor is outdoor meteorological data. The
 8 E_{TA} is mainly affected passenger flow, meteorological data and supply fan frequency whereas
 9 E_{TN} is significantly affected by passenger flow only.



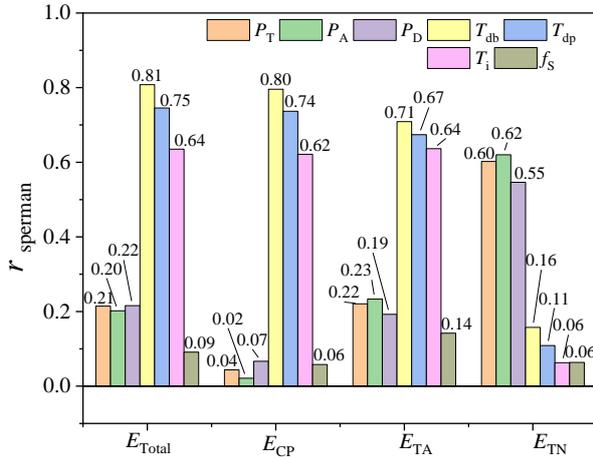
10 **Fig. 12.** Energy consumption partial correlation coefficient in 2017

11

12 Repeating the partial correlation analysis using the daily data and monthly data for temperatures,
 13 supply fan frequency and passenger flow from 2016 and 2019 gave the result shown in Figure
 14 13 (a, b). Comparing against Figure 12 showed that with daily data, the correlation between the
 15 passenger flow and all categories of energy consumptions became weaker, but the outdoor
 16 meteorological data showed stronger correlation. It was interesting that the correlation
 17 coefficients for monthly data were between hourly data and daily data. Actually, monthly data
 18 and hourly data gave similar correlation coefficients between the energy consumptions and their
 19 affecting factors, whereas daily data gave generally a weaker correlation. However, it was worth
 20 noting that if daily or monthly data were to be used, the sample shall include more than one
 21 year. Otherwise, the analysis could lead to wrong conclusions as shown in Figure 13 (c, d)
 22 where the daily E_{Total} and monthly E_{TN} showed negative correlation with the arrival passenger
 23 flow, which obviously violated the common perception. This happened because energy
 24

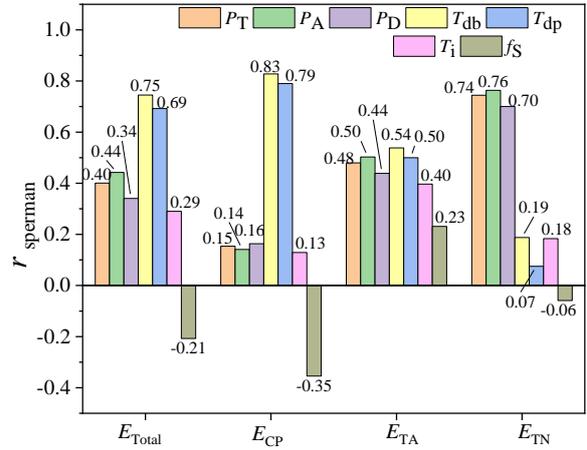
1 consumptions changed on a yearly basis whereas passenger flow increased from year to another
 2 (Figure 4 (b)). It required at least 2 years sample to reflect the characteristics of both data sets.

3



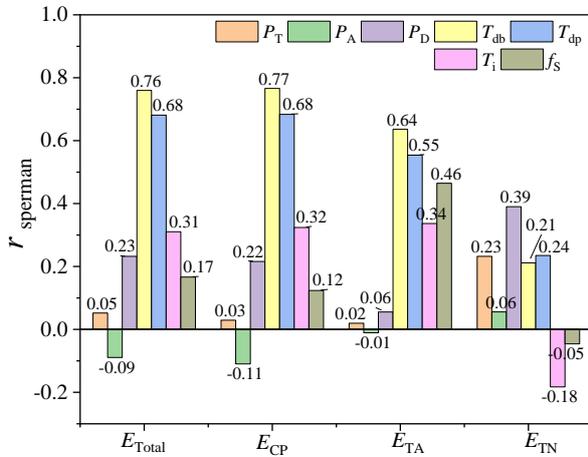
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(a) Daily data in 2016-2019



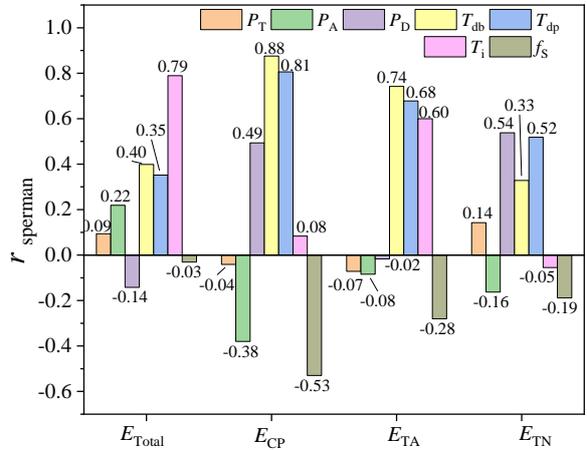
(b) Monthly data in 2016-2019

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6

(c) Daily data in 2017



(d) Monthly data in 2017

7

Fig. 13. Energy consumption partial correlation coefficient in 2016-2019

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9

3.5 Regression analysis

10

11

12 An initial energy consumption prediction was obtained by regression analysis to the monthly
 13 data. According to Figure 12 and 13 and the clustering analysis result, the main variables for
 14 the E_{Total} are meteorological data represented by outdoor dry bulb temperature (T_{db}) and
 15 passenger flow, represented by the total passenger flow (P_T). The regression equation gives:

16

$$E_{Total} = 26.41369T_{db} + 9.06328 \times 10^{-4}P_T \quad (1)$$

17

18

1 The P=0.0 (< 0.05) of the F-test of the regression equation indicates that the regression equation
 2 is significant. Variable T_{db} regression coefficient t test $P=8.7 \times 10^{-13}$, variable P_T regression
 3 coefficient t test $P=1.6 \times 10^{-21}$, both are less than 0.05, indicating a significant linear relationship
 4 between the dependent variable and the independent variable. The coefficient of determination
 5 (R^2) of the fitting curve is 0.99571, and adjusted coefficient of determination (adjusted R^2) is
 6 0.99553 (>0.8), which indicates that the fitting curve is excellent. The fitting curve is shown in
 7 Figure 14 (a).

8
 9 The only variable for the E_{CP} is meteorological data represented by outdoor dry bulb
 10 temperature (T_{db}) and the regression equation gives:

$$E_{CP} = -738.67567 + 172.20928T_{db} - 14.03224T_{db}^2 + 0.38966T_{db}^3 \quad (2)$$

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 12
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 14 The coefficient of determination (R^2) of the fitting curve is 0.96888, and adjusted coefficient of
 15 determination (adjusted R^2) is 0.96676 (>0.8), which indicates that the fitting curve is excellent.
 16 The fitting curve is shown in Figure 14 (b).

17
 18 The monthly data of the supply fan frequency showed some distortions and was removed from
 19 the fitting analysis. Thus, only the meteorological data represented by outdoor dry bulb
 20 temperature (T_{db}) and passenger flow, represented by the total passenger flow (P_T) are included
 21 in the regression analysis for E_{TA} and the regression equation is:

$$E_{TA} = 18.19165T_{db} + 5.50023 \times 10^{-6} P_T \quad (3)$$

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 25 The F-test of the regression equation gives $P=0.0$, which is smaller than 0.05, indicating that
 26 the regression equation is significant. For Variable T_{db} and P_T , the regression coefficient t test
 27 gives $P=7.5 \times 10^{-16}$ (<0.05) and $P=0.853$ (>0.05) respectively indicating a significant linear
 28 relationship between the E_{TA} and the T_{db} and a not significant linear relationship between the
 29 E_{TA} and the P_T . The coefficient of determination (R^2) of the fitting curve is 0.980, and adjusted
 30 coefficient of determination (adjusted R^2) is 0.979 (>0.8), meaning the fitting is satisfactory.
 31 The fitting curve is shown in Figure 14 (c).

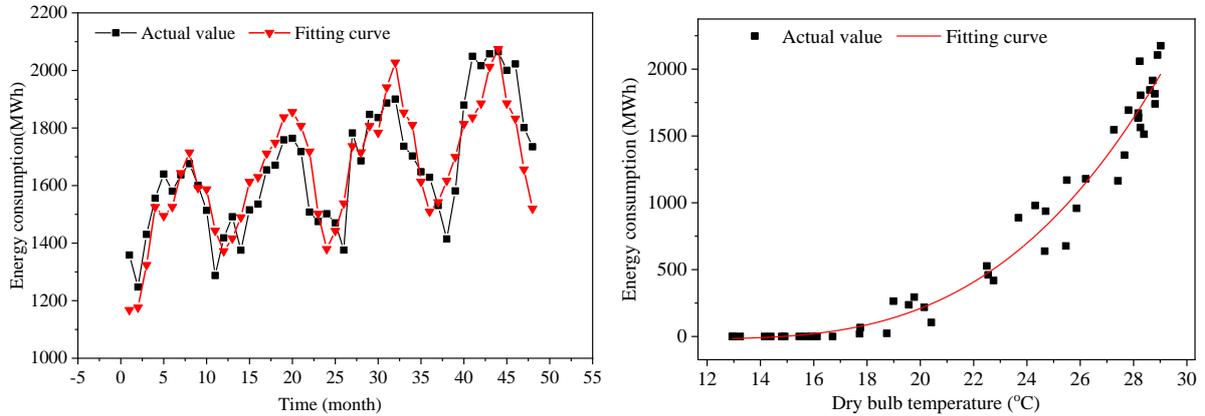
32
 33 E_{TN} is only related to passenger flows, therefore, the variables chosen are the departure

1 passenger flow (P_D) and the arrival passenger flow (P_A), which gives the regression equation
 2 as

$$E_{TN} = 0.00184P_D + 2.20641 \times 10^{-4} P_A \quad (4)$$

3
 4
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 6 Again, quality check for the regression analysis gives $P=0.0$ for the F-test of the regression
 7 equation. The t test of the regression coefficient for Variable P_D and P_A gives $P=6.0 \times 10^{-4}$ (<0.05)
 8 $P=0.679$ (>0.05) respectively, indicating a significant linear relationship between the E_{TN} and
 9 the P_D and a not significant linear relationship between the E_{TN} and the P_A . The coefficient of
 10 determination (R^2) of the fitting curve is 0.994, and adjusted coefficient of determination
 11 (adjusted R^2) is 0.993 (>0.8). The fitting curve is shown in Figure 14 (d).

12

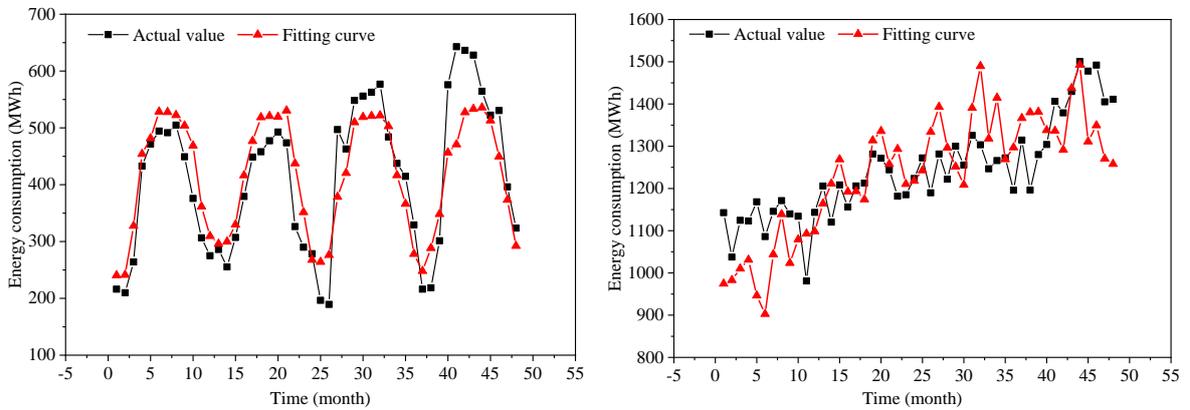


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(a) Total energy (E_{Total})

(b) Cooling plant (E_{CP})



15

16 (c) Terminal HVAC system (E_{TA})

(d) Terminal exclude HVAC system (E_{TN})

17

Fig. 14. Regression prediction curve of energy consumption

18

19 Analysis to the total passenger flow and various energy consumption data from 2016 to 2019
 20 showed they were all subject to exponential relationship and can be described by the following

1 equation:

2

3

$$Y = Y_0 + Ae^{-x/t} \quad (5)$$

4

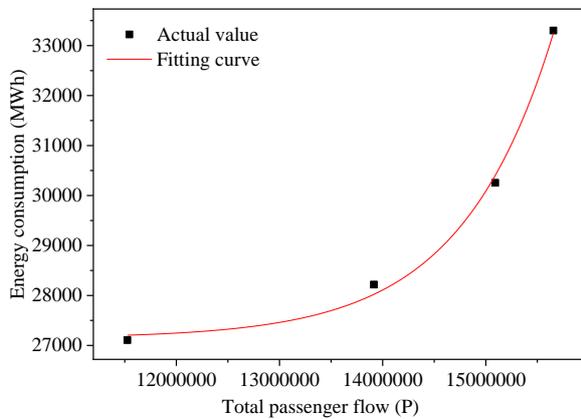
5 Fitting against the recorded data gives the parameters for E_{Total} , E_{CP} , E_{TA} and E_{TN} as shown in
 6 Table 2. The adjust R^2 for these four fittings are 0.9902, 0.9988, 0.8188, 0.8977, all above 0.8,
 7 indicating a good fitting. The result is shown in Figure 15.

8

9 **Table 2** Fitting model parameters

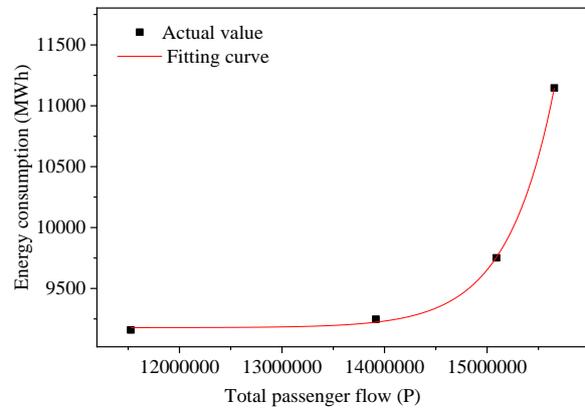
Parameters	Y_0	A	t	R^2	adjust R^2
E_{Total}	27142.847	1.62254×10^{-4}	-897469.417	0.99673	0.9902
E_{CP}	9178.123	3.45892×10^{-12}	460784.413	0.99961	0.99883
E_{TA}	4396.637	4.68293×10^{-4}	-1060013.843	0.93961	0.81884
E_{TN}	13327.354	0.04343	-1399103.770	0.96589	0.89767

10



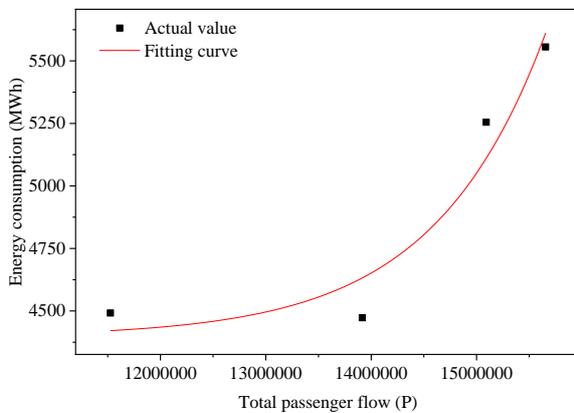
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12 (a) Total energy (E_{Total})



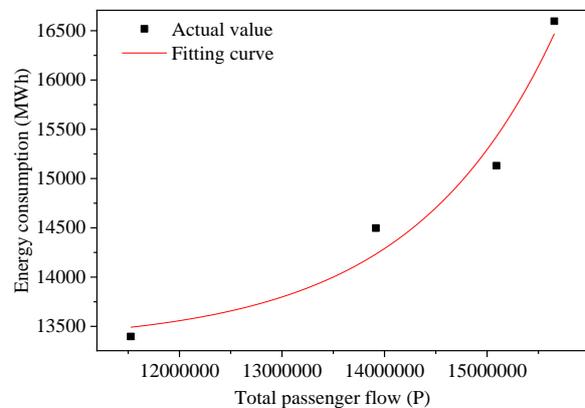
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(b) Cooling plant (E_{CP})



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14 (c) Terminal HVAC system (E_{TA})



14

(d) Terminal exclude HVAC system (E_{TN})

15

Fig. 15. Fitting curve of energy consumption and passenger flow

16

1 Through the above analysis, it can be seen that the energy consumption shows strong correlation
2 with outdoor meteorological parameters and passenger flow. However, the hourly and daily
3 energy consumption distribution does not follow any simple proportional relationship with
4 these parameters and cannot be described by simple mathematical formulas. Their relationship
5 can be analyzed and predicted by higher-level data mining methods, such as simulation [37-
6 39][37], artificial neural network algorithms [40-42].

8 **4 Conclusions**

9
10 This paper presented a study to the energy consumption of airports based on the operating data
11 of an airport in south China during 2016-2019. The climate is characterized by hot summer and
12 warm winter, so HVAC system is only provided for summer and the HVAC system energy
13 consumption accounts for 48.6-50.4% of the total energy consumption of the terminal. The
14 energy consumptions were divided into four categories for the convenience and this paper
15 studied the spatial and temporal distribution characteristics of various energy consumptions.

16 Main conclusions from the study include :

17
18 1) From 2016-2019, the total energy, outdoor temperature, indoor temperature and indoor
19 CO₂ concentration changed in a yearly period, but the passenger flow increased monthly.
20 The total energy consumption and the HVAC system energy consumption showed uneven
21 distribution in temporal and special dimension. The energy consumption by all other
22 systems, though also showed non-uniform space distribution, was constant within one year
23 and can be described by its daily average value.

24
25 2) Clustering analysis shows outdoor dry bulb temperature, indoor temperature and
26 outdoor dew temperature can be combined as the one parameter and represented by dry
27 bulb temperature. The supply water temperature and return water temperature can be
28 combined as one parameter. The total passenger flow, departure passenger flow and the
29 arrival passenger flow can be put in one category with 80% similarity. All other parameters,
30 such as low cloud cover, surface pressure, wind direction, supply air temperature, supply
31 fan frequency, extract fan frequency, and CO₂ concentration can be treated as independent
32 parameter. Clustering analysis to energy consumptions show very high similarity between
33 the total energy consumption and the cooling plant energy consumption.

1
2 3) It was showed that the key factors that influence the total energy consumption are the
3 passenger flow and outdoor meteorological data by partial correlation analysis to the
4 various energy consumptions. For the cooling plant energy consumption, the key factor is
5 outdoor meteorological data. The Terminal HVAC system energy consumption is mainly
6 affected passenger flow, meteorological data and supply fan frequency whereas the energy
7 consumption by all other terminal systems is significantly affected by passenger flow only.

8
9 4) Equations were established for the prediction of the total energy consumption and the
10 energy consumption by the terminal HVAC system and all other terminal systems
11 respectively based on regression analysis to monthly data. The total passenger flow and
12 various energy consumption data from 2016 to 2019 follow the exponential distribution
13 and can be described by $Y=Y_0+Ae^{-x/t}$.

14
15 This is probably the first comprehensive studies to airport energy consumptions based on
16 multiple year recording data in China. It is believed that the data reported can work as
17 benchmark for energy simulations, and the conclusions, together with future studies, will
18 provide strong feedback to improve airport designs and operations.

19 20 21 **Acknowledgement**

22
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