

Detecting and quantifying palaeoseasonality in stalagmites using geochemical and modelling approaches

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1 Detecting and quantifying palaeoseasonality in stalagmites using geochemical

2 and modelling approaches

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28 Abstract

Stalagmites are an extraordinarily powerful resource for the reconstruction of climatological 29 30 palaeoseasonality. Here, we provide a comprehensive review of different types of 31 seasonality preserved by stalagmites and methods for extracting this information. A new 32 drip classification scheme is introduced, which facilitates the identification of stalagmites 33 fed by seasonally responsive drips and which highlights the wide variability in drip types feeding stalagmites. This hydrological variability, combined with seasonality in Earth 34 atmospheric processes, meteoric precipitation, biological processes within the soil, and cave 35 atmosphere composition means that every stalagmite retains a different and distinct (but 36 37 correct) record of environmental conditions. Replication of a record is extremely useful but should not be expected unless comparing stalagmites affected by the same processes in the 38 same proportion. A short overview of common microanalytical techniques is presented, and 39 40 suggested best practice discussed. In addition to geochemical methods, a new modelling technique for extracting meteoric precipitation and temperature palaeoseasonality from 41 stalagmite δ^{18} O data is discussed and tested with both synthetic and real-world datasets. 42 43 Finally, world maps of temperature, meteoric precipitation amount, and meteoric 44 precipitation oxygen isotope ratio seasonality are presented and discussed, with an aim of helping to identify regions most sensitive to shifts in seasonality. 45

46

47 **1. Introduction**

48 Over the past few decades stalagmites have become one of the most important terrestrial
49 archives of climate and environmental change. Their widespread distribution, amenability to

radiometric dating, and capacity for retaining seasonal to decadal-scale environmental 50 51 information have made them indispensable archives for a wide variety of climate information, 52 most commonly rainfall or temperature variability. The field has developed rapidly, and it is 53 now clear that stalagmites generally do not record a single climate parameter (e.g., cave 54 temperature, rainfall amount) exclusively, but instead record a combination of processes. It 55 is increasingly acknowledged that every stalagmite contains a robust history of some aspect 56 of environmental change. The issue is one of complexity; generally speaking, the stalagmite 57 with the least complex signal is considered the ideal. Records generated from stalagmites 58 with more complex stratigraphies, whose drip flow route changes through time, or that are influenced by numerous environmental processes, often prove more difficult to interpret. 59 60 Some stalagmite records may miss short-lived climate excursions because they are fed by drips that do not respond to the transient climate forcing in question. Others might lose 61 sensitivity or respond non-linearly to a climate forcing; for example, a stalagmite might record 62 63 droughts faithfully, but miss exceptionally wet intervals when the epikarst (the highly 64 fractured transition zone between soil and bedrock) is saturated with water. To exacerbate the issue further, most stalagmite records lack the requisite resolution to detect 65 palaeoseasonality, an aspect of the climate signal that is increasingly recognised as critical to 66 67 the interpretation of geochemical records from stalagmites (Baldini et al., 2019; Morellón et al., 2009; Moreno et al., 2017). In other words, the desired climate signal is often 68 69 compromised by: i) inherent complexities associated with the hydrological transfer of the 70 climate signal to the stalagmite, ii) overprinting of the desired climate-driven signal by other 71 environmental variables, and iii) bias introduced via the necessarily selective sampling of the 72 stalagmite for analysis. The challenge for palaeoclimatologists is to extract and correctly 73 interpret the desired climate signal from a stalagmite, bearing these complexities in mind.

The detection of a seasonality signal within a stalagmite can greatly help interpret all datasets 74 75 from a stalagmite sample, of any temporal resolution. For example, the detection of a 76 seasonal geochemical cycle can contribute to chronological models (Baldini et al., 2002; 77 Carlson et al., 2018; Ridley et al., 2015b), in some cases permitting the development of high-78 precision chronologies over extended time intervals (Ban et al., 2018; Carlson et al., 2018; 79 Duan et al., 2015; Nagra et al., 2017; Ridley et al., 2015b; Smith et al., 2009). Unlike most 80 other laminated records (e.g., tree rings, ice cores), high-precision radiometric dates can anchor stalagmite layer count chronologies, reducing accumulated counting errors. Proxy 81 82 information from laminated stalagmites can be linked to environmental variability at seasonal 83 resolution (Mattey et al., 2010; Orland et al., 2019; Ridley et al., 2015b), allowing much 84 needed insights into past climatic dynamics that are difficult to obtain otherwise.

The fact that stalagmites can reveal palaeoseasonality, a notoriously difficult climate 85 parameter to reconstruct, is critical for identifying wholesale shifts in climate belts. For 86 87 example, monthly-scale geochemical data from a stalagmite has detected variability in the 88 Intertropical Convergence Zone influence on rainfall seasonality in Central America over the last two millennia (Asmerom et al., 2020) and the shift from a maritime to a more continental 89 climate in western Ireland in the early Holocene (Baldini et al., 2002), transitions which must 90 91 otherwise be inferred using annual- to centennial-resolution data (e.g., Breitenbach et al., 92 2019). High spatial resolution approaches yielding palaeoseasonality can distinguish rainfall occurring at different times of the year, for example, monsoonal rainfall versus dry season 93 94 rainfall (Ban et al., 2018; Ronay et al., 2019), providing a wealth of information not attainable 95 by other means.

Seasonality is one of climate's most important aspects, and this is reflected in the basic 96 97 subdivisions of the Köppen system, the most commonly used climate classification scheme 98 (Köppen, 1918; Peel et al., 2007). Reconstructing past seasonality is not only relevant for pure 99 palaeoclimatological studies, but also for palaeobotany and archaeology, and for establishing 100 a benchmark by which to compare recent changes in seasonality during the Anthropocene; 101 recent research suggests seasonality in rainfall (e.g., Feng et al., 2013) and temperature (e.g., 102 Santer et al., 2018) are shifting under modern climate change. This is particularly concerning 103 because changing seasonality has had broad ecological and social implications in the past. For 104 example, human dispersal through Asia was limited more by water availability rather than temperature, and likely followed habitable corridors with favourable rainfall seasonality (Li et 105 106 al., 2019; Parton et al., 2015; Taylor et al., 2018). Also, the domestication and dispersal of crops are linked to rainfall seasonality, because optimal growth conditions depend on 107 hydrological conditions. In the Fertile Crescent, barley and wheat were sown in autumn, 108 109 because in this semi-arid region the winter rains are the limiting factor for their prosperity (Spengler, 2019). Similarly, abundant evidence now exists that variability in seasonal rainfall 110 has played a key role in the waxing and waning of major civilisations (Hsiang et al., 2013; 111 Kennett et al., 2012). 112

Despite the clear importance of reconstructing palaeoseasonality, it is rarely directly observable in climate proxy records. The obfuscation of seasonality by undersampling or aliasing is often a consequence of logical and pragmatic choices designed to maximise returns from available resources. Ideally, analyses would resolve nearly the full climate signal residing within every stalagmite, but this is neither logistically (given the time and funding required) nor realistically (given that the karst system transmutes the signal) possible.

Here we review both the advantages of obtaining palaeoseasonality information and methods 119 120 for its reconstruction using stalagmite geochemistry and modelling, as well as common issues 121 in extracting this information. A short review of the history of speleothem science and 122 techniques frames the discussion and highlights how speleothems have become the premier 123 archives for annual- to sub-annual scale terrestrial climate reconstructions, particularly during the Quaternary. We also suggest a methodology to maximise the likelihood of successfully 124 125 extracting palaeoseasonality information from a stalagmite, including evaluating the 126 hydrological characteristics of the drip feeding a stalagmite sample prior to collection, 127 modelling palaeoseasonality from lower resolution data, and determining the seasonality of the climate at (and in regions near) the site. 128

129

130 **2. Background and technique development**

131 Very early studies demonstrated the potential of stalagmites to record climate information (Allison, 1923, 1926; Broecker, 1960; Orr, 1952). However, the real growth in the application 132 of stalagmites as climate archives occurred after the convergence of Thermal Ionisation Mass 133 134 Spectrometry (TIMS) uranium-thorium dating of stalagmites in the 1990s (e.g., Edwards et al., 135 1987; Edwards and Gallup, 1993) (which allowed accurate dating) and high resolution 136 sampling techniques in the 2000s (permitting the reconstruction of climate on sub-decadal timescales). The subsequent development and proliferation of multi-collector inductively 137 coupled plasma mass spectrometry (MC-ICP-MS) permitted extraordinarily robust (precise 138 and accurate) chronological control (e.g., Cheng et al., 2013; Hellstrom, 2003; Hoffmann et 139 al., 2007), while the development of a variety of microanalytical techniques provided climate 140 141 proxy information of an unparalleled temporal resolution. The realisation in the late 1990s (Roberts et al., 1998) and early 2000s that stalagmite carbonate trace element compositions
and isotope ratios often vary seasonally (Baldini et al., 2002; Fairchild et al., 2000; McMillan
et al., 2005; Treble et al., 2003; Treble et al., 2005b) opened the door to the investigation of
palaeoseasonality on an unprecedented level.

146

147 **2.1.** Increasing resolution of analysis

148 Immense technical progress has facilitated the transition from the first speleothem studies, 149 which broadly placed periods of speleothem growth into the global climatic context (Harmon, 150 1979; Hendy and Wilson, 1968; Thompson et al., 1975), to increasingly detailed sub-annual resolution hydroclimate reconstructions (Fairchild et al., 2001; Johnson et al., 2006; Liu et al., 151 2013; Mattey et al., 2008; Maupin et al., 2014; Myers et al., 2015; Ridley et al., 2015b; Ronay 152 153 et al., 2019; Treble et al., 2005a). Methodological developments, particularly after the mid-2000s and particularly with respect to trace element analysis, greatly reduced the required 154 sample size and increased measurement precision. This included the widespread adoption of 155 micromilling techniques (Spötl and Mattey, 2006), laser ablation (Müller et al., 2009; Treble 156 157 et al., 2003), secondary ionisation mass spectrometry (Baldini et al., 2002; Fairchild et al., 158 2001; Finch et al., 2001; Orland et al., 2008, 2009), and the development of protocols for 159 stable carbon and oxygen isotope measurements with reduced sample sizes (Breitenbach and 160 Bernasconi, 2011).

Here, we apply the recently compiled Speleothem Isotope Synthesis and Analysis (SISAL) database v1b (Atsawawaranunt et al., 2018; Comas-Bru et al., 2019) to document the evolution of speleothem stable isotope record resolution. SISAL was created with the primary

objective of providing access to a comprehensive repository of published stalagmite δ^{18} O records to the palaeoclimate community and for climate model evaluation (Comas-Bru and Harrison, 2019; Comas-Bru et al., 2019). SISALv1b contains 455 speleothem records (i.e., SISAL 'entities') from 211 globally distributed caves published since 1992 (Comas-Bru et al., 2019). More than half the records (264) included in the database cover at least portions of the last 10,000 years.

To investigate how stable isotope record resolution has evolved over the last three decades, we extracted all records from the database and calculated their temporal resolution as the absolute difference between two consecutive samples. Hiatuses and gaps in the individual records were excluded from the analysis, as these would have erroneously suggested much lower resolution than that actually present. In a second step, we performed the same calculation, considering only Holocene records.

The analysis reveals how the number of speleothem stable isotope records steadily increased 176 177 with publication year (Figure 1), highlighting the increased popularity of speleothem science 178 over the past three decades. A trend of increasing temporal resolution with time becomes 179 apparent after binning all records published in the same year and calculating their mean 180 resolution (Figure 1). This trend becomes even clearer when only Holocene records are 181 considered, with a particularly striking increase in resolution over recent years (post-2010) 182 (records pre-2010: mean resolution = 50.1 years, STDEV = 38.9 years; records between 2010 and 2018: mean resolution = 16.5 years, STDEV = 7.4 years), and is likely related to the 183 widespread adoption of microanalytical advances. Additionally, a record's resolution will 184 typically depend on the time period covered by the record; in general, resolution is higher in 185 186 Holocene records compared to the full dataset, which includes older records as well. This

partly arises because of greater availability of independent data and information on climate 187 188 conditions during more recent time intervals, thus requiring higher resolution records to 189 tackle relevant research questions. It may also be partially due to typically lower growth rates 190 during the last glaciation compared to the Holocene. However, overall only nine of the records 191 in SISALv1b have resolution <0.5 years, allowing for investigations of paleoseasonality. This highlights the difficulties often encountered with conventional sampling techniques, as this 192 193 compilation only includes stable isotope records, and does not consider other methods (e.g., 194 laser ablation trace element analysis), which can generate higher resolution time-series. The 195 increasing resolution possible via technological developments has largely involved the analysis of trace elements, whereas stable isotope analysis still predominantly relies on 196 197 micromilling or drilling techniques.

198

2.2. Transition from temperature to rainfall amount to seasonality

Early speleothem palaeoclimate studies focused on using δ^{18} O to generate quantitative cave 200 temperature records (Gascoyne et al., 1980; Hendy and Wilson, 1968; Lauritzen, 1995; 201 202 Lauritzen and Lundberg, 1999), based on the insight that oxygen isotope fractionation during 203 carbonate deposition is temperature dependent (Epstein et al., 1951; O'Neil et al., 1969), and building on similar work on marine carbonates (Emiliani, 1955). It was quickly recognised 204 however that speleothem δ^{18} O is a complex mixed signal reflecting variations in cave 205 temperature, changes in dripwater isotope composition, and various kinetic effects, which 206 207 severely hamper the use of this proxy for quantitative temperature reconstructions (McDermott, 2004). The subsequent shift in how speleothem δ^{18} O is interpreted led to its 208 establishment as a proxy for past hydroclimate changes, including atmospheric circulation, 209

210 regional temperature, moisture source dynamics, and amount of precipitation (Lachniet,211 2009).

At the same time, the toolkit of geochemical proxies available to speleothem researchers 212 213 continued to expand. In particular, trace element concentrations in speleothem carbonate 214 emerged as tracers for numerous processes, from surface productivity to karst hydrology and 215 transport (Borsato et al., 2007; Fairchild et al., 2001; Huang and Fairchild, 2001; Treble et al., 2005a). The combination of multiple proxies measured on the same speleothem provided a 216 means to disentangle complexities regarding mixed signals in individual proxies and allowed 217 a progressively deeper understanding of the archive and the associated processes in soil, 218 219 karst, atmosphere, and cave. In tandem with these developments regarding the climate proxy development, monitoring of cave and local atmospheric conditions became increasingly 220 221 important, as it was recognised that understanding sometimes highly localised controls on geochemical signatures is crucial for their interpretation (Genty, 2008; Mattey et al., 2008; 222 223 Mattey et al., 2010; Spötl et al., 2005; Verheyden et al., 2008).

The presence of annual petrographic cyclicity within stalagmites was recognised very early on 224 225 (Allison, 1926). The later identification of visible and luminescent annual banding (Baker et 226 al., 1993; Broecker, 1960; Shopov et al., 1994) underscored that the deposition, mineralogy, 227 and chemical composition of speleothems varied seasonally. However, the concept of 228 seasonal shifts in climate variables (e.g., temperature, precipitation) as contributing to the 229 net multi-annual climate signal did not gain traction until the early to mid-2000s (Wang et al., 230 2001). Cave monitoring revealed drip rate seasonality in Pere Noel Cave, Belgium (Genty and 231 Deflandre, 1998), Crag Cave, Ireland (Baldini et al., 2006), and in Soreq Cave, Israel (Ayalon et al., 1998), and seasonality was discussed within the context of a speleothem-based trace 232

element study at Grotta di Ernesto, Italy (Huang et al., 2001). Meteorological data were 233 234 compared to seasonal trace element data for an Australian stalagmite (Treble et al., 2003), 235 and the potential to use seasonal-scale geochemical data to reconstruct the East Asian 236 Summer Monsoon (EASM) was investigated using a stalagmite from Heshang Cave, China 237 (Johnson et al., 2006). Studies coupling cave environmental monitoring and 'farmed' 238 carbonate precipitates were critical for clarifying the links between hydrological and cave 239 atmosphere conditions on the chemistry of stalagmites, including at a seasonal scale (Czuppon et al., 2018; Moerman et al., 2014; Sherwin and Baldini, 2011; Tremaine et al., 240 241 2011). Drip monitoring was also key for establishing how cave hydrology attenuates seasonal 242 and interannual rainfall variability, and was used to predict ENSO variability preservation 243 within stalagmites (Chen and Li, 2018; Moerman et al., 2014). These studies all illustrate that a thorough understanding of annual geochemical cycles requires the development of 244 extensive cave monitoring records, which highlight the complexities inherent in signal 245 246 transfer from surface environment to the stalagmite.

247

248 **2.3.** Importance of monitoring for understanding the seasonal signal

Monitoring environmental conditions in and above a cave at a high temporal resolution greatly improves the accuracy of palaeoclimate interpretations derived from stalagmites. Linking proxy characteristics at a given site with current environmental conditions via monitoring is relevant for reconstructing past conditions. Although modern conditions may differ from ancient conditions, monitoring the cave environment elucidates processes operating at a site, including the timing and extent of ventilation and the general nature of a

hydrological signal, acknowledging that some hydrological re-routing may have occurred
through time for certain drip types.

Understanding a stalagmite geochemical proxy record is difficult without first understanding how that signal is transferred and altered from the external environment to the sample. Environmental changes affecting the seasonal signal fall under four main categories: *i) Earth atmospheric, ii) Meteoric precipitation, iii) biological* (e.g., soil processes), and *iv) cave atmospheric.*

Earth atmospheric processes affect the seasonality signal retained within stalagmites by influencing meteoric precipitation isotope ratios at the cave site. Possibly the most common atmospheric process is the seasonal variation in precipitation δ^{18} O induced by shifts in the temperature-dependent water vapour-meteoric precipitation fractionation factor. Other related changes in atmospheric processing include seasonal shifts in moisture source and pathway of the moisture package to the cave site, as, for example, in monsoonal settings.

268 *Meteoric precipitation:* Meteoric precipitation variability regards the nature of the primary 269 rainfall amount-derived seasonality signal. Here we include meteoric precipitation amount and seasonal distribution as separate from 'Earth atmospheric' processes (such as changes in 270 271 source moisture source), although clearly the latter affect the former. Meteoric precipitation is a fundamental control on stalagmite seasonality that is worth considering independently of 272 273 other atmospheric processes. Stalagmites deposited in monsoonal climates (e.g., the East 274 Asian Summer Monsoon, Indian Summer Monsoon, South American Monsoon, and Australian Summer Monsoon) with distinct wet and dry seasons are excellent examples of samples 275 whose geochemistry generally (but not always) responds to hydrologic seasonality. In 276 277 temperate mid-latitude settings with more evenly distributed rainfall, hydrological shifts

278 might record less seasonal than inter-annual (e.g., ENSO) dynamics or possess a seasonal bias
279 (see section 3.1) derived from effective infiltration dynamics.

280 **Biological (soil-derived)** seasonality is the least clearly defined control, and predominantly 281 affects the trace element composition and carbon isotope ratio of cave percolation waters. 282 However, evidence also exists that increased soil bioproductivity can affect oxygen isotope 283 ratios by preferential uptake of water during the growing season during intervals with substantial surface vegetation (Baldini et al., 2005). Trace element transport critically 284 depends on the biological activity and water supply, both factors that are inherently variable 285 and not necessarily in-phase. Hydrology can affect biological seasonality, as leaching of 286 287 organic matter and trace elements from freshly decomposed litter depends on excess infiltration. Soils may thus produce a wet season pulse of colloidal material (organics as well 288 as weathering products) which contributes to an annual peak in trace element concentrations 289 290 in some samples; such dynamics are highly site-specific. The evidence for this pulse is derived both from synchrotron-based stalagmite studies (e.g., Borsato et al., 2007) and daily-scale 291 292 automated dripwater collection schemes (Baldini et al., 2012). Treble et al. (2003) suggest phosphorous enrichment in stalagmite carbonate stemming from seasonal infiltration pulses, 293 and monitoring at Shihua Cave (China) revealed that organic carbon was transported during 294 295 the wet season (Ban et al., 2018; Tan et al., 2006). Whether this pulse is truly independent from hydrological variability is unclear, but some evidence from dripwater monitoring in 296 temperate Irish caves suggests that the seasonal trace element pulse is not associated with 297 298 increased autumnal water throughput, but rather with seasonal vegetation die-back (Baldini 299 et al., 2012). In monsoonal north-eastern India biologically-induced litter decomposition 300 reaches a maximum in early summer (Ramakrishnan and Subhash, 1988), which increases

element availability in the soil that can be leached during the entire wet season (Khiewtam and Ramakrishnan, 1993). The transport of trace elements may also hinge directly on the presence of natural organic matter in dripwater, which may link the dripwater directly to surface bioproductivity (Hartland et al., 2012; Hartland et al., 2011). Thus, biological seasonality is highly site-specific and likely variable through time; this and the complexities outlined above underscore the importance of dripwater monitoring campaigns.

307 *Cave atmospheric* variability can also impart a seasonal signal to a stalagmite geochemical 308 record. Seasonal changes in cave air mixing with outside air lead to conditions within the cave that lower cave air carbon dioxide partial pressure (pCO_2) and potentially even contribute to 309 310 dripwater evaporation, promoting calcite deposition. Cave atmosphere variability, induced by 311 ventilation (through thermal gradients or changing wind patterns) therefore affects the 312 calcite deposition seasonality, as well as kinetic fractionation amount. Excellent examples of caves whose stalagmites are affected by this variability include New St. Michael's (Gibraltar) 313 (Mattey et al., 2016; Mattey et al., 2010) and numerous caves in Central Texas (Banner et al., 314 315 2007; Breecker et al., 2012; Cowan et al., 2013; Wong et al., 2011). These effects are discussed in detail below (Section 3). 316

317 **3.** Issues inherent to speleothem-based high-resolution climate reconstructions

Detecting any seasonal component in a stalagmite climate signal includes quantifying growth rate and input signal seasonality. It is worth noting that the input signal is sometimes unexpected, and a thorough site monitoring scheme can help identify the main contributing factors. For example, although many trace elements (and particularly Mg) are affected by recharge (often via prior carbonate precipitation (PCP) mechanisms (Fairchild and Treble, 2009)), other factors can also influence (seasonal) stalagmite geochemistry. This is the case

at ATM Cave, Belize, where various trace elements (including magnesium) increase in 324 325 concentration at the beginning of the annual rainy season, and are probably linked to dry 326 deposition during the preceding dry season followed by transport to the stalagmite with the 327 onset of the rainy season (Jamieson et al., 2015). In other cases, the advection of atmospheric aerosols directly into the cave can affect the stalagmite trace element signal (Dredge et al., 328 329 2013). Seasonal non-deposition caused by either drying of the feeder drip or by seasonally 330 high cave air pCO₂ can bias any record where every data point integrates more than a few months of deposition. From this perspective, most stalagmite records integrate 331 332 palaeoseasonality information to some extent, but, without appropriate monitoring strategies in place, deconvolving the extent to which the shifting seasonal signal dominates 333 334 the overall record is difficult.

335

336 **3.1. Mixing within the aquifer**

The degree of recharge mixing within the aquifer and epikarst is a fundamental control on the preservation of a seasonality signal within stalagmites. A long residence time and/or thorough mixing within the overlying aquifer can greatly attenuate any hydrological seasonal signal, and understanding the hydrology feeding a cave drip is therefore critical (Atkinson, 1977; Ayalon et al., 1998; Baker et al., 1997; Baker and Brunsdon, 2003; Baker et al., 2019; Kaufman et al., 2003). For conservation and logistical reasons, monitoring and classification of the drip should ideally occur prior to sampling a stalagmite.

344 Smart and Friedrich (1987) undertook one of the earliest efforts to comprehensively 345 categorise cave drips. Their scheme involved measuring drip rates at G.B. Cave, in the Mendip

Hills, UK, and parameterising them by plotting maximum drip rate versus the coefficient of 346 347 variation (C.V.; the standard deviation divided by the mean multiplied by 100). Baker et al. 348 (1997) later modified the scheme, dividing drips into six categories (seepage flow, seasonal 349 drip, percolation stream, shaft flow, vadose flow, subcutaneous flow). Other classification 350 schemes (e.g., Arbel et al., 2010; Arbel et al., 2008) focussed on analysing drip hydrographs, 351 and suggested terminology such as 'post-storm', 'seasonal', 'perennial', and 'overflow', which 352 are broadly consistent with the categories introduced by Smart and Friedrich (1987). The 353 introduction of automated drip loggers revolutionised the field (Mattey and Collister, 2008), 354 partly by ensuring that transient hydrological events were not missed. This ensured a substantially more robust characterisation of drips than that possible via manually measuring 355 356 drip rates only during on-site visits.

Understanding the hydrology feeding a stalagmite is fundamental for determining if a 357 stalagmite retains a seasonal signal. Drip rate is controlled by surface processes (e.g., 358 359 meteoric precipitation, evaporation, soil moisture capacity, and susceptibility to runoff) and 360 aquifer characteristics including reservoir capacity and bedrock permeability (Markowska et 361 al., 2015; Treble et al., 2013). Bedrock pathways recharging a drip are broadly divisible into matrix (or 'diffuse') and conduit (or 'fracture') flows (Ayalon et al., 1998; Baker et al., 1997; 362 363 Perrin et al., 2003; Smart and Friedrich, 1987), and recent models suggest that many drips are a combination of the two. Matrix permeability typically refers to either the primary intra-364 granular bedrock permeability or to secondary permeability along fine fractures, and is 365 366 characterised by a slow response to precipitation events and a large reservoir capacity 367 (Atkinson, 1977; Smart and Friedrich, 1987). Fracture permeability relates to potentially solution-enlarged bedding plane partings and joints and is characterised by a rapid to 368 intermediate response to precipitation events, and a low to moderate storage capacity. 369

Conduit permeability refers to often solutionally-enlarged pipe-like openings >1 cm in 370 371 diameter (Atkinson, 1977; Smart and Friedrich, 1987). Such conduit flow is characterised by a 372 rapid response to storm events followed by a rapid return to baseline flow (Baldini et al., 373 2006), and often carries chemically aggressive waters that do not allow secondary carbonate deposition. Large conduits or bedding planes may intersect a network of more diffuse 374 375 hydrological pathways, leading to dual-component flow where the fracture is itself fed by 376 some diffuse recharge in addition to the fracture flow. The hydrologic permeability of the fracture flow component compared to the diffuse flow component essentially defines the drip 377 378 type; 100% diffuse flow would exhibit no response to storm events, whereas 100% fracture flow would usually have no drip except for immediately following storm events large enough 379 380 to activate the pathway (Figure 2). Most drips would fall along the spectrum between these two endmembers; a constant base drip (the diffuse flow component) combined with a 381 variably rapid response to storm events (the fracture flow component). 382

383 From a seasonality perspective, pure fracture-flow drips vary considerably seasonally but may experience occasional dripwater undersaturation and/or drying, and consequently the 384 385 resultant stalagmite could have abundant microhiatuses (hiatuses in growth too brief to leave a clear petrographic expression, or appear in chronological models (Baker et al., 2014; 386 Moseley et al., 2015) also referred to as 'crypto-hiatuses' (Stoll et al., 2015). Drips characterised 387 by 100% diffuse flow would be stable with little hydrological or biological seasonality. The 388 likelihood for microhiatuses or drying is low for stalagmites fed by diffuse flow, but the 389 seasonal signal is probably muted, unless at a site where the seasonal signal is controlled by 390 a forcing other than hydrological variability (see Section 2.4.). The optimal hydrology for 391 392 imparting seasonality onto a stalagmite is a drip fed by moderately diffuse flow that is

responsive to monthly-scale shifts in rainfall, but that does not have a substantial fracture
 component to transmit event-scale (and possibly undersaturated) water.

395

396 **3.2. Non-deposition and seasonal bias in samples**

Although growth hiatuses lasting longer than a few years are often (but not always) apparent 397 within stalagmites as horizons of detrital material followed by competitive growth of 398 399 carbonate crystals (Broughton, 1983), brief growth hiatuses occurring seasonally are often 400 undetectable (though occasionally they have a petrographic manifestation). Thus, the 401 existence of these microhiatuses is often inferred by applying monitoring data to isolate 402 intervals through the year where environmental conditions suggest temporary nondeposition could exist. Because drip rate is one of the fundamental controls on stalagmite 403 404 growth (Genty et al., 2001), the use of drip loggers to detect seasonal drying of the stalagmite 405 feeder drip is important for understanding whether a stalagmite record excludes a certain 406 season's climate information.

Additionally, careful examination of sample petrography can reveal important insights into 407 the nature of the climate signal retained by a stalagmite. Petrographic microscopy helps in 408 identifying growth interruptions caused by lack of water, and dissolution features caused by 409 undersaturated dripwater. An excellent example of this approach exists for Holocene 410 411 stalagmites from northern Spain (Railsback et al., 2011; Railsback et al., 2017); the analysis 412 reveals horizons of dissolution (termed Type 'E' surfaces), interpreted as reflecting occasional undersaturation of the feeder drip. Other examples of careful petrographic analysis informing 413 seasonality studies are provided from Drotsky's Cave, Botswana, where the alternating wet 414

and dry seasons are manifested by alternating calcite and aragonite (respectively) couplets
(Railsback et al., 1994) and from Grotta di Carburangeli, Italy, where columnar fabrics were
interpreted as reflected pronounced seasonal variability in drip rates (Frisia, 2015).

418 Cave air carbon dioxide concentrations (pCO_2) have shown to be inversely linked to stalagmite 419 growth rate (Banner et al., 2007; Sherwin and Baldini, 2011). For example, in a study of three 420 caves across Texas, it was observed that farmed calcite growth rate was inversely correlated 421 with cave air pCO_2 (Banner et al., 2007). Negligible calcite growth and even microhiatuses 422 occurred during the warmest summer months, when cave air pCO_2 increased due to low cave ventilation rates (Banner et al., 2007). Elevated cave air pCO₂ discourages the dripwater's 423 424 thermodynamic tendency to degas CO₂, thereby slowing the carbonate precipitation rate. In 425 most caves where the entrance is located above the rest of the cave, outside air with low pCO_2 advects into the cave when the outside air density becomes greater than the cave air 426 density (e.g., Spötl et al., 2005). This is usually driven by temperature gradients; colder, denser 427 air moves down into a cave during winter, lowering the cave air pCO₂ and encouraging 428 429 stalagmite growth (James et al., 2015). However, cave air pCO_2 does not act in isolation, but instead the critical growth determining variable is the differential between cave air pCO₂ and 430 dissolved CO₂ in dripwater (Baldini et al., 2008). Carbonate deposition thus could increase in 431 432 the high cave air *p*CO₂ season if the dripwater had equilibrated with an atmosphere with even greater seasonal dissolved CO₂ increases (e.g., stemming from seasonal soil bioproductivity 433 increases) which exceed those of the cave atmosphere. These types of drips are generally 434 435 quite responsive to rain events, so determining if a seasonal growth bias exists should incorporate both hydrology and cave atmospheric chemistry. Drips with stable drip rates, that 436 437 are not responsive to storm events may have more constant dissolved CO₂ and therefore

seasonal deposition rates that are affected exclusively by cave air pCO₂ dynamics. However, 438 439 several recent publications suggest that dripwater equilibrates not only with soil air, but also 440 with a reservoir of carbon dioxide within the unsaturated zone of aquifers (termed 'ground 441 air') that may have very high pCO_2 values (2 to 7%), much higher than typical soils (0.1 to 2%) 442 (Baldini et al., 2018; Bergel et al., 2017; Markowska et al., 2019; Mattey et al., 2016; Noronha et al., 2015). Thus, it is possible that drip dissolved CO_2 is often near-constant, having 443 444 equilibrated with a ground air reservoir of near-constant pCO₂, and that carbonate precipitation is anticorrelated with cave air pCO₂ regardless of drip type, although this 445 446 requires further research. The complexities of cave atmospheres are now reasonably well understood, but more long datasets describing the dissolved CO₂ of cave drips are essential 447 448 for determining the variability of cave percolation waters.

Although a temperate-zone (Peel et al., 2007) cave's tendency to ventilate during the winter 449 is generally predicable from seasonality in external temperature (James et al., 2015), 450 occasionally cave geometry provides a more dominant control. In New St. Michael's Cave in 451 452 Gibraltar, ventilation is driven by seasonal changes in wind speed and direction (Mattey et al., 2016; Mattey et al., 2009). The cave experiences the lowest cave air pCO₂ values in summer, 453 and consequently growth (assuming constant drip rate) is biased towards summer (Baker et 454 455 al., 2014). The cave's position high within the Rock of Gibraltar contributes to strong winds and unusual seasonal ventilation, illustrating how cave position or geometry can dominate 456 seasonal ventilation patterns. Other examples include Bunker Cave in Germany, where an 457 458 essentially horizontal plan with little altitude difference between entrances produces very 459 little seasonal variability in pCO₂ (e.g., Riechelmann et al., 2011; Riechelmann et al., 2019),

and Císařská Cave (Czech Republic) where a U-shaped cave produces nonlinearities between
air temperature, density, and ventilation (Faimon and Lang, 2013).

Because seasonal microhiatuses can lack either a petrological or a geochemical manifestation, 462 463 cave monitoring is critical for assessing the likelihood of seasonal non-deposition (Shen et al., 464 2013). Stalagmite growth rate modelling, informed by cave monitoring data, can provide invaluable information regarding how seasonal growth variability affects geochemical climate 465 proxy records integrating more than one year's worth of growth. For example, seasonal non-466 467 deposition during summer due to either high evapotranspiration-induced drip cessation or elevated cave air pCO₂ might bias lower resolution records towards wintertime rainfall values 468 (generally towards lower δ^{18} O values) (e.g., James et al., 2015) at sites where drip water is not 469 470 well mixed. Stoll et al. (2012) used an inverse model to illustrate that rainfall seasonality shifts relative to the cave air pCO₂ can greatly affect PCP and consequently stalagmite trace element 471 472 concentrations. Baldini et al. (2008) used theoretical stalagmite growth rate equations and theory developed previously (Buhmann and Dreybrodt, 1985; Dreybrodt, 1980, 1988, 1999), 473 coupled with monitoring information, to model stalagmite δ^{18} O for various drips within Crag 474 Cave, Ireland. The results suggest that the amount of time integrated by the analyses, the 475 476 nature of the drip, and the ventilation dynamics of the cave, all strongly modulate carbonate δ^{18} O signals. 477

These studies all highlight how characterising the surface and depositional environment is critical for interpreting the climate signal. Either seasonal microhiatuses or reduced growth may bias annual- (or coarser-) scale geochemical records towards particular seasons. Additionally, it is also important to consider how regional climate shifts may have affected a sample in the past, because modern processes may not have applied throughout the record.

483 Understanding climate signal emplacement processes within stalagmite carbonate is 484 therefore fundamental for building robust climate records.

485

486 **3.3. A drip classification scheme to quantify seasonal responsiveness**

487 Existing drip classification schemes are not designed to characterise the likelihood that a 488 sampled stalagmite retains a hydrologically induced seasonal signal. However, such knowledge is crucial if research goals include a component of seasonal climate reconstruction. 489 490 Here, we introduce a new drip categorisation scheme that not only permits the identification of stalagmites most likely to retain a hydrology-modulated seasonal climate signal, but that 491 also helps predict the general nature of the climate signal within any sample. This is important 492 for both the accurate interpretation of stalagmite palaeoclimate records, but also for cave 493 494 conservation (i.e., to maximise the usefulness of collected samples for the purpose of the research goals) and for the appropriate usage of research-related resources. A seasonal-495 496 resolution stable isotope record of any length requires considerable resources, and we hope 497 that this new drip classification scheme will help direct these resources to appropriate 498 stalagmite samples.

The scheme's essence is the collection of (ideally) at least one year of hourly drip rate data for a drip feeding a stalagmite of interest. For every month, the minimum and maximum hourly drip rate values are extracted. When plotted, these data reveal the extent to which the drip is affected by seasonal activation of fracture permeability, and what proportion of the drip consists of diffuse 'baseflow' (and whether this varies through the year). Drip categorisation then involves evaluating the distribution of the datapoints, and is described with terminology broadly consistent with the Smart and Friedrich (1987) scheme. Because the

506 classification scheme uses multiple data points per site, a very large number of possible 507 combinations of descriptors are possible. For example, some drip sites (e.g., drip site YOK-LD 508 within Yok Balum Cave, Belize; (Ridley et al., 2015a) are fed by a slow diffuse flow most of the 509 year, where the minimum and maximum monthly drip rates are almost identical (Figure 3). 510 However, during wetter months an overflow route is activated, and the maximum drip rate increases substantially, whereas the minimum remains the same; this would be characterised 511 512 as a diffuse drip with a seasonally active overflow component. If this overflow component is 513 saturated with respect to calcite or aragonite, some seasonal signal may be preserved, but if 514 the overflow water is undersaturated a stalagmite fed by this drip type has less potential for seasonal climate reconstructions. Similarly, drip YOK-SK is characterised by almost entirely 515 516 invariant diffuse recharge and would not record seasonal changes in recharge (Figure 3). At another cave site (Learnington Cave, Bermuda, (Walczak, 2016), drip BER-drip #5 is fed by 517 518 diffuse recharge during drier intervals of the year, but during wetter months more water is 519 routed to the diffuse flow, increasing the base flow. Consequently, the drip does experience 520 some seasonality without risk of undersaturation, and thus a stalagmite fed by it should retain hydrology-induced seasonality. 521

522 In this new drip classification plot, the drips that are expected to produce stalagmites that 523 retain the clearest seasonal signal are those that plot with a slope approaching unity. In other words, those that are not fed by either an extremely diffuse drip or an extremely flashy drip, 524 and that consequently respond to seasonal rainfall shifts without transient extreme rapid drip 525 526 rate episodes caused by individual storm events (which may lead to dripwater 527 undersaturation and signal loss). Consequently, the two drip sites plotted in Figure 3 that best display this type of behaviour (drips YOK-G and BER-drip #5) have both yielded stalagmites 528 retaining exceptional seasonal signals, stalagmites YOK-G (Ridley et al., 2015b) and BER-SWI-529

13 (Walczak, 2016). Other drip sites that have a slope approaching unity and have a
pronounced difference between the highest and the lowest set of drip rates (Figure 3B)
should also produce stalagmites with well-developed records of seasonality.

533 Importantly, this drip classification scheme equally helps to identify drips that are unlikely to produce good seasonality records. For example, stalagmites fed by drips that are invariant 534 throughout the year would not record hydrologically-induced seasonality (although a 535 seasonal signal might still be preserved based on non-hydrological factors – see Section 2.4). 536 Stalagmites fed by drips that have one or more monthly values plotting at the origin (i.e., no 537 538 drips for an entire month, Figure 3D) would contain seasonal microhiatuses and would 539 consequently not record that interval's climate information. Drips where the diffuse flow component (i.e., the monthly minimum flow) remains constant but the fracture flow 540 component (i.e., the monthly maximum flow) changes considerably (Figure 3C) may 541 experience undersaturation and either non-deposition or even corrosion of the stalagmite. 542

543 This classification scheme comes with some caveats. First, as discussed in Section 2.4., it is possible that the seasonality signal is imparted onto the stalagmite independent of hydrology. 544 545 If seasonal cave ventilation controls the seasonality signal, the application of the scheme 546 would differ. For example, at a site with strong seasonal ventilation, a stalagmite deposited 547 by a purely diffuse flow-fed drip would reflect a largely cave atmospheric seasonality signal (i.e., with no hydrological seasonality). This would reduce the complexity of the geochemical 548 signal and obviate the need to deconvolve hydrological- and cave atmosphere-induced 549 550 seasonality from any geochemical record produced. Second, some drips are so-called 'underflow' drip sites, which respond to recharge linearly up until a maximum drip rate and 551 then become unresponsive to further drip rate increases. This is often caused by a constriction 552

in the flow pathway leading to the water egress point into the cave. Despite the lack of 553 variability at high flow, the dripwater is still in dynamic equilibrium with recharge (unlike high 554 555 residence time diffuse flow fed sites) and the stalagmite may reflect the dripwater isotopic 556 variability. Similarly, some drips are affected by piston flow, whereby an increase in hydrologic head might push through a slug of older water, leading to an instantaneous response to 557 recharge but of water with a signature more in keeping with 'old' water; careful monitoring 558 559 can identify and mitigate these issues (see Section 3.4). Despite these caveats, this drip evaluation scheme will hopefully provide an efficient means for identifying actively growing 560 561 stalagmite samples most likely to record a seasonal climate signal prior to collection of that sample. 562

563

564 **3.4. Dripwater oxygen isotope seasonality**

The extent that cave dripwater δ^{18} O (δ^{18} O_{dw}) values reflect the δ^{18} O of meteoric precipitation 565 $(\delta^{18}O_p)$ is critical to climate studies and for understanding the palaeoseasonality signal in 566 particular. Many publications have investigated the relationship between $\delta^{18}O_p$ and $\delta^{18}O_{dw}$ 567 (Ayalon et al., 1998; Baker et al., 2019; Baldini et al., 2015; Bar-Matthews et al., 1996; Cruz Jr. 568 et al., 2005; Duan et al., 2016; Feng et al., 2014; Harmon, 1979; Luo et al., 2014; Markowska 569 570 et al., 2016; Mischel et al., 2015; Moquet et al., 2016; Moreno et al., 2014; Oster et al., 2012; Pu et al., 2016; Riechelmann et al., 2011; Riechelmann et al., 2017; Surić et al., 2017; Tadros 571 et al., 2016; Tremaine et al., 2011; Verheyden et al., 2008; Wu et al., 2014; Yonge et al., 1985; 572 573 Zeng et al., 2015). Depending on the drip site's hydrological characteristics (Arbel et al., 2010; Baker and Brunsdon, 2003; Smart and Friedrich, 1987), $\delta^{18}O_{dw}$ values may reflect $\delta^{18}O_p$ on 574 575 timescales ranging from the annual weighted mean (Baker et al., 2019; Cabellero et al., 1996; 576 Chapman et al., 1992; Yonge et al., 1985) to individual (intense) recharge events (Atkinson et 577 al., 1985; Frappier et al., 2007; Harmon, 1979).

578 Factors such as depth below surface, residence time and mixing of the water within the 579 unsaturated zone, soil depth and texture, and aquifer hydraulics can vary between drip sites. Important reservoirs for storage and mixing of effective rainfall are documented as the soil 580 and epikarst zones (Cabellero et al., 1996; Chapman et al., 1992; Gazis and Feng, 2004; Perrin 581 et al., 2003; Yonge et al., 1985). Rainwater infiltrating into the soil reservoir is variably lost to 582 evapotranspiration but in karst regions preferential recharge through dolines and grikes may 583 584 occasionally circumvent the soil and related evapotranspiration (e.g., Hess and White, 1989). Secondary evaporation from infiltrating water can be detected using dripwater δ^{18} O and δ D 585 586 values potted relative to the local meteoric water line (Ayalon et al., 1998; Breitenbach et al., 2015). Bar-Matthews et al. (1996) observed a 1.5 $\% \delta^{18}O_{dw}$ enrichment relative to rainwater 587 and attributed this primarily to seasonal evaporation in the soil and epikarst zones above their 588 Israeli cave site. Evaporative enrichment of infiltrating rainwater is greater in arid and 589 590 semiarid regions than in temperate regions where conditions of water excess occur through much of the year (Markowska et al., 2016; McDermott, 2004). Any excess, non-591 592 evapotranspired water is then transmitted to the epikarst, karst, and finally the cave. Dripwater residence times in the aquifer or epikarst are highly variable, ranging from minutes 593 to years, depending on soil thickness, hydraulic properties (Gazis and Feng, 2004), and drip 594 pathway (e.g., diffuse vs. conduit flow) (Baldini et al., 2006). Mixing of infiltrating rainwater 595 with existing epikarst water can buffer the climate signal and reduce seasonal $\delta^{18}O_{dw}$ 596 variability from muted to invariant (within analytical error, and assuming no cave 597 atmosphere-induced seasonality) (Baker et al., 2019; Breitenbach et al., 2019; Onac et al., 598

599 2008; Schwarz et al., 2009). At some cave sites, $\delta^{18}O_{dw}$ does not necessarily correlate with 600 $\delta^{18}O_p$ shifts, most likely due to mixing within the aquifer (Moquet et al., 2016), underscoring 601 that different hydrologies produce stalagmites retaining different environmental signals.

602 A recent global compilation of available dripwater monitoring data has further clarified the relationship between climate (e.g., mean annual temperature and annual precipitation) and 603 $\delta^{18}O_{dw}$ (Baker et al., 2019). In cooler regions where mean annual temperature (MAT) < 10°C, 604 $\delta^{18}O_{dw}$ most closely reflects the amount-weighted $\delta^{18}O_{p}$ (i.e., evaporation from the soil and 605 606 epikarst does not exert much influence). In seasonal climates with MAT between 10°C and 16°C, $\delta^{18}O_{dw}$ values generally reflect the recharge-weighted $\delta^{18}O_p$ (see Fig. 1 of (Baker et al., 607 2019). In regions where MAT > 16°C, $\delta^{18}O_{dw}$ is generally higher relative to amount-weighted 608 precipitation $\delta^{18}O_p$ because fractionation processes related to evaporative effects on stored 609 karst water are more substantial (Baker et al., 2019). Stalagmite δ^{18} O records from regions 610 experiencing high temperatures and/or aridity will probably not reflect rainfall δ^{18} O (Baker 611 612 et al., 2019).

613

614 **3.5. The uniqueness of each stalagmite record**

Recent publications have made a case for the importance of replication in stalagmite geochemical records (Wong and Breecker, 2015; Zeng et al., 2015), which is a worthwhile and useful goal. Producing the same geochemical record from multiple samples ensures that no analytical issues exist and can facilitate correlating records whose growth intervals overlap in regions and for time periods with high signal-to-noise ratios. Particularly in cases where evidence for a short-lived climate anomaly exists, replication from within the same sample and from other stalagmites is critical. However, stalagmite geochemistry is affected by a myriad of variables, and the precise combination of factors affecting any one sample are essentially unique. Thus, every stalagmite retains a different component of the environmental signal, and a lack of reproducibility does not necessarily indicate that a record is 'incorrect' or flawed. Even stalagmites that are affected by strong kinetic effects retain accurate environmental data; it is a matter of recognising this control, and basing any interpretations accordingly.

628 Unless two stalagmites are fed by a very similar drip type (often two samples growing near each other whose feeder drips share the same hydrological pathway), stalagmite records 629 630 from the same cave may not match. This is a clear consequence of the diversity of possible 631 drip pathways feeding individual stalagmites. For example, a stalagmite growing underneath a diffuse drip fed by an extremely low hydrologic permeability pathway that is unresponsive 632 to large rain events would not contain the same record as a stalagmite growing underneath a 633 drip with no diffuse component but that is instead fed by fracture flow. The former (diffuse 634 635 flow-fed) stalagmite may retain long-term climate information but lack seasonal-scale information, whereas the latter (fracture flow-fed) stalagmite may retain some seasonal 636 environmental information, but may also experience occasional undersaturation following 637 large rain events, leading to microhiatuses and information loss. The fracture flow-fed 638 stalagmite may have a more rapid overall growth rate, but may experience flow re-routing 639 and stochastic drip variability due to solutional enlargement of the fracture pathway, 640 641 potentially leading to a shorter overall growth interval due to the eventual diversion of water 642 away from the stalagmite. Once cave- and site-specific ventilation factors are considered as 643 well, it is apparent that no two stalagmites can yield precisely the same record; rather it is

imperative to understand the environmental conditions recorded by each individual sample.
If the goal is to reconstruct seasonality, it is important to understand the nature of the
seasonality signal for each potential sample, e.g., whether the sample is affected by
hydrological seasonality or cave atmospheric seasonality. In the latter case, it is then
favourable to select a stalagmite from a diffuse flow drip in order to simplify the extraction of
the seasonal ventilation signal.

The considerable range of stalagmite records possible, even from the same site, is potentially 650 advantageous. The individuality of stalagmite records may yield a powerful tool for the 651 quantitative reconstruction of historically elusive environmental variables. For example, 652 653 differences in oxygen isotope ratios between two samples from the same site could reflect in-cave temperature-induced kinetic fractionation effects, and modelling (Deininger and 654 Scholz, 2019; Deininger et al., 2016; Dreybrodt, 1988; Dreybrodt and Deininger, 2014; 655 Riechelmann et al., 2013) could theoretically yield the cave temperature, potentially even at 656 a seasonal resolution. This perspective is consistent with the recent appreciation that 657 658 speleothems deposited at isotopic equilibrium are extremely rare (Daëron et al., 2019; Mickler et al., 2006) and that kinetic effects are an integral part of the environmental signal 659 retained by stalagmites (Millo et al., 2017; Sade and Halevy, 2017). The concept that kinetic 660 effects are undesirable is a vestige of early studies attempting to extract absolute 661 palaeotemperatures from stalagmite oxygen isotope ratios, in which case kinetic effects do 662 indeed interfere with the extraction of the desired signal. However, because stalagmite δ^{18} O 663 values are no longer considered pure in-cave temperature proxies, kinetic effects no longer 664 present a serious issue, provided that they are considered within any interpretations. In fact, 665 666 because kinetic effects often vary in sync with the primary rainfall signal (e.g., kinetic effects

tend to occur during drier periods accentuating the already elevated stalagmite δ^{18} O and δ^{13} C signature) they tend to help the climate signal stand out above background noise.

Stalagmite climate reconstructions are usually based around one record or an overlapping 669 670 series of records; future research could use the differences between two records (considering in-cave kinetic effects) to reconstruct aspects of the environmental signal, including seasonal 671 672 temperature shifts. Recent research utilising several stalagmites from along the same 673 moisture trajectory across a wide region to reconstruct oxygen isotope systematics and temperature represent an exciting development in speleothem climate sciences (Deininger 674 et al., 2017; Hu et al., 2008; McDermott et al., 2011; Wang et al., 2017), and similar 675 methodologies could reveal in-cave fractionation processes that are ultimately relatable to 676 temperature, potentially on a seasonal-scale. For example, changes in outside temperature-677 induced ventilation may affect samples fed by different hydrologies differently (promoting 678 more kinetic fractionation in the slower dripping sample), and comparing the isotope ratio 679 records may reveal the range of external seasonal temperature variability. We suggest that 680 the comparison of multiple coeval stalagmite geochemical records from within the same cave 681 682 site is a crucial research frontier that is well worth investigating further.

683

684 4. Analysis techniques

Detection of seasonal variations in stalagmite geochemical parameters requires sampling or analysis at sufficiently high spatial resolution to mitigate signal averaging (Figure 4). Sampling frequency should approach monthly resolution to detect a seasonality signal and to avoid aliasing issues during intervals with slower growth. This necessitates careful consideration

prior to analysis to ensure both sufficient resolution to detect seasonal-scale variability, and sufficient material for the analysis method. In addition to the pre-analysis considerations, we also recommend publishing complete micro-analytical data tables, in order to increase transparency. Below we discuss common microanalytical techniques capable of palaeoseasonality reconstruction and compare advantages and disadvantages of each.

694

695 4.1. Sampling for palaeoseasonality

596 Sub-sampling stalagmites for geochemical analysis requires careful planning and execution. 597 We recommend a thorough reconnaissance of a sample's petrography using microscopy prior 598 to geochemical analysis. The conversion of a sample into polished thin sections can provide 599 critical information but is destructive. Reflected light microscopy provides and non-500 destructive alternative that can yield crucial information regarding crystal growth habit, the 591 location of possible hiatuses, inclusions, and porosity.

702 The various methods available for the extraction of proxy data all require different sample 703 amounts depending on analytical limits of detection and other factors (Fairchild et al., 2006). 704 Methods are broadly categorizable as destructive and non-destructive, depending on the 705 amount of material required. The former is further divisible into: i) macro-destructive (e.g., cuttings for fluid inclusion studies, low-concentration proxies like biomarkers or DNA) (e.g., 706 707 Blyth et al., 2011; Vonhof et al., 2006; Wang et al., 2019a), ii) meso-destructive (e.g., conventional and micro-milling for U-series samples, stable isotopes, ICP-OES, ¹⁴C) (e.g., 708 Lechleitner et al., 2016a; Ridley et al., 2015b; Spötl and Mattey, 2006), and iii) micro-709 710 destructive (e.g., laser ablation or secondary ionization mass spectrometer (SIMS) analyses 711 for traditional and non-traditional isotope systems, element concentrations or ratios) (Baldini

et al., 2002; Luetscher et al., 2015; Treble et al., 2007; Webb et al., 2014; Welte et al., 2016). 712 713 Non-destructive methods include (but are not restricted to): i) simple desktop scanning and photography, ii) µXRF line scanning and mapping (e.g., Breitenbach et al., 2019; Scroxton et 714 715 al., 2018), iii) synchrotron analyses (e.g., Frisia et al., 2005; Vanghi et al., 2019; Wang et al., 716 2019b; Wynn et al., 2014), iv) phosphor mapping via beta-scanning (e.g., Cole et al., 2003), v) 717 reflected light, and fluorescence, including confocal laser fluorescent microscopy (CLFM) (e.g., Orland et al., 2012) and other microscopy techniques (e.g. SEM, EMPA, RAMAN), or vi) X-ray 718 719 Computed Tomography (CT) scanning (e.g., Walczak et al., 2015; Wortham et al., 2019). The 720 choice of technique should consider suitability for answering the targeted research questions, 721 and logistical considerations such as sample sectioning. Although the list above categorises 722 techniques based on their destructiveness, it does not account for sample preparation; for 723 example, SIMS analysis uses only a small amount of sample (i.e., essentially non-destructive), 724 but requires sectioning of the stalagmite into centimetre-scale cubes, polishing and epoxy-725 mounting. Another major consideration is the length of the record required; it is possible 726 (though labour-intensive) to produce seasonal-scale records extending hundreds or even 727 thousands of years using micromilling, but this is not practical using SIMS, unless automated protocols allowing for unattended analysis can be developed (Orland et al., 2019). 728

Although macro-destructive sampling can inform interpretations based on higher resolution data, it cannot generally reconstruct seasonality on its own. Thus, here we discuss only selected meso-, micro-, and non-destructive techniques. The focus is first on 'conventional drilling' and 'micromilling' of powder samples, which probably are the most widely used techniques to obtain material for inorganic chemistry, followed by the highly versatile, fast, and cost-effective laser ablation sampling (LA-ICP-MS). SIMS requires substantial sample preparation, offers excellent resolution and is a good choice in situations requiring in-depth

characterisation of a short interval. Synchrotron-µXRF? (SR-µXRF) has advanced considerably
over the past decade, and it is now possible to obtain high-resolution (0.5-5 µm) quantitative
trace element data non-destructively through fast scanning of large samples (Borsato et al.,
2019). Below we describe the relevance and applicability of these techniques towards the
reconstruction of palaeoseasonality.

741

742 **4.1.1. Conventional drilling**

Conventional drilling (or 'spot-sampling') (Fairchild et al., 2006) is the drilling of powders from discrete spots, that are normally separated by unsampled material, and is still amongst the most widely used methods to obtain carbonate powders from speleothems. This method is comparably fast and, with a sufficiently small drill bit (typical \emptyset ca. 0.2-1 mm), can achieve a spatial resolution of up to 0.3-0.5 mm along the growth axis, although more frequently the resolution is ~1 mm. Conventional drilling is ideally performed with instruments that allow computer-aided control of x-y-z dimensions, such as Sherline[®] or Mercantek[®] instruments.

750 With typical stalagmite growth rates of 0.1 to 0.2 mm year⁻¹, this technique is usually 751 inadequate when targeting sub-annual resolution (Figure 5). If used on samples with growth 752 rates approaching twice the sampling interval, aliasing may occur and unfavourably affect the 753 recovery of high-frequency variability (Fairchild et al., 2006). Furthermore, this type of spot 754 sampling usually does not integrate all the carbonate material, i.e. the time slices at the top 755 and bottom of the hole are under-represented in the average for the drill-hole; this 756 undersampling could miss short-lived climate excursions. Consequently, we cannot 757 recommend conventional drilling for recovering a seasonal signal, although the technique is effective at quickly producing a lower-resolution record and is well suited for longer records 758

of climate (e.g., those covering multiple glacial cycles), and for screening potential target stalagmites. Additionally, conventional drilling is possible on a large stalagmite slab, obviating the need for sectioning into multiple smaller slabs. A related technique which is preferred for sampling at seasonal scale is micromilling, discussed below.

763

764 **4.1.2. Micromilling**

Micromilling refers to continuous sample cutting along a trench parallel to a stalagmite's 765 growth axis (Fairchild et al., 2006; Spötl and Mattey, 2006). Usually performed with computer-766 controlled milling devices (such as the ESI/New Wave micromill) this technique can achieve 767 ~20-micron spatial resolution (e.g., Myers et al., 2015), but is critically dependent on the 768 769 textural characteristics of the sample. Dense columnar, fascicular, radiaxial, or radial fibrous 770 calcites are the most suitable material, but needle-like aragonite can also be sampled, 771 although gaps between needle-shaped crystals may lead to loss of sample and require 772 painstaking cleaning procedures. The sample morphology throughout the stalagmite also warrants consideration. Planar, parallel, and laterally continuous laminae across the sample 773 774 are ideal, but often stalagmite laminae appear curved in a slabbed sample. These are normally 775 convex, but in some cases are concave (particularly in the case of a 'splash' cup), and with 776 laminae that thin towards the edges. The greater such curvature, the narrower the 777 micromilling trough required for sub-annual (seasonal-scale) sampling (Figure 5), because a 778 wider trench would integrate material from other laminae. Similarly, the sample should allow 2-3 mm sampling into the depth of the sample slab, and ideally the growth layers should not 779 780 taper out in the third dimension. X-ray and Neutron CT scans can help visualise the 3D internal

structure of the sample (Walczak et al., 2015; Wortham et al., 2019), and the appropriatemilling depth.

783 The determination of the x, y, and z dimensions of the sampling increment is the first step of 784 any sampling strategy (Figure 5). For seasonal resolution, this strategy will ideally permit a very small y-axis increment (the y-axis is parallel to the stalagmite growth axis). The other 785 786 dimensions must then allow the collection of enough carbonate for analysis (typically 50-120 787 µg for carbon and oxygen stable isotopes). Depending on sample characteristics and desired resolution, dimensions of y = 10-100 μ m and x = 10-300 * y μ m (parallel to visible growth 788 layers on the slab) are ideal (Figure 5). The sampling depth (z-axis) is best minimised because 789 790 lamina behaviour into the sample is often unknown, unless CT scans of the sample exist. 791 Larger sample masses are occasionally needed for non-traditional proxies.

792 A common issue in the speleothem sciences is the precise correlation between two datasets 793 obtained via different means, for example a micromilled stable isotope dataset and a LA-ICPMS derived trace element dataset. Annual- to decadal-scale correlations are usually 794 795 possible, but rarely are the records correlative on the seasonal- or even annual-scale. 796 Comparisons are achievable using very careful measurements from a datum (often the 797 stalagmite top), with or without the use of banding as 'landmarks' (e.g., (Johnson et al., 2006; 798 Treble et al., 2005a)). A recent technological advance is the development of software, such the open-source GIS-based QGIS software (Linzmeier et al., 2018), which integrates micro-799 800 imaging and analysis into a single spatial reference frame. This approach is particularly useful for organising different analyses derived from differently sectioned portions of samples and 801 802 has been successfully applied to stalagmite data (Orland et al., 2019).

The problem of correlating different types of data is to some extent avoidable by sampling sufficient material with the micromill for both stable isotope and trace elemental analysis via ICP-MS. The sampled powder is divided into two aliquots, one for each analytical technique. The resultant trace element and stable isotope data permit zero-lag cross-correlations and highly robust interpretations of different environmental processes (e.g., Jamieson et al., 2016).

809 For example, if planned multi-proxy analyses require 0.8 mg of carbonate powder (e.g., stable isotope ratios, ^{14}C , and trace elements), and a 50 μm spatial resolution is desired using a 810 milling bit diameter of 0.8 mm, a 0.05 mm x 4.15 mm x 1 mm trench would suffice (assuming 811 calcite density of 2.7 g/cm³ and no sample loss via incomplete recovery); sample loss and a 812 813 particularly low-density sample would require a larger volume. An often-overlooked additional consideration involves the corners that are initially unsampled when milling 814 815 trenches (red corner areas, Figure 5). Depending on the drill bit diameter and trench 816 dimensions, the corners at each end of the trench would lead to unwanted integration of material from several sample increments and thus time slices. Use of a smaller milling bit 817 818 diameter minimizes this effect. Additionally, a 50% reduction of this sampling effect is 819 achieved if a trench is milled along the growth axis prior to the high-resolution milling, or if the milled trench is adjacent to a longitudinal cut (Figure 5). Material from the first trench can 820 821 be used for reconnaissance studies. Another approach yielding similar results involves collecting the desired powder, and then moving the milling bit along the horizontal sampling 822 track (i.e., parallel to the growth layer) for a distance corresponding to half the width of the 823 824 milling bit. This powder is then discarded (or collected as auxiliary powder), and the milling bit returns to the original position, ready to produce the next aliquot of powder. Either of 825 these sampling approaches effectively reduce spatial integration of sample (Kennett et al., 826

2012; Myers et al., 2015; Ridley et al., 2015b), thereby increasing the likelihood of obtaining
a clear seasonal signal (Figure 6).

829 Other issues include growth layers that slope inward rather than geometrically perfect layers 830 (where the layering is perpendicular to section) and the use of tapered rather than cyclindrical drill bits, which would fail to sample some carbonate at depth during each run. A study 831 comparing micromilling/IRMS and SIMS techniques on annually layered otoliths found that 832 an offset existed between the two techniques (with SIMS yielding values ~0.5‰ lower) and 833 that the amplitude of annual oxygen isotope signal derived via micromilling was 834 835 approximately half of the SIMS signal; both of these observations are potentially explained by 836 deviations from an ideal sample geometry, and consequently greater integration of unwanted material arising from micromilling (Helser et al., 2018). Despite these differences, both 837 techniques were able to detect annual isotope ratio cycles (Helser et al., 2018). A thorough 838 839 reconnaissance of the sample using CT scanning or other means to characterise its geometry 840 in advance of slabbing can minimise these issues.

Other minor issues include the possible conversion of aragonite to calcite during milling, 841 which would result in a decrease in δ^{18} O values of 0.02‰ for every 1% aragonite converted 842 to calcite (Waite and Swart, 2015). This effect may have implications for modelling oxygen 843 844 isotope variability or calculating deviations from equilibrium deposition. However, using a slower rotation rate of the milling bit (500-800 rpm) will minimise, or even eliminate, this 845 effect. A final recommendation is to run micromilled samples through the IRMS non-846 sequentially (i.e., out of stratigraphic order). Ideally the laboratory environment is static and 847 will not affect results, but any unaccounted for diurnal changes (e.g., lab temperature) may 848 affect the analyses in a cyclical way. Running samples non-sequentially both helps ensures 849

that any cycles detected (e.g., a seasonal cycle) are not analytical artefacts and helps to identify issues, if they exist (e.g., a persistent cycle when samples are arranged in the order that they were run).

853

854 **4.1.3. LA-ICPMS**

Laser Ablation Inductively Coupled Plasma Mass Spectrometry (LA-ICPMS) is a beam method 855 sampling technique. A polished speleothem slab is analysed by ablating small portions of 856 857 material using a laser within a sample cell. The laser (typically an ArF excimer laser at a 193 858 nm wavelength) physically ablates the sample, aerosolising the material which is then carried into the ICP-MS system by a carrier gas (typically helium and/or argon, with helium yielding a 859 860 greater signal intensity (Luo et al., 2018)) where trace element concentrations are measured 861 and quantified against standards of known compositions. The specific mass spectrometer setup depends on the research question; for example, by using a quadrupole ICP-MS for 862 elemental measurements using a reference isotope, or a multi-collector ICP-MS for isotope 863 ratio analyses. Additional analytical set-ups are compatible with LA-ICPMS, including reaction 864 cells, triple-quadrupoles, and split-stream analysis using two mass spectrometers in tandem 865 866 (Frick et al., 2016; Kylander-Clark et al., 2013; Woodhead et al., 2016).

The advantages of LA-ICPMS for speleothem trace element analysis are numerous and include excellent spatial resolution (down to ~3 microns (Müller and Fietzke, 2016), using a rectangular aperture with long axis oriented along laminae) whilst preserving low detection limits (Figure 6). Although historically LA-ICPMS instruments used round 'spots', some laser ablation instruments are now fitted with rectangular masks (apertures), resulting in rectangular spots optimised for speleothem analysis, where the ablation spot is oriented

perpendicular to speleothem growth axis, along the x-axis (Müller et al., 2009). This permits 873 the ablation of a surface area equivalent to large circular spot sizes, while retaining high 874 875 spatial resolution in the growth direction (similar to the micromill sampling described in 876 4.1.2). The speed of analysis via this method is also exceptionally high, with typical scan speed of 10 µm s⁻¹ (e.g., (Jamieson et al., 2015)). Two-volume laser cells are now available, 877 minimising sample damage incurred via sectioning and ensuring consistent aerosol flow 878 879 within the cell. The coupling of a laser ablation system with a large-capacity gas exchange device even allows analysis under atmospheric air (Tabersky et al., 2013) although with 880 881 somewhat elevated limits of detection. This technique is particularly suitable for large stalagmites, or archaeological samples, because it minimises physical sample destruction by 882 883 requiring less sectioning.

The presence of a localised impurity can produce a trace elemental concentration peak even 884 in the absence of a laterally contiguous geochemical horizon with that geochemistry. LA-885 886 ICPMS can produce elemental maps that can verify the spatial continuity of geochemical laminae of interest, particularly when combined with a square aperture (Evans and Müller, 887 2013; Rittner and Müller, 2012; Treble et al., 2005b; Woodhead et al., 2007). This permits the 888 resolution of spatial relationships with greater confidence, and can corroborate 889 890 interpretations based on stacked and parallel line scans, thereby avoiding issues related to the overinterpretation of a small number of points. Other microanalytical techniques (e.g., 891 892 SIMS, synchrotron, µXRF, etc.) can also produce elemental maps, but LA-ICPMS techniques can provide greater spatial coverage more rapidly. 893

The most significant disadvantage to LA-ICPMS is related to difficulties with standardisation. The use of matrix matched standards (i.e., made of the same material as the sample) during

laser ablation analysis is ideal, but the limited availability, variable degrees of standard 896 897 homogeneity, and accurate standardisation of carbonate materials are ongoing challenges. 898 Orland et al. (2014) and later Müller et al. (2015) provide promising tests for a carbonate standard, albeit for a limited range of elements. Many analyses are standardised with 899 900 somewhat greater uncertainty than is ideal using glasses such as NIST 620 or 622. These analyses are often regarded as semi-quantitative, with high levels of confidence regarding 901 902 variability and data trends but uncertainty regarding absolute values. Another minor 903 disadvantage is lack of precise knowledge regarding the position of individual analytical spots. 904 The sheer number of analyses possible via this technique (often >10,000) and indistinct, continuous track means that the exact position of any one individual spot is often difficult to 905 906 determine precisely, complicating the correlation with other climate proxies. This disadvantage is mitigatable by precise notetaking, syn-analytical microscopy recording, 907 careful reflected light imaging, cross-correlation, application of QGIS or similar software, and 908 909 judicious 'wiggle-matching' with other proxy records, as well as creating marker laser lines at 910 certain intervals to further help to constrain spatial uncertainties.

911

912 4.1.4. Secondary ionisation mass spectrometry

Secondary ionisation mass spectrometry (SIMS) uses a primary beam of positive (often caesium) or negative (often oxygen) ions to impact a sample surface under a vacuum, 'sputtering' secondary ions into a mass spectrometer (Wiedenbeck et al., 2012). A positively charged primary beam (commonly Cs⁺) ionises negative secondary ions (e.g. C⁻, O⁻), and a negatively charged primary beam (commonly O⁻) ionises positive secondary ions (e.g., Mg²⁺, Sr²⁺). The sputtered secondary ions are then accelerated into a double-focusing mass 919 spectrometer, and counted by ion detectors (electron multiplier or Faraday cup). This 920 analytical technique can yield both trace element analysis and stable isotope ratio data in 921 speleothem carbonate at the micron scale, with very little damage to the sample, and with 922 very high sensitivity (Figure 6).

923 The spatial resolution typically ranges between 1 to 10 µm spot size and 1-2 µm spot depth 924 for trace elements, with stable isotope analyses historically restricted to 20–30 µm resolution (Fairchild and Baker, 2012) but now capable of achieving 10 µm resolution (Orland et al., 925 926 2019). This represents a very high-resolution method for stable isotope analysis within speleothem carbonate, and is therefore ideal for detecting palaeoseasonality (Fairchild et al., 927 928 2006). The analysis resolution for trace elements is second only to using synchrotron radiation, but with the added advantage of full quantification of concentration data and the 929 ability to cover much greater areas of sample. Matrix matched materials, typically calcium 930 carbonate, are used for standardisation to ensure consistent ionisation of chemical species 931 and ablation rates (Fairchild and Treble, 2009). 932

933 Early studies of SIMS-derived trace element trends in speleothems helped to demonstrate 934 that many stalagmites retained a seasonal signal (Baldini et al., 2002; Finch et al., 2001; 935 Roberts et al., 1998), representing a considerable shift in resolving power compared to the former decadal- to centennial-scale of analysis previously possible. The presence of annual 936 937 trace element cycles was quickly established as the norm rather than the exception for 938 shallow cave sites, even in the absence of visible speleothem laminations (Fairchild et al., 939 2001). Divalent alkaline earth metals such as magnesium and barium were suggested as 940 palaeohydrological proxies, phosphorus as indicative of bioproductivity, and strontium as reflecting calcite growth rate and/or PCP (Fairchild et al., 2001; Fairchild et al., 2000; Treble 941

et al., 2003). However, need for better empirical transfer functions between speleothems and 942 943 external climatic processes, and partitioning between drip waters and speleothem calcite, 944 complicated interpretations (Fairchild et al., 2001). Subsequent process-based studies have 945 revealed the complexity involved in interpreting trace elements at seasonal scales, highlighting the role they play in complexation with organic matter as colloids (Borsato et al., 946 2007), in speleothem diagenesis (Martin-Garcia et al., 2014), and the complex controls on 947 948 transfer through vegetation/soil/epikarst (Hartland et al., 2009; Hartland et al., 2012), as well 949 as controls on partitioning via internal cave microclimate and crystallographic structures 950 (Fairchild and Treble, 2009). The use of trace element cycles obtained via SIMS as chronological markers is exemplified through the work of Smith et al. (2009), where the ability 951 952 of trace element cycles to provide relative age constraints at a finer spatial resolution than 953 traditional U-series age models is unambiguously demonstrated.

A frontier for SIMS trace element measurements lies in the potential of combining these trace element records with stable isotope measurements undertaken at sub-annual scale. Prior to the advent of SIMS techniques for stable isotope analysis, there were very few combined trace element – stable isotope studies due to the incompatibility of analytical resolution between the two parameters (Orland et al., 2014). However, the analysis of stable isotopes by SIMS now achieves a spatial resolution capable of allowing direct comparability between both isotopic and trace element indicators of seasonality (Orland et al., 2014).

SIMS stable isotope studies have investigated the δ^{18} O, δ^{13} C and δ^{34} S-SO₄ dynamics in stalagmite records (typical uncertainties (2 σ): δ^{18} O = 0.2‰ (Orland et al., 2019); δ^{13} C = 0.6-0.7‰ (Oerter et al., 2016; Sliwinski et al., 2015); δ^{34} S = 1.6‰ (1 σ) at 70 ppm S concentrations (Wynn et al., 2010)). Whereas each of these isotope ratios reflects changing surface

environmental conditions over inter-annual timescales, only the δ^{18} O measurements by SIMS 965 can produce records of intra-annual seasonality. Analysis of δ^{13} C in speleothem carbonate 966 cannot be undertaken simultaneously with δ^{18} O, and any available records in the literature 967 (e.g., (Pacton et al., 2013)) are not undertaken at seasonal resolution. The apparent lack of 968 seasonal change in cave dripwater δ^{34} S-SO₄ (Borsato et al., 2015) has also so far prevented 969 SIMS speleothem sulphur isotope measurements at the seasonal scale (Wynn et al., 2010). 970 Treble et al. (2005a) produced the first δ^{18} O record unambiguously linking seasonal cycles in 971 972 speleothem oxygen isotopes to rainfall dynamics, and corroborated these interpretations with trace element cycles and contemporary rainfall monitoring. Subsequent work at Soreq 973 974 Cave, Israel, further developed the technique to detect seasonality and links with rainfall dynamics across a range of time periods (Orland et al., 2012; Orland et al., 2009; Orland et al., 975 2014). Coupled annual variability in fluorescence and δ^{18} O provided a seasonal marker of 976 annual variability in rainfall from before the climate instrumental record (Orland et al., 2012; 977 Orland et al., 2009). Careful correlation between fluorescent banding, δ^{18} O and trace element 978 measurements, and surface environmental conditions demonstrated that the fluorescent 979 980 banding represented seasonal organic colloid flux variability into the cave.

Despite the clear advantages of utilising SIMS stable isotope analyses of speleothem carbonate to reveal seasonal patterns of rainfall delivery and drivers of climatic change, the technique also comes with its analytical challenges, including the considerable impact of geometric imperfections (e.g., sample topography, porosity, inclusions, cracks, etc) (Kita et al., 2011; Pacton et al., 2013; Treble et al., 2005a). In most instances, the ability to control the precise location of SIMS analyses enable geometric imperfections to be avoided, provided good surface mapping can be used to identify optimal locations for analysis and post

processing can be used to see any geometric imperfections in each analysis pit (Orland et al., 988 989 2009). This is in contrast to micromilling, where large swathes of sample are often bulked 990 together regardless of sample porosity or imperfections. The need to use matrix matched 991 standard materials presents similar problems of availability and homogeneity for the accuracy of data analysis as encountered with LA-ICPMS. However, recent improvements in this area, 992 alongside improvements in sample preparation techniques have been substantial enough to 993 994 enable accurate correction for instrumental drift (Valley and Kita, 2009). The impact of trace element content on carbonate δ^{18} O and δ^{13} C analyses also requires careful consideration 995 996 (Sliwinski et al., 2017), but can be corrected following careful standardisation and is generally 997 not a problem encountered through speleothem analysis where the trace element content is 998 typically less than 1 weight %. An emerging analytical frontier concerns the impact of water and/or organic content on SIMS carbonate δ^{18} O and δ^{13} C, requiring careful pre-screening of 999 1000 sample material and simultaneous analysis of OH- and CH- respectively (Orland et al., 2012; 1001 Orland et al., 2015; Orland et al., 2019; Wycech et al., 2018).

Despite these issues, SIMS remains an appealing choice for palaeoseasonality reconstruction using stalagmites due to its sensitivity and resolution. SIMS has produced some of the highest resolution records of palaeoseasonality available and will continue to play an important role in linking stalagmite records to seasonal changes in environmental conditions, particularly across discrete, short-lived events. Although the technique is not suitable for building long records, the comparison of discrete timeslices permits seasonality to be contrasted for key intervals (Orland et al., 2012; Orland et al., 2015; Orland et al., 2019).

1009

1010 **4.1.5. Synchrotron**

The application of Synchrotron Radiation micro X-Ray Fluorescence (SR-µXRF) to the study of 1011 speleothem carbonate opened up new possibilities in terms of greater resolving power for 1012 1013 geochemical analysis (Kuczumow et al., 2003; Kuczumow et al., 2001). Based on the emission 1014 of electromagnetic radiation from charged electrons accelerated in an orbit, synchrotron radiation generates secondary radiation from speleothem carbonate based on the 1015 characteristic fluorescent properties of chemical elements. The excellent spatial resolution of 1016 1017 analysis (0.5–5 microns), low detection limits, low background, and the ability to quantitatively map trace element variability across a given area has enabled the study of 1018 1019 speleothem geochemical structures at the sub-annual timescale and in two dimensions 1020 (Figure 6). The use of XANES (X-Ray Absorption Near Edge structure) can define the oxidation 1021 state of the element under consideration, thereby adding further resolving power to 1022 determine environmental processes.

Applications range from using SR-μXRF to determine long-term (100 year) secular changes in elemental signals (Frisia et al., 2005), high resolution event imaging across sub-annual to multi-annual timescales (Badertscher et al., 2014; Frisia et al., 2008; Vanghi et al., 2019; Wang et al., 2019b), and for investigating petrological controls on geochemical composition (Frisia et al., 2018; Ortega et al., 2005; Vanghi et al., 2019). However, it is at the seasonal scale of analysis where the resolving power of synchrotron radiation has really pushed the boundaries of speleothem science.

1030 No conventional dating technique provides an absolute timeframe at the sub-annual scale of 1031 speleothem carbonate deposition. However, linking the seasonality of external 1032 environmental processes to speleothem petrology and geochemical characteristics can yield 1033 a monthly scale resolution of trace element content. SR-μXRF was used to determine the

1034 coincidence of trace element distributions and physical calcite characteristics within annual 1035 stalagmite laminations (Borsato et al., 2007). Based on the annually laminated stalagmite 1036 ER78 from Ernesto Cave, Italy, a suite of trace elements (P, Cu, Zn, Br, Y, and Pb) were found 1037 to form an annual peak, coincident with a characteristic thin (0.5-4 µm) brown UV-fluorescent 1038 layer in each annual couplet. The brown colouration of each UV-fluorescent layer is probably 1039 due to organic acids derived from high rates of water infiltration during each autumn (Frisia 1040 et al., 2000; Huang et al., 2001; Orland et al., 2014). The transport of trace elements is 1041 associated with colloidal organic molecules (Hartland et al., 2010; Hartland et al., 2012), and 1042 leads to the incorporation of this distinctive elemental suite on a seasonal basis associated 1043 with the autumnal rains (the 'autumnal pulse' as described in Section 2.4). SR-µXRF permits 1044 the detection of variability inherent to each individual year, which then can be contrasted against the symmetrical mean annual profile. Any differences (e.g., double peaks or shoulder 1045 peaks) provide an indication that the rainfall distribution throughout that year deviated from 1046 1047 the mean annual profile. Strontium was observed to vary inversely to colloidally transported 1048 elements (Borsato et al., 2007), possibly due to competition for binding to defect sites, thus limiting incorporation into the calcite lattice. SR-µXRF revealed seasonal patterns of zinc, lead, 1049 phosphorus, and strontium within speleothem Obi84 from Obir Cave, Austria, whose 1050 1051 concentration peaks also coincided with the dark coloured visible laminae. These were 1052 similarly interpreted as hydrological event markers associated with autumnal infiltration, but 1053 could also result from dry deposition of aerosols (Dredge et al., 2013).

SR-μXRF 2D mapping within speleothem Obi84 over three annual cycles demonstrated the effects of several infiltration events each year, present as short-lived peaks in Zn concentration and which build in magnitude towards the main autumnal flush (Wynn et al., 2014) (Figure 6). Using these event peaks as markers of autumnal flushing permitted

attribution of annual sulphate cycles to summer high and winter low concentrations. At the 1058 1059 Obir Cave site, these seasonal shifts in speleothem sulphate content were attributed to 1060 temperature-driven cave ventilation and associated cave air pCO₂ variability which controlled 1061 the dripwater pH and the sulphate:carbonate ratio. Wynn et al. (2018) later verified this 1062 proposed seasonal mechanism using controlled laboratory experiments, thereby permitting 1063 the extraction of seasonal temperature information based on the annual sulphate cycle's 1064 topology. SR-µXRF can thus extract geochemical expressions of seasonality, and the technique is well-suited to investigating changing rainfall and temperature seasonality dynamics back 1065 1066 through time.

1067

1068 **4.1.6. Data analysis**

Following the geochemical analyses and data processing, the information must be 1069 1070 interpreted. For techniques producing tens to hundreds of data points, this is not particularly 1071 challenging. On the other hand, techniques such as LA-ICPMS can produce tens of thousands 1072 of data points for multiple elements, and can greatly increase the processing time on common 1073 spreadsheet programmes. To circumvent these issues, it is possible to simplify the data using 1074 a Principal Component Analysis (PCA), a multivariate statistical analysis technique which 1075 extracts modes of variation from large multivariate timeseries datasets that best describe 1076 overall variability of those datasets. The technique is ideal for large multivariate stalagmite-1077 derived LA-ICPMS datasets (Borsato et al., 2007; Jamieson et al., 2015; Orland et al., 2014). 1078 PCA has also been used to extract a seasonal signal from trace elemental concentrations even 1079 in the absence of visible laminae and applied towards the development of a chronology (Ban 1080 et al., 2018).

Comparing the intra-annual amplitude of a geochemical signal (Orland et al., 2012; Orland 1081 1082 et al., 2009; Orland et al., 2014; Orland et al., 2019) from monthly-resolved datasets is ideal 1083 for extracting seasonal information from an otherwise difficult to interpret dataset. For 1084 example, Ridley et al. (2015b) used the well-developed annual carbon isotope cycles with 1085 their Belizean stalagmite to extract seasonal amplitudes, which were then interpreted in 1086 terms of the strength of the seasonal ITCZ incursion into southern Belize. Orland et al. 1087 (2015) used the topology of oxygen isotope variability within individual growth bands in a Chinese stalagmite to clarify the origin the oxygen isotope variability. Spectral analysis of 1088 1089 well-dated samples can also reduce data complexity (Myers et al., 2015). For example, 1090 Asmerom et al. (2020) used a wavelet analysis to reconstruct the strength of the wet season 1091 in Central America over the last two millennia, and to show that modern seasonality in rainfall was only emplaced in the 15th Century. Extracting a meaningful metric from 1092 numerous more complex data using statistical techniques is one way of simplifying a 1093 1094 complex geochemical dataset.

1095

1096 **5. Modelling techniques**

Many efforts at modelling both the hydrology feeding a stalagmite and the climate signal within exist. Proxy system models (PSMs) describe how geological or chemical archives are imprinted with climate signal (Evans et al., 2013). In terms of stalagmite-specific models, several exciting geochemical models now exist which can explore the emplacement of a geochemical signal in a stalagmite (Wong and Breecker, 2015), often based on established processes which govern stalagmite precipitation (e.g., (Buhmann and Dreybrodt, 1985)). Two recent examples (specifically of disequilibrium isotope fractionation processes proxy system

models) are the IsoCave model, which can examine disequilibrium isotope effects in 1104 1105 speleothems and related implications for speleothem isotope thermometry (Guo and Zhou, 1106 2019), and the ISOLUTION model which similarly helps to better understand the effect of 1107 these disequilibrium isotope fractionation processes on stalagmite proxy records (Deininger and Scholz, 2019). The I-STAL model allows the simulation of PCP and how this affects 1108 1109 dripwater Mg, Sr, and Ba (Stoll et al., 2012). A number of models looking specifically at drip 1110 hydrology now exist (e.g., KarstHydroModel (Baker and Bradley, 2010; Treble et al., 2003)), and these are extremely useful for understanding how the rainfall input signal is transformed 1111 1112 before reaching the stalagmite. Rather than using hydrological or geochemical modelling, a 1113 recent publication introduced a Monte Carlo approach to model rainfall and temperature 1114 seasonality in a stalagmite from La Garma Cave, northern Spain, over the Holocene (Baldini 1115 et al., 2019). Here, we build on this work and compare both synthetic and real-world input data to the results of the second-generation model. 1116

1117 The model requires some widely available types of input data, including: i) a stalagmite-based 1118 δ^{18} O record, ii) a record of regional mean annual temperature (MAT) of any resolution (e.g., 1119 borehole, marine sediments, stalagmite fluid inclusions) over the interval of interest, iii) 1120 monthly-scale modern instrumental records of rainfall and temperature above the site (or as 1121 close as possible to the site), and iv) cave air temperature and its relationship with above ground temperature. The relationship between meteoric precipitation δ^{18} O and temperature 1122 at the site is useful but not required information because regional or global meteoric 1123 precipitation δ^{18} O and temperature equations can provide a suitable alternative. 1124

1125 Essentially, the model assumes that the MAT of the cave site is similar to the MAT of the 1126 regional temperature input record (ii above), and produces a sine function around this value

of an amplitude reflecting modern temperature seasonality, but with random variability added to the absolute minimum and maximum temperatures (the amount of randomness is user-defined). A second sine function reflects the rainfall seasonality, and whereas the temperature wave's polarity is fixed (i.e., summers are always warmer than winters), the rainfall seasonality sine wave's polarity is allowed to flip randomly. The seasonal extreme values associated with either sine function are fixed to the same calendar months, linked to the timing of the modern minima and maxima.

1134 These two sine waves produce synthetic monthly temperature and rainfall values, which are then converted to $\delta^{18}O_p$ based ideally on local temperature-rainfall $\delta^{18}O$ relationships, or in 1135 cases where this relationship is not known, to more global equations (e.g., (Schubert and 1136 Jahren, 2015)). It is assumed that the $\delta^{18}O_p$ is conveyed to the dripwater (see discussion 1137 regarding evapotranspiration, Section 4.3) and that this is converted to carbonate δ^{18} O using 1138 1139 the Tremaine equation (Tremaine et al., 2011) at ambient cave air temperature adjusted 1140 according to observed relationships between outside and inside air. This equation was chosen 1141 as most appropriate because its empirical nature accounts for in-cave disequilibrium fractionation processes more completely than other equations. The model therefore 1142 1143 considers seasonal changes in rainfall but is independent of total annual rainfall. The annual amount-weighted mean modelled carbonate δ^{18} O value is then compared with the actual 1144 measured carbonate δ^{18} O value, and if it is within a certain user-defined value, it is logged as 1145 a successful simulation. If the difference between the modelled and actual carbonate δ^{18} O is 1146 1147 greater than this value (generally ~0.1 per mil), the simulation is logged as unsuccessful. 1,000 1148 of these coupled temperature and rainfall simulations are conducted per time slice, all the successful and unsuccessful simulations are logged, and the mean monthly modelled rainfalland temperature values calculated from the successful simulations.

1151

1152 **5.1 Test Runs: Gradual shifts in rainfall polarity**

1153 The model reproduces shifts in rainfall polarity in synthetic datasets well (Figure 7). In one experiment, the input δ^{18} O dataset was created by using i) a temperature sine function that 1154 1155 was set as invariant (i.e., it maintained its polarity and amplitude throughout the run), and ii) a rainfall sine function that shifted in polarity completely over 14 model years. The wettest 1156 1157 month in the input rainfall record was April in Year 1, gradually changing polarity to November by Year 14. As such, model Year 7 was characterised by no seasonality (Figure 7). The model 1158 1159 was run without a priori knowledge of these shifts other than the mean annually-resolved synthetic δ^{18} O record, MAT, 'modern' seasonality range, and cave temperature (i.e., the 1160 1161 simulations were run 'modeller blind'), but the output reproduced the shifting rainfall pattern 1162 very well. The gradual shift in rainfall polarity is detected, and the lack of seasonality in the 1163 input rainfall signal during Year 7 is reproduced. The input temperature data had a 15 °C 1164 annual temperature range, and two model simulations were conducted: one derived using an annual seasonal temperature range of 10 ± 6 °C, and a second using an annual seasonal 1165 temperature range of 15 ± 6 °C. In the case of the lower annual temperature range, the model 1166 1167 overestimates rainfall seasonality in order to compensate for the inappropriate annual temperature range, but still detects shifts in rainfall polarity (Figure 7). When the more 1168 appropriate temperature range is used, the simulation captures both the amplitude and 1169 1170 polarity of the shifting rainfall input signal. However, this experiment highlights a limitation

of this modelling approach; δ^{18} O data is explicable both in terms of rainfall and temperature seasonality shifts, and an unknown annual temperature range introduces uncertainties.

A second experiment involved synthetic temperature and rainfall input records with both considerable inter-annual variability and noise introduced (Figure 8). Notably, one model year (Year 4) had the polarity of the rainfall signal completely reversed. Again, the model was able to extract the salient features of the input data very well. Reproduced were inter-annual variations in rainfall and temperature, and, importantly, the model detected the reversed seasonality of the rainfall signal in Year 4 (Figure 8).

1179

1180 **5.2** Application to a stalagmite δ^{18} O dataset from a seasonally arid continental region

The first version of the model was run successfully across the Holocene using a δ^{18} O dataset 1181 1182 derived from the maritime climate of northern Spain (Baldini et al., 2019). Here, we apply the model to a dataset from Bir-Uja Cave in the Keklik-Too mountain ridge, Kyrgyzstan, a location 1183 1184 characterised by extremely strong seasonal fluctuations in both temperature and rainfall. The cave (40°29'N, 72°35'E) is ~60 m long and is developed at an altitude of ~1,325 m above sea 1185 level (Fohlmeister et al., 2017). The input data consisted of the δ^{18} O dataset from stalagmite 1186 1187 Keklik1 reported on in Fohlmeister et al. (2017), a 500-year long, centennial-resolution 1188 borehole temperature record from the Tian Shan mountains (~461 km to the north of the 1189 cave site) (Huang et al., 2000), instrumental precipitation and temperature records since 1880 1190 C.E. from Tashkent, Uzbekistan (~300 km to the east) (Menne et al., 2012), and cave temperature (Fohlmeister et al., 2017). The δ^{18} O input data were decadally-resolved, and the 1191 1192 stalagmite was dated using a recently developed radiocarbon technique (Fohlmeister and

Lechleitner, 2019; Fohlmeister et al., 2017; Lechleitner et al., 2016b). The Keklik1 record 1193 1194 extends from 2011 C.E. back to 1150 C.E., but the borehole record only extends back to 1500 1195 C.E., so the interval modelled only extends to 1500 C.E. On average, the site receives ~450 1196 mm of precipitation per year (based on Global Network of Isotopes in Precipitation data from 1197 Tashkent), with ~80% falling from November to April. Summers are very dry, with August (the 1198 driest month) receiving ~5 mm of rainfall. Monthly temperatures range from -1.4 °C in January 1199 to 25.0 °C in July, with a MAT of 12.1 °C. Stalagmite Keklik1 was located ~40 meters from the cave entrance and was collected in October 2011. Cave temperature varies seasonally, from 1200 1201 12 °C from the end of November until April, to a maximum of 16.5 °C in May. The site is 1202 characterised by near 100% relative humidity in the cold season which drops considerably to 1203 ~60% during the warmer months (Fohlmeister et al., 2017).

Unlike the Spanish GAR-01 record which extended back to ~13,500 years BP and was modelled using 100-year timeslices (Baldini et al., 2019), the Keklik1 δ^{18} O record was modelled using annual timeslices. The timings of the minimum and maximum values of the modelled temperature sine function were fixed at January and July, respectively. These months were also designated as the minimum/maximum of the modelled rainfall sine wave, which fits present day observations, but the sine function's polarity was not prescribed in advance.

Baldini et al. (2019) noted that the modelled temperature curve for northern Iberia closely resembled a previously published temperature reconstruction for the region (Martin-Chivelet et al., 2011) with a temporal resolution that exceeded the information provided by the lowresolution input dataset. Although no annual-scale MAT record exists in the Kyrgyzstan region for the last 500 years, summer temperatures are well constrained by tree ring records. A

comparison of the modelled July temperature derived from the Keklik1 δ^{18} O record reveals a 1216 very good match with the NTREND AG2 temperature anomalies (~300 km to the north of the 1217 cave site) (Anchukaitis et al., 2017; Cook et al., 2013) (Figure 9). The model's ability to 1218 1219 reconstruct palaeotemperature may reflect the fact that the probability of a successful model 1220 run is maximised when modelled temperature approximates the actual temperature shift. 1221 Successful model runs with a different (and incorrect) temperature pattern are possible with 1222 certain modelled rainfall simulations, but the mean monthly temperature values (reflecting the mean of all successful runs) will be biased towards model simulations with the correct 1223 1224 temperature shift. The apparently robust reconstruction of warm-season palaeotemperature 1225 is an unexpected and exciting model outcome, but one that requires further evaluation.

1226 The rainfall reconstruction reproduces many of the same features highlighted by Fohlmeister et al. (2017). In particular, decreases in the winter rainfall contributions in the late 1500s, the 1227 1228 mid-1700s, and the early 1800s are apparent in both records. Although to a certain extent this is expected because the δ^{18} O record is integral to both reconstructions, it is interesting 1229 1230 that the two reconstructions use two fundamentally different techniques (numerical versus geochemical modelling) to estimate the importance of winter rainfall to the overall annual 1231 1232 water budget at the site, and arrive at broadly similar results. For example, a winter rainfall peak occurs in 1797 CE in both records and transitions to drier winters by 1815 CE, with ~22% 1233 and ~50% reductions in winter rainfall implied by the model and δ^{18} O data, respectively. The 1234 model underestimating the reduction in rainfall probably arises because of the model's 1235 1236 utilisation of smooth sine waves rather than more step-like functions; in other words, 1237 although it is possible for one month per year to have zero rainfall in the model, the adjacent 1238 two months must necessarily have some rainfall, whereas in reality, several dry months per summer could occur. The use of step functions would permit the incorporation of several dry
 months annually and would amplify apparent shifts in seasonal rainfall amounts. Modelled
 DJFM rainfall compares reasonably well with GHCN rainfall from Tashkent (Figure 9),
 particularly considering that the Tashkent meteorological station is ~300 km away from and
 ~1,000 m lower in altitude than the cave site.

1244

1245 **5.3 Limitations to the modelling technique and future work**

1246 Several limitations to the presented modelling technique exist. First, the timing of the rainfall 1247 minima and maxima versus temperature signal could affect the model's efficacy; for example, 1248 if the rainiest month occurs three months after (or before) the warmest month, the use of the sine function means that all outcomes are possible. This is because the maxima/minima 1249 1250 in one parameter's sine function occur at the nodes of the other sine wave, effectively making both sine waves independent of each other. At many sites, temperature and rainfall are 1251 1252 intrinsically linked and their seasonal cycle broadly synchronous, but the above may be an issue at some locations. Additionally, the model would require a differently shaped rainfall-1253 1254 function to model rainfall at locations with two distinct rainy intervals every year, such as low 1255 latitude sites affected by the ITCZ twice each year.

The current version of the model does not incorporate evapotranspiration, and this is an obvious oversimplification. This may have repercussions for sites like Kyrgyzstan that experience a pronounced hot and dry season with negative effective infiltration. Similarly, variable kinetic fractionation almost certainly occurred within the cave (Fohlmeister et al., 2017) but is not considered within the model. Future versions of the model will incorporate

both evapotranspiration and kinetic effects, but the model currently likely overcomes this 1261 limitation simply by reducing rainfall amount for months with high evapotranspiration rates. 1262 1263 Potentially, coupling the new model discussed here with a dripwater isotope evolution model 1264 (e.g., ISOLUTION (Deininger and Scholz, 2019)) could produce very robust results. The model also cannot identify intervals characterized by changes in moisture pathway or fractionation 1265 1266 amount; rather, it highlights intervals that are not explicable in terms of changes in 1267 temperature or rainfall amount seasonality (intervals where the model cannot converge on any solutions), and thus points to the involvement of other processes. 1268

1269 The model is allowed to randomly vary MAT above or below the low-resolution temperature 1270 input record, but only within user-defined bounds. Too great a range of permissible MAT 1271 values would allow essentially any outcome. For example, if there were no limits to minimum winter temperature, a low δ^{18} O value could be modelled as either a very cold winter with a 1272 1273 subdued rainfall seasonality or as a mild winter but with substantial winter rain. Limiting the 1274 temperature seasonality to reasonable bounds (for example, based modern interannual MAT variability) permits assessing whether any given month is warmer or colder than the low-1275 1276 resolution temperature input, but may underestimate the total amount of cooling and 1277 warming. In extreme cases, this may manifest itself as a failure to converge upon any 1278 successful model, thus highlighting timeslices that require closer inspection and potentially 1279 an alternative explanation.

As discussed in Section 5.2, the utilisation of step functions to describe rainfall seasonality may facilitate the modelling of climate for sites where several months receive similar amounts of rainfall. Future studies should investigate the ramifications of function choice on output. Additionally, theoretically arriving at a mathematical solution utilising the relevant equations and input data is possible, obviating the need for MC simulations, and future research will
investigate this possibility. Finally, future models could incorporate options for geochemical
modelling of drip and carbonate chemistry.

1287

1288 6. Regional seasonality

1289 In this section we analyse global meteoric precipitation and temperature data to highlight 1290 regions experiencing pronounced seasonal variability in temperature, precipitation amount, 1291 and precipitation δ^{18} O (Figures 10 and 11), helping to facilitate the identification of cave sites 1292 sensitive to seasonality. This also highlights locations that are at the margins of such regions, 1293 where seasonality may have affected the record in the past, despite the lack of a modern 1294 influence.

1295

1296 **6.1. Identification of seasonally sensitive regions**

1297 WorldClim Version 2 data were obtained at a 2.5 minute (~4.5 km at the equator) spatial 1298 resolution (Fick and Hijmans, 2017). Inland continental regions within the mid- to high-1299 latitudes of the Northern Hemisphere (e.g., central and northern Canada, eastern Russia, northeast China, and Mongolia) are characterised by the greatest mean annual temperature 1300 range (Figure 10a). A greater annual temperature range is characteristic of continental 1301 1302 climates due to the reduced oceanic influence, with ocean water's high heat capacity and 1303 moderating influence on air temperature. The lowest mean annual temperature ranges occur 1304 in the low latitudes (where insolation remains high year-round) and maritime regions of the world (where oceans moderate temperature variability) (Figure 10a). The pattern of global
temperature seasonality (herein calculated as the maximum temperature of the warmest
month minus the minimum temperature of the coldest month averaged over the period 1970
- 2000 based on WorldClim Version 2 data) is consistent with the geographic pattern of cave
air ventilation reported in (James et al., 2015), a study concerning the role of outside
temperature seasonality in the seasonal ventilation of caves.

Seasonality in precipitation amount (Figure 10b) is greatest in the low latitudes due to the 1311 annual migration of the Intertropical Convergence Zone (ITCZ) and monsoonal systems that 1312 1313 cause distinct wet and dry seasons, along the western coast of North America, southern South 1314 America, and Europe where seasonal westerlies preferentially bring enhanced winter precipitation, and bordering the Mediterranean where a 'Mediterranean climate' 1315 characterised by wet-winters and dry-summer dominates (Figure 10b). The lowest 1316 1317 precipitation amount seasonality occurs in arid and semi-arid regions of the world and the non-coastal mid- to high-latitudes of the northern and southern hemispheres. 1318

Global seasonality in amount-weighted $\delta^{18}O_p$ (Figure 11) approximates the pattern of 1319 temperature seasonality (Figure 10a), with the greatest annual range in $\delta^{18}O_p$ observed at 1320 Northern Hemisphere continental interior and high latitude sites (e.g., northeast Asia, central 1321 1322 Canada, northern Greenland). In addition, high altitude sites (e.g., the Andes in western South 1323 America, the Caucasus Mountains at the intersection of Europe and Asia) also exhibit higher annual WM $\delta^{18} O_p$ ranges due to the altitude effect. The lowest $\delta^{18} O_p$ seasonality occurs within 1324 maritime (e.g., NW Europe, SW and SE Australia) and arid/semi-arid regions (e.g., East Africa, 1325 1326 eastern Brazil, South Africa). Many stalagmite records are from temperate regions where modern MAT ranges from 10 to 16 °C (Baldini et al., 2019; Baldini et al., 2015; Ban et al., 2018; 1327

Huang et al., 2001; Johnson et al., 2006; Orland et al., 2014). Global cave dripwater δ^{18} O data reveal that caves from regions with this MAT range have dripwater chemistry that reflects recharge-weighted δ^{18} O_p (Baker et al., 2019). The seasonal distribution of δ^{18} O_p is therefore a critical control in the case of many different stalagmite samples.

1332 In other cases, very pronounced seasonality inherent in stalagmite geochemical records are not due to seasonality in $\delta^{18}O_p$, but instead to seasonality in rainfall amount (Ridley et al., 1333 1334 2015b) and associated shifts in bioproductivity (Baldini et al., 2005) or PCP (Fairchild and 1335 Hartland, 2010; Fairchild et al., 2006). Seasonality in temperature can also induce cave 1336 ventilation in temperate zone caves during the winter (providing the cave geometry is appropriate), promoting carbonate deposition within the cave and biasing annual- to decadal-1337 1338 scale records towards the winter season rainfall (James et al., 2015). The maps provided 1339 herein can help identify regions containing speleothems retaining the desired seasonal signal, 1340 and determine what the most likely control is on any seasonal signal found within a 1341 stalagmite. Furthermore, the maps help highlight cave sites that are located on the peripheries of climatologically seasonal zones at present, where past seasonality shifts could 1342 1343 have influenced a record. Examples include the Sahel and southern Belize (Figure 12), both currently at the very northern extent of the ITCZ, where a small ITCZ shift to the south would 1344 1345 produce both severe drying and a substantial decrease in rainfall seasonality. This perspective was underscored by recent results from Central America that used monthly-scale rainfall 1346 proxy data over the last two millennia to suggest that the region has only been affected by 1347 1348 the ITCZ since ~1400 C.E., and that the ITCZ influence may wane in the near future (Asmerom 1349 et al., 2020) (Figure 12).

1350

1351 **6.2.** Complexities despite strong seasonality: northeast India as an example

The seasonality maps presented here highlight regions most likely to contain stalagmites 1352 which retain seasonal signals in temperature, rainfall amount, or $\delta^{18}O_p$. However, they also 1353 illustrate that not all seasonal variations in $\delta^{18}O_p$ are explicable in regional temperature or 1354 rainfall amount terms. In many cases, complex moisture source variability overprints 1355 1356 temperature-induced seasonality, hampering the use of models such as the one presented in Section 5. Here, we discuss the Indian Summer Monsoon (ISM) as an example of such a 1357 situation, and focus specifically on Mawmluh Cave in Meghalaya, northeast India, one of the 1358 1359 most seasonal locations on Earth in terms of rainfall amount (Fig. 10). In Meghalaya, hydroclimate is characterised by extreme seasonality, as the plateau constitutes the first 1360 topographic barrier for moisture-laden air masses travelling inland from the Bay of Bengal 1361 1362 (Murata et al., 2007; Prokop and Walanus, 2003). At present, the ISM brings ~80% of the annual rainfall to the cave site, inducing extreme amounts of rainfall (up to 12 meters per 1363 1364 year (Breitenbach et al., 2015). The seasonal precipitation cycle is reflected in rainfall δ^{18} O composition (Berkelhammer et al., 2012; Breitenbach et al., 2010). Rainfall δ^{18} O becomes 1365 progressively lighter during the ISM, but this effect is only partially driven by increasing 1366 1367 precipitation intensity and the amount effect because the period of maximum precipitation (June-August) precedes maximum ¹⁸O depletion (August-October) (Breitenbach et al., 2010)). 1368 1369 Instead, the ¹⁸O-depletion results predominantly from the moisture source shifting from a proximal location (the Bay of Bengal) in the early and late ISM to a more distal location (the 1370 open Indian Ocean) during the peak ISM (longer transport times resulting in more Rayleigh 1371 distillation). Rainfall and dripwater δ^{18} O at Mawmluh Cave are thus highly seasonal, but the 1372 relationship between temperature, rainfall amount, and rainfall δ^{18} O is not straightforward 1373

(Breitenbach et al., 2010; Breitenbach et al., 2015). Additional complexity arises from the 1374 1375 filtering and buffering capacity of the karst aquifer through which rainwater percolates en *route* to a stalagmite. Although a clear seasonal dripwater δ^{18} O cycle exists, with its lowest 1376 1377 value approximating ISM rainfall δ^{18} O, its annual amplitude is compressed, reflecting buffering in the karst (Breitenbach et al., 2015). This further complicates the interpretation of δ^{18} O 1378 1379 records from these stalagmites, and information from independent proxies that are sensitive 1380 to processes dominating during the winter season is required to disentangle such processes. 1381 Combining summer-sensitive δ^{18} O with winter-sensitive Mg/Ca (reflecting PCP) permitted 1382 disentangling ISM strength and the degree of dry season dryness in a stalagmite from Mawmluh Cave (Myers et al., 2015; Ronay et al., 2019). Such a multi-proxy approach, 1383 1384 supported by local monitoring and karst process modelling, allows robust interpretations of seasonal-scale climate from stalagmites, even when the proxy seasonality is driven by more 1385 1386 complex processes than temperature or rainfall amount alone.

1387

1388 **8. Future directions and recommendations**

1389 In this review, we introduce and discuss several concepts that we hope will facilitate the 1390 development and interpretation of robust seasonal-resolution resolution climate records 1391 from stalagmites, will improve the extraction and interpretation of seasonal information from stalagmites, and promote future discussion, including: A) that replication of records should 1392 1393 not always be an expectation without a priori knowledge that the drip type and 1394 environmental conditions responsible for the deposition of the stalagmites are comparable (e.g., some stalagmites retain seasonal information, whereas others do not), B) that every 1395 stalagmite-based geochemical record is different and records a unique component of the 1396

environmental signal of varying complexity (i.e., each stalagmite retains an accurate history 1397 of its environment; the question is whether or not this history can be deconvolved), and C) 1398 1399 that the application of at least one year's worth of hourly-resolved drip rate monitoring 1400 combined with a new drip classification scheme presented here may help identify stalagmites 1401 retaining a seasonal signal. Furthermore, we have (D) developed global seasonality maps of 1402 temperature (as was done previously by (James et al., 2015)), meteoric precipitation amount, 1403 and meteoric precipitation δ^{18} O ratios which allow the identification of regions sensitive to 1404 different types of seasonality recordable by stalagmites. The maps facilitate predicting what type of seasonality potentially affects modern stalagmite samples from that region. They also 1405 1406 assist in palaeoclimate interpretations by identifying locations proximal to regions with 1407 pronounced seasonality, where past migration of key atmospheric circulation systems could 1408 have altered the geochemical record retained by a stalagmite. On a similar note, we (E) present a model that interprets annual- to centennial-scale stalagmite δ^{18} O records in terms 1409 1410 of seasonal temperature and meteoric precipitation seasonality shifts. Although we stress 1411 that this model only highlights one possible interpretation (that the data were modulated 1412 primarily by regional long-term mean annual temperature variability combined with 1413 seasonality shifts in rainfall and temperature), often this interpretation is the most 1414 parsimonious. The modelling technique also helps identify time intervals when altered 1415 seasonality cannot account for the observed isotope shifts, suggesting that another variable 1416 needs consideration. We (F) discuss four major controls on the seasonality signal within 1417 stalagmites: i) Earth atmospheric, ii) Meteoric precipitation, iii) biological (e.g., soil processes), and iv) cave atmospheric, and (G) discuss a case study from India that serves as an example 1418 1419 of a stalagmite whose seasonal signal is not derived from rainfall amount or regional

temperature, but instead results from seasonal shifts in air mass trajectories (i.e., affected byseasonal shifts in Earth atmospheric processes).

1422 Stalagmites are remarkable archives of information regarding climate (on both seasonal and 1423 longer timescales), surface and cave environmental conditions, dry deposition, moisture 1424 source pathway, marine aerosols contributions, and hydrological routing. Replication of proxy 1425 records present strong support for palaeoclimatic interpretations, and should remain a goal 1426 of any stalagmite science research programme, but unless the climate signal-to-noise ratio of 1427 a region is unusually high, replication is only possible when comparing stalagmites deposited 1428 under similar conditions. A thorough understanding of the environmental processes affecting 1429 both entire caves (e.g., ventilation) as well as individual stalagmites (e.g., drip rate) facilitates 1430 replication efforts. The geochemical record from even adjacent stalagmites will reflect 1431 numerous processes, some of which are common to the two samples but many which are 1432 not, and only through a thorough understanding of the processes affecting each sample are 1433 robust (and replicable) climate interpretations achievable. However, unless analytical issues 1434 exist, non-replication does not imply that one record is incorrect; rather it generally implies 1435 that the two records simply record different environmental parameters.

Cave monitoring prior to the collection of a stalagmite will increase the likelihood of obtaining a record of the desired sensitivity to seasonal climate shifts, or other desired forcing. We recommend monitoring the drip feeding the stalagmite for at least one year using an automated drip logger and plotting the results in a diagram similar to Figure 3 to evaluate a stalagmite's likelihood of retaining hydrological seasonality. We recommend monitoring multiple sites within the cave and selecting the most appropriate stalagmite for collection based on the monitoring results. It is worth bearing in mind that unless the seasonality signal

in a stalagmite is conveyed via seasonal cave ventilation, stalagmites fed by diffuse flow drips 1443 with long residence times may not retain seasonal information. Other drips that are 1444 1445 seasonally either dry or undersaturated with respect to carbonate will lead to the formation 1446 of microhiatuses in the stalagmites and signal loss for that particular season. Monitoring a 1447 stalagmite's drip rate and drip chemistry for as long as possible represents one of the simplest 1448 but most effective means of understanding the potential climate signal contained within a 1449 sample prior to collection. This also has implications for cave conservation and protection efforts, because clearly formulated research goals and drip monitoring prior to stalagmite 1450 1451 sample collection can greatly reduce the number of samples removed from a cave for 1452 research purposes.

If sample growth rate permits, we suggest that the extraction of the palaeoseasonality signal 1453 over long timescales is best achieved via micromilling, leaving no gap between adjacent 1454 1455 samples, or LA-ICPMS. The major disadvantages of micromilling is that it is resource intensive, 1456 and that many samples may not have growth rates high enough to permit the required 1457 resolution; the major disadvantage of LA-ICPMS is that the trace element signature of a 1458 stalagmite is often dominated by site-specific factors such as temperature, sea spray, volcanic aerosols, fire, variable throughput of colloidal material, or rainfall, and consequently aligning 1459 1460 the data with other records is sometimes complex. Micromilled carbonate powders that are 1461 divided into two or more aliquots that are subsequently analysed for stable isotope ratios, trace elements, and other geochemical proxies can provide very robust interpretations (e.g., 1462 1463 Jamieson et al., 2016). This eliminates issues of cross-correlation and enables a powerful 1464 multiproxy approach, where each stable isotope ratio value is linked directly and 1465 unambiguously to numerous elemental concentration values. The technique can yield

important information regarding palaeoseasonality but is considerably more resource 1466 intensive than running multiple LA-ICPMS tracks parallel to each other and the micromilled 1467 1468 stable isotope track. An alternative is to produce a long decadal-scale isotope ratio traverse 1469 complemented by higher resolution transects or maps across key intervals of interest using 1470 LA-ICPMS, SIMS, synchrotron, or µXRF to corroborate interpretations based on the longer 1471 transects. In the future, proxy mapping at micron-scale resolution using these techniques will 1472 help reduce uncertainties related to geometric ambiguities such as those associated with crystal boundaries and improve the robustness of interpretations. 1473

1474

1475 **9. Conclusions**

The reconstruction of palaeoseasonality using stalagmites is an exciting research direction that has yet to mature into its full potential. Numerous records of palaeoseasonality exist, but few direct reconstructions extend before the last two millennia. Ideally, future studies concluding that a decadal- to annual-scale isotope ratio record is affected by seasonality changes should support this by either using short windows of sub-annual data or by modelling.

Any climate proxy record is affected by inherent complexities in climate signal transfer to the stalagmite and by selective sampling of the stalagmite for analysis. A high-resolution (subannual to annual-scale) sampling strategy coupled with appropriate site monitoring maximises the likelihood of extracting a signal approximating the climate input signal. For long records annual- to decadal-scale resolution is ideal, and shorter records could benefit from an even higher resolution if resources permit. Large shifts in isotope ratios could reflect

changes in seasonality, potentially associated with the migration of key atmospheric 1488 circulation systems over the cave site. New models incorporating seasonality can provide 1489 1490 information regarding whether observed geochemical shifts are interpretable in terms of 1491 altered seasonality, and these represent an exciting and inexpensive new research tool. A 1492 seasonal-scale sampling strategy over short intervals of interest can verify these model interpretations, and LA-ICPMS or line-scan µXRF represent potentially the most efficient 1493 1494 methods to achieve this; other alternatives include monthly-scale micromilling, synchrotron 1495 analysis (SR-µXRF), and SIMS.

1496 The robust interpretation of stalagmite geochemical records in terms of seasonality represents a key challenge for the next decade. Achieving this is complicated by multiple in-1497 cave and exogenic environmental forcings with dynamic seasonality, including: rainfall, 1498 1499 temperature, humidity, bioproductivity, cave air pCO₂, drip rate, source moisture region and 1500 δ^{18} O, and moisture mass trajectory from the source region. Even apparently straightforward δ^{18} O records from regions with high signal-to-noise ratios typically interpretable as either 1501 varying total annual rainfall or summer rainfall may instead reflect another parameter instead 1502 1503 (e.g., a change in moisture source or rainfall seasonality), as is the case with the Indian 1504 Summer Monsoon. Most records would benefit from a rigorous multi-proxy approach utilising not only multiple geochemical proxy datasets, but also site monitoring and new modelling 1505 1506 approaches. Similarly, focussing research efforts at the same well-understood cave sites both maximises the quality of interpretations and contributes to the conservation of caves and 1507 stalagmite samples. The application of multiple stalagmites from the same site but with 1508 1509 different drip rates and affected by different amounts of disequilibrium fractionation may provide the key to reconstructing formerly elusive climate variables, such as temperature. 1510

Instead of representing an irresolvable issue, we suggest that disequilibrium fractionation
may present opportunities to quantify temperature, potentially even at seasonal resolutions.
Similarly, multi-proxy data could yield seasonal information even in the absence of seasonal
sampling resolution; if two or more independent proxies reflect different seasonal data,
combining the proxies could yield palaeoseasonality.

1516 Over the past few decades stalagmites have provided some of the most iconic records in palaeoclimatology. In the future, stalagmites will continue to not only provide long records of 1517 exceptional quality, but they will also provide rare glimpses into palaeoseasonality at 1518 unprecedented temporal resolution. Recent microanalytical advances have facilitated the 1519 1520 construction of exquisitely resolved stalagmite-based climate records; we are now at a stage 1521 where the interpretation of these records is catching up with their remarkable technical aspects. Extracting quantitative and accurate seasonal climate information from these 1522 geochemical records is a key challenge over the next decade, and, if this is achieved, 1523 stalagmites will truly be considered in a class of their own as climate archives. 1524

1525

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1532

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2231

2232 Figure Captions:

Figure 1: Top Panel: Resolution of speleothem isotope records over time, compiled from the SISALv1b database. Individual record resolution (small black circles) and mean resolution of all available (black bars) and Holocene (blue bars) records published in a given year. Bottom panel: Total number of stalagmite records identified (grey bars), total number of stalagmite records in SISALv1b (black bars), and total number of Holocene records in SISALv1b (blue bars).

2239 Figure 2: Illustration of different drip responses from Yok Balum Cave, Belize, over

approximately two months as captured by a series of automated drip loggers. Two clear rain

2241 events and the subsequent drip responses are indicated by the vertical dashed red lines.

Rainfall amount is recorded directly over the cave site using a tipping bucket rain gauge.

2243 Techniques are discussed in more detail in (Ridley et al., 2015a).

Figure 3: A new drip categorisation scheme designed to emphasise cave drip seasonality.

2245 The scheme does not use classification boundaries as such, but instead uses the data

distribution to understand the hydrology. The scheme uses descriptors that map onto

2247 established drip terminology (see Panels B-D and main text for examples). A) Minimum and

2248 maximum hourly drip rates extracted for every month of record for numerous cave drips

globally. The dashed line represents the 1:1 line, and all data points must necessarily plot

over this (i.e., the minimum drip rate cannot exceed the maximum drip rate for any given
month). The closer a point plots to the dashed line, the lower the difference between
monthly maximum and minimum values for that point; if a point sits on the line the
minimum and maximum values for that month are identical. Panels B-D illustrate some
common drip types (using synthetic data) and their pattern when plotted on this diagram.
Panels B-D are schematic and are not based on actual collected datasets; the symbols used
are arbitrary and are not linked to the symbols used in Panel A.

Figure 4: The simulated effects of sampling resolution on the climate signal extracted from a stalagmite. The stalagmite data are from stalagmite YOK-G (Yok Balum Cave, Belize), which was originally sampled with a micromill at a 100 micron (0.1 mm) step size (Ridley et al., 2015b). The chronology for the stalagmite is precise at the seasonal scale. The rainfall data (bottom panel) are from the Punta Gorda meteorological station (~30 km to the southeast of the cave site).

2263 Figure 5: Schematic of a sampling scheme for achieving ~50 micron spatial resolution. Plan 2264 view of a stalagmite surface with 1 mm conventional holes on the right and trenches cut for 2265 low and high resolution. The red trench was milled with a 0.8 mm diameter drill and the (blue-2266 shaded) higher resolution trench was cut laterally, with each sample integrating 50 μ m. The 2267 red corners highlight the area that is incorporated into subsequent steps, which in this case 2268 includes material from the current and the previous sample. In this example each high-2269 resolution sample (e.g., yellow shaded area) integrates a minimal amount of powder of an 2270 older sample (because the milling direction is upward).

Figure 6: Several examples of output generated by different geochemical-based techniques for extracting seasonal climate. A) Variability in sulphate in speleothem calcite (Obi84, Obir

cave, Austria) as determined by SR-µXRF (Wynn et al., 2014). The clear annual sulphur maxima 2273 are evident as brighter green colours. B) Ion microprobe-resolved strontium and phosphorous 2274 2275 cycles apparent in stalagmite CC3 from Crag Cave, southwestern Ireland (Baldini et al., 2002). 2276 The well-developed cycles illustrate stronger seasonality at the time of deposition (~8.336 ka 2277 BP) than currently present. C) Annual UV-luminescent banding in a stalagmite from Shihua 2278 Cave, Beijing, China (adapted from Tan et al. (2006)). D) well-develop carbon isotope ratio 2279 cycles in stalagmite YOK-G from Yok Balum Cave, Belize, constructed using data obtained via 2280 micromilling at a 100-micron spatial resolution and analyses of powders on an IRMS (Ridley 2281 et al., 2015b) (see also Figure 4). E) Mg cycles apparent in stalagmite BER-SWI-13 from 2282 Learnington Cave, Bermuda, resolved using LA-ICPMS-derived Mg data (Walczak, 2016). All 2283 panels show three to four cycles, interpreted as annual.

2284 Figure 7: A synthetic rainfall input signal (orange circles) with an annual temperature range of 2285 15 °C compared with two mean model outputs, one derived using an annual temperature 2286 range of 10 ± 6 °C (grey line), and another derived using an annual temperature range of 15 ± 6 °C (blue line). At the beginning of the simulated rainfall input signal record (year = 0), April 2287 2288 is the wettest month and November the driest month, but this shifts in polarity slowly through 2289 the record, moving through a brief phase with no seasonality in rainfall (year = 7), and then 2290 transitioning into a phase where April is the driest month (from year = 8). The vertical gridlines 2291 highlight the month of April during every model year. The simulated rainfall input signal 2292 amplitude and polarity is reproduced by the model very satisfactorily, provided that the model temperature range is realistic, as it is in Model 2. Note that the polarity of the simulated 2293 2294 rainfall input signal is still reproduced by Model 1, but modelled rainfall seasonal amplitude 2295 is too large in order to compensate for the low amplitude of the modelled temperature range. Figure 8: Temperature (top panel) and rainfall (bottom panel) modelling results (black dashed lines) against 'noisy' synthetic input datasets (solid coloured lines) for seven model years. The grey rectangle highlights one model year (Year 4) where the input rainfall signal polarity was reversed; the model detects this shift. The modelling results presented are the mean values of all successful model runs for each timeslice.

2301 Figure 9: Mean modelled monthly temperature and rainfall data against Global Historical 2302 Climate Network (GHCN) and tree ring data. A) Stalagmite Keklik1 oxygen isotope ratio data 2303 from Bir-Uja Cave, Kyrgyzstan (input data) (Fohlmeister et al., 2017). B) Centennial-scale 2304 borehole temperature data from the Tian Shan region (Huang et al., 2000) from 1500 to 2305 2000 C.E. (input data, shifted upwards for clarity) (blue diamonds), modelled July temperature (black curve) (output), and NTREND summer temperature reconstruction for 2306 2307 Asia Grid 2 (AG2) (red curve) (Cook et al., 2013). C) Modelled January rainfall (black curve) 2308 (output) and GHCN January rainfall for Tashkent (orange curve), both in % of total annual 2309 rainfall. The grey rectangles highlight the years 1797 and 1815 C.E. discussed in the text. 2310 Figure 10: Global seasonality in annual temperature (°C) and annual precipitation (mm). A) 2311 The annual temperature range was calculated as the maximum temperature of the warmest 2312 month minus the minimum temperature of the coldest month averaged over the period 2313 1970-2000. B) Precipitation seasonality was calculated as the precipitation amount of the 2314 wettest month minus the precipitation amount of the driest month averaged over the 2315 period 1970-2000. WorldClim Version 2 data (<u>https://www.worldclim.org/</u>) were obtained 2316 at a 2.5 minute (~4.5 km at the equator) spatial resolution (Fick and Hijmans, 2017). The 2317 data span the period 1970-2000 and thus may reflect anthropogenically-influenced 2318 temperature seasonality as discussed in Santer et al. (2018). Therefore, although the general

spatial pattern of temperature (and potentially precipitation) seasonality may persist into 2319 2320 the past, the magnitude of seasonality shifts may deviate from that presented here, particularly when extending records into the preindustrial era. 2321 2322 Figure 11: Global seasonality in amount-weighted precipitation δ^{18} O (‰ VWMOW). The amount-weighted mean (WM) monthly precipitation δ^{18} O data (IAEA/WMO, 2001) were 2323 2324 used to determine the annual range in precipitation isotopes globally (calculated as the maximum monthly WM δ^{18} O minus minimum monthly WM δ^{18} O at 267 stations (yellow 2325 symbols) with a complete 12-month dataset over the period 1961-1999. GNIP station data 2326 2327 were interpolated onto a 2.5° X 2.5° global grid (~278 km X 278 km) (IAEA, 2001). 2328 Figure 12: A Hovmöller plot of the annual cycle of total-column precipitable water vapour 2329 for Central America, based on daily ERA5 re-analysis data across the region from -110 to -2330 80W and 0 to 35N for the period 1979-2018. Also indicated are the latitudes of three key

- 2331 cave sites that have yielded stalagmites which have produced oxygen isotope records of
- 2332 rainfall.

2334 Figures:



























